# On the Complexity of the Uncapacitated Single Allocation *p*-Hub Median Problem with Equal Weights

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### **Abstract**

The Super-Peer Selection Problem is an optimization problem in network topology construction. It may be cast as a special case of a Hub Location Problem, more exactly an Uncapacitated Single Allocation *p*-Hub Median Problem with equal weights. We show that this problem is still NP-hard by reduction from Max Clique.

## 1 Problem Description

The Super-Peer Selection Problem (SPSP) is the problem of finding a Super-Peer topology in a Peer-to-Peer network with minimal total communication cost. It may be cast as a special case of a well known NP-hard Hub Location Problem, the Uncapacitated Single Allocation *p*-Hub Median Problem (USApHMP) [3, 5, 6, 8].

In both problems, p nodes are to be selected out of n nodes to serve as hubs (or super-peers). The remaining nodes are assigned to one hub, respectively. Hubs are assumed to be assigned to themselves. Denoting the assignment of node i to hub j by a binary variable  $x_{ij} = 1$ , the transportation costs for the link between i and j by  $d_{ij}$ , and the amount of flow from i to j by  $w_{ij}$ , the cost of such a hub location can be

defined as

$$C(X) = \sum_{i=1}^{n} \sum_{j=1}^{n} \sum_{k=1}^{n} \sum_{l=1}^{n} \left( d_{ik} + d_{kl} + d_{jl} \right) \cdot w_{ij} \cdot x_{ik} \cdot x_{jl}. \tag{1}$$

This cost is to be minimized. There are a number of constraints:

$$x_{ij} \le x_{jj} \qquad i, j = 1, \dots, n \tag{2}$$

$$x_{ij} \le x_{jj}$$
  $i, j = 1, ..., n$  (2)  
 $\sum_{j=1}^{n} x_{ij} = 1$   $i = 1, ..., n$  (3)

$$\sum_{j=1}^{n} x_{jj} = p \tag{4}$$

$$x_{ij} \in \{0,1\}$$
  $i,j = 1,...,n$  (5)

The set of constraints (2) ensures that nodes are assigned only to hubs, while (3) enforces the allocation of a node to exactly one hub. Due to constraint (4), there will be exactly p hubs. Now, the SPSP is the special case of the USApHMP, where all flow is  $w_{ij} = 1$ .

The general USApHMP is known to be NP-hard. In fact, even when the set of hubs is fixed, the remaining assignment problem is still NP-hard, as soon as  $p \ge 3$ [8], but polynomial time algorithms exist for p = 2 or p = 1 [7]. The proof for NP-hardness in [8] reduces the NP-hard 3-Terminal Cut to USApHMP. It needs the weights to be arbitrarily selectable from  $w_{ij} \in \{0,1\}$ . Since SPSP fixes the weights to  $w_{ii} = 1$ , the proof cannot be reused, and it is still unclear whether SPSP is NP-Hard.

#### 2 **NP-Hardness**

The SPSP is NP-hard. We show this by reducing Max Clique [4, problem GT19] to a special SPSP.

*Max Clique problem:* Given a graph G = (V, E) and a number k > 0, does there exist a subset  $V' \subseteq V$  with cardinality  $|V'| \geq k$ , such that every two vertices in  $i, j \in V'$  are joined by an edge  $(i, j) \in E$ ? Max Clique is NP-hard [4].

Max Clique can be transformed to an SPSP by introducing a new vertex  $a \notin V$ , setting  $V' := V \cup \{a\}$ , the number of hubs to p := k + 1, and the distance function  $d_{ij}$  to:

$$d_{ij} = \begin{cases} 0 & \text{if } i = a \lor j = a \lor i = j \\ 1 & \text{else, if } (i,j) \in E \\ 2 & \text{else} \end{cases}$$
 (6)

**Theorem 2.1.** The decision problem whether there is a super-peer selection X with cost  $C(X) \leq Z = (p-1) \cdot (p-2)$  in the weighted graph  $G' = (V', V' \times V', d)$  is equivalent to the Max Clique decision problem in the original graph G = (V, E).

*Proof.* We first show that a solution for the Max Clique Problem is also a solution for the SPSP. We then show that every solution for the SPSP is a solution for Max Clique.

Consider a solution for the Max Clique problem, i. e. a subset  $V' \subset V$ , |V'| = k, such that every two vertices  $i, j \in V'$  are joined by and edge  $(i, j) \in E$ . The superpeer selection  $X_a(V')$  that chooses all p = k + 1 nodes from the set  $V' \cup \{a\}$  to be hubs, and assigns the remaining nodes to hub a, only creates costs on the inter-hub links. Since all distances to and from node a are zero, its links to the non-hub nodes and the other p - 1 hub nodes again adds nothing to the total cost. The cost for the super-peer selection  $X_a(V')$  is just the sum of the remaining inter-hub links between the p - 1 hubs V':

$$C(X_a(V')) = \sum_{k \in V'} \sum_{l \in V'} d_{kl} \cdot x_{kk} \cdot x_{ll} = \sum_{k \in V'} \sum_{l \in V' \setminus \{k\}} 1 = (p-1) \cdot (p-2) \le Z$$
 (7)

Thus, every solution for the Max Clique Problem is a solution for the SPSP.

Consider an arbitrary assignment  $X_b$  that selects p hubs and assigns the non-hub nodes to hubs. We will consider the following non-disjoint cases, and show that in each case the assignment  $X_b$  does not give a solution to the SPSP, i. e. its cost is larger than Z:

- 1. Node a is not selected as a hub in  $X_b$ .
- 2. A non-hub node is not assigned to a in  $X_b$ .
- 3. A set of nodes V' is selected as the hub-set in  $X_b$ , such that two of them  $i, j \in V'$  are not joined by an edge  $(i, j) \in E$ .

If node a is not selected as a hub, all inter-hub links between the p hubs will have distances  $d_{ij} \ge 1$ , creating a cost of  $C(X_b) \ge p \cdot (p-1) > Z$ . Thus, every solution to the SPSP must select a as a hub. Since all other distances are  $d_{ij} \ge 1$ , the remaining inter-hub links create a cost of  $H \ge (p-1) \cdot (p-2) = Z$ .

If a non-hub node i is not assigned to a, its link to the hub  $j \neq a$  creates additional cost of at least  $d_{ij} \geq 1$ . The total cost is then  $C(X_b) \geq H + d_{ij} > Z$ . Thus, every solution to the SPSP must assign all non-hub nodes to hub a.

If two of the selected hubs  $i, j \in V'$  are not joined by an edge  $(i, j) \in E$ , the corresponding distance is  $d_{ij} = 2$ . This increases the cost for the inter-hub links and thus the total cost to  $C(X_b) \ge H + 1 > Z$ .

In all cases 1, 2 and 3, the assignment  $X_b$  is not a solution to the SPSP, thus, every solution to the SPSP must select node a as a hub, assign all non-hub nodes to a, and select a fully connected set of nodes V' as hubs, which is also a solution to the Max Clique problem.

Note, that if  $X_b$  selects a different set of fully connected nodes than  $X_a$  as hubset, it only constitutes a solution to the SPSP if node a is also selected as a hub and all non-hub nodes are assigned to a. However, the chosen hubset minus node a is again a solution to the Max Clique problem.

## 3 Other Results

The proof can be reused to show the NP-hardness of the p-hub center problem with equal weights (min  $C(X) = \max_{i,j,k,l \in V} (d_{ik} + d_{kl} + d_{jl}) \cdot x_{ik} \cdot x_{jl}$ , s. t. (2), (3), (4), (5)), by using the same distance function  $d_{ij}$  as in (6) and setting Z = 1.

The Max Clique problem is also known to be NP-hard if  $k = r \cdot |V|$ , for any fixed r with 0 < r < 1 [4]. Thus, the SPSP with  $p = r \cdot |V| + 1$  is also NP-hard.

For the special case of  $p \in \mathcal{O}(1)$ , however, the remaining assignment problem when all hubs are fixed becomes solvable in polynomial time for the SPSP, but is still NP-hard for a constant  $p \geq 3$  for the general USApHMP [8]. Since there are only  $\binom{n}{p} \in \mathcal{O}(n^p)$  different selections of hubs, the full SPSP becomes solvable in polynomial time in this case.

The SPSP uses equal weights  $w_{ij} = 1$ , thus the weight on the inter-hub link (i,j) only depends on the number of nodes assigned to i and j, but not on the actual node assignment. Let  $E_i = \{j \mid x_{ji} = 1\}$  denote the set of nodes assigned to hub i. Now, the cost for the inter-hub link (i,j) is  $c_{ij} = 2 \cdot |E_i| \cdot |E_j| \cdot d_{ij}$ , and the cost for the link between a non-hub node i and its hub j is  $c_{ij} = 2 \cdot n \cdot d_{ij}$ . There are only  $\mathcal{O}(n^p)$  different combinations of the numbers of nodes assigned to the p hubs.

Given a set of hubs and the number of nodes assigned to each hub  $|E_i|$ , the remaining assignment problem can be cast as a special case of the Linear Sum Assignment Problem (LSAP) [1, 2]. The LSAP can be modelled as follows:

$$\min \sum_{i=1}^{n} \sum_{j=1}^{n} c_{ij} \cdot x_{ij} \tag{8}$$

s. t.:

$$\sum_{j=1}^{n} x_{ij} = 1 i = 1, \dots, n (9)$$

$$\sum_{i=1}^{n} x_{ij} = 1 j = 1, \dots, n (10)$$

$$x_{ij} \in \{0,1\}$$
  $i,j = 1,...,n$  (11)

The binary variables  $x_{ij}$  implicate a one-to-one assignment of the rows and columns. Consider a partition of the set  $\{1, ..., n\}$  in p disjoint subsets  $F_i$ , such that each subset is as large as the number of nodes assigned to hub i ( $|F_i| = |E_i|$ ), and a cost function that assigns the cost  $c_{ij} = d_{ik}$  to all  $j \in F_k$ . Now, the solution to the LSAP minimizes the total cost for the links between non-hub nodes and their hubs.

Since all hubs and the numbers of nodes for each hub are fixed, the cost for the inter-hub link is also fixed. Thus, the total cost C(X) is minimized by the LSAP. Since there exist several algorithms for the LSAP with time complexity  $\mathcal{O}(n^4)$  [2], the SPSP with  $p \in \mathcal{O}(1)$  fixed hubs is solvable in polynomial time, by solving the  $\mathcal{O}(n^{2p})$  many LSAPs for fixed hubs and fixed numbers of nodes assigned to the hubs.

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