

Algorithms in Singular

Hans Schönemann

October 14, 1996

Abstract

Some algorithms for singularity theory and algebraic geometry

The use of Gröbner basis computations for treating systems of polynomial equations has become an important tool in many areas. This paper introduces of the concept of standard bases (a generalization of Gröbner bases) and the application to some problems from algebraic geometry. The examples are presented as SINGULAR commands. A general introduction to Gröbner bases can be found in the textbook [CLO], an introduction to syzygies in [E] and [St1].

SINGULAR is a computer algebra system for computing information about singularities, for use in algebraic geometry. The basic algorithm in SINGULAR is a general standard basis algorithm for general monomial orderings(see [GG]). This includes wellorderings (Buchberger algorithm ([B1],[B2])) and tangent cone orderings (Mora algorithm ([M1],[MPT])) as special cases: It is able to work with non-homogeneous and homogeneous input and also to compute in the localization of the polynomial ring in 0. For a complete description of SINGULAR see [Si].

1 Basic definitions

1.1 Monomial orderings

The basis ingredience for all standard basis algorithms is the ordering of the monomials (and the concept of the leading term: the term with the highest monomial).

A **monomial ordering** (term ordering) on $K[x_1, \dots, x_n]$ is a total ordering $<$ on the set of monomials (power products) $\{x^\alpha | \alpha \in \mathbf{N}^n\}$ which is compatible with the natural semigroup structure, i.e. $x^\alpha < x^\beta$ implies $x^\gamma x^\alpha < x^\gamma x^\beta$ for any $\gamma \in \mathbf{N}^n$.

The ordering $<$ is called a **wellordering** iff 1 is the smallest monomial. Most of the algorithms work for general orderings.

Robbiano (cf.[R]) proved that any semigroup ordering can be defined by a matrix $A \in GL(n, \mathbf{R})$ as follows (**matrix ordering**):

Let a_1, \dots, a_k be the rows of A , then $x^\alpha < x^\beta$ if and only if there is an i with $a_j \alpha = a_j \beta$ for $j < i$ and $a_i \alpha < a_i \beta$. Thus, $x^\alpha < x^\beta$ if and only if $A\alpha$ is smaller than $A\beta$ with respect to the lexicographical ordering of vectors in \mathbf{R}^n .

We call an ordering a **degree ordering** if it is given by a matrix with coefficients of the first row either all positive or all negative.

Let K be a field; for $g \in K[\underline{x}]$, $g \neq 0$, let $\mathbf{L}(g)$ be the **leading monomial** with respect to the ordering $<$ ¹ and $\mathbf{c}(g)$ the coefficient of $L(g)$ in g , that is $g = c(g)L(g) +$ smaller terms with respect to $<$.

$<$ is an **elimination ordering** for x_{r+1}, \dots, x_n iff $L(g) \in K[x_1, \dots, x_r]$ implies $g \in K[x_1, \dots, x_r]$.

1.2 Examples for monomial orderings

Important orderings for applications are:

- The **lexicographical ordering** lp , given by the matrix:

$$\begin{pmatrix} 1 & & & \\ & 1 & & 0 \\ & & \ddots & \\ 0 & & & 1 \end{pmatrix}$$

resp. ls :

$$\begin{pmatrix} -1 & & & \\ & -1 & & 0 \\ & & \ddots & \\ 0 & & & -1 \end{pmatrix}$$

Remark 1.1 The positive lexicographic ordering lp on $K[x_1, \dots, x_n]$ is an elimination ordering for $x_1, \dots, x_i \ \forall 1 \leq i \leq n$

The definition of rings with these orderings in SINGULAR:
(Each line starting with // is a comment in SINGULAR.)

¹we write the terms of a polynomial in decreasing order

```
ring R1=0,(x(1..5)),lp;
ring R2=0,(x(1..5)),ls;
```

- The **weighted degree reverse lexicographical ordering**, given by the matrix

$$wp : \begin{pmatrix} w_1 & w_2 & \dots & w_n \\ & & & -1 \\ 0 & -1 & / & \\ \end{pmatrix}$$

$w_i > 0 \forall i$, (resp. $ws : w_1 \neq 0, w_i \in \mathbf{Z} \forall i$).

If $w_i = 1$ (respectively $w_i = -1$) for all i we obtain the **degree reverse lexicographical ordering**, **dp** (respectively **ds**).

The definition of rings with these orderings in SINGULAR:

```
ring R3=0,(x(1..5)),wp(2,3,4,5,6);
// correspond to w_i:2,3,4,5,6
ring R4=0,(x(1..5)),ws(2,3,4,5,6);
// correspond to w_i:-2,-3,-4,-5,-6
ring R5=0,(x(1..4)),dp;
ring R6=0,(x(1..4)),ds;
```

- An example for an **elimination ordering** for x_{r+1}, \dots, x_n in $K[\underline{x}] = \text{Loc}_{\prec} K[\underline{x}]$ is given by the matrix

$$\begin{pmatrix} 0 & 0 & \dots & 0 & w_{r+1} & w_{r+2} & \dots & w_n \\ w_1 & w_2 & \dots & w_r & 0 & 0 & \dots & 0 \\ & & & & & & & -1 \\ & & & & 0 & -1 & / & \\ 0 & -1 & / & -1 & & & & \\ \end{pmatrix}$$

with $w_1 > 0, \dots, w_n > 0$. In $K[x_1, \dots, x_r]_{(x_1, \dots, x_r)}[x_{r+1}, \dots, x_n] = \text{Loc}_{\prec} K[\underline{x}]$ it is given by the same matrix with $w_1 < 0, \dots, w_r < 0$ and $w_{r+1} > 0, \dots, w_n > 0$.

The definition of a polynomial ring with an elimination ordering for x_3 and x_4 in SINGULAR:

```
ring E=0,(x(1..4)),(a(0,0,1,1),a(1,1),dp);
// correspond to w_i=1 for all i, r=2
// or simpler:
ring EE=0,(x(1..4)),(a(0,0,1,1),dp);
```

- The **product ordering**, given by the matrix

$$\begin{pmatrix} A_1 & & & 0 \\ & A_2 & & \\ & & \ddots & \\ 0 & & & A_k \end{pmatrix}$$

if the A_i define orderings on monomials given by the corresponding subsets of $\{x_1, \dots, x_n\}$. Such an ordering can be used to compute in

- $K(\underline{y})[\underline{x}]$ ($A_1 : \text{dp on } \underline{x}$, $A_2 : \text{dp on } \underline{y}$)
- $(K[\underline{y}]_{(\underline{y})})[\underline{x}]$ ($A_1 : \text{dp on } \underline{x}$, $A_2 : \text{ds on } \underline{y}$)
- $(K[\underline{y}])[\underline{x}]_{(\underline{x})}$ ($A_1 : \text{ds on } \underline{x}$, $A_2 : \text{dp on } \underline{y}$)

(See [GTZ], [GG], definition 2.1).

The definition of a ring with this ordering in SINGULAR:

```
ring P=0,(x(1..6)),(dp(4),ds(2));  
// correspond to  
// a first block of 4 variables with ordering dp  
// and a second block of 2 variables with ordering ds
```

2 Standard bases

Definition 2.1 We define $\text{Loc}_{<} K[\underline{x}] := S_{<}^{-1} K[\underline{x}]$ to be the localization of $K[\underline{x}]$ with respect to the multiplicative closed set $S_{<} = \{1 + g \mid g = 0 \text{ or } g \in K[\underline{x}] \setminus \{0\} \text{ and } 1 > L(g)\}$.

Remark 2.2 1) $K[\underline{x}] \subseteq \text{Loc}_{<} K[\underline{x}] \subseteq K[\underline{x}]_{(\underline{x})}$, where $K[\underline{x}]_{(\underline{x})}$ denotes the localization of $K[\underline{x}]$ with respect to the maximal ideal (x_1, \dots, x_n) . In particular, $\text{Loc}_{<} K[\underline{x}]$ is noetherian, $\text{Loc}_{<} K[\underline{x}]$ is $K[\underline{x}]$ -flat and $K[\underline{x}]_{(\underline{x})}$ is $\text{Loc}_{<} K[\underline{x}]$ -flat.

- 2) If $<$ is a wellordering then $x^0 = 1$ is the smallest monomial and $\text{Loc}_{<} K[\underline{x}] = K[\underline{x}]$. If $1 > x_i$ for all i , then $\text{Loc}_{<} K[\underline{x}] = K[\underline{x}]_{(\underline{x})}$.
- 3) If, in general, $x_1, \dots, x_r < 1$ and $x_{r+1}, \dots, x_n > 1$ then

$$1 + (x_1, \dots, x_r)K[x_1, \dots, x_r] \subseteq S_{<} \subseteq 1 + (x_1, \dots, x_r)K[\underline{x}] =: S,$$

hence

$$K[x_1, \dots, x_r]_{(x_1, \dots, x_r)}[x_{r+1}, \dots, x_n] \subseteq \text{Loc}_{<} K[\underline{x}] \subseteq S^{-1} K[\underline{x}].$$

2.1 Definition

Definition 2.3 1) $\mathbf{L}(I)$ denotes the ideal of $K[\underline{x}]$ generated by $\{L(f) \mid f \in I\}$.

- 2) $f_1, \dots, f_s \in I$ is called a **standard basis** of I if $\{L(f_1), \dots, L(f_s)\}$ generates the ideal $L(I) \subset K[\underline{x}]$.

SINGULAR example: A standard basis computation:

```
// define a ring R= (Z/32003)[x,y,z]
ring R = 32003, (x,y,z), dp ;
// define 3 polynomials
poly s1 = x^3*y^2 + 151*x^5*y + 169*x^2*y^4
           + 151*x^2*y*z3 + 186*x*y^6 + 169*y^9;
poly s2 = x^2*y^2*z^2 + 3*z^8;
poly s3 = 5*x^4*y^2 + 4*x*y^5 + 2*x^2*y^2*z^3 + y^7 + 11*x^10;
// define the ideal i generated by s1,s2,s3
ideal i = s1, s2, s3;
// compute standard basis j of i
ideal j = std(i);
// display j;
j;
```

2.2 Standard bases for submodules of free modules

We consider also **module orderings** $<_m$ on the set of “monomials” $\{x^\alpha e_i\}$ of $K[\underline{x}]^r = \sum_{i=1, \dots, r} K[\underline{x}]e_i$ which are compatible with the ordering $<$ on $K[\underline{x}]$. That is for all monomials $f, f' \in K[\underline{x}]^r$ and $p, q \in K[\underline{x}]$ we have: $f <_m f'$ implies $pf <_m pf'$ and $p < q$ implies $pf <_m qf$.

We now fix an ordering $<_m$ on $K[\underline{x}]^r$ compatible with $<$ and denote it also with $<$. Again we have the notion of coefficient $c(f)$ and leading monomial $L(f)$. $<$ has the important property:

$$\begin{aligned} L(qf) &= L(q)L(f) && \text{for } q \in K[\underline{x}] \text{ and } f \in K[\underline{x}]^r, \\ L(f+g) &\leq \max(L(f), L(g)) && \text{for } f, g \in K[\underline{x}]^r. \end{aligned}$$

Definition 2.4 1) $\mathbf{L}(I)$ denotes the submodule of $K[\underline{x}]^r$ generated by $\{L(f) | f \in I\}$.

2) $f_1, \dots, f_s \in I$ is called a **standard basis** of I if $\{L(f_1), \dots, L(f_s)\}$ generates the submodule $\mathbf{L}(I) \subset K[\underline{x}]^r$.

In SINGULAR submodules of free modules are defined by a set of generators. These sets are of type **module**.

SINGULAR example (see [PS]):

```
// =====Poincare complex =====
// counterexample to a possible generalization of a theorem of Kyoji
// Saito. A complete intersection with exact Poincare complex at 0
// but which is in no coordinate system weighted homogeneous
// see [PS] for an exact description.
//
// define (Z/32003)[[x,y,z]]
ring Rp=32003,(x,y,z),(c,ds);
// load additional procedures (milnor, tjurina)
LIB "sing.lib";
// select an example, parametrized by n and m
int n=883; int m=937;
poly f1=xy+z^(n-1);
poly f2=xz+y^(m-1)+yz2;
ideal f=f1,f2;
// define the basering as Rp/f and fetch the data
qring R=std(f);
ideal f=fetch(Rp,f);
poly f1,f2=fetch(Rp,f1),fetch(Rp,f2);
// the module Omega2:
module omega2=
[diff(f1,y),diff(f1,z),0],
[diff(f1,x),0,-diff(f1,z)],
[0,diff(f1,x),diff(f1,y)],
[diff(f2,y),diff(f2,z),0],
[diff(f2,x),0,-diff(f2,z)],
[0,diff(f2,x),diff(f2,y)];
//it can be shown, that the Poincare complex is exact, if (in this case)
//Milnor number(f)+1 = multiplicity(omega2)
omega2=std(omega2);
multiplicity(omega2);
// The Milnor number of the complete intersection f";
milnor(f);
```

```
// The Tjurina number of the complete intersection f
tjurina(f);
//since the Milnor number and the Tjurina number do not coincide,
//the singularity is not weighted homogeneous
```

2.3 Basic properties

2.3.1 Ideal membership

Definition 2.5 A function $NF : K[\underline{x}]^r \times \{G | G \text{ standardbasis}\} \rightarrow K[\underline{x}]^r$, $(p, G) \mapsto NF(p|G)$, is called a **normal form** if for any $p \in K[\underline{x}]^r$ and any G the following holds: if $NF(p|G) \neq 0$ then $L(g) \not\sim L(NF(p|G))$ for all $g \in G$. $NF(g|G)$ is called the **normal form of p with respect to G**.

Lemma 2.6 $f \in I$ iff $NF(f, std(I)) = 0$.

SINGULAR example:

```
//f defines a trimodal singularity for generic moduli
ring R = 0,(x,y),ds;
int a1,a2,a3=random(1,100),random(-100,1),random(1,100);
poly f = (x^2-y^3)*(y+a1*x)*(y+a2*x)*(y+a3*x);
ideal J = jacob(f);
ideal I = f;
// J:I, ideal of the closure of V(J) \ V(I)
ideal Q = quotient(J,I);
//the Hessian of f
poly Hess = det(jacob(jacob(f)));
//Hess is contained in Q iff NF is 0
reduce(Hess,std(Q));
```

2.3.2 Elimination

Lemma 2.7 Let $<$ be an elimination order for y_1, \dots, y_n , $R = K[x_1, \dots, x_r, y_1, \dots, y_n]$. Then $std(I) \cap K[x_1, \dots, x_r] = std(I \cap K[x_1, \dots, x_r])$.

SINGULAR example:

```
// find the equations from a parametrization t->(t^3,t^4,t^5)
ring R=0,(x,y,z,t),dp;
ideal i=x-t^3,
      y-t^4,
      z-t^5;
ideal j=eliminate(i,t);
j;
```

2.3.3 Hilbert series

Definition 2.8 Let M be a graded module over $K[\underline{x}]$. The **Hilbert series** of M is the power series

$$H(M)(t) = \sum_{t=-\infty}^{\infty} \dim_K M_i t^i$$

Lemma 2.9 Let $<$ be a (positive or negative) degree ordering and $H(M)$ the Hilbert function of (the homogenization of) I . Then $H(M) = H(L(M))$.

Remark 2.10 It turns out that $H(M)(t)$ can be written in two useful ways:

1. $H(M)(t) = Q(t)/(1-t)^n$, where $Q(t)$ is a polynomial in t and n is the number of variables in $K[\underline{x}]$.
2. $H(M)(t) = P(t)/(1-t)^{\dim M}$ where $P(t)$ is a polynomial and $\deg M = P(1)$.
3. vector space dimension $\dim_K(M) = \dim_K(L(M))$.

Remark 2.11 Let $<$ be a degree ordering.

- Krull dimension: $\dim(M) = \dim(L(M))$.
- degree (for a positive degree ordering) resp. multiplicity (for a negative degree ordering) is equal for M and $L(M)$.

SINGULAR example:

```
// the rational quartic curve J in P^3:
ring R=0,(a,b,c,d),dp;
ideal J=c3-bd2,bc-ad,b3-a2c,ac2-b2d;
// the output of hilb is Q, then P:
hilb(J);
```

2.4 Applications

2.4.1 Submodule

Lemma 2.12 $(F) \subseteq (G)$ iff $NF(F, std(G)) = 0$.

SINGULAR example:

```
ring r=...;
module F=...;
module G=...;
reduce(F,std(G));
```

2.4.2 Euclidian algorithm

Lemma 2.13 *If $<$ is a wellordering and $I = \{f, g\} \subseteq K[x]$ then the computation of the standard basis of I yields the greatest common divisor of f and g .*

SINGULAR example:

```
ring R=32003,x,dp;
poly f=(x^3+5)^2*(x-2)*(x^2+x+2)^4;
poly g=(x^3+5)*(x^2-3)*(x^2+x+2);
ideal I=f,g;
std(I);
// and the expected result:
(x^3+5)*(x^2+x+2);
```

2.4.3 Gaussian algorithm

Lemma 2.14 *If $<$ is a wellordering and the generators of I are linear then the computation of the standard basis of I is a Gaussian algorithm with the columns of $\text{matrix}(I)$.*

SINGULAR example:

```
ring R=32003,(x,y,z),dp;
ideal I=22*x+77*y+z-3,
      0*x+ 1*y+z-77,
      1*x+ 0*y+z+11;
std(I);
```

2.4.4 Kernel of a ring homomorphism

Lemma 2.15 *Let Φ be an affine ring homomorphism*

$$\Phi : R = K[x_1, \dots, x_m]/I \longrightarrow K[y_1, \dots, y_n]/(g_1, \dots, g_s)$$

*given by $f_i = \Phi(x_i) \in K[y_1, \dots, y_n]/(g_1, \dots, g_s)$, $i = 1, \dots, m$.
Then $\text{Ker}(\Phi)$ is generated by*

$$(g_1(\underline{y}), \dots, g_s(\underline{y}), (x_1 - f_1(\underline{y})), \dots, (x_m - f_m(\underline{y}))) \cap K[x_1, \dots, x_m]$$

in $K[x_1, \dots, x_m]/I$.

Remark 2.16 *For $\text{std}(H) \cap R$ use lemma 2.7.*

SINGULAR example:

```
ring r=...;
ideal null;
ideal F=...;
preimage(r,F,null);
```

2.4.5 Radical membership

Lemma 2.17 Let $I \subseteq R = Loc_< K[x_1, \dots, x_n]$, I generated by F . $f \in \sqrt{I}$ iff $1 \in std(F + (yf - 1)) \subseteq R[y]$.

2.4.6 Principal ideal

Lemma 2.18 $I = (F)$ is principal (i.e. has a one-element ideal basis) iff $std(F)$ has exactly one element.

2.4.7 Trivial ideal

Lemma 2.19 (F) is the whole ring R iff $std(F) = \{1\}$.

2.4.8 Module intersection 1

Lemma 2.20 Let $(F) \subseteq R$ and $(G) \subseteq R$.

Then $std((F) \cap (G)) = std(y(F) + (1 - y)(G)) \cap R$ in $R[y]$.

Remark 2.21 For $std(H) \cap R$ use lemma 2.7.

SINGULAR example:

```
ring r1 = 32003,(x,y,z),(c,ds);
poly s1=x^2y^3+45x^6y^3+68x^4z^5+80y^6x^8;
poly s2=6x^5+3y^6+8z^6;
poly s3=12xyz^3+2y^3z^6;
ideal i1=s1,s2,s3;
ideal i2=s1+s2,s2,s1;
intersect(i1,i2);
```

3 Solving equations

The simplest way to "solve" systems of polynomial equations via standard basis computation is the computation of a lexicographical standard basis.

Other Possibilities include the command `eliminate` or preprocessing etc.

SINGULAR example:

```
// consider a line and a plane:  
ring R=0,(x,y,z),lp;  
number a,b,c,d,e=0,1,1,1,1;  
ideal L=a*x+b*y,z;  
poly P=c*x+d*y+e*z;  
ideal I=L,P;  
// force the complete reduction of the standard basis:  
option(redSB);  
std(I);  
eliminate(I,x);
```

3.1 Simplification

SINGULAR example:

```
ring R=...;  
ideal I=....;  
interred(I);
```

3.2 Solvability

Lemma 3.1 *The set of polynomials F is solvable iff $\{1\} \neq \text{std}(F)$. (See lemma 2.19.)*

SINGULAR example:

```
// consider two parallel lines:  
ring R=0,(x,y),dp;  
poly l1=x+y-3;  
poly l2=x+y-500;  
ideal F=l1,l2;  
std(F);  
// consider a circle and a line  
poly c=x^2+y^2-4;  
F=c,l1;  
std(F);  
F=c,l2;  
std(F);  
// solvable means: solvable in the algebraic closure !
```

3.3 Finite solvability

Lemma 3.2 *The set of polynomials $F \subseteq K[x_1, \dots, x_n]$ has only finitely many solutions iff $\forall 1 \leq i \leq n : \exists f \in \text{std}(F) : L(f)$ is a power of x_i . (See remark 2.11.)*

SINGULAR example:

```
// consider a line and a plane:  
ring R=0,(x,y,z),dp;  
number a,b,c,d,e=1,1,1,1,1;  
ideal L=a*x+b*y,z;  
poly P=c*x+d*y+e*z;  
ideal I=L,P;  
std(I); // the zero set is a line  
a=0;  
L=a*x+b*y,z;  
I=L,P;  
std(I); // the zero set is finite (a point)
```

4 Syzygies

4.1 Definition

Definition 4.1 Let $I = \{g_1, \dots, g_q\} \subseteq K[\underline{x}]^r$.

The module of syzygies $\text{syz}(I)$ is $\ker(K[\underline{x}]^q \rightarrow K[\underline{x}]^r, \sum w_i e_i \mapsto \sum w_i g_i)$.

Lemma 4.2 The module of syzygies of I is

$$(g_1(\underline{x}) - e_{r+1}, \dots, g_q(\underline{y}) - e_{r+q}) \cap \{0\}^r \times K[\underline{x}]^q$$

in $(K[x_1, \dots, x_m]/J)^q$.

Remark 4.3 Use a module ordering with $e_i > e_j \forall i \leq r < j$ and the elimination property of lemma 2.7.

SINGULAR example:

```
ring R=0,(x,y,z),(c,dp);
ideal I=maxideal(1);
// the syzygies of the (x,y,z)
syz(I);
// syz yields a generating set for the module of syzygies
// but may not be a standard basis !
```

4.2 Resolutions

Iterating the **syz** command yields a free resolution of a module or ideal. SINGULAR does this if the **res** or **mres** command is used.

Another algorithm due to Schreyer is presented in [S]. It will be used by the **sres** command.

For a comparision of these algorithms see [GG].

SINGULAR example:

```
ring r=0,(x,y,z),dp;
ideal I=x,y,z;
list Ir=res(I,0);
// print the results:
Ir;
list Im=mres(I,0);
// print the results:
Im;
list Is=sres(std(I),0);
// print the results:
Is;
```

4.3 Kernel of a module homomorphism

Definition 4.4 Let $R = K[x_1, \dots, x_n]/(h_1, \dots, h_p)$, $A \in \text{Mat}(m \times r, R)$ and $B \in \text{Mat}(m \times s, R)$ then define

$$\text{modulo}(\mathbf{A}, \mathbf{B}) := \ker(R^r \xrightarrow{A} R^m / \text{Im}(B))$$

(modulo(A, B) is the preimage of B under the homomorphism given by A .)

Lemma 4.5 Let $\{(\underline{\alpha}_i, \underline{\beta}_i, \underline{\gamma}_i) \mid i = 1, \dots, k\} \subset R^{r+s+p} =: R^N$ be a generating set of $\text{syz}(D)$ where

$$C = \begin{pmatrix} h_1 & \cdots & h_p & 0 & \cdots & \cdots & \cdots & \cdots \\ 0 & \cdots & 0 & h_1 & \cdots & h_p & 0 & \cdots \\ \vdots & & & & & & & \vdots \\ 0 & \cdots & \cdots & \cdots & \cdots & \cdots & h_1 & \cdots & h_p \end{pmatrix} \in \text{Mat}(m \times pm, R)$$

and

$$D = \left(\begin{array}{ccc|ccc|ccc} a_{11} & \cdots & a_{1r} & b_{11} & \cdots & b_{1s} & c_{11} & \cdots & c_{1,pm} \\ \vdots & & \vdots & \vdots & & \vdots & \vdots & & \vdots \\ a_{m1} & \cdots & a_{mr} & b_{m1} & \cdots & b_{ms} & c_{m1} & \cdots & c_{m,pm} \end{array} \right) \in \text{Mat}(m \times r + s + pm, R)$$

Then

$$\text{modulo}(A, B) := (\alpha_1 \dots \alpha_k) \in \text{Mat}(r \times k, R)$$

(see lemma 4.2.)

Remark 4.6 In practice, one need not compute the entire syzygy module of D : it is better to find $\text{modulo}(A, B)$ as:

$$\left(\begin{array}{ccc|ccc|ccc} a_{11} & \cdots & a_{1r} & b_{11} & \cdots & b_{1s} & c_{11} & \cdots & c_{1,pm} \\ \vdots & & \vdots & \vdots & & \vdots & \vdots & & \vdots \\ a_{m1} & \cdots & a_{mr} & b_{m1} & \cdots & b_{ms} & c_{m1} & \cdots & c_{m,pm} \\ 1 & & 0 & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & \cdots & 0 & 0 & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & & 1 & 0 & \cdots & \cdots & \cdots & \cdots & 0 \end{array} \right) \cap \begin{pmatrix} 0 \\ \vdots \\ 0 \\ R \\ \vdots \\ R \end{pmatrix}$$

(see sections 4.2, 2.7.)

4.4 Module intersection 2

Let R be an affine ring, and let $I, J, K \subseteq R$ be ideals. One can compute generators for the intersection $L = I \cap J \cap K$ in the following way: L is the kernel of the R -module homomorphism $\phi : R \rightarrow R/I \oplus R/J \oplus R/K$ which sends 1 to (1,1,1).

Lemma 4.7

$$I \cap J \cap K = \text{modulo}\left(\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \begin{pmatrix} I & 0 & 0 \\ 0 & J & 0 \\ 0 & 0 & K \end{pmatrix}\right).$$

4.5 Ideal quotient

Lemma 4.8 *The quotient $(I : J)$ of two ideals $I = (a_1, \dots, a_r)$ and $J = (b_1, \dots, b_s)$ in R is the kernel of the map*

$$\begin{array}{rcl} R & \longrightarrow & R/I \oplus \dots \oplus R/I \\ 1 & \longmapsto & (b_1, \dots, b_s) \end{array}$$

It can be computed as

$$(I : J) = \text{modulo}\left((b_1 | \dots | b_s)^T, (a_1 | \dots | a_r) \oplus \dots \oplus (a_1 | \dots | a_r)\right)$$

SINGULAR example (see example in section 2.3.1):

```
ring R=...;
ideal I=...;
ideal J=...;
quotient(I,J)
```

4.6 Saturation

The saturation $(I : J)^\infty$ of I with respect J can be computed by computing $(I : J), ((I : J) : J), \dots$ until it stabilizes.

SINGULAR example:

```
ring R=...;
ideal I=...;
ideal J=...;
int ii;
I = std(I);
while ( ii<=size(II))
{
    II=quotient(I,J);
    for ( ii=1; ii <=size(II); ii++)
    {
        if (reduce(II[ii],I)!=0) break;
    }
    I=std(II);
}
// II is now (I:J)^\infty.
```

4.7 Annihilator of a module

Lemma 4.9 *Let $R = \text{Loc}_< K[x_1, \dots, x_n]/(h_1, \dots, h_p)$, $M \subseteq R^m$.*

$\text{Ann}_R(R^m/M) := \{g \in R \mid gR^m \subset M\}$ is generated by first entries of syzygies of the module

$$\left(\begin{array}{c|c|c|c|c}
e_1 & M & 0 & \cdots & 0 \\
e_2 & 0 & M & \ddots & \vdots \\
\vdots & \vdots & \ddots & \ddots & 0 \\
e_m & 0 & \cdots & 0 & M
\end{array} \right)$$

where e_i is the i -th unit vector in R^m .
(We identify a matrix with the module generated by its columns.)

5 Examples

5.1 Ext modules $\text{Ext}(M, R)$

Let M be given as $R^m/Im(A_0)$, where $R = K[x_1, \dots, x_n]/(h_1, \dots, h_p)$. For each free resolution

$$\dots \longrightarrow F_k \xrightarrow{A_{k-1}} F_{k-1} \longrightarrow \dots F_1 \xrightarrow{A_0} F_0 = R^m \longrightarrow M \longrightarrow 0$$

is $\text{Ext}_R^j(M, R) = H^j(\text{Hom}(F_\bullet, R))$.

Algorithm 5.1 $\text{Ext}_R^j(M, R)$:

- compute a free resolution of M by $A_k = \text{syz}(A_{k-1})$ for $k = 1, \dots, j$. (or use `mres`, see section 4.2).
- $\text{Ext}_R^j(M, R) = \text{Im}(\text{syz}(A_j^T)) / \text{Im}(A_{j-1}^T)$ is a free module modulo the image of the matrix
modulo $(\text{syz}(A_j^T), A_{j-1}^T)$

SINGULAR example: a complete version can be found in the SINGULAR library `homog.lib`.

```

proc qmod (module M, module N)
//USAGE:   qmod(<module_M>,<module_N>);
//          N a submodule of M, a submodule of a free one
//COMPUTE: presentation S of M/N, i.e. M/N<<--F<--[S],
//          F free of rank = size(M),
//RETURNS: module(S)
{
    return(lift(M,N)+syz(M));
}

proc ext (int n, ideal i)
// COMPUTES: Ext^n(R/i,R);  i ideal in the basering R
// USAGE:   ext(<int>,<ideal>);
// SHOWS:   degree of Ext^n
// RETURN:   Ext as quotient of a free module
{
//-----  compute resolution of R/i -----
//          0<--R/i<--L(0)<--[i]<--L(1)<--[RE[2]]--- ...
    list RE=mres(i,n+1);
//-----  apply Hom(_ ,R) at n-th place -----
    module g = module(transpose(matrix(RE[n+1])));
    module f = module(transpose(matrix(RE[n])));
//-----  ker(g)/im(f) -----
    module ext = qmod(syz(g),f);
}

```

```
//---- return Ext as quotient of a free module (std) ----  
    return(std(ext));  
}
```

5.2 $\text{Hom}_R(M, N)$

Let M be given as $R^m/\text{Im}(A_0)$, N as $R^p/\text{Im}(B_0)$, where $R = K[x_1, \dots, x_n]/(h_1, \dots, h_p)$ together with free resolutions

$$\dots \rightarrow F_k \xrightarrow{A_{k-1}} F_{k-1} \rightarrow \dots F_1 \xrightarrow{A_0} F_0 = R^m \rightarrow M \rightarrow 0$$

and

$$\dots \rightarrow G_k \xrightarrow{B_{k-1}} G_{k-1} \rightarrow \dots G_1 \xrightarrow{B_0} G_0 = R^p \rightarrow N \rightarrow 0.$$

We get the following commutative diagram with exact columns and rows:

$$\begin{array}{ccccccc} & & 0 & & & & \\ & & \downarrow & & & & \\ & & \text{Hom}_R(M, N) & & & & \\ & & \downarrow & & & & \\ 0 & \longleftarrow & F_0^* \otimes N & \longleftarrow & F_0^* \otimes G_0 & \longleftarrow & F_0^* \otimes G_1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longleftarrow & F_1^* \otimes N & \longleftarrow & F_1^* \otimes G_0 & \longleftarrow & F_1^* \otimes G_1 \end{array}$$

Algorithm 5.2

$$\text{Hom}_R(M, N) = \text{Im}(\text{modulo}(A_0^T \otimes \text{id}_{G_0}, \text{id}_{F_1^*} \otimes B_0)) / \text{Im}(\text{id}_{F_0^*} \otimes B_0)$$

is a free module modulo the image of the matrix

$$\text{modulo}(\text{modulo}(A_0^T \otimes \text{id}_{G_0}, \text{id}_{F_1^*} \otimes B_0), \text{id}_{F_0^*} \otimes B_0)$$

5.3 T_X^1 and $T_X^1(M)$

This section and the corresponding SINGULAR procedure is a joint work of G.M. Greuel and B.Martin.

Let $X \in K^n$ be given by the ideal $I = (f_1, \dots, f_k) \subseteq P = K[x_1, \dots, x_n]$ and $R = P/I$. Consider the canonical exact sequence

$$I/I^2 \xrightarrow{d} \Omega_P^1 \otimes_P R \longrightarrow \Omega_R^1 \rightarrow 0 \quad (1)$$

where Ω_P^1 denotes the module of Kähler differentials and d is induced by the exterior derivation. ($[d] \mapsto [df]$).

Ω_P^1 is free with generators dx_1, \dots, dx_n (isomorph to P^n) and Ω_R^1 (isomorph to R^n) is by definition the cokernel of the map

$$d : [f_i] \rightarrow [df_i] = [\sum_j (df_i/dx_j)]$$

Let M be any finitely generated R -module. The M -dual of (1) is

$$0 \rightarrow \Theta_X(M) \rightarrow \text{Hom}_R(\Omega_P \otimes R, M) \xrightarrow{d^*} \text{Hom}_R(I/I^2, M) \rightarrow T_X^1(M) \rightarrow 0$$

where $\Theta_X(M) = \text{Hom}_R(\Omega_R^1, M)$ is the module of the M -valued R -derivations and $T_X^1(M)$ is by definition the cokernel of d^* (the dual of d) and is called the **module of first order infinitesimal deformations** of X (resp. R) with values in M .

The module $T_{X/K^n}^1(M) := \text{Hom}_R(I/I^2, M)$ is called the **module of first order embedded deformations** of R with values in M . If M is ommitted, we define $T_X^1 := T_X^1(R)$ as the **module of first order deformations of X** .

Remark: $\text{Hom}_R(\Omega_R^1, R) \cong \text{Hom}_P(P^n, R) \cong R^n$.

Algorithm 5.3 Consider a presentation of I as a P -module:

$$0 \leftarrow I \leftarrow P^k \xleftarrow{A} P^p \quad (2)$$

We note that for any R -module M

$$\text{Hom}_P(I/I^2, M) = \text{Hom}_R(I, M) \quad (3)$$

Hence, choosing dx_1, \dots, dx_n as a basis of Ω_P^1 and the canonical basis of P^k , the right part of (3) is identified with the exact sequence

$$\text{Hom}_P(P^n, R) \xrightarrow{\text{jac}} \text{Hom}_P(I, M) \rightarrow T_X^1(M) \rightarrow 0 \quad (4)$$

where $\text{jac} : \text{Hom}_P(P^n, M) \rightarrow \text{Hom}_P(I, M) \subseteq \text{Hom}_P(P^k, M) = M^k$ is given by the Jacobian matrix $(df_i/dx_j)_{i,j}$ of I . In particular, for $M = R$, we get

$$\text{Hom}_P(P^n, R) \xrightarrow{\text{jac}} \text{Hom}_P(I, R) \rightarrow T_X^1 \rightarrow 0 \quad (5)$$

as defining sequence of T_X^1 .

Applying $\text{Hom}_P(-, R)$ to (2), we get

$$0 \rightarrow \text{Hom}_P(I, R) = \ker(A^*) \rightarrow \text{Hom}_P(P^k, R) \xrightarrow{A^*} \text{Hom}_P(P^p, R) \quad (6)$$

where A^* is the transposed matrix of A . Consider a 3-term partial resolution of $\text{im}(A^*)$:

$$R^q \xrightarrow{B_3} R^r \xrightarrow{B_2} \text{Hom}_P(P^k, R) \xrightarrow{B_1 := A^*} \text{Hom}_P(P^p, R) \quad (7)$$

together with the $J : \text{Hom}_P(P^n, R) \rightarrow \text{Hom}_P(P^k, R)$ (induced by the Jacobian jac) and a lifting of J to a map $L : \text{Hom}(P^n, R) \rightarrow R^r$. This lifting exists since $\text{im}(\text{jac})$ is contained in the normal bundle of I :

$$\text{im}(J) \subseteq \text{Hom}_R(I/I^2, R) = \ker(B_1) = \text{im}(B_2) \quad (8)$$

Finally we get (keeping notations for B_3 and L when lifted to P)

$$T_X^1 = \text{im}(B_2)/\text{im}(J) = R^r /_{\text{im}(L)+\text{im}(B_3)} = P^r /_{\text{im}(L)+\text{im}(B_3)+I^*P^r} \quad (9)$$

SINGULAR example: a complete version can be found in the SINGULAR library **sing.lib**.

```

ideal I=f1,...,fk;
list A=res(I,2);                      //compute the presentation (4) of I
module A'=transpose(A[2]);            //A*=transposed 1st syzygy module of I
module jac=jacob(I);                  //jacobian matrix of I (as module)

// So far we are in the polynomial ring P, now we pass to the qring R=P/I:

qring R=std(I);                      //defines the quotient ring R=P/I
module A'=fetch(P,A');                //map A* to R
module J=fetch(P,jac);                //map jac to R
list B=res(A',3);                    //compute the exact sequence (7)
module t1=lift(B[2],jac)+B[3];        //im(L)+im(B3)
int r=rank(t1);                      //compute the rank r
// Hence T1_X = R^r/t1 as R-module. (see (9))

// Now we pass back to the original basering P:
setring P;                            //makes P the basering
module t1 = fetch(R,t1)+J*freemodule(r); //im(L)+im(B3)+J*P^r=:T1
fetch(R,B(2));                        // (generators of) normal bundle
fetch(R,B(3));                        // presentation of normal bundle

```

References

- [Ba] Bayer, D.: The division algorithm and the Hilbert scheme. Thesis, Harvard Univ. 1982.
- [BS] Bayer, D.; Stillman, M.: Macaulay (Version 3.0). A computer algebra system for algebraic geometry.
- [BW] Becker, T.; Weispfenning, V.: Gröbner Bases. A computational approach to commutative algebra. Springer–Verlag GTM 141 (1991).
- [B1] Buchberger, B.: Ein Algorithmus zum Auffinden der Basiselemente des Restklassenringes nach einem nulldimensionalen Polynomideal. Thesis, Univ. Innsbruck, 1965.
- [B2] Buchberger, B.: Gröbner bases: an algorithmic method in polynomial ideal theory, in N.K Bose (ed.) Recent trends in multidimensional system theory, Reidel (1985).
- [CLO] Cox, D; Little, J.; O’Shea, D.: Ideals, Varieties and Algorithms. An Introduction to Computational Algebraic Geometry and Commutative Algebra. Springer 1992.
- [E] Eisenbud, D.: Commutative Algebra with a view toward Algebraic Geometry. GTM 150 Springer, 1995.
- [G] Gräbe, H.-G.: The tangent cone algorithm and homogenization. To appear in J. Pure Appl. Alg.
- [GG] Grassmann, H; Greuel, G.-M.; Martin, B.; Neumann, W.; Pfister, G.; Pohl, W.; Schönenmann, H.; Siebert, T.: Standard bases, syzygies and their implementation in SINGULAR. Preprint 251, Fachbereich Mathematik, Universität Kaiserslautern 1994.
- [GM] Gebauer, R.; Möller, M.: On an installation of Buchberger’s Algorithm. J. Symbolic Computation (1988) **6**, 275–286.
- [GMNRT] Giovini, A.; Mora, T.; Nesi, G.; Robbiano, L.; Traverso, C.: “One sugar cube, please” or selection strategies in the Buchberger algorithm. Proceedings of the 1991 ISSAC, 55–63.
- [GTZ] Gianni, P.; Trager, B.; Zacharias, G.: Gröbner bases and Primary Decomposition of Polynomial Ideals. Journal of Symbolic Computation. 1985.
- [L] Lazard, D.: Gröbner bases, Gaussian elimination, and resolution of systems of algebraic equations. Proc. EUROCAL 83, LN Comp. Sci. **162**, 146–156.
- [M1] Mora, T.: An algorithm to compute the equations of tangent cones. Proc. EUROCAM 82, Springer Lecture Notes in Computer Science (1982).
- [M2] Mora, T.: Seven variations on standard bases. Preprint, Univ. Genova (1988).
- [M3] Mora, T.: La Queste del Saint Graal: a computational approach to local algebra. Discrete Applied Math. **33**, 161–190 (1991).

- [MMT] Möller, H.M.; Mora, T.; Traverso, C.: Gröbner bases computation using syzygies. Proc. of ISSAC 1992.
- [MPT] Mora, T.; Pfister, G.; Traverso, C.: An introduction to the tangent cone algorithm . Advances in Computing research, Issues in Robotics and nonlinear geometry **(6)** 199–270 (1992).
- [PS] Pfister, G.; Schönemann, H.: Singularities with exact Poincaré complex but not quasihomogeneous. Rev. Mat. de la Univ. Complutense de Madrid **2** (1989).
- [R] Robbiano, L.: Termorderings on the polynomial ring. Proceedings of EUROCAL 85, Lecture Notes in Computer Science **204**, 513–517 (1985).
- [S] Schreyer, F.-O.: A standard basis approach to syzygies of canonical curves. J. reine angew. Math. **421**, 83–123 (1991).
- [St1] Stillman, M.: Methods for computing in algebraic geometry and commutative algebra. Acta Applicandae Mathematicae 21(77-103) 1990.
- [St2] Stillman, M.: Macaulay. A tutorial. 1992.
- [Si] Singular reference manual. Version 0.9.2. 1995. Available from <ftp://helios.mathematik.uni-kl.de/pub/Math/Singular/bin/>

Contents

1 Basic definitions	2
1.1 Monomial orderings	2
1.2 Examples for monomial orderings	2
2 Standard bases	5
2.1 Definition	5
2.2 Standard bases for submodules of free modules	5
2.3 Basic properties	7
2.3.1 Ideal membership	7
2.3.2 Elimination	7
2.3.3 Hilbert series	8
2.4 Applications	8
2.4.1 Submodule	8
2.4.2 Euclidian algorithm	9
2.4.3 Gaussian algorithm	9
2.4.4 Kernel of a ring homomorphism	9
2.4.5 Radical membership	10
2.4.6 Principal ideal	10
2.4.7 Trivial ideal	10
2.4.8 Module intersection 1	10
3 Solving equations	11
3.1 Simplification	11
3.2 Solvability	11
3.3 Finite solvability	12
4 Syzygies	13
4.1 Definition	13
4.2 Resolutions	13
4.3 Kernel of a module homomorphism	14
4.4 Module intersection 2	14
4.5 Ideal quotient	15
4.6 Saturation	15
4.7 Annihilator of a module	15
5 Examples	17
5.1 Ext modules $\text{Ext}(M,R)$	17
5.2 $\text{Hom}_R(M, N)$	19
5.3 T_X^1 and $T_X^1(M)$	20
References	22