# UNIVERSITÄT KAISERSLAUTERN 

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Preprint No 202


## FACHBEREICH MATHEMATIK

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UNIVERSITÅT KAISERSLAUTERN<br>Fachbereich Mathematik<br>Erwin-Schrödinger-Straße<br>D-6750 Kaiserslautern

Juli 1991

# TAME-WILD DICHOTOMY FOR COHEN-MACAULAY MODULES 

YU.A. Drozd<br>Mechanics \&<br>Mathematics Faculty<br>Kiew University<br>Wladimirskayast.<br>252017 Kiew UdSSR

G.-M. Greuel<br>Fachbereich Mathematik<br>Universität Kaiserslautern<br>Erwin-Schrödinger-Straße<br>6750 Kaiserslautern<br>Federal Republic of Germany

As it was conjectured in [D F] and proved in [D 1], finite-dimensional algebras of infinite type (i.e. having infinitely many indecomposable representations) split into two classes. For the first one, called tame, indecomposable representations of any fixed dimension form a finite set of at most 1-parameter families, while for the second one, called wild, there exist arbitrarily large families of non-isomorphic indecomposable representations. Moreover, in some sense, knowing representations of one wild algebra, one would know those of any other algebras.

A lot of examples showed that the same should hold for Cohen-Macaulay modules over Cohen-Macaulay algebras of Krull dimension 1. In this paper we give a proof of it based on the same method of "matrix problems" or so called representations of bocses (cf. §1). But we had to consider a new situation, namely that of "open subcategories" ( $\S 2$ ) and first reprove the results of [D 1] for it. This new shape seems to be unavoidable in the case of Cohen-Macaulay modules but it should be also of use for other questions in representation theory. In $\S 3$ we propose a method to reduce the calculation of Cohen-Macaulay modules to some open subcategory and use the results of $\S 2$ to prove the tame-wild dichotomy.

## 1 Preliminaries

As the notions of bocses and their representations are not well-known, remind the main definitions (cf. , [Roi], [D 1]). All considered categories will be linear over some basic field $K$ which will always be supposed algebraically closed. Respectively, all functors are $K$-linear (bifunctors bilinear). We write Hom, $\otimes$ instead of $\operatorname{Hom}_{K}, \otimes_{K^{\prime}}$. A module over a category $A$ is a functor $M: A \rightarrow$ Vect (the category of $K$-vector spaces); an $A$ - $B$-bimodule (where $A, B$ are categories) is a bifunctor $V: A^{o p} \times B \rightarrow V \epsilon c t$; if $A=B$, we call V an $A$-bimodule. For $v \in V(X, Y), a \in A\left(X^{\prime}, X\right), b \in B\left(Y, Y^{\prime}\right)$ we write $b v a$ instead of $V(a, b)(v)$. - A bocs is a pair $\mathbf{a}=(A, V)$ where $A$ is some category and $V$ an $A$-coalgebra, i.e. an $A$ bimodule $V$ supplied with a comultiplication $\mu: V \rightarrow V \otimes_{A} V$ and a counit $\varepsilon: V \rightarrow A$ satisfying the usual conditions.

A representation of a over some algebra $R$ is defined as a functor $M: A \longrightarrow$ $p r-R$, the category of finitely generated projective $R$-modules. If $N$ is another representation, define

$$
\operatorname{Hom}_{\mathbf{a}}(M, N)=\operatorname{Hom}_{A-A}(V,(M, N))
$$

where $(M, N)$ is an $A$-bimodule defined by the rules:

$$
\begin{gathered}
(M, N)(X, Y)=\operatorname{Hom}_{R}(M(X), N(Y)) \text { for } X, Y \in \mathbf{o b} A ; \\
a f b=N(a) f M(b) \text { for } f \in(M, N)(X, Y), \\
a: Y \longrightarrow Y^{\prime}, \quad b: X^{\prime} \longrightarrow X \text { in } A .
\end{gathered}
$$

The product of $\varphi \in \operatorname{Hom}_{\mathbf{a}}(M, N)$ and $\psi \in \operatorname{Hom}_{\mathbf{a}}(L, M)$ is defined as the composition

$$
V \xrightarrow{\mu} V \otimes_{A} V \xrightarrow{\varphi \otimes \psi}(M, N) \otimes_{A}(L, M) \xrightarrow{m}(L, N)
$$

where $m$ is the multiplication of $R$-homomorphisms. Thus the category of representations $\operatorname{Rep}(\mathbf{a}, R)$ is defined. We write $\operatorname{Rep}(\mathbf{a})$ instead of $\operatorname{Rep}(\mathbf{a}, K)$.

Any algebra $R$ can be considered as a bocs ("principal bocs") if we put $A=V=R$. Of course, representations of such bocses are just representations of $R$. Remark that if $M \in \operatorname{Rep}(\mathbf{a} . R)$ and $L \in \operatorname{Rep}\left(R, R^{\prime}\right)$, then their tensor product $M(L)=M \otimes_{R} L$ lies in $\operatorname{Rep}\left(\mathbf{a}, R^{\prime}\right)$; so $M$ can be viewed as "a family of representations of a paramatrized by $R^{\prime \prime}$.

As a rule. the category $A$ will be finitely generated over $K$, i.e. with finite object set and a finite set of morphisms (generators) whose products span all spaces of morphisms $A(X, Y)$. A dimension of a representation of $\mathbf{a}$ is defined as a function $\underline{d}: \mathbf{o b} A \longrightarrow \mathbf{N}$. In cases when there is a notion of rank for finitely generated projective $R$-modules, we can associate to $M \in \operatorname{Rep}(\mathbf{a}, R)$ its dimension $\underline{\operatorname{dim} M}$ : $\mathbf{o b} A \longrightarrow \mathbf{N}$. namely, $(\underline{\operatorname{dim}} M)(X)=\operatorname{rank} M(X)$ and denote by $\operatorname{Rep} p_{\underline{d}}(\mathbf{a}, R)$ the set of representations having dimension $\underline{d}$. For instance, this is the case if $R=K$ (hence rank $=\operatorname{dim})$, so $\operatorname{Rep}_{\underline{d}}(\mathbf{a})$ is defined. If $S$ is a system of generators for $A$, then each representation $M \in \operatorname{Rep}(\mathbf{a})$ determines (and is determined by) linear mappings $M(a): M(X) \longrightarrow M(Y), a \in S, a: X \longrightarrow Y$. Hence, treating all linear mappings $M(a)$ as matrices, we can consider $\operatorname{Rep}_{\underline{d}}(\mathbf{a})$ as an algebraic variety lying in affine space $\mathbf{A}^{\|d\|}$, carrying the Zariski topology, where

$$
\|\underline{d}\|=\sum_{\substack{a \in S_{1} \\ a: X \rightarrow Y}} \underline{d}(X) \underline{d}(Y) .
$$

All considered bocses are supposed normal - which means that for any $X \in \mathbf{o b} A$ an element $\omega_{X} \in V(X, X)$ exists such that $\varepsilon\left(\omega_{X}\right)=1_{X}, \mu\left(\omega_{X}\right)=\omega_{X} \otimes \omega_{X}$. In this case the bimodule structure on $V$ is completely determined if we know the kernel of the bocs a, $\bar{V}=K e r \varepsilon$ and for each $a \in A(X, Y)$ its differential $\partial a=a \omega_{X}-\omega_{Y} a \in \bar{V}$. Moreover, the coalgebra structure is determined if we know the differentials $\partial v=$ $\mu(v)-v \otimes \omega_{X}-\omega_{Y} \otimes v \in \bar{V} \otimes_{A} V$ for all $v \in \bar{V}(X, Y)$.

In main applications free bocses arise, i.e. such that $A$ is a free category (that of paths $K \Gamma$ of an oriented graph $\Gamma$ ) and the kernel $\bar{V}$ is a free $A$-bimodule. A free bocs is completely determined if we know the set $S_{0}$ of free generators of $A$, the set $S_{1}$ of free generators of $V$ and their differentials. The set $S=S_{0} \cup S_{1}$ is called a set of free generators of the bocs a.

For technical purposes, semi-free bocses are needed. A semi-free category is, by definition, a category of the form $K \Gamma\left[g_{a}(a)^{-1}\right]$ where $a$ ranges through the set of loops (i.e. elements of $S_{0}$ such that $a: X \longrightarrow X$ ) and $g_{a}(t) \in K[t]$ is a non-zero polynomial (depending on $a$ ). If $g_{a} \neq$ const, call the loop $a$ marked. A bocs is called semi-free if $A$ is a semi-free category, $\bar{V}$ a free $A$-bimodule and $\partial a=0$ for all marked loops. In this case call $S$ a set of semi-free generators of a.

If $\mathbf{a}$ is free, then, of course. $\operatorname{R\epsilon } p_{d}(\mathbf{a}) \simeq \mathbf{A}^{\|d\|}$; if $\mathbf{a}$ is semi-free, then $\operatorname{R\epsilon } p_{d}(\mathbf{a})$ is an open subset in $\mathbf{A}^{\|d\|}$.

A semi-free category is called triangular if there exists a system $S$ of semi-free generators and a function $h: S \longrightarrow \mathbf{N}$ such that for any $a \in S \quad \partial a$ belongs to the
subbocs generated by $b \in S$ with $h(b)<h(a)$.
A representation $M \in \operatorname{Rep}(\mathbf{a}, R)$ is called strict if it satisfies the following two conditions:

1. If $L \in \operatorname{Rep}\left(R, R^{\prime}\right)$ is indecomposable, then $M(L) \in \operatorname{Rep}\left(\mathbf{a}, R^{\prime}\right)$ is also indecomposable.
2. If $L, L^{\prime} \in \operatorname{Rep}\left(R, R^{\prime}\right)$ are non-isomorphic, then $M(L) \nsucceq M\left(L^{\prime}\right)$, too.

One can say that if such $M$ exists, the representation theory of $\mathbf{a}$ is at least as complicated as that of $R$.

If a set $F=\left\{M_{i} \mid M_{i} \in \operatorname{Rep}\left(\mathbf{a}, R_{i}\right)\right\}$ is given (each $M_{i}$ can be a representation over its own $R_{i}$ ), we call $F$ strict provided each $M_{i}$ is strict and if $i \neq j$, then $M_{i}(L) \not 千 M_{j}\left(L^{\prime}\right)$ for any $L \in \operatorname{Rep}\left(R_{i}, R\right), L^{\prime} \in \operatorname{Rep}\left(R_{j}, R\right)$.

We need also "bimodule categories" defined as follows. Let $U$ be an $R_{1}-R_{2}{ }^{-}$ bimodule where $R_{1}, R_{2}$ are some algebras. For each algebra $R$ let $P_{i}=P_{i}(R)$ be the category of finitely generated projective $R_{i} \otimes R^{o p}$-modules. Consider a $P_{1}-P_{2}$-bimodule $U_{R}$ such that $U_{R}\left(P_{1}, P_{2}\right)=\operatorname{Hom}_{R_{1} \otimes R^{\text {op }}}\left(P_{1}, U \otimes_{R_{2}} P_{2}\right)$.

Take the elements of all $U_{R}\left(P_{1}, P_{2}\right)$ as objects of a new category $U(R)$ and as morphisms from $u \in U_{R}\left(P_{1}, P_{2}\right)$ to $u^{\prime} \in U_{R}\left(P_{1}^{\prime}, P_{2}^{\prime}\right)$ take all pairs $\left(f_{1}, f_{2}\right)$ with $f_{i} \in \operatorname{Hom}_{R_{i} \otimes R^{\circ p}}\left(P_{i}, P_{i}^{\prime}\right)$ such that $u^{\prime} f_{1}=f_{2} u$.

If $L \in \operatorname{Rep}\left(R, R^{\prime}\right)$, then $P_{i} \otimes_{R} L \in P_{i}\left(R^{\prime}\right)$, so $L$ defines a natural mapping

$$
\otimes L: U_{R}\left(P_{1}, P_{2}\right) \longrightarrow U_{R^{\prime}}\left(P_{1} \otimes_{R} L, P_{2} \otimes_{R} L\right)
$$

Hence, one can reproduce for bimodule categories the above notion of strictness.
Note that this definition is formally distinct from that of [D1] though they provide equivalent categories.

Usually the algebras $R_{i}$ are finite-dimensional and in this case the following theorem is valid [D1]:

## Theorem 1

If $R_{1}, R_{2}$ are finite-dimensional algebras and $U$ is a finite-dimensional $R_{1}-R_{2^{-}}$ bimodule, then there exists a free triangular bocs $\mathbf{a}=\mathbf{a}_{U}$ and for each algebra $R$ an equivalence of categories $T_{R}: \operatorname{Rep}(\mathbf{a}, R) \longrightarrow U(R)$ commuting with tensor products, i.e.

$$
T_{R^{\prime}}\left(M \otimes_{R} L\right) \simeq T_{R}(M) \otimes_{R} L \quad \text { for any } \quad L \in \operatorname{Rep}\left(R, R^{\prime}\right)
$$

## 2 Tame and wild open subcategories

Let $\mathbf{a}$ be a finitely generated bocs and $\mathbf{X} \subset \operatorname{Rep}(\mathbf{a})$ a full subcategory. Call $\mathbf{X}$ an open subcategory if it satisfies the following conditions:

1. If $M \in \mathbf{X}$ and $N \simeq M$, then $N \in \mathbf{X}$;
2. $M \oplus N \in \mathbf{X}$ if and only if $M \in \mathbf{X}$ and $N \in \mathbf{X}$;
3. for each dimension $\underline{d}$ the subset $\mathbf{X}_{\underline{d}}=\mathbf{X} \cap \operatorname{Re} p_{\underline{d}}(\mathbf{a})$ is open in $\operatorname{Rep} p_{\underline{d}}(\mathbf{a})$.

For any algebra $R$ put $\mathbf{X}(R)=\{M \in \operatorname{Rep}(\mathbf{a}, R) \mid M(L) \in \mathbf{X}$ for any $L \in$ $\operatorname{Rep}(R)\}$. It is clear that if $M \in \mathbf{X}(R)$ and $L \in \operatorname{Rep}\left(R, R^{\prime}\right)$, then $M(L) \in \mathbf{X}\left(R^{\prime}\right)$.

Call $\mathbf{X}$ wild if for any finitely generated algebra $R$ there exists a strict representation $M \in \mathbf{X}(R)$. Non-formally this means that to know the representations of $\mathbf{X}$ we have to know the representations for all finitely generated algebras.

It is well-known (and easy to check) that to prove wildness it is sufficient to find a strict representation $M \in \mathbf{X}(K<x, y>)$ (free non-commutative algebra with 2 generators), as the latter has a strict representation over any other one. A little more complicated but also known (cf. [GP] or [D2]) is that here we can replace $K\langle x, y\rangle$ by the polynomial ring $K[x, y]$ or even the power series ring $K[|x, y|]$.

Call a rational algebra any algebra of the form $K\left[x, f(x)^{-1}\right]$ for a non-zero polynomial $f(x)$, i.e. the affine algebra of a smooth rational affine curve.

## Theorem 2

Let $\mathbf{a}=(A, V)$ be a finitely generated semi-free bocs, $\mathbf{X} \subset \operatorname{Rep}(\mathbf{a})$ an open subcategory. Then the following conditions are equivalent:

1. $\mathbf{X}$ is non-wild:
2. for each dimension $d$ there exists a subvariety $X_{d} \subset \mathbf{X}_{\mathrm{d}}$ such that

$$
\operatorname{dim} X_{\underline{\mathrm{d}}} \leq|\underline{\mathrm{d}}|=\sum_{T \in \mathbf{O b} \mathbf{A}} \underline{\mathrm{~d}}(T)
$$

and any representation from $\mathbf{X}_{\mathrm{d}}$ is isomorphic to one belonging to $X_{\mathrm{d}}$;
3. for each dimension $d$ there exists a subvariety $Y_{\mathbf{d}} \subset \mathbf{X}_{\mathbf{d}}$ such that $\operatorname{dim} Y_{d} \leq 1$ and any indecomposable representation from $\mathbf{X}_{d}$ is isomorphic to one belonging to $Y_{\mathrm{d}}$;
4. there exists a strict set $\left\{M_{i} \mid i \in I, M_{i} \in \mathbf{X}\left(R_{i}\right)\right\}$ with rational algebras $R_{i}$ such that for each dimension $d$ all indecomposable representations from $\mathbf{X}_{\mathrm{d}}$ except a finite number (up to isomorphism) are isomorphic to $M_{i}(L)$ for some $i \in I_{\mathrm{d}}$ and some $L \in \operatorname{Rep}\left(R_{i}\right)$ where $I_{\mathrm{d}}$ is a finite subset of $I$ (depending on d ).
(If these conditions are satisfied, call $\mathbf{X}$ tame).

## Proof

(4) $\Longrightarrow(3)$ as any indecomposable $n$-dimensional representation $L$ of a rational algebra $K\left[x, f(x)^{-1}\right]$ maps $x$ to a Jordan cell $J(\lambda)$ with eigenvalue $\lambda$ such that $f(\lambda) \neq 0$. Hence representations $M_{i}(L)$ for such $L$ produce a 1-dimensional subvariety of $\mathbf{X}_{\mathrm{d}}$ and as $d$ is fixed, $n$ is also fixed.
$(3) \Longrightarrow(2)$ is quite evident as $|\mathrm{d}|$ is an upperbound for the maximal number of indecomposable direct summands of any representation of dimension $d$.
(2) $\Longrightarrow$ (1) if $M \in \mathbf{X}_{\mathrm{d}}(K<x, y>)$ is strict, then $M(L)$ for $L \in \operatorname{Rep} p_{n}$ ( $K<x, y>$ ) form in $\mathbf{X}_{n \mathrm{~d}}$ a subset of dimension at least $n^{2}$ consisting of pairwise non-isomorphic representations and $n^{2}>|n \mathrm{~d}|$ if $n>|\mathrm{d}|$.

At last, $(1) \Longrightarrow(4)$ can be proved just by repeating the proof of the above Theorem 1 given in [D1] if we make the following simple observation. Let $a \in A(X, Y)$. Denote $\mathbf{X}(a)=\{M(a) \mid M \in \mathbf{X}\}$. Then the only possibilities for $\mathbf{X}(a)$ are:

- if $X \neq Y$. either all linear mappings, or those $F: L \longrightarrow L^{\prime}$ with $r k F=\operatorname{dim} L$, or those with $r k F=\operatorname{dim} L^{\prime}$ or isomorphisms only:
- if $X=Y$ there exists a finite subset $E(a) \subset K$ such that $\mathbf{X}(a)=\{F: L \longrightarrow L \mid F$ has no eigenvalue from $E(a)\}$.

Of course. the proof of [D 1]. based on algorithms of reduction of matrices, is rather complicated. Unfortunately, till now the only known way to obtain the equivalences $(1) \Longleftrightarrow(2) \Longleftrightarrow(3)$ is to prove that $(1) \Longrightarrow(4)$.

## 3 Cohen-Macaulay Algebras

In this paragraph we consider algebras $\Lambda$ over $K$ satisfying the following conditions:
(A1) The centre $Z$ of $\Lambda$ is a complete local noetherian Cohen-Macaulay ring of Krull dimension 1 with residue field $K$;
(A2) $\Lambda$ is a (finitely generated) Cohen-Macaulay module over $Z$;
(A3) $\Lambda$ is semi-prime, i.e. has no nilpotent ideals.
We call such algebras $\boldsymbol{C M}$-Algebras. Denote by $C M(\Lambda)$ the category of $\Lambda$ modules which are maximal Cohen-Macaulay modules over $Z$, i.e., in our case, finitely generated and torsion free. Call them CM- $\boldsymbol{\Lambda}$-modules.

If $\Lambda$ is a $C M$-algebra, its full quotient ring $Q$ is a semi-simple artinian ring and there exists a (not necessarily unique) maximal overring $\bar{\Lambda}$, i.e. a $C M$-algebra such that $\Lambda \subset \bar{\Lambda} \subset Q$ and there are no $C M$-algebras $\Lambda^{\prime} \neq \bar{\Lambda}$ with $\bar{\Lambda} \subset \Lambda^{\prime} \subset Q$ (cf. D3]). It follows from $[\operatorname{Rog}]$ that $\bar{\Lambda}$ is always hereditary, i.e. any $C M-\bar{\Lambda}$-module is projective over $\bar{\Lambda}$.

If $R$ is any $K$-algebra, denote by $C M(\Lambda, R)$ the category of $R$ - $\Lambda$-bimodules $M$ satisfying the following conditions:
(M1) $M$ is finitely generated as bimodule;
(M2) ${ }_{Z} M$ is torsion free;
(M3) $M_{R}$ is flat;
(M4) $M(L)=M Q_{R} L$ is a $C M$ - $\Lambda$-module for any $L \in \operatorname{Rep}(R)$.
If $R / m$ is finite-dimensional over $K$ for any maximal left ideal $m \subset R$, then (M4) is equivalent to
(M4') for any non-zero divisor $\lambda \in Z$ the $R$-module $M / \lambda M$ is also flat.

Surely, if $M \in C M(\Lambda, R)$ and $L \in \operatorname{Rep}\left(R, R^{\prime}\right)$, then $M(L) \in C M\left(\Lambda, R^{\prime}\right)$. So we are able to define strict modules $M \in C M(\Lambda, R)$ and strict sets of such modules just as in $\S 1$. If $R$ is a finitely generated commutative $K$-algebra of Krull dimension $d$, call any bimodule $M \in C M(\Lambda, R)$ a $d$-parameter family of $C M$ - $\Lambda$-modules (with base $R)$.

Call $\Lambda \quad \mathbf{C M}$ - wild if for every finitely generated algebra $R$ there exists a strict module $M \in C M(\Lambda, R)$. Again we have to check the existence of $M$ only for $R=K\langle x, y\rangle$, or $R=K[x, y]$, or $R=K[|x, y|]$.

If a $\Lambda$-module $M$ is torsion free (over $Z$ ) it can be embedded into the $Q$-module $Q \otimes_{\Lambda} M$, so if $\Lambda^{\prime}$ is an overring of $\Lambda$, i.e. a $C M$-algebra such that $\Lambda \subset \Lambda^{\prime} \subset Q$, we can consider the $\Lambda^{\prime}$-module $\Lambda^{\prime} M$, which is the image of $\Lambda^{\prime} \otimes_{\Lambda} M$ in $Q \otimes_{\Lambda} M$. If $M$ was a $C M$-module, then so is $\Lambda^{\prime} M$. In this case $Q \otimes_{\Lambda} M$ is finitely generated over $Q$,thus $Q \otimes_{\Lambda} M \simeq r_{1} Q_{1} \oplus \cdots \oplus r_{t} Q_{t}$ where $Q_{1}, \cdots, Q_{t}$ are all pairwise non-isomorphic simple $Q$-modules. Call the vector $\mathrm{r}(M)=\left(r_{1}, \cdots, r_{t}\right)$ the (vector) rank of $M$ and denote $C M_{\underline{r}}(\Lambda)$ the set of all $C M-\Lambda$-modules of rank $\underline{r}$.

## Theorem 3

For a $C M$-algebra $\Lambda$ the following conditions are equivalent:

1. $\Lambda$ is not $C M$-wild;
2. for any rank $\underline{\mathrm{r}}=\left(r_{1}, \cdots, r_{t}\right)$ there exists a $d$-parameter family $M$ of $C M-\Lambda$ modules with $d \leq|\underline{\mathrm{r}}|=\sum_{i=1}^{t} r_{i}$ such that any $C M-\Lambda$-module of rank $\underline{\mathrm{r}}$ is isomorphic to some $M(L)$;
3. for any rank r there exists a 1-parameter family $M$ of $C M-\Lambda$-modules such that any indecomposable $C M$ - $\Lambda$-module of rank $\underline{r}$ is isomorphic to some $M(L)$;
4. there exists a strict set $\left\{M_{i} \mid i \in I, M_{i} \in C M\left(\Lambda, R_{i}\right)\right\}$ with rational algebras $R_{i}$ such that for each rank r all indecomposable $C M-\Lambda$-modules of rank r except a finite number (up to isomorphism) are isomorphic to $M_{i}(L)$ for some $i \in I_{\underline{\underline{r}}}$ and $L \in \operatorname{rep}\left(R_{i}\right)$ where $I_{\underline{r}}$ is a finite subset of $I$ (depending on $\underline{r}$ ).

If these conditions are satisfied, call $\Lambda$ CM-tame.

## Proof:

Again $(4) \Longrightarrow(3) \Longrightarrow(2) \Longrightarrow(1)$ is clear. so we have only to prove $(1) \Longrightarrow(4)$.

Fix an overring $\Lambda^{\prime} \supset \Lambda$ and denote by $C M\left(\Lambda \mid \Lambda^{\prime}\right)$ the full subcategory in $C M(\Lambda)$ consisting of all modules $M$ such that $\Lambda^{\prime} M$ is $\Lambda^{\prime}$-projective. Of course, if $\Lambda^{\prime}$ is hereditary (e.g. maximal), then $C M\left(\Lambda \mid \Lambda^{\prime}\right)=C M(\Lambda)$. Let $I \subset \operatorname{rad} \Lambda$ be a two-sided $\Lambda^{\prime}$-ideal such that $\operatorname{dim}_{K} \Lambda^{\prime} / I<\infty$ (it exists as $\Lambda^{\prime} / \Lambda$ is a finitely generated torsion $Z$-module). Then $I M \subset M \subset \Lambda^{\prime} M$ for any $C M$-module $M$ and any homomorphism $\varphi: M \longrightarrow N$ can be uniquely prolonged to $\varphi^{\prime}: \Lambda^{\prime} M \longrightarrow \Lambda^{\prime} N$. Put

$$
\Lambda_{1}=\Lambda / I, \quad \Lambda_{2}=\Lambda^{\prime} / I
$$

and consider a new category $C=C\left(\Lambda \mid \Lambda^{\prime}\right)$ whose objects are pairs $(P, X)$ with $P$ a (finitely generated) projective $\Lambda_{2}$-module, $X \subset P$ a $\Lambda_{1}$-submodule, and morphisms $(P, X) \longrightarrow\left(P_{1}, X_{1}\right)$ are $\Lambda_{2}$-homomorphisms $\varphi: P \longrightarrow P_{1}$ such that $\varphi(X) \subset X_{1}$. Define a functor $T: C M\left(\Lambda \mid \Lambda^{\prime}\right) \longrightarrow C$ putting $T(M)=\left(\Lambda^{\prime} M / I M, M / I M\right)$ and let $C_{o}$ be the full subcategory of $C$ consisting of all such pairs $(P, X)$ that $\Lambda_{2} X=P$. Then the following lemma is evident:

## Lemma 1

$T^{\prime}(M) \in C_{o}$ for any $M \in C M\left(\Lambda \mid \Lambda^{\prime}\right)$ and the functor $T: C M\left(\Lambda \mid \Lambda^{\prime}\right) \longrightarrow C_{o}$ is full, dense and reflects isomorphisms and indecomposability.

Now consider the $\Lambda_{1}-\Lambda_{2}$-bimodule $U=\Lambda_{2}$ and define a functor $\underline{I m}: U(K) \longrightarrow C$ putting, for $\varphi: P_{1} \longrightarrow P_{2}, \underline{\operatorname{Im}} \varphi=\left(P_{2}, \operatorname{Im} \varphi\right)$. Denote $\mathbf{X}$ the full subcategory of $U(K)$ consisting of all such $\varphi$ that $\operatorname{Ker} \varphi \subset \operatorname{rad} P_{1}$ and $\Lambda_{2} \cdot \operatorname{Im} \varphi=P_{2}$. As $\Lambda_{1}$ is artinian, any $\Lambda_{1}$-module $X$ possesses a projective cover whence we obtain the following lemma:

## Lemma 2

 reflects isomorphisms and indecomposability.

Identify, according to Theorem $1, U(K)$ with $\operatorname{Rep}(\mathbf{a})$ for a free triangular bocs a. Then $\mathbf{X}$ becomes an open subcategory in $\operatorname{Rep}(\mathbf{a})$, thus Theorem 2 is applicable, i.e. $\mathbf{X}$ is either tame or wild.

Let $u \in \mathbf{X}(R)$ for some algebra $R$. Then $u: P_{1} \longrightarrow P_{2}$ where $P_{i}$ is a projective $\Lambda_{i} \bigcirc R^{o p}$-module. Call $u \operatorname{good}$ provided $P_{i} \simeq \check{P}_{i} / I \check{P}_{i}$ where $\grave{P}_{1}\left(r e s p . \check{P}_{2}\right)$ is a projective $A \bigcirc R^{o p}$-module (resp. $\Lambda^{\prime} Q R^{o p}$-module) and Cokeru is flat over $R$. In this case denote $\dot{u}: \dot{P}_{1} \longrightarrow \dot{P}_{2}$ some homomorphism for which $u=\bar{u}(\bmod I)$.

## Lemma 3

(a) If $u \in \mathbf{X}(R)$ is good and $M=\operatorname{Im} \dot{u}$, then $M \in C M(\Lambda, R)$.
(b) If $\left\{u_{i} \mid i \in I . u_{i} \in \mathbf{X}\left(R_{i}\right)\right\}$ is a strict set, all $u_{i}$ are good and $M_{i}=\operatorname{Im} \tilde{u}_{i}$, then $\left\{M_{i} \mid i \in I\right\}$ is also a strict set.

## Proof

(a) Remark that Cokeru $\simeq$ Cokerù, so we have an exact sequence

$$
0 \longrightarrow M \longrightarrow \dot{P}_{2} \longrightarrow N \longrightarrow 0
$$

with $R$-flat $N$ and hence an exact sequence

$$
0 \longrightarrow M \otimes_{R} L \longrightarrow \tilde{P}_{2} \otimes_{R} L \longrightarrow N \otimes_{R} L \longrightarrow 0
$$

for any $L \in \operatorname{Rep}(R)$ where $\grave{P}_{2} \otimes_{R} L$ is $\Lambda^{\prime}$-projective. This does imply all properties (M1) - (M4) for M.
(b) follows directly from Lemmas 1 and 2 .

## Lemma 4

Let $u \in \mathbf{X}(R)$ for a finitely generated commutative domain $R$. Then there exists a non-zero $f \in R$ such that $u_{f} \in \mathbf{X}\left(R_{f}\right)$ is good.

## Proof

Denote by $F$ the quotient field of $R$. Then $(\Lambda / \operatorname{rad} \Lambda) \otimes F$ is semi-simple [B1], hence $\operatorname{rad}(\Lambda \otimes F)=(\operatorname{rad} \Lambda) \otimes F$ and $(\Lambda \otimes F) / \operatorname{rad}(\Lambda \otimes F) \simeq(\Lambda / \operatorname{rad} \Lambda) \otimes F$. Hence in $\Lambda \otimes F$ idempotents can be lifted modulo radical and any projective $(\Lambda \otimes F)$-module is of the form $P \odot F$ for some projective $\Lambda$-module $P$. The same is true for the algebras $\Lambda^{\prime}$ and $\Lambda_{i}(i=1,2)$. As $\Lambda_{1}=\Lambda / I$ and $I \subset \operatorname{rad} \Lambda$, any projective $\left(\Lambda_{1} \otimes F\right)$-module is of the form $(P Q F) / I(P Q F)$. Therefore. if $P$ is a projective $\Lambda_{1} \otimes R$-module, there exists a non-zero $f \in R$ such that $P_{f} \simeq \dot{P} / I \dot{P}$ for a projective $\Lambda_{1} \otimes R_{f}$-module $\dot{P}$. So if $u \in \mathbf{X}(R) . u: P_{1} \longrightarrow P_{2}$. we can find $f \in R$ for which $\left(P_{i}\right)_{f} \simeq \grave{P}_{i} / I \grave{P}_{i}$. But as $\Lambda_{i}$ are finite-dimensional, $N=$ Cokeru $_{f}$ is finitely generated over $R_{f}$ and there exists a non-zero $g \in R$ such that $N_{g}$ is flat [B2], thus $u_{f g}$ is good.

Corollary 1: If $\mathbf{X}$ is wild, then $\Lambda$ is wild.

## Proof

Let $u \in \mathbf{X}(R), R=K[x, y]$. be strict. Find $f \in R$ such that $u_{f}$ is good and a maximal ideal $m \subset R$ such that $f \notin m$. As the $m$-adique completion of $R$ is isomorphic to $\hat{R}=K^{\prime}[|x, y|] u_{f}$ provides a good and strict element $\hat{u} \in \mathbf{X}(\hat{R})$. Then lemma 3 implies that $\Lambda$ is $C M$-wild.

Corollary 2 If $\Lambda^{\prime}$ is hereditary and $\mathbf{X}$ is tame, then $\Lambda$ is $C M$-tame.

## Proof

Let $\left\{u_{i} \mid i \in I, u_{i} \in \mathbf{X}\left(R_{i}\right)\right\}$ be a strict set satisfying conditions (4) of Theorem 2. Remark that if $R$ is a rational algebra, then $\operatorname{Rep} p_{d}(R)-\operatorname{Rep} p_{d}\left(R_{f}\right)$ is finite for any non-zero $f \in R$ and any dimension $d$. Therefore, lemma 4 allows us to suppose all $u_{i}$ good. But as $\Lambda^{\prime}$ is hereditary, $C M\left(\Lambda \mid \Lambda^{\prime}\right)=C M(\Lambda)$. Hence, lemmas 1-3 imply that the set $\left\{M_{i} \mid i \in I\right\}$ with $M_{i}=\operatorname{Im} \tilde{u}_{i}$ satisfies condition (4) of Theorem 3.

Now $(1) \Longrightarrow(4)$ follows from corollaries 1 and 2 .

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