# Ramsey Numbers of $K_m$ versus (n, k)-graphs and the Local Density of Graphs not Containing a $K_m$

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#### Abstract

In this paper generalized Ramsey numbers of complete graphs  $K_m$  versus the set  $\langle n, k \rangle$  of (n, k)-graphs are investigated. The value of  $r(K_m, \langle n, k \rangle)$  is given in general for (relative to n) values of k small compared to n using a correlation with Turán numbers.

These generalized Ramsey numbers can be used to determine the local densities of graphs not containing a subgraph  $K_m$ .

### 1 Introduction

Let m, l, n and k be positive integers with  $0 \le l \le \binom{m}{2}$  and  $0 \le k \le \binom{n}{2}$  and let  $\langle n, k \rangle$  denote the set of all (n, k)-graphs, i.e. the set of all graphs with n vertices and k edges. The Ramsey number  $r(\langle m, l \rangle, \langle n, k \rangle)$  is defined as the smallest integer p such that in every red-green coloring of the edges of the complete graph  $K_p$  a green (m, l)-graph or a red (n, k)-graph occurs, i.e. a green graph with m vertices and l edges or a red graph with n vertices and k edges. Note that  $r(\langle m, l \rangle, \langle n, k \rangle)$  is the classical Ramsey number  $r(K_m, K_n)$  if  $l = \binom{m}{2}$  and  $k = \binom{n}{2}$ .

Generalized Ramsey numbers  $r(\langle m, l \rangle, \langle n, k \rangle)$  have been thoroughly investigated by many authors, an important reason being they lead to some insight in the behaviour of classical Ramsey numbers.

Particularly for small values of m and n several results have been obtained: In [1] the Ramsey numbers  $r(\langle 4, l \rangle, \langle 5, k \rangle)$  have been given for all possible values (l, k) except for  $(l, k) \in \{(6, 9), (6, 10)\}$ . The two missing numbers can be found in [8] and [22]. The value of  $r(\langle 5, l \rangle, \langle 5, k \rangle)$  has been determined in [15] for the special case that  $l = k \leq 8$ , in [5] for l = k = 9 and in [14] for all other possible values of (l, k) with  $l \leq 6$  or l = 7 and  $k \leq 9$ . As a more general result,  $r(\langle n, s \rangle, \langle n, \binom{n}{2} - s + k \rangle)$  has been given for  $k \leq 3$  and  $2 \leq s \leq \frac{1}{2} \binom{n}{2} + k$  in [16] and the values of  $r(K_n, \langle n, k \rangle)$  have been given in [9] for  $1 \leq k \leq n$ .

The special case m = 3, i.e. the Ramsey numbers  $r(K_3, \langle n, k \rangle)$ , has drawn much attention. For  $n \leq 8$  all values are known [3, 4, 10, 11, 12, 13, 17, 19, 21]. Moreover a more general result has been given in [19] which allows the determination of  $r(K_3, \langle n, k \rangle)$  for arbitrary values of n and small values of k (compared to n).

A similar problem has been introduced in [7], where the *local density* of graphs not containing specific subgraphs is investigated:

Let  $\alpha \in \mathbb{R}$  with  $0 < \alpha < 1$  and let  $\beta(\alpha, p) \in \mathbb{R}$  be the smallest positive number with property (P1) or (more general) (P2):

- (P1) If G is a graph with  $p \geq 3$  vertices such that every subset of  $\lfloor \alpha p \rfloor$  vertices spans more than  $\beta(\alpha, p) p^2$  edges, then G contains a triangle.
- (P2) If G is a graph with  $p \ge m$  vertices  $(m \ge 3)$  such that every subset of  $\lfloor \alpha p \rfloor$  vertices spans more than  $\beta(\alpha, p)$   $p^2$  edges, then G contains a  $K_m$ .

To distinguish both cases we will denote  $\beta(\alpha, p)$  by  $\beta_{K_3}(\alpha, p)$  or  $\beta_{K_m}(\alpha, p)$ , respectively. Here  $\beta_{K_m}(\alpha, p)$  is called the *local density* of a  $K_m$ -free graph of order p. Furthermore the local density  $\beta_{K_m}(\alpha)$  of a graph with arbitrary order is defined for  $m \geq 3$ :

$$\beta_{K_m}(\alpha) := \sup_{p>m} \beta_{K_m}(\alpha, p). \tag{1}$$

The connexion between the local density of a graph not containing a  $K_m$  and the generalized Ramsey numbers  $r(K_m, \langle n, k \rangle)$  can be seen as follows:

$$r(K_m, \langle \lfloor \alpha p \rfloor, \binom{\lfloor \alpha p \rfloor}{2}) - \beta_{K_m}(\alpha, p) p^2 + 1 \rangle) > p$$
 and  $r(K_m, \langle \lfloor \alpha p \rfloor, \binom{\lfloor \alpha p \rfloor}{2}) - \beta_{K_m}(\alpha, p) p^2 \rangle) \leq p$ .

Thus if the values of the generalized Ramsey numbers  $r(K_m, \langle n, k \rangle)$  are known for all values of n and k,  $\beta_{K_m}(\alpha, p)$  can be evaluated for arbitrary values of  $\alpha$  and p using the following transformation (2):

$$\beta_{K_m}(\alpha, p) = \min \left\{ \beta : r(K_m, \langle n, k \rangle) \le p \text{ where } n = \lfloor \alpha p \rfloor \text{ and } k = {\lfloor \alpha p \rfloor \choose 2} - \beta p^2 \right\}.$$
 (2)

On the other hand, if  $r(K_m, \langle n, k \rangle) \leq cn$  holds for a constant  $c, r(K_m, \langle n, k \rangle)$  can be obtained if  $\beta_{K_m}(\alpha, p)$  is known for all values of  $\alpha$  and p:

$$r(K_m, \langle n, k \rangle) = \min \left\{ p : \lfloor \alpha p \rfloor = n \text{ and } \binom{n}{2} - \beta_{K_m}(\alpha, p) p^2 = k \right\}.$$
 (3)

Some notations will be used in the following. A red-green coloring of  $K_p$  is called a  $(K_m, \langle n, k \rangle)$ -coloring, if it contains neither a green  $K_m$  nor a red (n, k)-graph. We use V(G) to denote the set of vertices of G and define  $N_g(v)$  and  $N_r(v)$ , for all  $v \in V(G)$ , to

be the sets of green and red neighbours of v, respectively. The number of green (or red) edges incident to v is denoted by g(v) (or r(v)).  $\Delta_g$  (or  $\Delta_r$ ) is the maximal degree with respect to the green (or red) subgraph of G and g(G) (or r(G)) is the number of green (or red) edges in G.

In the following we will determine the Ramsey numbers  $r(K_m, \langle n, k \rangle)$  for  $m \geq 3$  and (compared to  $\binom{n}{2}$ ) small values of k. Sections 3 and 4 are devoted to generalizations of this result whereas consequences for the local density of  $K_m$ -free graphs are derived in Section 5.

## 2 Ramsey numbers $r(K_m, \langle n, k \rangle)$ with $n \geq m \geq 3$

There is a close relationship between Ramsey numbers  $r(K_m, \langle n, k \rangle)$  for small k and special Turán graphs. After defining the Turán problem we will draw the connexion to the given Ramsey problem and deduce previously unknown values for  $r(K_m, \langle n, k \rangle)$ .

The Turán problem asks for the maximal number  $t_G(n)$  of edges in a graph of order n not containing a subgraph isomorphic to G. A Turán graph for G and n is defined to be a graph of order n and size  $t_g(n)$  that does not contain a subgraph G. Turán's Theorem [24] solves the problem in case of  $G = K_m$ . If  $n \ge m \ge 3$  then

$$t_{K_m}(n) = \frac{m-2}{2(m-1)}(n^2 - \beta^2) + {\beta \choose 2},$$
(4)

where  $\beta$  is defined by

$$n = \alpha(m-1) + \beta, \quad \alpha \in \mathbb{N}_0, \quad 0 \le \beta \le m-2. \tag{5}$$

Furthermore, the complete (m-1)-partite graph  $K_{n_1,\dots,n_{m-1}} = T_{K_m}(n)$  where  $n_1 = \dots = n_{\beta} = \alpha + 1$  and  $n_{\beta+1} = \dots = n_{m-1} = \alpha$  is the only Turán graph for  $K_m$  and n.

A very simple connexion between  $t_{K_m}(n)$  and  $r(K_m, \langle n, k \rangle)$  can be derived for  $k \leq \binom{n}{2} - t_{K_m}(n)$ :

**Theorem 1** Let  $n \ge m \ge 3$  be positive integers. Then

$$r(K_m, \langle n, k \rangle) = n$$
 for  $0 \le k \le \binom{n}{2} - t_{K_m}(n)$ .

This correlation will be extended in the following to higher values of k. For this purpose some further definitions are useful.

For  $n \ge m \ge 3$  let  $\{s_0, s_1, s_2, \ldots\}$ ,  $s_0 < s_1 < s_2 < \cdots$ , be the set of non-negative integers such that  $n + s_i \pmod{m-1} \ne m-2$ . Using the representation of n in (5) we obtain

$$s_i = i + \left| \frac{i+\beta}{m-2} \right| \qquad i \ge 0. \tag{6}$$

There are uniquely defined integers  $\gamma_i$  and  $\delta_i$  such that

$$n + s_i =: \gamma_i(m-1) + \delta_i \qquad \gamma_i \in IN_0, \quad 0 \le \delta_i \le m-3$$
 (7)

and for  $s_i \leq \gamma_i$  (which is true for  $s_i \leq \frac{n}{m}$ ) we define

$$k_i := \delta_i \binom{\gamma_i + 1}{2} + (m - \delta_i - 2) \binom{\gamma_i}{2} + \binom{\gamma_i - s_i}{2}. \tag{8}$$

Note that  $k_0 = \binom{n}{2} - t_{K_m}(n)$  is the highest value of k such that  $r(K_m, \langle n, k \rangle)$  is determined by Theorem 1. Moreover, for  $i \geq 1$ 

which implies that

$$k_i = k_0 + \sum_{j=1}^{i} s_j - \left\lfloor \frac{i+\beta}{m-2} \right\rfloor \ge \binom{n}{2} - t_{K_m}(n) + \frac{i(i+1)}{2}.$$
 (10)

It is easily checked that  $k_i$  can also be written as

$$k_{i} = \frac{1}{2} \left[ -(m-1) \left\lfloor \frac{n+s_{i}}{m-1} \right\rfloor^{2} + (2n-m+1) \left\lfloor \frac{n+s_{i}}{m-1} \right\rfloor + s_{i}^{2} + s_{i} \right].$$
 (11)

The following lower bound for  $r(K_m, \langle n, k \rangle)$  is easily obtained using the Turán graphs  $T_{K_m}$ .

**Theorem 2** Let  $m \ge n \ge 3$ ,  $i \in \mathbb{N}_0$  and let  $s_i, k_i$  be defined by (6) and (8). Then

$$r(K_m, \langle n, k \rangle) > n + s_i$$
 if  $k > k_i$  and  $s_i \le \frac{n}{m}$ .

**Proof:** No green  $K_m$  occurs in the red-green coloring of  $K_{n+s_i}$  in which the green subgraph is isomorphic to the Turán graph  $T_{K_m}(n+s_i)$ . On the other hand it is easy to verify that the maximal number of red edges in a subgraph with n vertices is exactly  $k_i$ .

This lower bound is sharp for relative to  $\binom{n}{2}$  small values of k.

**Theorem 3** Let  $m \geq n \geq 3$ ,  $i \in \mathbb{N}_0$  and let  $s_i, k_i$  be defined by (6) and (8). Then

$$r(K_m, \langle n, k \rangle) = n + s_i + 1$$
 if  $k_i < k \le k_{i+1}$  and  $s_i \le s_{\max} := \sqrt{\frac{n+2}{m-1}} - \frac{2m-3}{m-2}$ .

**Proof:** Considering the lower bound for  $r(K_m, \langle n, k \rangle)$  given in Theorem 2 it suffices to show that  $r(K_m, \langle n, k \rangle) \leq n + s_i + 1$  is true for  $k \leq k_{i+1}$ .

Assume that there exists a  $(K_m, \langle n, k_{i+1} \rangle)$ -coloring C of  $K_{n+s_{i+1}}$ . Using (7) we obtain that  $n + s_i + 1 = \gamma(m-1) + \delta$ , where  $\gamma = \gamma_i$  and  $\delta = \delta_i + 1$ ,  $1 \le \delta \le m-2$ . It follows that  $k_{i+1} = \delta\binom{\gamma+1}{2} + (m-\delta-2)\binom{\gamma}{2} + \binom{\gamma-s_i-1}{2}$  for each value of i.

Let  $v \in V(K_{n+s_{i+1}})$  be a vertex with  $g(v) = \Delta_g$ . Furthermore let  $H_1 := [N_g(v)]$  and  $H_2 := [N_r(v) + v]$ . Since there is no green subgraph  $K_m \subset K_{n+s_{i+1}}$  in C, there is no green subgraph  $K_{m-1} \subseteq H_1$ .

We distinguish two cases:

1.  $\Delta_q \geq n + s_i + 1 - \gamma$ , i.e.  $|V(H_2)| \leq \gamma$ .

If  $\Delta_g \geq n$ , any subgraph G with |V(G)| = n of  $H_1$  contains at most  $t_{K_{m-1}}(n)$  green edges. Thus  $r(G) \geq {n \choose 2} - t_{K_{m-1}}(n) \geq k_{i+1}$ .

In the case that  $\Delta_g < n$  any subgraph G containing  $H_1$  and  $n - \Delta_g$  arbitrary vertices from  $H_2$  has at most  $t_{K_{m-1}}(\Delta_g) + (n - \Delta_g)\Delta_g$  green edges where  $n - \Delta_g \le \gamma - s_i - 1$ . Thus g(G) is maximal (and r(G) minimal) if  $\Delta_g$  is minimal, i.e. if  $\Delta_g = n + s_i + 1 - \gamma$ . Therefore

$$g(G) \leq t_{K_{m-1}}(n+s_i+1-\gamma) + (n+s_i+1-\gamma)(s_i+1-\gamma)$$
  
=  $\binom{n}{2} - \delta\binom{\gamma+1}{2} + (m-\delta-2)\binom{\gamma}{2} + \binom{\gamma-s_i-1}{2}$ 

and

$$r(G) \geq \delta\binom{\gamma+1}{2} + (m-\delta-2)\binom{\gamma}{2} + \binom{\gamma-s_{i-1}}{2} = k_{i+1}.$$

Thus G contains a red  $(n, k_{i+1})$ -graph contradicting the assumption.

2.  $\Delta_q \leq n + s_i - \gamma$ , i.e.  $|V(H_2)| > \gamma$ .

Construct a subgraph  $G \subset K_{n+s_i+1}$  by successive deletion of  $s_i+1$  vertices of maximal degree with respect to the green subgraph. As the number of green edges in the removed subgraph cannot exceed  $t_{K_m}(s_i+1)$ , the number of green edges in G cannot exceed the following upper bound:

$$g(G) \leq \frac{1}{2}n\Delta_g - \left(\frac{1}{2}(s_i+1)\Delta_g - t_{K_m}(s_i+1)\right)$$
  
$$\leq \frac{1}{2}(n-s_i-1)(n+s_i-\gamma) + \frac{m-2}{2(m-1)}(s_i+1)^2.$$

G contains a red  $(n, k_{i+1})$ -graph contradicting the assumption if

$$g(G) \le \binom{n}{2} - k_{i+1} = \binom{n}{2} - \left[\delta\binom{\gamma+1}{2} + (m-\delta-2)\binom{\gamma}{2} + \binom{\gamma-s_i-1}{2}\right].$$

Transformation of the above equations show that this is true if

$$s_i^2 + \frac{4m-6}{m-2}s_i - \frac{m-1}{m-2}(m-1-\delta)\gamma + \frac{3m-4}{m-2} \le 0.$$

Because of  $\frac{m-1}{m-2} > 1$  and  $m-1-\delta \ge 1$ , this is satisfied if

$$s_i^2 + \frac{4m-6}{m-2}s_i - \frac{m-1}{m-2}\gamma + \frac{3m-4}{m-2} \le 0.$$

With  $\gamma = \lfloor \frac{n+s_i+1}{m-1} \rfloor$  it is easy to see that G contains a red  $(n, k_{i+1})$ -graph if  $s_i \leq \sqrt{\frac{n+2}{m-1}} - \frac{2m-3}{m-2}$ .

The following Lemma gives a bound for the values of k for which the Ramsey number  $r(K_m, \langle n, k \rangle)$  can be determined using Theorem 3.

**Lemma 1** Theorem 3 can be applied for all positive integers m, n, k with  $n \ge m \ge 3$  and  $\binom{n}{2} - t_{K_m}(n) < k \le k_{\max}$  where

$$k_{\max} \ge \binom{n}{2} - t_{K_m}(n) + \frac{(m-2)^2}{2(m-1)^3}(n+2) - \frac{5(m-2)}{2(m-1)^{\frac{3}{2}}}\sqrt{n+2} + 3.$$

**Proof:** From the upper bound for  $s_i$  an upper bound for i can be derived. Using (10) a straightforward calculation leads to the given lower bound for  $k_{\text{max}}$ .

Using the description of  $r(K_m, \langle n, k \rangle)$  in Theorem 3 it is not possible to give  $r(K_m, \langle n, k \rangle)$  explicitly. Anyway, given a value of k with  $0 \le k \le k_{\text{max}}$  the value of  $r(K_m, \langle n, k \rangle)$  can easily be evaluated using (9) and (11) and Theorem 3.

## 3 Ramsey numbers $r(\langle m, l \rangle, \langle n, k \rangle)$

The results obtained in Section 2 strongly depend on the Turán graph  $T_{K_m}(n)$ . Theorems 2 and 3 use the special structure of this graph. They can thus be transferred to more general cases where the Turán graphs have a similar structure.

One generalization of the problem of Turán is that of finding the maximal number of edges  $t_{\mathcal{G}}(n)$  in a graph with n vertices not containing a subgraph  $G \in \mathcal{G}$  where  $\mathcal{G}$  is a given set of graphs. A Turán graph for  $\mathcal{G}$  and n is a graph of order n and size  $t_{\mathcal{G}}(n)$  that does not contain a subgraph  $G \in \mathcal{G}$ . (Note that in this general formulation a Turán graph is not necessarily unique.) For the case that  $\mathcal{G} = \langle m, \binom{m}{2} - \lambda \rangle$  with  $n \geq m+1$  and  $0 \leq \lambda \leq \frac{m-3}{2}$  it has been shown in [6] that the graph  $T_{K_{m-\lambda}}(n)$  is the only Turán graph for  $\mathcal{G}$  and n. Thus analogously to Theorems 1 to 3 the following statements can be proven:

**Theorem 4** Let  $n, m \in \mathbb{N}$  and  $\lambda \in \mathbb{N}_0$  with  $n \geq m + 1 \geq 4$  and  $\lambda \leq \frac{m-3}{2}$ . Then

$$r(\langle m, \binom{m}{2} - \lambda \rangle, \langle n, k \rangle) = n \quad \text{if} \quad 0 \le k \le \binom{n}{2} - t_{K_{m-\lambda}}(n).$$

**Theorem 5** Let  $n, m \in \mathbb{N}$  and  $\lambda, i \in \mathbb{N}_0$  with  $n \geq m+1 \geq 4$  and  $\lambda \leq \frac{m-3}{2}$ . Furthermore let  $s_i$  and  $k_i$  be defined as in (6) and (8), where m is replaced by  $m - \lambda$  in (5)-(8). Then

$$r(\langle m, \binom{m}{2} - \lambda \rangle, \langle n, k \rangle) > n + s_i$$
 if  $k > k_i$  and  $s_i \leq \frac{n}{m - \lambda}$ .

For small values of k this bound is sharp.

**Theorem 6** Let  $n, m \in \mathbb{N}$  and  $\lambda \in \mathbb{N}_0$  with  $n \geq m + 1 \geq 4$ ,  $\lambda \leq \frac{m-4}{2}$  and let  $s_i, k_i \in \mathbb{N}_0$  as in Theorem 5. Then

$$r(\langle m, {m \choose 2} - \lambda \rangle, \langle n, k \rangle) = n + s_i + 1$$
 for  $k_i < k \le k_{i+1}$   
and  $s_i \le s_{\max} := \sqrt{\frac{n+2}{m-\lambda-1}} - \frac{2(m-\lambda)-3}{m-\lambda-2}$ .

**Proof:** Theorem 6 can be proven analogously to Theorem 3. As the Turán number  $t_{\langle m-1,\binom{m-1}{2}-\lambda\rangle}(\bullet)$  is needed, the value of  $\lambda$  must be bounded by  $\lambda \leq \frac{m-4}{2}$ .

# 4 Ramsey numbers $r(K_{i_1},\ldots,K_{i_l},\langle n,k\rangle)$

Let  $t_{\mathcal{G}_1,\ldots,\mathcal{G}_l}(n)$  denote the maximal number of edges in a graph G with n vertices such that there exists an l-coloring of the edges of G not containing a monochromatic subgraph  $G_i \in \mathcal{G}_i$  in color i for all  $i = 1,\ldots,l$ . This number can also be regarded as generalized Turán number and the graphs in question of size  $t_{\mathcal{G}_1,\ldots,\mathcal{G}_l}(n)$  are also called Turán graphs. In the case that  $\mathcal{G}_j = \{K_{i_j}\}$   $(j = 1,\ldots,l)$  the only Turán graph for  $\mathcal{G}_1,\ldots,\mathcal{G}_l$  and n is the "classical" Turán graph  $T_{K_r}(n)$  where  $r = r(K_{i_1},\ldots,K_{i_l})$  is the Ramsey number of  $K_{i_1},\ldots,K_{i_l}$  (see [23]). Thus Theorems 1 to 3 can be transferred to Ramsey numbers  $r(K_{i_1},\ldots,K_{i_l},\langle n,k\rangle)$  by replacing the value of m by the value of r.

**Theorem 7** Let  $l, i_1, \ldots, i_l, r, n \in \mathbb{N}$  with  $l \geq 2$ ,  $r := r(K_{i_1}, \ldots, K_{i_l}) \geq 3$  and  $n \geq r$ . Then

$$r(K_{i_1},\ldots,K_{i_l},\langle n,k\rangle)=n$$
 if  $0 \le k \le \binom{n}{2}-t_{K_r}(n)$ .

**Theorem 8** Let  $l, i_1, \ldots, i_l, r, n \in \mathbb{N}$  and  $i \in \mathbb{N}_0$  with  $l \geq 2$ ,  $r := r(K_{i_1}, \ldots, K_{i_l}) \geq 3$  and  $n \geq r$ . Furthermore let  $s_i$  and  $k_i$  be defined as in (6) and (8), where m is replaced by r in (5)-(8). Then

$$r(K_{i_1}, \ldots, K_{i_l}, \langle n, k \rangle) > n + s_i \quad \text{if } k > k_i \quad \text{and } s_i \leq \frac{n}{r}.$$

**Theorem 9** Let  $l, i_1, ..., i_l, r, n \in I\!\!N$  with  $l \geq 2, r := r(K_{i_1}, ..., K_{i_l}) \geq 3, n \geq r$  and

$$\sum_{j=1}^{l} \left( r(K_{i_1}, \dots, K_{i_{j-1}}, K_{i_{j-1}}, K_{i_{j+1}}, \dots, K_{i_l}) - 1 \right) \le r - 2.$$
 (12)

Then

$$r(K_{i_1}, \dots, K_{i_l}, \langle n, k \rangle) = n + s_i + 1$$
 for  $k_i < k \le k_{i+1}$   
and  $s_i \le s_{\max} := \sqrt{\frac{n+2}{r-1}} - \frac{2r-3}{r-2}$ .

**Proof:** The colors  $1, \ldots, l$  are identified with the color "green" in the proof of Theorem 3. The vertex v in the first part of the proof is therefore incident to  $\Delta_g$  edges of the colors  $1, \ldots, l$ , i.e. to  $\Delta_g$  "green" edges. In the induced subgraph  $N_j(v)$  not more than  $t_{K_{i_1}, \ldots, K_{i_{j-1}}, K_{i_{j-1}}, K_{i_{j+1}}, \ldots, K_{i_l}}(|V(N_j(v))|)$  edges are "green"  $(j = 1, \ldots, l)$ . With (12) we have

$$\sum_{j=1}^{l} t_{K_{i_1}, \dots, K_{i_{j-1}}, K_{i_{j-1}}, K_{i_{j+1}}, \dots, K_{i_l}}(|V(N_j(v))|) \le t_{K_{r-1}}(\Delta_g)$$

and the proof of Theorem 9 can be completed analogously to the proof of Theorem 3.

Unfortunately the Ramsey numbers in inequality (12) are not known in general. Nevertheless, inequality (12) is true e.g. for  $(K_3, K_3)$ ,  $(K_3, K_3, K_3)$  and  $(K_4, K_4)$ . The corresponding Ramsey numbers are  $r(K_3, K_2) = 3$ ,  $r(K_3, K_3) = r(K_3, K_3, K_2) = 6$ ,  $r(K_3, K_3, K_3) = 17$ ,  $r(K_4, K_3) = 7$  and  $r(K_4, K_4) = 18$ .

#### Corollary 1

$$r(K_3, K_3, \langle n, k \rangle) = n + s_i + 1 \quad \text{for } k_i < k \le k_{i+1} \quad \text{and} \quad s_i \le \frac{1}{\sqrt{5}} \sqrt{n+2} - \frac{7}{3},$$

$$r(K_3, K_3, K_3, \langle n, k \rangle) = n + s_i + 1 \quad \text{for } k_i < k \le k_{i+1} \quad \text{and} \quad s_i \le \frac{1}{4} \sqrt{n+2} - \frac{31}{15},$$

$$r(K_4, K_4, \langle n, k \rangle) = n + s_i + 1 \quad \text{for } k_i < k \le k_{i+1} \quad \text{and} \quad s_i \le \frac{1}{\sqrt{17}} \sqrt{n+2} - \frac{33}{16}.$$

## 5 The local density of $K_m$ -free graphs

In this section the connexion between Ramsey numbers  $r(K_m, \langle n, k \rangle)$  and the local density  $\beta_{K_m}(\alpha, p)$  of graphs not containing a subgraph  $K_m$  given in Section 1, equations (2) and (3) will be used to derive some new results concerning the local density of  $K_m$ -free graphs  $(m \geq 3)$ .

In [7] the following conjecture about the values of  $\beta_{K_3}(\alpha)$  has been raised:

Conjecture 1 ([7])

$$\beta_{K_3}(\alpha) = \begin{cases} (2\alpha - 1)/4 & \text{if } 17/30 \le \alpha \le 1, \\ (5\alpha - 2)/25 & \text{if } 53/120 \le \alpha \le 17/30. \end{cases}$$

For sufficiently large values of p and  $0.648 \le \alpha \le 1$  Conjecture 1 has been proven in [7] and for  $3/5 \le \alpha \le 1$  it has been proven in [20]. Conjecture 1 is not true if  $0.442 \simeq 53/120 \le \alpha < 474/1000$  as has been shown in [2].

For the more general case that G does not contain a subgraph  $K_m$ ,  $m \geq 3$ , the following upper bounds for  $\beta_{K_m}(\alpha, p)$  have been given in [7]:

**Theorem 10** ([7]) Let G be a graph with p vertices not containing a subgraph  $K_m$  ( $m \ge 3$ ) and let  $0 < \alpha < 1$ . Furthermore let  $\delta$  be a positive real number such that  $\delta(m-2) < 1$ . Then the following upper bound for  $\beta_{K_m}(\alpha)$  can be given for p sufficiently large:

$$\beta_{K_m}(\alpha, p) \leq \max\{[(m-3)/(2m-4)]\alpha^2, \alpha^3/2\},\ \beta_{K_m}(\alpha, p) \leq (1/2)\alpha^{2+\delta}.$$

Using the results for Ramsey numbers  $r(K_m, \langle n, k \rangle)$  obtained in Section 2, the values of  $\beta_{K_m}(\alpha, p)$  can now be determined exactly for some values of  $\alpha$  and p. For this purpose equation (2) is applied:

**Theorem 11** Let  $\alpha \in \mathbb{R}$  with  $0 < \alpha \leq 1$  and let  $\beta_{K_m}(\alpha, p) \in \mathbb{R}$  be the smallest positive number with property (P2). Furthermore let  $\alpha p$  be a positive integer such that  $\alpha p \geq m$ . Then

$$\beta_{K_m}(\alpha, p) = \alpha \left( 1 - \frac{1}{p} \left\lfloor \frac{p + \sigma}{m - 1} \right\rfloor \right) - \left( \frac{1}{2} - \frac{m - 1}{2p^2} \left\lfloor \frac{p + \sigma}{m - 1} \right\rfloor^2 \right)$$

$$- \frac{1}{p} \left( \frac{1}{2} + (1 - \alpha)\sigma - \frac{m - 1}{2p} \left\lfloor \frac{p + \sigma}{m - 1} \right\rfloor \right) - \frac{1}{2p^2} \left( \sigma^2 + \sigma \right)$$

$$\leq \frac{m - 2}{m - 1} \left( \alpha - \frac{1}{2} \right)$$

$$where \ \sigma := \begin{cases} 1 & \text{if } m - 1 \mid p + 1 \\ 0 & \text{else} \end{cases}$$

$$and \quad \alpha \geq \alpha_{\min} := 1 - \frac{\sqrt{(p + 1)(m - 1) + \frac{1}{4}} - m + \frac{1}{2}}{p(m - 1)}.$$

**Proof:** Let  $s_i$ ,  $k_i$  be defined as in (6) and (8). The following statement is an easy consequence of (2) and Theorem 3:

$$\beta_{K_m}(\alpha, p) = \frac{1}{p^2} \left[ \binom{\lfloor \alpha p \rfloor}{2} - k_{i+1} \right] \quad \text{with } i = \max\{i \ge 0 : p \ge n + s_i + 1\}.$$
 (13)

We will distinguish two cases:

1. m-1 /p: From (6) we know that there exists  $s_i$  such that  $p=n+s_i+1$ . Thus we have  $s_i=(1-\alpha)p-1$  and

$$s_{i+1} = \begin{cases} s_i + 1 = (1 - \alpha)p & \text{if } m - 1 / p + 1 \\ s_i + 2 = (1 - \alpha)p + 1 & \text{else} \end{cases}$$

Using (11) and (13) and defining  $s := s_{i+1}$ , we get

$$\beta_{K_m}(\alpha, p) = \frac{1}{2p^2} \left( \alpha^2 p^2 - \alpha p + (m-1) \left\lfloor \frac{\alpha p + s}{m-1} \right\rfloor^2 - (2\alpha p - m + 1) \left\lfloor \frac{\alpha p + s}{m-1} \right\rfloor - s^2 - s \right)$$

and an easy calculation using  $\sigma := s - (1 - \alpha)p$  gives

$$\beta_{K_m}(\alpha, p) = \alpha \left( 1 - \frac{1}{p} \left\lfloor \frac{p + \sigma}{m - 1} \right\rfloor \right) - \left( \frac{1}{2} - \frac{m - 1}{2p^2} \left\lfloor \frac{p + \sigma}{m - 1} \right\rfloor^2 \right)$$

$$- \frac{1}{p} \left( \frac{1}{2} + (1 - \alpha)\sigma - \frac{m - 1}{2p} \left\lfloor \frac{p + \sigma}{m - 1} \right\rfloor \right) - \frac{1}{2p^2} \left( \sigma^2 + \sigma \right)$$

$$\leq \alpha \left( 1 - \frac{1}{m - 1} \right) - \frac{1}{2} \left( 1 - \frac{1}{m - 1} \right)$$

$$= \frac{m - 2}{m - 1} \left( \alpha - \frac{1}{2} \right).$$

2.  $m-1 \mid p$ : In this case there exists  $s_i$  with  $p-1=n+s_i+1$ . Furthermore there is no  $\tilde{s}_i$  such that  $p=n+\tilde{s}_i+1$ . It follows that  $s_i=(1-\alpha)p-2$  and using  $m-1 \mid p$ 

$$s_{i+1} = s_i + 2 = (1 - \alpha)p.$$

Using (11) and (13), an easy calculation analogous to case 1 completes the proof.

In the special case of m=3 and  $1 \ge \alpha \ge \alpha_{\min} = 1 - \frac{\sqrt{2(p+1)+0.25}-1.5}{2p}$  the result stated in Conjecture 1 and proven in [7] is also obtained by Theorem 11:

$$\beta_{K_3}(\alpha, p) = \begin{cases} \frac{\alpha}{2} - \frac{1}{4}, & \text{if } p \text{ even} \\ \frac{\alpha}{2} - \frac{1}{4} - \frac{1-\alpha}{2p} - \frac{1}{4p^2} & \text{if } p \text{ odd.} \end{cases}$$

A similar result with a better lower bound for  $\alpha$  can also be found in [18].

Theorem 11 suggests the following conjecture for the local density of  $K_m$ -free graphs:

Conjecture 2 Let  $m \geq 3$  be a positive integer and  $\alpha \in \mathbb{R}$  with  $0 < \alpha \leq 1$ . Then

$$\beta_{K_m}(\alpha) = \frac{m-2}{m-1} \left(\alpha - \frac{1}{2}\right)$$

for some values of  $\alpha$  close to 1.

Analogous to the results about Ramsey numbers  $r(K_m, \langle n, k \rangle)$  given in Section 2, the more general concepts for Ramsey numbers  $r(\langle m, l \rangle, \langle n, k \rangle)$  described in Section 3 can be transferred to the concept of local densities. For this purpose let  $\mathcal{G}$  be a given set of graphs and let  $\beta_{\mathcal{G}}(\alpha, p) \in \mathbb{R}$  be defined as the smallest positive number with the following property:

(P3) If G is a graph with  $p \geq 3$  vertices such that every subset of  $\lfloor \alpha p \rfloor$  vertices spans more than  $\beta_{\mathcal{G}}(\alpha, p) p^2$  edges, then G contains a subgraph  $G_i \in \mathcal{G}$ .

In the case that  $\mathcal{G} = \langle m, l \rangle$ , i.e.  $\mathcal{G}$  equals the set of all graphs with m vertices and l edges, the value of  $\beta_{\mathcal{G}}(\alpha, p)$  can be interpreted as the local density of a graph G whose local density with respect to subgraphs of order m does not exceed l-1.

**Theorem 12** Let  $\mathcal{G}$  be the set of all graphs with  $m \geq 3$  vertices and  $\binom{m}{2} - \lambda$  edges, where  $\lambda \in \mathbb{N}_0$  and  $\lambda \leq \frac{m-4}{2}$ , i.e.  $\mathcal{G} := \langle m, \binom{m}{2} - \lambda \rangle$ .

Let  $\alpha \in \mathbb{R}$  with  $0 < \alpha \leq 1$  and let  $\beta_{\mathcal{G}}(\alpha, p) \in \mathbb{R}$  be the smallest positive number with property (P3). Furthermore let  $\alpha p$  be a positive integer such that  $\alpha p \geq m$ . Then

$$\begin{split} \beta_{\mathcal{G}}(\alpha,p) &= \alpha \left(1 - \frac{1}{p} \left\lfloor \frac{p + \sigma}{m - \lambda - 1} \right\rfloor \right) - \left(\frac{1}{2} - \frac{m - \lambda - 1}{2p^2} \left\lfloor \frac{p + \sigma}{m - \lambda - 1} \right\rfloor^2 \right) \\ &- \frac{1}{p} \left(\frac{1}{2} + (1 - \alpha)\sigma - \frac{m - \lambda - 1}{2p} \left\lfloor \frac{p + \sigma}{m - \lambda - 1} \right\rfloor \right) - \frac{1}{2p^2} \left(\sigma^2 + \sigma\right) \\ &\leq \frac{m - \lambda - 2}{m - \lambda - 1} \left(\alpha - \frac{1}{2}\right) \\ &\text{where } \sigma := \left\{ \begin{array}{cc} 1 & \text{if } m - \lambda - 1 \mid p + 1 \\ 0 & \text{else} \end{array} \right. \\ &\text{and} \quad \alpha \geq \alpha_{\min} := 1 - \frac{\sqrt{(p + 1)(m - \lambda - 1) + \frac{1}{4} - m - \lambda + \frac{1}{2}}}{p(m - \lambda - 1)}. \end{split}$$

**Proof:** Theorem 12 can be proven analogously to Theorem 11 by using Theorem 6 and replacing m by  $m - \lambda$ .

Thus Conjecture 2 can be generalized:

**Conjecture 3** Let  $\mathcal{G} := \langle m, {m \choose 2} - \lambda \rangle$  be the set of graphs with  $m \geq 3$  vertices and  ${m \choose 2} - \lambda$  edges, where  $\lambda \in \mathbb{N}_0$  and  $\lambda \leq \frac{m-4}{2}$ . Furthermore, let  $\alpha \in \mathbb{R}$  with  $0 < \alpha \leq 1$ . Then

$$\beta_{\mathcal{G}}(\alpha) = \frac{m - \lambda - 2}{m - \lambda - 1} \left( \alpha - \frac{1}{2} \right)$$

for some values of  $\alpha$  close to 1.

## 6 Conclusions

An interesting behaviour of the values of  $r(K_m, \langle n, k \rangle)$  depending on k has been proven in this paper. Starting with  $k = \binom{n}{2} - t_{K_m}(n)$  the values of  $r(K_m, \langle n, k \rangle)$  grow rapidly whereas with increasing values of k (and therefore  $s_i$ ) the slope of  $r(K_m, \langle n, k \rangle)$  decreases again.

At least one important question remains open: There must exist a point of inflection, i.e. a value of k for which the slope of  $r(K_m, \langle n, k \rangle)$  starts to increase again. The known lower bounds for  $r(K_m, K_n)$  show that at least one point like this must exist.

Furthermore the results obtained for  $r(K_m, \langle n, k \rangle)$  have been transferred to the concept of the local density  $\beta_{K_m}(\alpha, p)$  of  $K_m$ -free graphs, for which some previously unknown values have been determined.

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