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# Quantum Summation with an Application to Integration

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## Quantum Summation with an Application to Integration

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#### Abstract

We study summation of sequences and integration in the quantum model of computation. We develop quantum algorithms for computing the mean of sequences which satisfy a *p*-summability condition and for integration of functions from Lebesgue spaces  $L_p([0, 1]^d)$  and analyze their convergence rates. We also prove lower bounds which show that the proposed algorithms are, in many cases, optimal within the setting of quantum computing. This extends recent results of Brassard, Høyer, Mosca, and Tapp (2000) on computing the mean for bounded sequences and complements results of Novak (2001) on integration of functions from Hölder classes.

#### 1 Introduction

Quantum algorithms and complexity are by now well studied for various discrete problems. This includes such milestones as Shor's (1994) factorization and Grover's (1996) search algorithm. Much less is understood about numerical problems, computational problems of analysis. These problems are typically defined on a continuum and/or take values in a continuum, such as the field of real or complex numbers, domains in finite dimensional vector spaces or even infinite dimensional normed spaces like function spaces.

First results related to this direction concern the counting problem (Boyer, Brassard, Høyer, and Tapp, 1998) and the computation of the mean (Grover, 1998, Brassard, Høyer, Mosca, and Tapp, 2000) of finite sequences which satisfy a uniform bound (e.g. whose elements belong to the interval

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[0,1]). Matching lower bounds were obtained by Nayak and Wu (1999) using the polynomial method of Beals, Buhrman, Cleve, and Mosca (1998). Abrams and Williams (1999) proposed certain quantum algorithms for integration. Novak (2001) was the first to provide quantum integration algorithms with matching upper and lower bounds. He studied an important class of integrands - functions which belong to Hölder spaces. His work is closely related to information-based complexity - a frame in which the complexity of numerical problems is studied (in the classical setting).

Mainly due to efforts within this theory, by now for many important problems of numerical analysis matching upper and lower complexity bounds (or in other words, optimal convergence rates) are known for both the classical deterministic and randomized setting. It is a challenging task to study these problems in the setting of quantum computation. Once such results are obtained, one can compare them to the deterministic and randomized classical ones to understand the possible speedups by quantum algorithms. Novak (2001) did the first step toward this, and the present paper as well as related work, Heinrich and Novak (2001a,b) and Heinrich (2001), go further along this line.

In the present paper we study quantum summation of sequences satisfying *p*-summability conditions. These classes are larger than that of uniformly bounded sequences (precise definitions are given in section 3) and cannot be handled by the previous algorithms. But the solution of this problem is needed for the understanding of quantum integration in various function spaces (different from Hölder classes) characterized by *p*-integrability conditions, such as the Lebesgue spaces  $L_p([0,1]^d)$ , studied here in section 5, and the Sobolev spaces analyzed in Heinrich (2001). In the present paper we therefore develop quantum algorithms for computing the sum of such sequences. We also prove lower bounds which are, in many cases, matching with the obtained upper bounds, showing the optimality of the algorithms. (The picture is completed in Heinrich and Novak 2001b, where the case is settled which is left open here.) These results enable us to completely determine (in one case, up to a logarithmic factor) the optimal order of convergence of quantum integration in Lebesgue spaces  $L_p([0, 1]^d)$ .

Comparing the result both for summation and integration with the randomized classical setting, we observe a considerable gain by quantum computing – the quantum speed of convergence equals the square of the randomized classical one. The gain over deterministic classical algorithms can even be exponential (see the details in sections 5 and 6).

To put the problem formulations and the results on a firm mathematical basis it was necessary to extend the usual model of quantum computation (we follow Beals, Buhrman, Cleve, and Mosca, 1998) to the setting of numerical problems, to the fields of real or complex numbers, normed spaces of functions etc. This extension was widely inspired by the approach of information-based complexity theory to numerical problems in the classical settings and can be viewed, in fact, as a quantum setting of this theory.

The paper is organized as follows. The general approach is presented in section 2. Upper bounds for summation of *p*-summable sequences and respective algorithms are contained in section 3. General results concerning lower bounds as well as their application to summation are given in section 4. Section 5 is devoted to the application of the previous results to integration of functions from the Lebesgue spaces  $L_p([0,1]^d)$ . Finally, in section 6 we give comparisons to results in the classical deterministic and randomized settings and comment on some further related issues.

For background reading in quantum computing we refer to the surveys Ekert, Hayden, and Inamori (2000), Shor (2000), and the monographs Pittenger (1999), Gruska (1999) and Nielsen and Chuang (2000). For notions and results in information-based complexity theory see the monographs Traub, Wasilkowski, and Woźniakowski (1988), Novak (1988), and the survey of the randomized setting Heinrich (1993).

### 2 A General Framework for Numerical Quantum Algorithms

We are given nonempty sets D, K, a nonempty set F of functions on D with values in K and a function S from F to a normed space G. By a normed space we always mean a normed linear space over  $\mathbf{K}$ , where  $\mathbf{K}$  is either  $\mathbf{R}$  or  $\mathbf{C}$ , the field of real or complex numbers. We seek to compute (approximately) S(f) for  $f \in F$ , where f can only be accessed through its values (that is, we assume that f is given as a black box – given  $t \in D$ , this black box returns  $f(t) \in K$ ).

This general framework includes, on one hand, the binary case, where  $D = \{0, \ldots, N-1\}, K = \{0, 1\}, F$  consists of all Boolean functions, i.e. all functions from D to K, and S maps F to  $G = \mathbf{R}$  (which contains  $\{0, 1\}$ ). On the other hand, in numerical problems, D is usually some subset of  $\mathbf{R}^d$ ,  $K = \mathbf{K}$ , F is usually a subset of a normed linear space of functions (or tuples of functions) from D to  $\mathbf{K}$ , and S is a mapping (also called the solution operator) from F to G, where G is either  $\mathbf{K}$  or a normed space of functions.

We want to study algorithms and complexity of solving these problems

on a quantum computer. For this purpose, we adopt standard notation of quantum computing. Let  $H_1$  be the two-dimensional complex Hilbert space  $\mathbf{C}^2$ ,  $\{e_0, e_1\}$  its unit vector basis, let

$$H_m = H_1 \otimes \cdots \otimes H_1$$

be the Hilbertian tensor product of m copies of  $H_1$ . We use the standard identifications such as writing  $e_i$  or  $|i\rangle$  for  $e_{j_0} \otimes \cdots \otimes e_{j_{m-1}}$ , where  $i = \sum_{k=0}^{m-1} j_k 2^{m-1-k}$  is the binary expansion of i. When identifying  $H_m$  with  $H_{m_1} \otimes \cdots \otimes H_{m_\ell}$ , where  $\sum_{k=1}^{\ell} m_j = m$ , we also identify  $e_i$  with the respective  $e_{i_1} \otimes \cdots \otimes e_{i_\ell}$  and  $|i_1\rangle \ldots |i_\ell\rangle$ , and finally also i itself with  $(i_1, \ldots, i_\ell)$  in the respective way. For convenience we use the following notation:

$$\mathbf{Z}[0, N) := \{0, \dots, N-1\}$$

for  $N \in \mathbf{N}$  (as usual, we let  $\mathbf{N} = 1, 2, ..., \mathbf{N}_0 = \mathbf{N} \cup \{0\}$ ). Let  $\mathcal{C}_m = \{|i\rangle : i \in \mathbf{Z}[0, 2^m)\}$  be the set of basis vectors of  $H_m$ , also called classical states, or basis states, and let  $\mathcal{U}(H_m)$  denote the set of unitary operators on  $H_m$ .

First we introduce the notion of a quantum query (in our setting of D, K, F, G and S). A quantum query on F is given by a tuple

$$Q = (m, m', m'', Z, \tau, \beta), \tag{1}$$

where  $m,m',m''\in {\bf N},m'+m''\leq m,Z\subseteq {\bf Z}[0,2^{m'})$  is a nonempty subset, and

$$\tau: Z \to D$$
$$\beta: K \to \mathbf{Z}[0, 2^{m''})$$

are arbitrary mappings. The meaning of these components will be explained below. Such a tuple Q defines a query mapping (we use the same symbol Q)

$$Q: F \to \mathcal{U}(H_m)$$
$$f \to Q_f$$

as follows: Let any  $h \in C_m$  be represented as  $h = |i\rangle |x\rangle |y\rangle$  with  $|i\rangle \in C_{m'}, |x\rangle \in C_{m''}, |y\rangle \in C_{m-m'-m''}$  (if m = m' + m'', we drop the last component). Then  $Q_f$  is the unitary operator defined uniquely by its action on  $C_m$ :

$$Q_f |i\rangle |x\rangle |y\rangle = \begin{cases} |i\rangle |x \oplus \beta(f(\tau(i)))\rangle |y\rangle & \text{if } i \in \mathbb{Z} \\ |i\rangle |x\rangle |y\rangle & \text{otherwise,} \end{cases}$$
(2)

where here and in the sequel  $\oplus$  always means addition modulo the respective power of 2, here modulo  $2^{m''}$ . Let m(Q) denote the first component of Q, that is, the total number of qubits. If m(Q) = m, we also say that Q is an *m*-qubit quantum query.

This notion contains the binary black box query typically used in quantum computation (see, e.g. Beals, Buhrman, Cleve, and Mosca, 1998) as a particular case: Such a binary query associates to a  $\{0,1\}$ -valued function  $\kappa$  defined on  $\mathbb{Z}[0,2^{m'})$  the operator  $Q_{\kappa}$  which maps  $|i\rangle |x\rangle |y\rangle$  to  $|i\rangle |x \oplus \kappa(i)\rangle |y\rangle$ , where  $i \in \mathcal{C}_{m'}$  and  $x \in \mathcal{C}_1$ . In our situation we have to deal with two more general domains: D and K. The mapping  $\tau : i \to \tau(i) \in D$ describes the (chosen by the algorithm designer) correspondence of binary strings with certain elements of the domain of definition of functions from F. Since at request  $\tau(i)$  the black box returns  $f(\tau(i))$ , which is an element of K, we need a second mapping  $\beta$ , which maps ("codes") elements from K into binary strings. (This is also chosen by the algorithm designer.) As usual, the untouched part  $|y\rangle$  stands for "working bits".

Note that, by the definition, a quantum query on F is also a quantum query on any other nonempty subset  $F_1 \subseteq \mathcal{F}(D, K)$ , and in particular, on  $\mathcal{F}(D, K)$  itself. Here  $\mathcal{F}(D, K)$  denotes the set of all functions from D to K. Indeed, the mapping  $Q_f$  is defined for each  $f \in \mathcal{F}(D, K)$ .

Next we define quantum algorithms in the general framework of D, K, F, G and S. It will be convenient for us to introduce algorithms with multiple measurements. We show later in this section how they can be simulated by algorithms with one measurement. Let us first describe informally what we mean by a quantum algorithm with k measurements: Such an algorithm starts with a fixed basis state  $b_0$  and applies in an alternating way unitary transformations (not depending on f) and a certain query, associated to the algorithm. After a fixed number of steps the resulting state is measured, which gives a (random) basis state  $\xi_0$ . This state is memorized and then transformed (e.g. by a classical computer) into a new basis state  $b_1$ . This is the starting state to which the next sequence of quantum operations is applied (with possibly another query and number of qubits). The resulting state is again measured, which gives the (random) basis state  $\xi_1$ . This state is memorized, and  $b_2$  is computed from  $\xi_0$  and  $\xi_1$ , and so on. After k such cycles, we obtained  $\xi_0, \ldots, \xi_{k-1}$ . Then finally an element of G – the output of the algorithm – is computed (e.g. again on a classical computer) from the results of all measurements:  $\varphi(\xi_0, \ldots, \xi_{k-1})$ .

Now we formalize this: A quantum algorithm on F with no measurement

is a tuple

$$A = (Q, (U_j)_{j=0}^n),$$

where Q is a quantum query on F,  $n \in \mathbf{N}_0$  and  $U_j \in \mathcal{U}(H_m)$  (j = 0, ..., n), with m = m(Q) (in the case n = 0, no query Q is needed). Given such an A and  $f \in F$ , we let  $A_f \in \mathcal{U}(H_m)$  be defined as

$$A_f = U_n Q_f U_{n-1} \dots U_1 Q_f U_0. \tag{3}$$

We denote by  $n_q(A) := n$  the number of queries and by m(A) = m = m(Q)the number of qubits used by A. We also introduce the following notation. Let  $A_f(x, y)$  for  $x, y \in \mathbb{Z}[0, 2^m)$  be given by

$$A_f |y\rangle = \sum_{x \in \mathbf{Z}[0,2^m)} A_f(x,y) |x\rangle.$$
(4)

Hence  $(A_f(x, y))_{x,y}$  is the matrix of the transformation  $A_f$  in the canonical basis  $\mathcal{C}_m$ .

A quantum algorithm on F with output in G (or shortly, from F to G) with k measurements is a tuple

$$A = ((A_{\ell})_{\ell=0}^{k-1}, (b_{\ell})_{\ell=0}^{k-1}, \varphi),$$

where  $k \in \mathbf{N}$ , and  $A_{\ell}$  ( $\ell = 0, ..., k - 1$ ) are quantum algorithms on F with no measurements. To explain the other components, set  $m_{\ell} = m(A_{\ell})$ . Then

$$b_0 \in \mathbf{Z}[0, 2^{m_0}),$$

for  $1 \leq \ell \leq k - 1$ ,  $b_{\ell}$  is a function

$$b_{\ell}: \prod_{i=0}^{\ell-1} \mathbf{Z}[0, 2^{m_i}) \to \mathbf{Z}[0, 2^{m_\ell}),$$

and  $\varphi$  is a function with values in G

$$\varphi: \prod_{\ell=0}^{k-1} \mathbf{Z}[0, 2^{m_\ell}) \to G.$$

We also say that A is a quantum algorithm with measurement(s), or just a quantum algorithm.

Let  $\mathcal{P}_0(G)$  denote the set of all probability measures on G whose support is a finite set. The output of A at input  $f \in F$  will be an element  $A(f) \in$   $\mathcal{P}_0(G)$  (we use the same symbol A for the mapping  $A: F \to \mathcal{P}_0(G)$ ). We define A(f) via a sequence of random variables  $(\xi_{\ell,f})_{\ell=0}^{k-1}$  (we assume that all random variables are defined over a fixed – suitably large – probability space  $(\Omega, \Sigma, \mathbf{P})$ ). So let  $f \in F$  be fixed. Now let  $\xi_{\ell,f}$  be such that

$$\mathbf{P}\{\xi_{0,f} = x\} = |A_{0,f}(x, b_0)|^2 \tag{5}$$

and, for  $1 \leq \ell \leq k - 1$ ,

$$\mathbf{P}\{\xi_{\ell,f} = x \mid \xi_{0,f} = x_0, \dots, \xi_{\ell-1,f} = x_{\ell-1}\} = |A_{\ell,f}(x, b_\ell(x_0, \dots, x_{\ell-1}))|^2.$$
(6)

Clearly, this defines the distribution of  $(\xi_{\ell,f})_{\ell=0}^{k-1}$  uniquely. Let us define for  $x_0 \in \mathbf{Z}[0, 2^{m_0}), \ldots, x_{k-1} \in \mathbf{Z}[0, 2^{m_{k-1}})$ 

$$p_{A,f}(x_0,\ldots,x_{k-1}) = |A_{0,f}(x_0,b_0)|^2 |A_{1,f}(x_1,b_1(x_0))|^2 \dots$$
  
$$\dots |A_{k-1,f}(x_{k-1},b_{k-1}(x_0,\ldots,x_{k-2}))|^2.$$
(7)

It follows from (5) and (6) that

$$\mathbf{P}\{\xi_{0,f} = x_0, \dots, \xi_{k-1,f} = x_{k-1}\} = \dot{p}_{A,f}(x_0, \dots, x_{k-1}).$$
(8)

Finally we define the output of A at input f as

$$A(f) = \operatorname{dist}(\varphi(\xi_{0,f},\ldots,\xi_{k-1,f})),$$

the distribution of  $\varphi(\xi_{0,f},\ldots,\xi_{k-1,f})$ . This random variable takes only finitely many values in G, hence the support of A(f) is finite (and no measurability problems related to the target space G will arise). It follows from (8) that for any subset  $C \subseteq G$ 

$$A(f)\{C\} = \sum_{\varphi(x_0, \dots, x_{k-1}) \in C} p_{A, f}(x_0, \dots, x_{k-1}).$$
(9)

We note that, analogously to quantum queries, a quantum algorithm on F is automatically also a quantum algorithm on any nonempty  $F_1 \subseteq \mathcal{F}(D, K)$ .

is automatically also a quantum algorithm on any nonempty  $F_1 \subseteq \mathcal{F}(D, K)$ . The number  $n_q(A) := \sum_{\ell=0}^{k-1} n_q(A_\ell)$  is called the number of queries used by A. This is the crucial quantity for the purposes of our query complexity analysis. (In section 6 we give some comments on the cost in the bit-model.)

Let  $0 \le \theta < 1$ . For an algorithm A as above we define the (probabilistic) error at  $f \in F$  as follows. Let  $\zeta$  be a random variable with distribution A(f). Then

$$e(S, A, f, \theta) = \inf \{ \varepsilon \mid \mathbf{P}\{ \|S(f) - \zeta\| > \varepsilon \} \le \theta \}$$

(note that this infimum is always attained). Hence  $e(S, A, f, \theta) \leq \varepsilon$  iff the algorithm A computes S(f) with error at most  $\varepsilon$  and probability at least  $1 - \theta$ . We put

$$e(S, A, F, \theta) = \sup_{f \in F} e(S, A, f, \theta)$$

(we allow the value  $+\infty$  for this quantity). Furthermore, we set

$$e(S, A, f) = e(S, A, f, 1/4)$$

and similarly,

$$e(S, A, F) = e(S, A, F, 1/4).$$

The central quantity of our study is the *n*-th minimal (query) error, defined for  $n \in \mathbf{N}_0$  by

 $e_n^q(S, F) = \inf\{e(S, A, F) \mid A \text{ is any quantum algorithm with } n_q(A) \le n\},\$ 

that is, the smallest error which can be reached using at most n queries. The query complexity is defined for  $\varepsilon > 0$  by

 $\operatorname{comp}_{\varepsilon}^{q}(S, F) = \min\{n_{q}(A) \mid A \text{ is any quantum algorithm with } e(S, A, F) \leq \varepsilon\}$ 

(we put  $\operatorname{comp}_{\varepsilon}^q(S, F) = +\infty$  if there is no such algorithm). It is easily checked that these functions are inverse to each other in the following sense: For all  $n \in \mathbb{N}_0$  and  $\varepsilon > 0$ ,  $e_n^q(S, F) \leq \varepsilon$  if and only if  $\operatorname{comp}_{\varepsilon_1}^q(S, F) \leq n$  for all  $\varepsilon_1 > \varepsilon$ . Hence it suffices to determine one of them. We shall usually choose the first one.

Our first general result shows the tight relation between algorithms with several measurements and (the conceptually simpler) algorithms with one measurement. It states that an algorithm with several measurements can always be represented equivalently by an algorithm with one measurement and twice the number of queries (at the expense of an increased number of qubits).

**Lemma 1.** For each quantum algorithm A from F to G with k measurements there is a quantum algorithm  $\widetilde{A}$  from F to G with one measurement such that  $n_q(\widetilde{A}) = 2n_q(A)$  and

$$A(f) = A(f)$$

for all  $f \in F$ .

*Proof.* By ' $\ell$ -th quantum cycle' we mean the quantum operations in the original algorithm before the first measurement if  $\ell = 0$ , and between the  $\ell$ -th and the  $\ell$  + 1-st measurement if  $1 \leq \ell \leq k - 1$ . The idea of the proof is easy: We simulate the k queries by one query and instead of intermediate measurements we 'store' the results of the cycles in different components until the final measurement (a pseudo-code is given below). Let us now formalize this and check that the corresponding probabilities coincide. Let the original algorithm be given by

$$A = ((A_{\ell})_{\ell=0}^{k-1}, (b_{\ell})_{\ell=0}^{k-1}, \varphi),$$

where

$$A_{\ell} = (Q_{\ell}, (U_{\ell j})_{j=0}^{n_{\ell}}),$$

and

 $Q_{\ell} = (m_{\ell}, m'_{\ell}, m''_{\ell}, Z_{\ell}, \tau_{\ell}, \beta_{\ell}).$ 

By adding, if necessary, qubits, which are set to zero and remain so during the whole  $\ell$ -th cycle we may assume without loss of generality that  $m'_{\ell} \equiv m'$ . Let<sup>1</sup>  $k_0 = \lceil \log k \rceil$ , define  $\tilde{m}' = m' + k_0$ , and  $\tilde{Z} \subset \mathbf{Z}[0, 2^{\tilde{m}'})$  by

$$Z = \{(\ell, i) \mid 0 \le \ell \le k - 1, i \in Z_{\ell}\}.$$

Now we define

$$\widetilde{\tau}: \overline{Z} \to D$$
$$\widetilde{\tau}(\ell, i) = \tau_{\ell}(i) \quad \text{for} \quad (\ell, i) \in \widetilde{Z}.$$

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Moreover, we set

$$\widetilde{m}'' = \sum_{\ell=0}^{k-1} m_{\ell}'',$$

$$\widetilde{\beta} : K \to \mathbf{Z}[0, 2^{\widetilde{m}''})$$

$$\widetilde{\beta}(s) = (\beta_0(s), \dots, \beta_{k-1}(s)) \quad \text{for} \quad s \in K,$$

$$\widetilde{m} = k_0 + \widetilde{m}'' + \sum_{\ell=0}^{k-1} m_{\ell}$$

$$\widetilde{Q} = (\widetilde{m}, \widetilde{m}', \widetilde{m}'', \widetilde{Z}, \widetilde{\tau}, \widetilde{\beta}).$$

Let us fix the following notation: Consider the splitting

 $H_{\widetilde{m}} = H_{k_0} \otimes H_{\widetilde{m}''} \otimes H_{m_0} \otimes \cdots \otimes H_{m_{k-1}}.$ 

<sup>&</sup>lt;sup>1</sup>Throughout this paper log stands for  $\log_2$ .

The representation of a basis state

$$|i\rangle |u\rangle |x_0\rangle \dots |x_{k-1}\rangle$$

refers to this splitting. We also need refined splittings. We represent

$$H_{\widetilde{m}''} = H_{m_0''} \otimes \cdots \otimes H_{m_{k-1}''},$$

and

$$|u\rangle = |u_0\rangle \dots |u_{k-1}\rangle$$

corresponds to that splitting. Similarly,

$$H_{m_{\ell}} = H_{m'} \otimes H_{m''_{\ell}} \otimes H_{m-m'-m''_{\ell}}$$

with the respective

$$|x_{\ell}\rangle = |i_{\ell}\rangle |y_{\ell}\rangle |z_{\ell}\rangle.$$

Next we define the following unitary operators on  $H_{\widetilde{m}}$  by their action on the basis states:

$$J |i\rangle |u\rangle |x_0\rangle \dots |x_{k-1}\rangle = |i\rangle |\Theta u\rangle |x_0\rangle \dots |x_{k-1}\rangle,$$

where  $\ominus$  means subtraction modulo  $2^{\widetilde{m}''}$  and  $\ominus u$  stands for  $0 \ominus u$ ,

$$C \ket{i} \ket{u} \ket{x_0} \dots \ket{x_{k-1}} = \ket{i \oplus 1} \ket{u} \ket{x_0} \dots \ket{x_{k-1}}$$

for  $\ell = 0, \dots, k - 1, j = 0, \dots, n_{\ell}$ ,

$$T_{\ell} |i\rangle |u_{0}\rangle \dots |u_{\ell}\rangle \dots |u_{k-1}\rangle |x_{0}\rangle \dots |i_{\ell}\rangle |y_{\ell}\rangle |z_{\ell}\rangle \dots |x_{k-1}\rangle$$

$$= |i\rangle |u_{0}\rangle \dots |u_{\ell}\rangle \dots |u_{k-1}\rangle |x_{0}\rangle \dots |i_{\ell}\rangle |y_{\ell} \oplus u_{\ell}\rangle |z_{\ell}\rangle \dots |x_{k-1}\rangle$$

$$\widetilde{U}_{\ell j} |i\rangle |u\rangle |x_{0}\rangle \dots |x_{\ell}\rangle \dots |x_{k-1}\rangle = |i\rangle |u\rangle |x_{0}\rangle \dots (U_{\ell j} |x_{\ell}\rangle) \dots |x_{k-1}\rangle,$$

$$P_{\ell} |i\rangle |u\rangle |x_{0}\rangle \dots |i_{\ell}\rangle |y_{\ell}\rangle |z_{\ell}\rangle \dots |x_{k-1}\rangle,$$

$$= |i\rangle |i_{\ell}\rangle |u\rangle |x_{0}\rangle \dots |y_{\ell}\rangle |z_{\ell}\rangle \dots |x_{k-1}\rangle,$$

and finally, for  $\ell = 1, \ldots, k - 1$ ,

$$B_{\ell} |i\rangle |u\rangle |x_0\rangle \dots |x_{\ell-1}\rangle |x_{\ell}\rangle \dots |x_{k-1}\rangle = |i\rangle |u_0\rangle |x_0\rangle \dots |x_{\ell-1}\rangle |x_{\ell} \oplus b_{\ell}(x_0, \dots, x_{\ell-1})\rangle \dots |x_{k-1}\rangle.$$

Now we present the simulation of the queries  $Q_{\ell,f}$  by  $\widetilde{Q}_f$ : Let  $0 \leq \ell \leq k-1$ . It is readily checked, that if we apply the operator  $P_{\ell}^{-1}\widetilde{Q}_f P_{\ell}$  to the state

$$|\ell\rangle |0\rangle |x_0\rangle \dots |x_{k-1}\rangle$$
,

we get

$$|\ell\rangle \left| \widetilde{\beta}(f(\widetilde{\tau}(\ell, i_{\ell}))) \right\rangle |x_{0}\rangle \dots |x_{k-1}\rangle = |\ell\rangle \left| \beta_{0}(f(\tau_{\ell}(i_{\ell}))) \right\rangle \dots \left| \beta_{k-1}(f(\tau_{\ell}(i_{\ell}))) \right\rangle |x_{0}\rangle \dots |x_{k-1}\rangle ,$$

provided  $i_{\ell} \in Z_{\ell}$ . Applying then  $T_{\ell}$  to this state gives

$$\begin{aligned} &|\ell\rangle \left| \widetilde{\beta}(f(\widetilde{\tau}(\ell, i_{\ell}))) \right\rangle |x_{0}\rangle \dots |i_{\ell}\rangle |y_{\ell} \oplus \beta_{\ell}(f(\tau_{\ell}(i_{\ell})))\rangle |z_{\ell}\rangle \dots |x_{k-1}\rangle \\ &= |\ell\rangle \left| \widetilde{\beta}(f(\widetilde{\tau}(\ell, i_{\ell}))) \right\rangle |x_{0}\rangle \dots (Q_{\ell, f} |x_{\ell}\rangle) \dots |x_{k-1}\rangle \,. \end{aligned}$$

Next J is applied which yields

$$|\ell\rangle \left|\ominus \widetilde{\beta}(f(\widetilde{\tau}(\ell, i_{\ell})))\right\rangle |x_0\rangle \dots \langle Q_{\ell, f}|x_{\ell}\rangle) \dots |x_{k-1}\rangle,$$

and finally the application of  $P_{\ell}^{-1} \widetilde{Q}_f P_{\ell}$  produces

$$|\ell\rangle |0\rangle |x_0\rangle \dots (Q_{\ell,f} |x_\ell\rangle) \dots |x_{k-1}\rangle.$$

If  $i_{\ell} \notin Z_{\ell}$ , this also holds, which is checked in the same way. Hence we showed that

$$P_{\ell}^{-1} \tilde{Q}_{f} P_{\ell} J T_{\ell} P_{\ell}^{-1} \tilde{Q}_{f} P_{\ell} |\ell\rangle |0\rangle |x_{0}\rangle \dots |x_{\ell}\rangle \dots |x_{k-1}\rangle$$

$$= |\ell\rangle |0\rangle |x_{0}\rangle \dots (Q_{\ell,f} |x_{\ell}\rangle) \dots |x_{k-1}\rangle.$$
(10)

The new algorithm can now be described as follows:

 $\begin{array}{l} \mbox{initialize } |0\rangle \left| b_{0} \right\rangle \left| b_{0} \right\rangle \left| 0 \right\rangle \ldots \left| 0 \right\rangle \\ \mbox{for } \ell = 0, \ldots, k-1 \mbox{ do} \\ \mbox{apply } \widetilde{U}_{\ell,0} \quad (\mbox{beginning of } \ell\mbox{-th cycle of original algorithm}) \\ \mbox{for } j = 1, \ldots, n_{\ell} \\ \mbox{apply } P_{\ell}^{-1} \widetilde{Q}_{f} P_{\ell} J T_{\ell} P_{\ell}^{-1} \widetilde{Q}_{f} P_{\ell} \\ \mbox{apply } \widetilde{U}_{\ell j} \quad (\mbox{end of } \ell\mbox{-th cycle of original algorithm}) \\ \mbox{if } \ell \neq k-1 \\ \mbox{apply } B_{\ell+1} \quad (\mbox{computing } b_{\ell+1} \mbox{ as initial state of next cycle}) \\ \end{array}$ 

apply C (increasing the counter by one) measure all qubits corresponding to the components  $H_{m_0}, \ldots, H_{m_{k-1}}$  (let  $|x_0\rangle \ldots |x_{k-1}\rangle$  be the result) compute  $\varphi(x_0, \ldots, x_{k-1})$ .

The starting passage through the outer loop  $(\ell = 0)$  acts as follows:

$$|0\rangle |0\rangle |b_0\rangle |0\rangle^{(k-1)} \to |1\rangle |0\rangle \left(\sum_{x_0} A_{0,f}(x_0, b_0) |x_0\rangle |b_1(x_0)\rangle\right) |0\rangle^{(k-2)}.$$

The passage with index  $\ell$ ,  $1 \leq \ell \leq k-2$ , maps each basis state of the form

$$|\ell\rangle |0\rangle |x_0\rangle \dots |x_{\ell-1}\rangle |y\rangle |0\rangle^{(k-\ell-1)}$$

into

$$|\ell+1\rangle|0\rangle|x_0\rangle\dots|x_{\ell-1}\rangle\left(\sum_{x_{\ell}}A_{\ell,f}(x_{\ell},y)|x_{\ell}\rangle|b_{\ell+1}(x_0,\dots,x_{\ell})\rangle\right)|0\rangle^{(k-\ell-2)}$$

Finally, the last passage  $(\ell = k - 1)$  acts as follows:

$$|k-1\rangle |0\rangle |x_0\rangle \dots |x_{k-2}\rangle |y\rangle \rightarrow |k-1\rangle |0\rangle |x_0\rangle \dots |x_{k-2}\rangle \left(\sum_{x_{k-1}} A_{k-1,f}(x_{k-1},y) |x_{k-1}\rangle\right).$$

From this it follows that the overall result of the algorithm before measurement is the state

$$\sum_{x_0,\dots,x_{k-1}} A_{0,f}(x_0,b_0) A_{1,f}(x_1,b_1(x_0)) \dots$$
$$\dots A_{k-1,f}(x_{k-1},b_{k-1}(x_0,\dots,x_{k-2})) |k-1\rangle |0\rangle |x_0\rangle \dots |x_{k-1}\rangle.$$

The probability of measuring  $|x_0\rangle \dots |x_{k-1}\rangle$  is thus

$$|A_{0,f}(x_0,b_0)|^2 |A_{1,f}(x_1,b_1(x_0))|^2 \dots |A_{k-1,f}(x_{k-1},b_{k-1}(x_0,\dots,x_{k-2}))|^2,$$

which equals

$$\mathbf{P}\{\xi_{0,f} = x_0, \dots, \xi_{k-1,f} = x_{k-1}\},\$$

by (7) and (8). This proves the lemma.

We will sometimes write that we repeat a quantum algorithm a number of times, or, more generally, that we apply to  $f \in F$  a finite sequence of algorithms  $A_i$  from F to  $G_i$  (i = 0, ..., M - 1) and combine the results by the help of a classical computation. Let

$$\psi: G_0 \times \cdots \times G_{M-1} \to G$$

be any mapping. Using our notion of a quantum algorithm with measurements, a formal representation of the composed algorithm A, which we write symbolically as

$$A = \psi(A_0, \dots, A_{M-1}), \tag{11}$$

can easily be given as follows: Let

$$A_{i} = ((A_{i,\ell})_{\ell=0}^{k_{i}-1}, (b_{i,\ell})_{\ell=0}^{k_{i}-1}, \varphi_{i}),$$

put  $k = \sum_{i=0}^{M-1} k_i$ , let the set

$$\Upsilon = \{(i, \ell) \mid i = 0, \dots, M - 1, \ell = 0, \dots, k_i - 1\}$$

be equipped with the lexicographical order, and let

$$\varphi = \psi(\varphi_0, \ldots, \varphi_{M-1}).$$

Then we define

$$\psi(A_0,\ldots,A_{M-1})=((A_v)_{v\in\Upsilon},(b_v)_{v\in\Upsilon},\varphi).$$

The next lemma gives some further description of the composition and is readily checked using the definition of a quantum algorithm. We need the following notation: For probability measures  $\mu_0, \ldots, \mu_{M-1} \in \mathcal{P}_0(G)$  let  $\psi(\mu_0, \ldots, \mu_{M-1}) \in \mathcal{P}_0(G)$  be the measure induced by  $\mu_0 \times \cdots \times \mu_{M-1}$  via  $\psi$ on G, that is, for  $C \subseteq G$ ,

$$\psi(\mu_0, \dots, \mu_{M-1})(C) = (\mu_0 \times \dots \times \mu_{M-1})(\psi^{-1}(C)).$$

**Lemma 2.** For each  $f \in F$ ,

$$\psi(A_0,\ldots,A_{M-1})(f) = \psi(A_0(f),\ldots,A_{M-1}(f)),$$

or stated equivalently, if  $(\zeta_i)_{i=0}^{M-1}$  are independent random variables with distribution  $A_i(f)$  respectively, then

$$\psi(A_0,\ldots,A_{M-1})(f) = \operatorname{dist}(\psi(\zeta_0,\ldots,\zeta_{M-1})).$$

Moreover,

$$n_q(\psi(A_0,\ldots,A_{M-1})) = \sum_{i=0}^{M-1} n_q(A_i).$$

The next lemma concerns the special case of repeating an algorithm. It describes a standard technique of boosting the success probability. For completeness, we include the short proof. Let  $G = \mathbf{R}, M \in \mathbf{N}$  and denote by  $\psi_0 : \mathbf{R}^M \to \mathbf{R}$  the mapping given by the median, that is,  $\psi_0(a_0, \ldots, a_{M-1})$  is the value of the of the  $\lceil (M+1)/2 \rceil$ -th element of the non-decreasing rearrangement of  $(a_i)$ . For any algorithm A from F to  $\mathbf{R}$  denote  $\psi_0(A^M) := \psi_0(A, \ldots, A)$ .

**Lemma 3.** Let A be any quantum algorithm and S be any mapping from F to **R**. Then for each  $f \in F$ ,

$$e(S, \psi_0(A^M), f, e^{-M/8}) \le e(S, A, f).$$

*Proof.* Fix  $f \in F$ . Let  $\zeta_0, \ldots, \zeta_{M-1}$  be independent random variables with distribution A(f). Let  $\chi_i$  be the indicator function of the set  $\{|S(f) - \zeta_i| > e(S, A, f)\}$ . Then  $\mathbf{P}\{\chi_i = 1\} \leq 1/4$ . Hoeffding's inequality, see e.g. Pollard (1984), p. 191, yields

$$\mathbf{P}\left\{\sum_{i=0}^{M-1}\chi_i \ge M/2\right\} \le \mathbf{P}\left\{\sum_{i=0}^{M-1}(\chi_i - \mathbf{E}\chi_i) \ge M/4\right\} \le e^{-M/8}.$$

Hence, with probability at least  $1 - e^{-M/8}$ ,

$$|\{i \mid |S(f) - \zeta_i| \le e(S, A, f)\}| > M/2,$$

which implies

$$|S(f) - \psi_0(\zeta_0, \dots, \zeta_{M-1})| \le e(S, A, f).$$

Another way of building new algorithms from previous ones will also be important for us. To explain it, let  $\emptyset \neq F \subseteq \mathcal{F}(D, K)$  and  $\emptyset \neq \widetilde{F} \subseteq \mathcal{F}(\widetilde{D}, \widetilde{K})$ , where  $D, \widetilde{D}, K, \widetilde{K}$  are nonempty sets. In the construction of a new algorithm A on F we sometimes construct from f a function  $\widetilde{f} = \Gamma(f) \in \widetilde{F}$  to which we want to apply an already developed algorithm  $\widetilde{A}$  on  $\widetilde{F}$ . By definition, the algorithm A on F can only use queries Q on F itself, while we need to use  $\widetilde{Q}_{\Gamma(f)}$ , where  $\widetilde{Q}$  is a query on  $\widetilde{F}$ . Nevertheless often a solution can be found as follows: We simulate  $\widetilde{Q}_{\Gamma(f)}$  either as  $Q_f$  with a suitable query Q on F or as  $B_f$ , where B is an algorithm without measurement on F. The details are given below.

The first result covers the simple situation where one query is just replaced by another. Let  $\eta: \widetilde{D} \to D$  and  $\varrho: K \to \widetilde{K}$  be arbitrary mappings and define  $\Gamma: F \to \widetilde{F}$  by

$$\Gamma(f) = \rho \circ f \circ \eta. \tag{12}$$

**Lemma 4.** Let  $\Gamma$  be a mapping of the form (12). Then for each query Q on  $\widetilde{F}$  there is a query Q on F such that  $m(Q) = m(\widetilde{Q})$  and for all  $f \in F$ 

$$Q_f = \widetilde{Q}_{\Gamma(f)}.$$

Proof. Let

$$\widetilde{Q} = (\widetilde{m}, \widetilde{m}', \widetilde{m}'', \widetilde{Z}, \widetilde{\tau}, \widetilde{\beta}).$$

Then we define

$$Q = (\widetilde{m}, \widetilde{m}', \widetilde{m}'', \widetilde{Z}, \tau, \beta),$$

where  $\tau = \eta \circ \tilde{\tau}$  and  $\beta = \tilde{\beta} \circ \varrho$ . Now the lemma follows directly from the query definition.

The second result in this direction is slightly more technical. We assume that we are given a mapping  $\Gamma: F \to \widetilde{F}$  of the following type: There are an  $m^* \in \mathbf{N}$  and mappings

$$\eta : \widetilde{D} \to D$$
  

$$\beta : K \to \mathbf{Z}[0, 2^{m^*})$$
  

$$\varrho : \widetilde{D} \times \mathbf{Z}[0, 2^{m^*}) \to \widetilde{K}$$

such that for  $f \in F$  and  $s \in \widetilde{D}$ 

$$\Gamma(f)(s) = \rho(s, \beta \circ f \circ \eta(s)). \tag{13}$$

**Lemma 5.** Let  $\widetilde{Q}$  be a quantum query on  $\widetilde{F}$  and let  $\Gamma$  be a mapping of the above form (13). Then there is a quantum algorithm without measurement B on F such that  $n_q(B) = 2$ ,  $m(B) = m(\widetilde{Q}) + m^*$  and for all  $f \in F$ ,  $x \in \mathbb{Z}[0, 2^{m(\widetilde{Q})})$ ,

$$B_f |x\rangle |0\rangle_{m^*} = (Q_{\Gamma(f)} |x\rangle) |0\rangle_{m^*},$$

where  $|0\rangle_{m^*}$  stands for the zero state in  $\mathbf{Z}[0, 2^{m^*})$ .

Proof. Let

$$\widetilde{Q} = (\widetilde{m}, \widetilde{m}', \widetilde{m}'', \widetilde{Z}, \widetilde{\tau}, \widetilde{\beta}),$$

and put

$$\begin{split} m &= \widetilde{m} + m^*, \quad m' = \widetilde{m}', \quad m'' = m^*, \\ Z &= \widetilde{Z}, \quad \tau = \eta \circ \widetilde{\tau}, \end{split}$$

let  $\beta$  be as above and define

$$Q = (m, m', m'', Z, \tau, \beta).$$

We represent

$$H_m = H_{\widetilde{m}'} \otimes H_{\widetilde{m}''} \otimes H_{\widetilde{m} - \widetilde{m}' - \widetilde{m}''} \otimes H_{m^*},$$

a basis state of which will be written as

$$|i\rangle |x\rangle |y\rangle |z\rangle$$
.

Define the permutation operator P by

 $P\left|i\right\rangle \left|x\right\rangle \left|y\right\rangle \left|z\right\rangle =\left|i\right\rangle \left|z\right\rangle \left|x\right\rangle \left|y\right\rangle ,$ 

the operator of sign inversion

$$J \ket{i} \ket{z} \ket{x} \ket{y} = \ket{i} \ket{\ominus z} \ket{x} \ket{y},$$

and finally

$$T \left| i \right\rangle \left| z \right\rangle \left| x \right\rangle \left| y \right\rangle = \left| i \right\rangle \left| z \right\rangle \left| x \oplus \widetilde{\beta} \circ \varrho(\widetilde{\tau}(i), z) \right\rangle \left| y \right\rangle$$

if  $i \in \mathbb{Z}$ , and

$$T \ket{i} \ket{z} \ket{x} \ket{y} = \ket{i} \ket{z} \ket{x} \ket{y}$$

if  $i \notin Z$ . We define B by setting for  $f \in F$ ,

$$B_f = P^{-1}Q_f JTQ_f P.$$

Let us trace the action of  $B_f$  on

$$|i\rangle |x\rangle |y\rangle |0\rangle$$
.

First we assume  $i \in \mathbb{Z}$ . The transformation  $Q_f P$  leads to

$$|i\rangle |\beta(f(\tau(i)))\rangle |x\rangle |y\rangle = |i\rangle |\beta \circ f \circ \eta \circ \tilde{\tau}(i)\rangle |x\rangle |y\rangle.$$

Then the above is mapped by T to

$$\begin{aligned} &|i\rangle \left|\beta \circ f \circ \eta \circ \widetilde{\tau}(i)\right\rangle \left|x \oplus \widetilde{\beta} \circ \varrho(\widetilde{\tau}(i), \beta \circ f \circ \eta \circ \widetilde{\tau}(i))\right\rangle \left|y\right\rangle \\ &= &|i\rangle \left|\beta(f(\tau(i)))\right\rangle \left|x \oplus \widetilde{\beta}(\Gamma(f)(\widetilde{\tau}(i)))\right\rangle \left|y\right\rangle, \end{aligned}$$

and  $P^{-1}Q_f J$  gives

$$\left|i\right\rangle \left|x \oplus \widetilde{\beta}(\Gamma(f)(\widetilde{\tau}(i)))\right\rangle \left|y\right\rangle \left|0\right\rangle = \left(\widetilde{Q}_{\Gamma(f)} \left|i\right\rangle \left|x\right\rangle \left|y\right\rangle\right) \left|0\right\rangle.$$

The case  $i \notin Z$  is checked analogously.

**Corollary 1.** Given a mapping  $\Gamma : F \to \widetilde{F}$  as in (12) or (13), a normed space G and a quantum algorithm  $\widetilde{A}$  from  $\widetilde{F}$  to G, there is a quantum algorithm A from F to G with

$$n_q(A) = \begin{cases} n_q(\widetilde{A}) & \text{in case of (12)} \\ 2 n_q(\widetilde{A}) & \text{in case of (13)} \end{cases}$$

and for all  $f \in F$ 

$$A(f) = A(\Gamma(f)).$$

Consequently, if  $\widetilde{S}: \widetilde{F} \to G$  is any mapping and  $S = \widetilde{S} \circ \Gamma$ , then for each  $n \in \mathbf{N}_0$ 

$$e_n^q(S,F) \leq e_n^q(\tilde{S},\tilde{F})$$
 in case of (12), and  
 $e_{2n}^q(S,F) \leq e_n^q(\tilde{S},\tilde{F})$  in case of (13).

Proof. Let

$$\widetilde{A} = ((\widetilde{A}_{\ell})_{\ell=0}^{k-1}, (\widetilde{b}_{\ell})_{\ell=0}^{k-1}, \widetilde{\varphi}), \quad \widetilde{A}_{\ell} = (\widetilde{Q}_{\ell}, (\widetilde{U}_{\ell,j})_{j=0}^{n_{\ell}}),$$

and  $\widetilde{m}_{\ell} = m(\widetilde{A}_{\ell})$ . Then for  $f \in F, 0 \leq \ell < k$ ,

$$\widetilde{A}_{\ell,\Gamma(f)} = \widetilde{U}_{\ell,n_{\ell}} \widetilde{Q}_{\ell,\Gamma(f)} \widetilde{U}_{\ell,n_{\ell}-1} \dots \widetilde{U}_{\ell,1} \widetilde{Q}_{\ell,\Gamma(f)} \widetilde{U}_{\ell,0}.$$

In case of (12) we obtain A by just replacing  $\widetilde{Q}_{\ell}$  by  $Q_{\ell}$  from Lemma 4. It follows from (7) and (9) that

$$A(f) = \widetilde{A}(\Gamma(f)).$$

In case of (13) we replace  $\widetilde{Q}_{\ell}$  by  $B_{\ell}$  from Lemma 5,  $\widetilde{U}_{\ell,j}$  by  $U_{\ell,j} = \widetilde{U}_{\ell,j} \otimes Id_{H_{m^*}}$ , where  $Id_{H_{m^*}}$  is the identity on  $H_{m^*}$ , the state  $\left|\widetilde{b}_0\right\rangle$  by  $\left|b_0\right\rangle = \left|\widetilde{b}_0\right\rangle \left|0\right\rangle_{m^*}$  and, for  $1 \leq \ell \leq k-1$ , the mappings

$$\widetilde{b}_{\ell}: \prod_{i=0}^{\ell-1} \mathbf{Z}[0, 2^{\widetilde{m}_i}) \to \mathbf{Z}[0, 2^{\widetilde{m}_\ell})$$

by

$$b_{\ell}: \prod_{i=0}^{\ell-1} \left( \mathbf{Z}[0, 2^{\widetilde{m}_i}) \times \mathbf{Z}[0, 2^{m^*}) \right) \to \mathbf{Z}[0, 2^{\widetilde{m}_\ell}) \times \mathbf{Z}[0, 2^{m^*}),$$

defined by

$$b_{\ell}((x_0, y_0), \dots, (x_{\ell-1}, y_{\ell-1})) = (b_{\ell}(x_0, \dots, x_{\ell-1}), 0).$$

Finally, we replace

$$\widetilde{\varphi}: \prod_{\ell=0}^{k-1} \mathbf{Z}[0, 2^{\widetilde{m}_{\ell}}) \to G$$

by

$$\varphi: \prod_{\ell=0}^{\kappa-1} \left( \mathbf{Z}[0, 2^{\widetilde{m}_{\ell}}) \times \mathbf{Z}[0, 2^{m^*}) \right) \to G,$$

defined as

$$\varphi((x_0, y_0), \ldots, (x_{k-1}, y_{k-1})) = \widetilde{\varphi}(x_0, \ldots, x_{k-1}).$$

It follows that

$$A_{\ell,f}((x,y),(z,0)) = \begin{cases} \widetilde{A}_{\ell,\Gamma(f)}(x,z) & \text{if } y = 0\\ 0 & \text{otherwise,} \end{cases}$$

and therefore, by (7),

$$p_{A,f}((x_0, y_0) \dots, (x_{k-1}, y_{k-1})) = \begin{cases} p_{\widetilde{A}, \Gamma(f)}(x_0, \dots, x_{k-1}) & \text{if } y_0 = \dots = y_{k-1} = 0\\ 0 & \text{otherwise,} \end{cases}$$

which together with (9) yields

$$A(f) = A(\Gamma(f)).$$

This proves the first part of the statement. The second part is an obvious consequence.  $\hfill \Box$ 

Finally we state some elementary but useful properties of  $e_n^q$ . For  $\lambda \in \mathbf{K}$  define  $\lambda S : F \to G$  by  $(\lambda S)(f) = \lambda S(f)$   $(f \in F)$ . Furthermore, in the case  $K = \mathbf{K}$  we denote  $\lambda F = \{\lambda f | f \in F\}$ .

**Lemma 6.** Let  $S, T : F \to G$  be any mappings,  $n \in \mathbf{N}_0$  and assume that  $e_n^q(S, F)$  is finite. Then the following hold: (i)

$$e_n^q(T,F) \le e_n^q(S,F) + \sup_{f \in F} ||T(f) - S(f)||.$$

(ii) For each  $\lambda \in \mathbf{K}$ 

$$e_n^q(\lambda S, F) = |\lambda|e_n^q(S, F).$$

(iii) If  $K = \mathbf{K}$  and S is a linear operator from  $\mathcal{F}(D, K)$  to G, then for all  $\lambda \in \mathbf{K}$ 

$$e_n^q(S,\lambda F) = |\lambda|e_n^q(S,F).$$

*Proof.* The first two statements are simple consequences of the definitions. Let us verify the third one. Let  $\tilde{F} = \lambda F$ ,  $\Gamma : F \to \tilde{F}$  be defined as  $\Gamma(f) = \lambda f$ , which is of the form (12). We assume  $\lambda \neq 0$ , the case  $\lambda = 0$  follows trivially from (ii). Since S is linear, we have

$$\lambda^{-1}S \circ \Gamma = S,$$

and hence, by Corollary 1 and statement (ii) above,

$$e_n^q(S,F) \leq |\lambda|^{-1} e_n^q(S,\widetilde{F}) = |\lambda|^{-1} e_n^q(S,\lambda F).$$

Replacing F by  $\lambda F$  and  $\lambda$  by  $\lambda^{-1}$ , we get

$$e_n^q(S,\lambda F) \le |\lambda|e_n^q(S,F),$$

which completes the proof.

#### 3 Quantum Summation

In this section we study summation of sequences or, what is essentially the same, the computation of the mean, on a quantum computer. For a fixed  $N \in \mathbf{N}$  we set  $D = \mathbf{Z}[0, N), K = \mathbf{R}, G = \mathbf{R}$ , and for  $1 \leq p \leq \infty$  let  $L_p^N$  denote the space of all functions  $f: D \to \mathbf{R}$ , equipped with the norm

$$\|f\|_{L_p^N} = \left(\frac{1}{N}\sum_{i=0}^{N-1} |f(i)|^p\right)^{1/p}$$

if  $p < \infty$  and

$$||f||_{L^N_{\infty}} = \max_{0 \le i \le N-1} |f(i)|.$$

(Note that  $L_p^N$  is just the space  $L_p(D,\mu)$ , where  $\mu$  is the equidistribution on D.) Define  $S_N: L_p^N \to \mathbf{R}$  by

$$S_N f = \frac{1}{N} \sum_{i=0}^{N-1} f(i).$$

We let

$$F = \mathcal{B}_p^N := \mathcal{B}(L_p^N) = \{ f \in L_p^N \, | \, \|f\|_{L_p^N} \le 1 \}$$

be the unit ball of  $L_p^N.$  We also define

$$\mathcal{B}_{\infty,+}^{N} = \{ f: D \to \mathbf{R} \, | \, 0 \le f(i) \le 1 \text{ for all } i \}$$

and

$$\mathcal{B}_{\infty,0}^N = \{f : D \to \{0,1\}\}.$$

When we consider  $\mathcal{B}_{\infty,0}^N$ , we put  $K = \{0,1\}$ . Clearly,

 $\mathcal{B}_{\infty,0}^N\subset\mathcal{B}_{\infty,+}^N\subset\mathcal{B}_p^N\subset\mathcal{B}_q^N$ 

whenever  $1 \leq q . Therefore, we will also consider <math>S_N$  as acting on  $\mathcal{B}_{\infty,0}^N$  and  $\mathcal{B}_{\infty,+}^N$ . We use the following standard representations depending on the range of  $f \in F$ : Given  $a, b \in \mathbf{R}$ , a < b, and  $\kappa \in \mathbf{N}$ , define  $\beta_{\kappa,a,b}$ :  $\mathbf{R} \to \mathbf{Z}[0, 2^{\kappa})$  by

$$\beta_{\kappa,a,b}(x) = \begin{cases} 2^{\kappa} - 1 & \text{if } x \ge b \\ 0 & \text{if } x < a \\ i & \text{if } \frac{x-a}{b-a} \in [\frac{i}{2^{\kappa}}, \frac{i+1}{2^{\kappa}}), i \in \mathbf{Z}[0, 2^{\kappa}). \end{cases}$$
(14)

So for  $a \leq x < b$ 

$$\beta_{\kappa,a,b}(x) = \left\lfloor 2^{\kappa} \frac{x-a}{b-a} \right\rfloor,$$

and hence, for  $a \leq x \leq b$ ,

$$a + (b - a) 2^{-\kappa} \beta_{\kappa,a,b}(x) \le x \le a + (b - a) 2^{-\kappa} (\beta_{\kappa,a,b}(x) + 1).$$
(15)

First we state the basic result on quantum counting due to Brassard, Høyer, Mosca, and Tapp (2000).

**Lemma 7.** There is a constant c > 0 such that for all  $n, N \in \mathbb{N}$  there is a quantum algorithm A from  $\mathcal{B}_{\infty,0}^N$  to  $\mathbb{R}$  such that  $n_q(A) \leq n$  and for each  $f \in \mathcal{B}_{\infty,0}^N$ 

$$e(S_N, A, f) \le c \left(\sqrt{S_N f} n^{-1} + n^{-2}\right).$$

**Remark.** Throughout this paper we often use the same symbol for possibly different constants. These constants are either absolute or may depend only on p – the summability parameter of the  $L_p$ -spaces considered (in all lemmas and theorems this is precisely described anyway by the order of the quantifiers).

*Proof.* We refer to Brassard, Høyer, Mosca, and Tapp (2000) for details of the algorithm, its analysis and the resulting estimates. For us, there remains one detail to be verified. Their algorithm makes use of the controlled application of the Grover iterate and assumes that an implementation of this procedure is available. This means, roughly, if Y stands for the Grover iterate, we must be able to implement an operation which maps an element  $|i\rangle |k\rangle$  to  $(Y^k |i\rangle) |k\rangle$  (that is, different basis elements may be subject to different powers of Y). Since Y involves a query call, it is not immediately clear, how this could be achieved within the rules delveloped in section 2, that is, in our model of computation and its way to use queries. So we supply the needed argument here. It is a simulation procedure, similar to the ones above.

The parameters of the algorithm will be the following. It has one measurement, and the query Q is determined by

$$\begin{split} m' &= \lceil \log N \rceil, \quad m'' = 1, \quad m^* = \lceil \log n \rceil, \\ m &= m' + 2m^* + 2, \quad Z = \mathbf{Z}[0, N), \\ \tau : Z \to Z[0, 2^{m'}) \quad \text{and} \quad \beta : \{0, 1\} \to \{0, 1\} \quad \text{the identities} \end{split}$$

(recall that  $K = \{0, 1\}$ ). Let

$$H_m = H_{m'} \otimes H_1 \otimes H_{m^*} \otimes H_{m^*},$$

and let the basis state

$$|i\rangle |x\rangle |j\rangle |k\rangle$$

correspond to this splitting. Let  $\Phi_{n,m^*}$  be the *n*-term quantum Fourier transform on  $m^*$  qubits,

$$\Phi_{n,m^{\star}} \left| k \right\rangle = \begin{cases} \frac{1}{\sqrt{n}} \sum_{y=0}^{n-1} e^{2\pi i k y/n} \left| y \right\rangle & \text{if } k < n \\ \left| k \right\rangle & \text{otherwise.} \end{cases}$$

Define  $\Phi \in \mathcal{U}(H_m)$  by

$$\Phi |i\rangle |x\rangle |j\rangle |k\rangle = |i\rangle |x\rangle |j\rangle (\Phi_{n,m^*} |k\rangle).$$

Furthermore, let  $V_0 \in \mathcal{U}(H_{m'})$  be the Walsh-Hadamard transform  $W_N$ , if N is a power of 2, and let  $V_0 = \Phi_{N,m'}$ , if not. Define  $X_0 \in \mathcal{U}(H_{m'})$  by

$$X_0 \left| i \right\rangle = \begin{cases} -\left| i \right\rangle & \text{if } i = 0\\ \left| i \right\rangle & \text{otherwise,} \end{cases}$$

and unitary transforms on  $H_m$  by

$$\begin{array}{lll} V \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & = & \left( V_0 \left| i \right\rangle \right) \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle, \\ X \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & = & \left\{ \begin{array}{c} \left( X_0 \left| i \right\rangle \right) \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & \text{if } j < k \\ \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & = & \left\{ \begin{array}{c} \left( -1 \right)^{x+1} \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & \text{if } j < k \\ \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & = & \left\{ \begin{array}{c} \left( -1 \right)^{x+1} \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & \text{if } j < k \\ \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & = & \left\{ \begin{array}{c} \left( -1 \right)^{x+1} \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & \text{otherwise,} \\ C \left| i \right\rangle \left| x \right\rangle \left| j \right\rangle \left| k \right\rangle & = & \left| i \right\rangle \left| x \right\rangle \left| j \oplus 1 \right\rangle \left| k \right\rangle. \end{array} \right.$$

Now we define the algorithm as follows. For  $f \in \mathcal{B}_{\infty,0}^N$  set

$$Y_f = CVXV^{-1}Q_fTQ_f.$$

The unitary transform of the algorithm is given by

$$\Phi^{-1}Y_f^{n-1}\Phi V.$$

The initial state is

$$b = |0\rangle |0\rangle |0\rangle |0\rangle.$$

Let us now follow the action of the algorithm. The element b is transformed by  $\Phi V$  into

$$(V_0 |0\rangle) |0\rangle |0\rangle (\Phi_{n,m^*} |0\rangle).$$

Note that this vector is a linear combination of basis states of the form

$$|i\rangle |0\rangle |0\rangle |k\rangle$$

with i < N and k < n. Next consider the application of  $Y_f$  to a basis state of the form

$$|i\rangle |0\rangle |j\rangle |k\rangle \tag{16}$$

with i < N and k < n. First we assume j < k. Then  $Q_f T Q_f$  produces

$$(-1)^{f(i)+1} \ket{i} \ket{0} \ket{j} \ket{k}$$
.

After the application of  $CVXV^{-1}$  we get

$$(-1)^{f(i)+1}(V_0X_0V_0^{-1}|i\rangle)|0\rangle|j\oplus 1\rangle|k\rangle,$$

which is a linear combination of vectors of the form

$$|i'\rangle |0\rangle |j+1\rangle |k\rangle$$

with i' < N. If  $j \ge k$ , the application of  $Y_f$  to (16) gives

 $|i\rangle |0\rangle |j \oplus 1\rangle |k\rangle$ .

It is now clear that  $Y_f = CVXV^{-1}Q_fTQ_f$  realizes the Grover iterate on the first component if j < k, that  $Y_f^{n-1}$  is the controlled (by k) application of it and the whole algorithm, considered just on the first and last component  $|i\rangle |k\rangle$ , is the algorithm "Est\_Amp" of Brassard, Høyer, Mosca, and Tapp (2000), if we define  $\varphi$  on the measured state

$$\ket{y} = \ket{i} \ket{x} \ket{j} \ket{k}$$

as

$$\varphi(y) = \sin^2\left(\pi\frac{k}{n}\right).$$

The required estimate (with a concrete value of the constant) is contained in Theorem 12 of that paper. Since our implementation requires 2n queries, we rescale n and modify the constant appropriately.

The next result is essentially a translation of Lemma 7 into the setting of  $\mathcal{B}_{\infty,+}^N$ . The idea of using comparison queries is due to Abrams and Williams (1999).

**Lemma 8.** There is a constant c > 0 such that for all  $\nu, n, N \in \mathbb{N}$  there is a quantum algorithm A from  $\mathcal{B}_{\infty,+}^N$  to  $\mathbb{R}$  such that  $n_q(A) \leq \nu n$  and for each  $f \in \mathcal{B}_{\infty,+}^N$ 

$$e(S_N, A, f, 2^{-\nu}) \le c \left(\sqrt{S_N f} n^{-1} + n^{-2}\right).$$

Proof. Let  $\kappa \in \mathbf{N}$  be such that  $2^{\kappa} \geq n^2$  and put  $N_0 = N 2^{\kappa}$ . We shall apply Corollary 1 with  $F = \mathcal{B}_{\infty,+}^N$  and  $\tilde{F} = \mathcal{B}_{\infty,0}^{N_0}$ . Let  $\tilde{A}$  be any algorithm from  $\mathcal{B}_{\infty,0}^{N_0}$  to  $\mathbf{R}$  with one measurement, which satisfies the conclusion of Lemma 7 with  $n_q(\tilde{A}) := \tilde{n} \leq n$ . Let  $\tilde{A}$  be given by

$$\widetilde{A} = (\widetilde{A}_0, \widetilde{b}, \widetilde{\varphi}), \quad \widetilde{A}_0 = (\widetilde{Q}, (\widetilde{U}_j)_{j=0}^{\widetilde{n}}),$$

with

$$\widetilde{Q} = (\widetilde{m}, \widetilde{m}', \widetilde{m}'', \widetilde{Z}, \widetilde{\tau}, \widetilde{\beta}),$$

where  $\widetilde{Z} \subset \mathbf{Z}[0, 2^{\widetilde{m}'}), \ \widetilde{\tau} : \widetilde{Z} \to \mathbf{Z}[0, N_0), \ \text{and} \ \widetilde{\beta} : \{0, 1\} \to \mathbf{Z}[0, 2^{\widetilde{m}''}).$  We identify

$$\mathbf{Z}[0, N_0) = \mathbf{Z}[0, N) \times \mathbf{Z}[0, 2^{\kappa})$$

and write correspondingly for  $z \in \widetilde{Z}$ ,

$$\widetilde{\tau}(z) = (i(z), y(z)). \tag{17}$$

Now let  $\beta = \beta_{\kappa,0,1}$  as defined in (14). For each  $f \in \mathcal{B}_{\infty,+}^N$  define  $\Gamma(f) \in \mathcal{B}_{\infty,0}^{N_0}$ by setting for  $(i, y) \in \mathbb{Z}[0, N) \times \mathbb{Z}[0, 2^{\kappa}) = \mathbb{Z}[0, N_0)$ 

$$\Gamma(f)(i,y) = \begin{cases} 1 & \text{if } y < \beta(f(i)) \\ 0 & \text{otherwise.} \end{cases}$$

Note that

$$|\{y : \Gamma(f)(i, y) = 1\}| = \beta(f(i)),$$

and consequently

$$S_{N_0}\Gamma(f) = N^{-1}2^{-\kappa} \sum_{i=0}^{N-1} \beta(f(i)).$$

By (15),

$$S_{N_0}\Gamma(f) \le S_N f \le S_{N_0}\Gamma(f) + 2^{-\kappa} \le S_{N_0}\Gamma(f) + n^{-2}.$$

The mapping  $\Gamma : f \to \Gamma(f)$  is easily seen to be of the form (13) (with  $\eta(i, y) = i$  and  $\beta$  as defined above). By Corollary 1 there is an algorithm A on  $\mathcal{B}^N_{\infty,+}$  such that  $n_q(A) = 2n_q(\widetilde{A})$  and  $A(f) = \widetilde{A}(\Gamma(f))$ . To estimate the

error of A, fix any  $f \in \mathcal{B}_{\infty,+}^N$  and let  $\zeta$  be a random variable with distribution  $\widetilde{A}(\Gamma(f))$ . Then, with probability at least 3/4,

$$\begin{aligned} |S_N f - \zeta| &\leq |S_N f - S_{N_0} \Gamma(f)| + |S_{N_0} \Gamma(f) - \zeta| \\ &\leq n^{-2} + c \left( \sqrt{S_{N_0} \Gamma(f)} n^{-1} + n^{-2} \right) \\ &\leq c' \left( \sqrt{S_N f} n^{-1} + n^{-2} \right). \end{aligned}$$

Now we use Lemma 3 to boost the success probability by repeating  $A c_1 \nu$  times, where  $c_1 = \lceil 8/\log e \rceil$ , and computing the median, which gives the desired error estimate

$$e(S, A^*, f, 2^{-\nu}) \le c' \left(\sqrt{S_N f} n^{-1} + n^{-2}\right)$$

for the algorithm  $A^* = \psi_0(A^{c_1\nu})$ , whose number of queries is bounded by  $2c_1\nu n$ . A scaling of n at the expense of enlarging the constant gives the result as required.

Now we are ready to estimate the numbers  $e_n^q(S_N, \mathcal{B}_p^N)$ . Note that this is nontrivial only when n < N. For  $n \ge N$  a classical computer suffices, or, to put it more formally into our framework, we have  $e_n^q(S_N, \mathcal{B}_p^N) = 0$ , since with N queries (and a suitable number of qubits) the sum can be determined up to each degree of precision by e.g. simulating a classical computation.

The following is the main result of this section. For the sake of later reference we also include the already known case  $p = \infty$  due to Brassard, Høyer, Mosca, and Tapp (2000), which we deduce formally from the case 2 , but which is, in fact, an immediate consequence of the previous two lemmas.

**Theorem 1.** Let 1 . Then there is a constant <math>c > 0 such that for all  $n, N \in \mathbb{N}$ , n > 2

$$e_n^q(S_N, \mathcal{B}_p^N) \le c \begin{cases} n^{-1} & \text{for } p > 2\\ n^{-1} \log^{3/2} n \log \log n & \text{for } p = 2\\ n^{-2(1-1/p)} & \text{for } p < 2. \end{cases}$$

*Proof.* Let  $1 . Fix <math>k \in \mathbf{N}_0$  (to be specified later) and define for  $f \in L_p^N$ 

$$\mathcal{I}_f^k = \left\{ i \in \mathbf{Z}[0, N) \, \big| \, |f(i)| \ge 2^k \right\},\,$$

for  $\sigma = 0, 1,$ 

$$\mathcal{J}_{f}^{0,\sigma} = \left\{ i \in \mathbf{Z}[0,N) \, \big| \, 0 \le (-1)^{\sigma} f(i) < 1 \right\},\,$$

and for  $\ell = 1, \ldots, k$ ,

$$\mathcal{J}_{f}^{\ell,\sigma} = \left\{ i \in \mathbf{Z}[0,N) \, \big| \, 2^{\ell-1} \le (-1)^{\sigma} f(i) < 2^{\ell} \right\}.$$

Note that

$$N^{-1}2^{pk}|\mathcal{I}_{f}^{k}| \leq \frac{1}{N} \sum_{i \in \mathcal{I}_{f}^{k}} |f(i)|^{p} \leq ||f||_{L_{p}^{N}}^{p},$$

hence

$$|\mathcal{I}_{f}^{k}| \le N \, 2^{-pk} \|f\|_{L_{p}^{N}}^{p}. \tag{18}$$

Hölder's inequality together with (18) gives

$$\begin{aligned} \left| \frac{1}{N} \sum_{i \in \mathcal{I}_{f}^{k}} f(i) \right| &\leq \left( \frac{1}{N} \left| \mathcal{I}_{f}^{k} \right| \right)^{1/p'} \left( \frac{1}{N} \sum_{i \in \mathcal{I}_{f}^{k}} |f(i)|^{p} \right)^{1/p} \\ &\leq 2^{-pk/p'} \| f \|_{L_{p}^{p}}^{p/p'} \| f \|_{L_{p}^{p}} = 2^{-(p-1)k} \| f \|_{L_{p}^{p}}^{p}, \end{aligned}$$
(19)

where 1/p + 1/p' = 1. Furthermore,

$$\frac{1}{N} \sum_{\substack{1 \le \ell \le k \\ \sigma = 0, 1}} 2^{p(\ell-1)} |\mathcal{J}_f^{\ell, \sigma}| \le \|f\|_{L_p^N}^p,$$
(20)

which gives, in particular,

$$|\mathcal{J}_{f}^{\ell,\sigma}| \le N \, 2^{-p(\ell-1)} \|f\|_{L_{p}^{N}}^{p} \quad (\ell \ge 1).$$
(21)

Now define  $g_f^{\ell,\sigma} \in \mathcal{B}_{\infty,+}^N$  for  $0 \le \ell \le k, \, \sigma = 0, 1,$ 

$$g_f^{\ell,\sigma}(i) = \begin{cases} (-1)^{\sigma} 2^{-\ell} f(i) & i \in \mathcal{J}_f^{\ell,\sigma} \\ 0 & \text{otherwise.} \end{cases}$$

Consequently,  $0 \le g_f^{\ell,\sigma} \le 1$ , so  $g_f^{\ell,\sigma} \in \mathcal{B}_{\infty,+}^N$ . Clearly,

$$S_N g_f^{\ell,\sigma} \le N^{-1} |\mathcal{J}_f^{\ell,\sigma}| \tag{22}$$

$$S_N f = \frac{1}{N} \Big( \sum_{\substack{0 \le \ell \le k \\ \sigma = 0,1}} \sum_{i \in \mathcal{J}_f^{\ell,\sigma}} f(i) + \sum_{i \in \mathcal{I}_f^k} f(i) \Big)$$
$$= \sum_{\substack{0 \le \ell \le k \\ \sigma = 0,1}} (-1)^{\sigma} 2^{\ell} S_N g_f^{\ell,\sigma} + \frac{1}{N} \sum_{i \in \mathcal{I}_f^k} f(i).$$
(23)

Now the idea is to compute  $S_N g_f^{\ell,\sigma}$  by the algorithm from Lemma 8 for all  $\ell$  and  $\sigma$ , and from the results (in a classical way) the first sum of equation (23). Fix  $\nu_{\ell}, n_{\ell} \in \mathbf{N}$  (to be specified later) and let, according to Lemma 8,  $\widetilde{A}_{\ell}$  be an algorithm on  $\mathcal{B}_{\infty,+}^N$  such that  $n_q(\widetilde{A}_{\ell}) \leq \nu_{\ell} n_{\ell}$  and for all  $g \in \mathcal{B}_{\infty,+}^N$ ,

$$e(S_N, \widetilde{A}_{\ell}, g, 2^{-\nu_{\ell}}) \le c \left(\sqrt{S_N g} n_{\ell}^{-1} + n_{\ell}^{-2}\right).$$
 (24)

We define for  $x \in \mathbf{R}$ ,  $\sigma = 0, 1$ ,

$$\varrho_{0,\sigma}(x) = \begin{cases} (-1)^{\sigma} x & \text{if } 0 \le (-1)^{\sigma} x < 1 \\ 0 & \text{otherwise,} \end{cases}$$

and for  $\ell = 1, ..., k - 1$ ,

$$\varrho_{\ell,\sigma}(x) = \begin{cases} (-1)^{\sigma} 2^{-\ell} x & \text{if } 2^{\ell-1} \leq (-1)^{\sigma} x < 2^{\ell} \\ 0 & \text{otherwise.} \end{cases}$$

Furthermore, we let  $\eta$  be the identity on  $\mathbf{Z}[0, N)$ . Then for each  $f \in L_p^N$ ,

$$g_f^{\ell,\sigma} = \varrho_{\ell,\sigma} \circ f \circ \eta.$$

By Corollary 1 there is an algorithm  $A_{\ell,\sigma}$  on  $L_p^N$  with

$$n_q(A_{\ell,\sigma}) = n_q(\widetilde{A}_\ell)$$

and

$$A_{\ell,\sigma}(f) = \widetilde{A}_{\ell}(g_f^{\ell,\sigma}) \tag{25}$$

for all  $f \in L_p^N$ . We define A as being composed of  $A_{\ell,\sigma}$  (in the sense of (11)) as follows:

$$A = \sum_{\substack{0 \le \ell \le k \\ \sigma = 0, 1}} (-1)^{\sigma} 2^{\ell} A_{\ell, \sigma}.$$

and

To estimate the error of A, fix any  $f \in L_p^N$  and let  $\{\zeta_{\ell,\sigma} | 0 \leq \ell \leq k, \sigma = 0, 1\}$  be independent random variables with distribution  $A_{\ell,\sigma}(f)$  respectively. Define

$$\zeta = \sum_{\substack{0 \le \ell \le k \\ \sigma = 0, 1}} (-1)^{\sigma} 2^{\ell} \zeta_{\ell, \sigma}.$$
(26)

It follows from Lemma 2 that

$$A(f) = \operatorname{dist}(\zeta). \tag{27}$$

By (24) and (25), we have, with probability at least  $1 - 2^{-\nu_{\ell}}$ ,

$$|S_N g_f^{\ell,\sigma} - \zeta_{\ell,\sigma}| \le c \left( \sqrt{S_N g_f^{\ell,\sigma}} \, n_\ell^{-1} + n_\ell^{-2} \right),$$

and therefore, with probability at least  $1-2\sum_{\ell=0}^k 2^{-\nu_\ell}$ 

$$\Big|\sum_{\substack{0 \le \ell \le k \\ \sigma = 0, 1}} (-1)^{\sigma} 2^{\ell} (S_N g_f^{\ell, \sigma} - \zeta_{\ell, \sigma}) \Big| \le c \sum_{\substack{0 \le \ell \le k \\ \sigma = 0, 1}} 2^{\ell} \left( \sqrt{S_N g_f^{\ell, \sigma}} \, n_{\ell}^{-1} + n_{\ell}^{-2} \right),$$

hence, by (23) and (26),

$$|S_N f - \zeta| \le c \sum_{\substack{0 \le \ell \le k \\ \sigma = 0, 1}} 2^\ell \left( \sqrt{S_N g_f^{\ell, \sigma}} \, n_\ell^{-1} + n_\ell^{-2} \right) + \left| \frac{1}{N} \sum_{i \in \mathcal{I}_f^k} f(i) \right|,$$

which gives together with (27), (19), (22) and (21)

$$e(S_{N}, A, f, 2\sum_{\ell=0}^{k} 2^{-\nu_{\ell}})$$

$$\leq c\sum_{\substack{0 \le \ell \le k \\ \sigma=0,1}} 2^{\ell} \left( \sqrt{S_{N}g_{f}^{\ell,\sigma}} n_{\ell}^{-1} + n_{\ell}^{-2} \right) + 2^{-(p-1)k} \|f\|_{L_{p}^{N}}^{p}$$

$$\leq c\sum_{\substack{0 \le \ell \le k \\ \sigma=0,1}} 2^{\ell} \left( \sqrt{N^{-1} |\mathcal{J}_{f}^{\ell,\sigma}|} n_{\ell}^{-1} + n_{\ell}^{-2} \right) + 2^{-(p-1)k} \|f\|_{L_{p}^{N}}^{p} \qquad (28)$$

$$\leq 2c \sum_{\ell=1}^{k} \left( 2^{(1-p/2)\ell} n_{\ell}^{-1} \|f\|_{L_{p}^{N}}^{p/2} + 2^{\ell} n_{\ell}^{-2} \right)$$

$$+ 2c n_{0}^{-1} + 2^{-(p-1)k} \|f\|_{L_{p}^{N}}^{p} \qquad (29)$$

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(recall the remark about constants after Lemma 7). Moreover, we have

$$n_q(A) \le 2\sum_{\ell=0}^k \nu_\ell n_\ell.$$
(30)

Now we choose the parameters k,  $\nu_{\ell}$  and  $n_{\ell}$  in a suitable way and prove the error estimates. First we consider the case 2 . Here we put

$$k = \left\lceil \frac{1}{p-1} \log n \right\rceil. \tag{31}$$

Define, furthermore,  $\nu_{\ell} = \lceil 2 \log(\ell + 1) \rceil + 4$ , hence

$$2\sum_{\ell=0}^{k} 2^{-\nu_{\ell}} \le \frac{1}{8} \sum_{\ell=0}^{k} (\ell+1)^{-2} < \frac{1}{4}.$$
(32)

Finally, let

$$n_{\ell} = \left\lceil 2^{(1/2 - p/4)\ell} n \right\rceil. \tag{33}$$

This together with (30) implies

$$n_q(A) \le 2\sum_{\ell=0}^k (\lceil 2\log(\ell+1)\rceil + 4) \left\lceil 2^{(1/2 - p/4)\ell} n \right\rceil \le c_1 n$$
(34)

for some constant  $c_1 > 0$ . It follows from (32), (29), (33) and (31) that

$$\begin{aligned} e(S_N, A, f) \\ &\leq e(S_N, A, f, 2\sum_{\ell=0}^k 2^{-\nu_\ell}) \\ &\leq c\sum_{\ell=1}^k \left( 2^{(1/2-p/4)\ell} n^{-1} \|f\|_{L_p^N}^{p/2} + 2^{p\ell/2} n^{-2} \right) + cn^{-1} + n^{-1} \|f\|_{L_p^N}^p \\ &\leq c \left( n^{-1} \|f\|_{L_p^N}^{p/2} + 2^{(1-p/2)k} n^{-1} + n^{-1} + n^{-1} \|f\|_{L_p^N}^p \right) \\ &\leq cn^{-1} \max(\|f\|_{L_p^N}^p, 1). \end{aligned}$$

Consequently,

$$e(S_N, A, \mathcal{B}_p^N) \le cn^{-1},$$

which together with (34) implies the desired result in the case 2 .Note that the case  $p = \infty$  also follows since  $\mathcal{B}_{\infty}^N \subseteq \mathcal{B}_p^N$  for any  $p < \infty$ . Now we suppose 1 . Here we choose

$$k = \left\lceil \frac{2}{p} \log n \right\rceil,\tag{35}$$

$$n_{\ell} = \left\lceil 2^{-(1/2 - p/4)(k-\ell)} n \right\rceil,$$
(36)

and  $\nu_{\ell} = \lceil 2 \log(k - \ell + 1) \rceil + 4$ , which implies that (32) holds again. Furthermore, by (30),

$$n_q(A) \le 2\sum_{\ell=0}^k (\lceil 2\log(k-\ell+1)\rceil+4) \left\lceil 2^{-(1/2-p/4)(k-\ell)}n \right\rceil \le c_1 n.$$
(37)

We get from (32), (29), (36) and (35)

$$e(S_{N}, A, f) \leq c \sum_{\ell=1}^{k} \left( 2^{(1-p/2)\ell + (1/2-p/4)(k-\ell)} n^{-1} \|f\|_{L_{p}^{N}}^{p/2} + 2^{\ell+(1-p/2)(k-\ell)} n^{-2} \right) \\ + c n_{0}^{-1} + 2^{-(p-1)k} \|f\|_{L_{p}^{N}}^{p} \leq c \sum_{\ell=1}^{k} \left( 2^{(1/2-p/4)(k+\ell)} n^{-1} \|f\|_{L_{p}^{N}}^{p/2} + 2^{k-p(k-\ell)/2} n^{-2} \right) \\ + c 2^{(1/2-p/4)k} n^{-1} + 2^{-(p-1)k} \|f\|_{L_{p}^{N}}^{p} \leq c \left( 2^{(1-p/2)k} n^{-1} \|f\|_{L_{p}^{N}}^{p/2} + 2^{k} n^{-2} + 2^{(1/2-p/4)k} n^{-1} + 2^{-(p-1)k} \|f\|_{L_{p}^{N}}^{p} \right) \\ \leq c n^{-2(1-1/p)} \max(\|f\|_{L_{p}^{N}}^{p}, 1).$$
(38)

Now (37) and (38) yield the needed result.

Finally, we consider the case p = 2. Here we define

$$n_{\ell} \equiv n_0 = \left\lceil n \left( \log n \right)^{-1} \left( \log \log n \right)^{-1} \right\rceil \tag{39}$$

(recall that we assumed n > 2, so  $n_0$  is well-defined and  $n_0 \ge 1$ ), furthermore

$$k = \lceil \log n_0 \rceil \tag{40}$$

$$\nu_{\ell} \equiv \nu_0 = \lceil \log(k+1) \rceil + 3. \tag{41}$$

It follows that

$$2\sum_{\ell=0}^{k} 2^{-\nu_{\ell}} \le \frac{1}{4} \sum_{\ell=0}^{k} \frac{1}{k+1} \le \frac{1}{4}$$
(42)

and, by (30),

$$n_q(A) \le 2(k+1)\nu_0 n_0 \le c_1 n.$$
 (43)

By (42) and (28), the error satisfies

$$e(S_N, A, f) \le c \sum_{\substack{1 \le \ell \le k \\ \sigma = 0, 1}} 2^{\ell} \sqrt{N^{-1} |\mathcal{J}_f^{\ell, \sigma}|} n_{\ell}^{-1} + c \sum_{\ell=1}^k 2^{\ell} n_{\ell}^{-2} + c n_0^{-1} (||f||_{L_2^N}^2 + 1).$$

Hölder's inequality, applied to the first sum, gives

$$e(S_N, A, f) \leq c (2k)^{1/2} \left( N^{-1} \sum_{\substack{1 \le \ell \le k \\ \sigma = 0, 1}} 2^{2\ell} |\mathcal{J}_f^{\ell, \sigma}| \right)^{1/2} n_0^{-1} \\ + c \sum_{\ell=1}^k 2^\ell n_0^{-2} + c n_0^{-1} (||f||_{L_2^N}^2 + 1),$$

and by (20), (39), and (40), we finally get

$$\begin{split} e(S_N, A, f) &\leq c \left( k^{1/2} n_0^{-1} \| f \|_{L_2^N} + 2^k n_0^{-2} + n_0^{-1} (\| f \|_{L_2^N}^2 + 1) \right) \\ &\leq c n^{-1} \log^{3/2} n \log \log n \max(\| f \|_{L_2^N}^2, 1). \end{split}$$

This implies the statement for p = 2.

**Remark.** Since quantum algorithms are not linear, the statement of Theorem 1 does not give any information on  $f \in L_p^N$  of norm greater than one. Our proof, however, does. It shows that the algorithm developed for fixed  $1 and <math>n, N \in \mathbf{N}$  has the property that for all  $f \in L_p^N$ 

$$e(S_N, A, f) \le c \begin{cases} n^{-1} \max(\|f\|_{L_p^N}^p, 1) & \text{if } 2$$

31

and

#### 4 Lower Bounds

In this section we derive lower bounds on the quantities  $e_n^n(S, F)$  first in the general setting and then for  $F = \mathcal{B}_p^N$ ,  $S = S_N$ . Let D and K be nonempty sets, let  $L \in \mathbb{N}$  and let to each  $u = (u_0, \ldots, u_{L-1}) \in \{0, 1\}^L$  an  $f_u \in \mathcal{F}(D, K)$  be assigned such that the following is satisfied:

**Condition (I):** For each  $t \in D$  there is an  $\ell$ ,  $0 \leq \ell \leq L - 1$ , such that  $f_u(t)$  depends only on  $u_\ell$ , in other words, for  $u, u' \in \{0, 1\}^L$ ,  $u_\ell = u'_\ell$  implies  $f_u(t) = f_{u'}(t)$ .

This type of function system will play a key rôle in our lower bound proofs. Condition (I) is easily seen to be equivalent to the following

**Condition (Ia):** There are functions  $g_0, g_1 \in \mathcal{F}(D, K)$  and a decomposition  $D = \bigcup_{\ell=0}^{L-1} D_\ell$  with  $D_\ell \cap D_{\ell'} = \emptyset$   $(l \neq l')$  such that for  $t \in D_\ell$ 

$$f_u(t) = \begin{cases} g_0(t) & \text{if } u_\ell = 0\\ g_1(t) & \text{if } u_\ell = 1. \end{cases}$$

The first result is based on the polynomial method by Beals, Buhrman, Cleve, and Mosca (1998) and extends their Lemma 4.1 to our general setting.

**Lemma 9.** Let  $L \in \mathbf{N}$ , let  $(f_u)_{u \in \{0,1\}^L} \subseteq \mathcal{F}(D,K)$  be a system of functions satisfying condition (I), and let A be a quantum algorithm on  $\mathcal{F}(D,K)$ without measurement,  $m = m_q(A)$ ,  $n = n_q(A)$ . Then for all  $x, b \in \mathbf{Z}[0, 2^m)$ ,  $A_{f_u}(x, b)$  (defined in (3) and (4)), considered as a function of u, is a complex multilinear polynomial in the variables  $u_0, \ldots, u_{L-1}$  of degree at most n.

Proof. Let

$$A = (Q, (U_j)_{j=0}^n), \quad Q = (m, m', m'', Z, \tau, \beta).$$

Fix  $b \in \mathbf{Z}[0, 2^m)$  and define  $w_j$  and  $p_j(x, u)$  for  $j = 0, \ldots, n$  by

$$w_j = U_j Q_{f_u} U_{j-1} Q_{f_u} \dots U_1 Q_{f_u} U_0 b = \sum_{x \in \mathbf{Z}[0, 2^m)} p_j(x, u) |x\rangle.$$

Then

$$p_n(x,u) = A_{f_u}(x,b). (44)$$

Of course,  $p_0(x, u)$  are constants, so polynomials of degree 0 in u. Now we proceed by induction over j. Assume that for some j,  $0 \le j < n$ , the  $p_j(x, u)$  are polynomials of degree  $\le j$  in u. Define  $q_j(x, u)$  by

$$Q_{f_u} w_j = \sum_{x \in \mathbf{Z}[0,2^m)} q_j(x,u) |x\rangle.$$

Since

$$Q_{f_u} w_j = Q_{f_u} \sum_{x \in \mathbf{Z}[0, 2^m)} p_j(x, u) |x\rangle = \sum_{x \in \mathbf{Z}[0, 2^m)} p_j(x, u) Q_{f_u} |x\rangle,$$

and since  $Q_{f_u}$  is a bijection on the basis states, we get

$$q_j(x,u) = p_j(Q_{f_u}^{-1}x,u).$$

Now fix  $x \in \mathbb{Z}[0, 2^m)$ . Represent  $|x\rangle$  as  $|i\rangle |y\rangle |z\rangle$  with  $i \in \mathbb{Z}[0, 2^{m'})$ ,  $y \in \mathbb{Z}[0, 2^{m''})$  and  $z \in \mathbb{Z}[0, 2^{m-m'-m''})$ . According to the query definition (2), we have  $Q_{f_u} |x\rangle = |i\rangle |y\rangle |z\rangle$  if  $i \notin \mathbb{Z}$ . Hence, in this case  $q_j(x, u) = p_j(x, u)$ , so deg  $q_j(x, \cdot) \leq j$ . If  $i \in \mathbb{Z}$ ,

$$Q_{f_u}^{-1} |x\rangle = |i\rangle |y \ominus \beta(f_u(\tau(i)))\rangle |z\rangle.$$

Let, according to condition (I) above,  $\ell$  be such that  $0 \leq \ell \leq L-1$  and  $f_u(\tau(i))$  depends only on  $u_\ell$ . We denote  $f_u(\tau(i)) = s_0$  for  $u_\ell = 0$  and  $f_u(\tau(i)) = s_1$  for  $u_\ell = 1$ . It follows that

$$Q_{f_u}^{-1} |x\rangle = |i\rangle |y \ominus \beta(f_u(\tau(i)))\rangle |z\rangle = \begin{cases} |i\rangle |y \ominus \beta(s_0)\rangle |z\rangle := x_0 & \text{if } u_\ell = 0\\ |i\rangle |y \ominus \beta(s_1)\rangle |z\rangle := x_1 & \text{if } u_\ell = 1. \end{cases}$$

Consequently,

$$q_j(x,u) = p_j(Q_{f_u}^{-1}x,u) = (1-u_\ell)p_j(x_0,u) + u_\ell p_j(x_1,u),$$

which implies deg  $q_j(x, \cdot) \leq j + 1$ . Now

$$w_{j+1} = U_{j+1}Q_{f_u}w_j = U_{j+1}\sum_{y \in \mathbf{Z}[0,2^m)} q_j(y,u) |y\rangle,$$

which gives

$$p_{j+1}(x,u) = \sum_{y \in \mathbf{Z}[0,2^m)} U_{j+1}(x,y)q_j(y,u),$$

where  $(U_{j+1}(x,y))_{x,y\in\mathbf{Z}[0,2^m)}$  is the matrix of the transformation  $U_{j+1}$  in the canonical basis. Since the  $U_{j+1}(x,y)$  are scalars not depending on u, and since deg  $q_j(x, \cdot) \leq j+1$ , it follows that deg  $p_{j+1}(x, \cdot) \leq j+1$ . This completes the induction and shows that deg  $p_n(x, \cdot) \leq n$ . Now the lemma follows from (44) and the observation that, since the  $u_i$  take only the values 0 and 1, we can replace any polynomial by a multilinear one without changing its values on  $\{0, 1\}^L$ .

**Corollary 2.** Let  $L \in \mathbf{N}$  and assume that  $(f_u)_{u \in \{0,1\}^L} \subseteq \mathcal{F}(D,K)$  satisfies condition (I). Let A be a quantum algorithm from  $\mathcal{F}(D,K)$  to a normed space G. Then for each subset  $C \subseteq G$ ,

$$p(u) = A(f_u)\{C\}$$

is a real multilinear polynomial of degree at most  $2n_q(A)$ .

*Proof.* This follows readily from Lemma 9 and relations (7) and (9).  $\Box$ 

The next lemma is based on the results of Nayak and Wu (1999). To state it, we introduce some further notation. Define the function  $\rho(L, \ell, \ell')$  for  $L \in \mathbf{N}, 0 \leq \ell \neq \ell' \leq L$  by

$$\varrho(L,\ell,\ell') = \sqrt{\frac{L}{|\ell-\ell'|}} + \frac{\min_{j=\ell,\ell'}\sqrt{j(L-j)}}{|\ell-\ell'|}.$$
(45)

Note that  $j(L-j) = (L/2)^2 - (L/2-j)^2$ , so this expression is minimized iff |L/2-j| is maximized. For  $u \in \{0,1\}^L$  set  $|u| = \sum_{\ell=0}^{L-1} u_{\ell}$ .

**Lemma 10.** There is a constant  $c_0 > 0$  such that the following holds: Let D, K be nonempty sets, let  $F \subseteq \mathcal{F}(D, K)$  be a set of functions, G a normed space,  $S: F \to G$  a function, and  $L \in \mathbb{N}$ . Suppose  $(f_u)_{u \in \{0,1\}^L} \subseteq \mathcal{F}(D, K)$  is a system of functions satisfying condition (I). Let finally  $0 \leq \ell \neq \ell' \leq L$  and assume that

$$f_u \in F$$
 whenever  $|u| \in \{\ell, \ell'\}.$  (46)

Then

$$e_n^q(S,F) \ge \frac{1}{2} \min\left\{ \|S(f_u) - S(f_{u'})\| \, \big| \, |u| = \ell, \, |u'| = \ell' \right\}$$
(47)

for all n with

$$n \le c_0 \varrho(L, \ell, \ell'). \tag{48}$$

*Proof.* Nayak and Wu (1999, Theorem 1.1) showed that there is a constant c > 0 such that for all  $L \in \mathbf{N}$  and  $0 \le \ell \ne \ell' \le L$  the following holds: If p is an L-variate real polynomial such that

$$-1/4 \le p(u) \le 5/4 \quad \text{for all} \quad u \in \{0, 1\}^L,$$
$$3/4 \le p(u) \le 5/4 \quad \text{if} \quad u \in \{0, 1\}^L, \ |u| = \ell,$$

and

$$-1/4 \le p(u) \le 1/4$$
 if  $u \in \{0,1\}^L, |u| = \ell',$ 

then

$$\deg p \ge c\rho(L,\ell,\ell'),\tag{49}$$

where  $\rho$  was defined in (45). Denote for  $j = \ell, \ell'$ 

$$G_{j} = \{S(f_{u}) \mid |u| = j\}$$
(50)

and

$$\delta = d(G_{\ell}, G_{\ell'}), \tag{51}$$

where for  $X, Y \subseteq G$ ,

$$d(X,Y) = \inf_{x \in X, y \in Y} \|x - y\|.$$

(For  $x \in G$  we write d(x,G) instead of  $d(\{x\},G)$ .) Now let A be any quantum algorithm from F to G with  $n_q(A) = n$  and

$$e(S, A, F) < \delta/2. \tag{52}$$

As we mentioned after the definition, a quantum algorithm on F is always also a quantum algorithm on  $\mathcal{F}(D, K)$ . For each  $u \in \{0, 1\}^L$ , let  $\zeta_u$  be a random variable with distribution  $A(f_u)$ . Define

$$p(u) = A(f_u) \{ g \in G \, | \, d(g, G_\ell) < \delta/2 \} = \mathbf{P} \{ d(\zeta_u, G_\ell) < \delta/2 \}.$$

It follows that

$$0 \le p(u) \le 1 \tag{53}$$

and, by Corollary 2, p is a real polynomial satisfying

$$\deg p \le 2n. \tag{54}$$

Because of (46) and (52), we have for  $|u| = \ell$ ,

$$3/4 \leq \mathbf{P}\{\|S(f_u) - \zeta_u\| < \delta/2\}$$
  
$$\leq \mathbf{P}\{d(\zeta_u, G_\ell) < \delta/2\} = p(u).$$
(55)

On the other hand, for  $|u| = \ell'$ ,

$$1/4 \geq \mathbf{P}\{\|S(f_u) - \zeta_u\| \geq \delta/2\}$$
  

$$\geq \mathbf{P}\{d(\zeta_u, G_{\ell'}) \geq \delta/2\}$$
  

$$\geq \mathbf{P}\{d(\zeta_u, G_{\ell}) < \delta/2\} = p(u).$$
(56)

From (53 - 56) and (49), we infer

$$2n \ge \deg p \ge c\varrho(L, \ell, \ell').$$

Now choose any  $c_0 < c/2$ . Then  $n \leq c_0 \rho(L, \ell, \ell')$  implies  $e_n^q(S, F) \geq \delta/2$ , which, because of (50) and (51), is the same as (47).

The following theorem is the main result of this section. The case  $p = \infty$  is due to Nayak and Wu (1999), and the case  $2 \le p < \infty$  is a direct consequence. For the sake of completeness we include this part in the proof below. (Another reason for this is that we use a slightly more general notion of query, so this way we formally check that their bound holds true also for our model.)

**Theorem 2.** Let  $1 \le p \le \infty$ . Then there are constants  $c_0, c_1, c_2 > 0$  such that for  $n, N \in \mathbf{N}$ ,

$$e_n^q(S_N, \mathcal{B}_p^N) \ge c_2 \begin{cases} n^{-2(1-1/p)} & \text{if } 1 \le p < 2 & \text{and } n \le c_0 \sqrt{N} \\ n^{-1} & \text{if } 2 \le p \le \infty & \text{and } n \le c_1 N. \end{cases}$$

*Proof.* Let  $c_0$  be the constant from Lemma 10. Let  $1 \le p < 2$  and

$$n \le c_0 \sqrt{N}.\tag{57}$$

Define

$$L = \left\lceil c_0^{-2} n^2 \right\rceil, \quad \ell = 0, \quad \ell' = 1$$

It follows from (57) that  $1 \leq L \leq N$ . Moreover,

$$n \le c_0 \sqrt{L} = c_0 \varrho(L, \ell, \ell') \tag{58}$$

and

$$L < c_0^{-2}n^2 + 1 \le (c_0^{-2} + 1)n^2.$$
(59)

Put  $M = \lfloor L^{-1}N \rfloor$ . Hence  $1 \leq M \leq N$  and

$$M \le L^{-1}N \le 2M. \tag{60}$$

Define  $\psi_j$   $(j = 0, \dots, L-1)$  by

$$\psi_j(i) = \begin{cases} (N/M)^{1/p} & \text{if } jM \le i < (j+1)M \\ 0 & \text{otherwise.} \end{cases}$$

Note that  $\psi_j \in \mathcal{B}_p^N$  and

$$S_N \psi_i = (MN^{-1})^{1-1/p}.$$

For each  $u = (u_0, ..., u_{L-1}) \in \{0, 1\}^L$  define

$$f_u = \sum_{j=0}^{L-1} u_j \psi_j.$$
 (61)

Since the functions  $\psi_j$  have disjoint supports, the system  $(f_u)_{u \in \{0,1\}^L}$  satisfies condition (I). Lemma 10 and relation (58) together with (60) and (59)give

$$e_n^q(S_N, \mathcal{B}_p^N) \geq \frac{1}{2} \min \left\{ |S_N f_u - S_N f_{u'}| \, \big| \, |u| = 0, \, |u'| = 1 \right\} \\ = \frac{1}{2} \left( M N^{-1} \right)^{1-1/p} \geq \frac{1}{2} (2L)^{-(1-1/p)} \geq c_2 n^{-2(1-1/p)}$$

for some constant  $c_2 > 0$ . This proves the statement in the first case. Now we consider the case  $2 \le p \le \infty$ . Since  $\mathcal{B}_{\infty}^N \subset \mathcal{B}_p^N$  whenever  $p < \infty$ , it suffices to prove the lower bound for  $p = \infty$ . We set  $c_1 = 2^{-1}(c_0^{-1} + 2)^{-1}$ and assume  $n \leq c_1 N$ . Let

$$L = 2 \left[ c_0^{-1} n + 1 \right], \quad \ell = L/2 - 1, \quad \ell' = \ell + 1 = L/2.$$

It follows that  $L \ge 4$  and

$$\varrho(L,\ell,\ell') > \min_{j=l,l'} \sqrt{j(L-j)} = \sqrt{L^2/4 - 1} \ge c_0^{-1} n.$$
(62)

Moreover, since  $1 \leq n \leq c_1 N$ , we get

$$L = 2\left\lceil c_0^{-1}n + 1 \right\rceil \le 2(c_0^{-1}n + 2) \le 2(c_0^{-1} + 2)n \le N.$$
(63)

Now let  $M = \lfloor L^{-1}N \rfloor$ , then (60) holds again. Set

$$\psi_j(i) = \begin{cases} 1 & \text{if } jM \le i < (j+1)M \\ 0 & \text{otherwise} \end{cases}$$

for j = 0, ..., L-1, and let  $f_u$  be again defined by (61). Clearly,  $(f_u)_{u \in \{0,1\}^L}$  satisfies condition (I) and  $f_u \in \mathcal{B}_{\infty}^N$  for all  $u \in \{0,1\}^L$ . Lemma 10 together with relations (62), (60) and (63) gives

$$e_n^q(S_N, \mathcal{B}_{\infty}^N) \geq \frac{1}{2} \min \left\{ |S_N f_u - S_N f_{u'}| \, \big| \, |u| = \ell, \, |u'| = \ell + 1 \right\}$$
$$= \frac{1}{2} M N^{-1} \geq \frac{1}{4L} \geq c_2 n^{-1}$$

for some  $c_2 > 0$ .

**Remark.** Comparing Theorem 2 with Theorem 1, we see that matching upper and lower bounds were obtained except for the case of  $1 \le p < 2$ ,  $n \ge c_0 \sqrt{N}$ . This case is settled in Heinrich and Novak (2001b).

## 5 Integration in $L_p([0,1]^d)$

Here we present an application of the summation results to integration of functions. Further results will be contained in Heinrich (2001). Let  $1 \le p \le \infty$ ,  $d \in \mathbf{N}$ ,  $D = [0,1]^d$  and let  $L_p(D)$  denote the usual space of *p*-integrable with respect to the Lebesgue measure functions on D, equipped with the norm

$$||f||_{L_p(D)} = \left(\int_D |f(t)|^p \, dt\right)^{1/p}$$

if  $p < \infty$  and

$$||f||_{L_{\infty}(D)} = \operatorname{ess\,sup}_{t \in D} |f(t)|.$$

Let  $I_d: L_p(D) \to \mathbf{R}$  be the integration operator, defined for  $f \in L_p(D)$  by

$$I_d f = \int_D f(t) \, dt.$$

In this chapter we will consider  $G = \mathbf{R}$  and  $S = I_d$ . We want to integrate functions from the unit ball  $\mathcal{B}(L_p(D))$  in the quantum model of computation developed in section 2. Strictly speaking,  $L_p(D)$  consists of equivalence classes of functions being equal almost everywhere. Hence, function values are not well-defined, in general. This changes, however, if we consider subsets of  $L_p(D)$  which consist of continuous functions, or more precisely, of equivalence classes which contain a (unique) continuous function. This is how we shall approach the integration problem – we study it for certain subsets  $\mathcal{E} \subset \mathcal{B}(L_p(D))$ . We shall assume that  $\mathcal{E}$  is an equicontinuous set of functions on D. Since D is compact, equicontinuity is equivalent to uniform equicontinuity, and the latter means that for each  $\varepsilon > 0$  there is a  $\delta > 0$  such that for  $s, t \in D$ ,  $||s - t||_{\infty} \leq \delta$  implies  $|f(s) - f(t)| \leq \varepsilon$  for all  $f \in F$ . Note also that it follows readily from the Arzelà-Ascoli theorem that  $\mathcal{E} \subset \mathcal{B}(L_p(D))$  is equicontinuous iff  $\mathcal{E}$  is relatively compact in the space C(D) of continuous functions on D, equipped with the sup-norm. (A similar approach was chosen in Novak, 1988, to discuss restricted Monte Carlo methods.)

**Theorem 3.** Let  $1 \le p \le \infty$ . Then there are constants  $c_1, c_2 > 0$  such that for all  $d, n \in \mathbb{N}$ 

$$c_{1}n^{-1} \leq \sup_{\mathcal{E}\subset\mathcal{B}(L_{p}(D))} e_{n}^{q}(I_{d},\mathcal{E}) \leq c_{2}n^{-1} \quad 2 
$$c_{1}n^{-1} \leq \sup_{\mathcal{E}\subset\mathcal{B}(L_{2}(D))} e_{n}^{q}(I_{d},\mathcal{E}) \leq c_{2}n^{-1}\log^{3/2}n\log\log n$$

$$c_{1}n^{-2(1-1/p)} \leq \sup_{\mathcal{E}\subset\mathcal{B}(L_{p}(D))} e_{n}^{q}(I_{d},\mathcal{E}) \leq c_{2}n^{-2(1-1/p)} \quad 1 \leq p < 2,$$$$

where the supremum is taken over all equicontinuous subsets  $\mathcal{E}$  of  $\mathcal{B}(L_p(D))$ .

*Proof.* First we prove the upper bounds. Let  $\mathcal{E} \subset \mathcal{B}(L_p(D))$  be equicontinuous and let  $n \in \mathbb{N}$ . For  $k \in \mathbb{N}$  let

$$D = \bigcup_{i=0}^{2^{dk}-1} D_i$$

be the partition of D into  $2^{dk}$  congruent cubes of disjoint interior. Let  $s_i$  be the point in  $D_i$  with the smallest Euclidean norm. Let  $P_k$  be the operator of piecewise constant interpolation with respect to the partition  $(D_i)_{i=0}^{2^{dk}-1}$ in the points  $(s_i)_{i=0}^{2^{dk}-1}$  (to avoid ambiguity, if a point belongs to more than one of the sets  $D_i$ , we assign to it the value  $f(s_i)$  for the smallest such i). Due to the equicontinuity of  $\mathcal{E}$  there is a  $k \in \mathbb{N}$  such that

$$\|f - P_k f\|_{L_{\infty}(D)} \le n^{-1} \tag{64}$$

for all  $f \in \mathcal{E}$ . Fix this k and put  $N = 2^{dk}$ . It follows that

$$\sup_{f \in \mathcal{E}} |I_d f - I_d(P_k f)| \le n^{-1}.$$
(65)

Moreover, defining

$$\Gamma: \mathcal{E} \to L_p^N$$
 by  $\Gamma(f)(i) = f(s_i)$   $(i = 0, \dots, N-1),$ 

we get

$$I_d(P_k f) = \frac{1}{N} \sum_{i=0}^{N-1} f(s_i) = S_N \circ \Gamma(f).$$
(66)

Note that for  $f \in \mathcal{E} \subset \mathcal{B}(L_p(D))$ 

$$\left(\frac{1}{N}\sum_{i=0}^{N-1}|\Gamma(f)(i)|^{p}\right)^{1/p} = \left(\int_{D}|P_{k}f(s)|^{p}ds\right)^{1/p} = \|P_{k}f\|_{L_{p}(D)}$$
  
$$\leq \|f\|_{L_{p}(D)} + \|f - P_{k}f\|_{L_{p}(D)}$$
  
$$\leq \|f\|_{L_{p}(D)} + n^{-1} \leq 2.$$

Consequently,  $\Gamma$  maps  $\mathcal{E}$  into  $2\mathcal{B}_p^N$ . Lemma 6, Corollary 1 and relations (65) and (66) imply

$$e_n^q(I_d, \mathcal{E}) \leq n^{-1} + e_n^q(S_N \circ \Gamma, \mathcal{E}) \leq n^{-1} + e_n^q(S_N, 2\mathcal{B}_p^N) \\ = n^{-1} + 2e_n^q(S_N, \mathcal{B}_n^N),$$

hence Theorem 1 yields the upper bounds.

To verify the lower bounds, fix a  $\sigma$  with  $0<\sigma<1$  and let  $\psi$  be a continuous function on  ${\bf R}^d$  with

$$\operatorname{supp} \psi \subseteq [0,1]^d, \quad 0 \le \psi \le 1 \quad \text{and} \quad I_d \psi = \sigma.$$

Fix  $n \in \mathbf{N}$  and choose  $N = 2^{dk}$  in such a way that

$$c_0\sqrt{N} \ge n \quad \text{and} \quad c_1N \ge n,$$
 (67)

where  $c_0$  and  $c_1$  are the constants from Theorem 2. Set

$$\psi_i(t) = \psi(2^k(t-s_i)) \quad (i=0,\ldots,N-1),$$

with the  $s_i$  as in the preceding part of the proof. Consequently,

$$I_d \psi_i = 2^{-dk} I_d \psi = \sigma 2^{-dk} = \sigma N^{-1}.$$
 (68)

Define

$$\Gamma: \mathcal{B}_p^N \to L_p(D)$$
 by  $\Gamma(f) = \sum_{i=0}^{N-1} f(i)\psi_i.$ 

For  $f \in \mathcal{B}_p^N$ ,

$$\begin{split} \|\Gamma(f)\|_{L_p(D)} &= \int_D \sum_{i=0}^{N-1} |f(i)|^p |\psi_i(t)|^p dt = \sum_{i=0}^{N-1} |f(i)|^p \int_D |\psi_i(t)|^p dt \\ &= 2^{-dk} \sum_{i=0}^{N-1} |f(i)|^p \int_D |\psi(t)|^p dt \le N^{-1} \sum_{i=0}^{N-1} |f(i)|^p \le 1. \end{split}$$

We define  $\mathcal{E} = \Gamma(\mathcal{B}_p^N)$ , which is a subset of  $\mathcal{B}(L_p(D))$ . Since the functions  $\psi_i$  are continuous, and  $|f(i)| \leq N^{1/p}$  for all  $f \in \mathcal{B}_p^N$ , the equicontinuity of  $\mathcal{E}$  easily follows. Furthermore,

$$I_d \circ \Gamma(f) = I_d \sum_{i=0}^{N-1} f(i)\psi_i = \sum_{i=0}^{N-1} f(i)I_d\psi_i = \sigma N^{-1} \sum_{i=0}^{N-1} f(i) = \sigma S_N f.$$

Lemma 6 and Corollary 1 give

$$\sigma e_n^q(S_N, \mathcal{B}_p^N) = e_n^q(\sigma S_N, \mathcal{B}_p^N) \le e_n^q(I_d, \mathcal{E}),$$

and the result follows from relation (67) and Theorem 2.

## 6 Comments

Our results were formulated in the language of information-based complexity theory – the minimal error at given cost (number of function values, functionals etc., in our case queries). Lower bounds in terms of the number of queries mean the more that no algorithm can have better arithmetic (bit) cost. On the other hand, if we have upper bounds on the number of queries, this does not necessarily mean a corresponding estimate of the cost in the bit model. However, for the problems considered in this paper we encounter a situation which is largely parallel to the experience in information-based complexity: As a rule, the developed algorithms, which are optimal in the query sense, show a similar behaviour (usually up to certain logarithmic terms) in their arithmetic (bit) cost. Let us have a closer look at our algorithms from this point of view.

The bit cost of one query of the type (1) we define to be m' + m'' (the number of bits to be processed). When we consider the bit cost, let us assume that both N and n are powers of two, which is no loss of generality since the other cases can be reduced to that. We also assume n < N, see the remarks before Theorem 1. The algorithm from Lemma 7 makes one measurement and can be implemented on  $\mathcal{O}(\log N)$  qubits using  $\mathcal{O}(n \log N)$  quantum gates. The algorithm of Lemma 8 requires  $\mathcal{O}(\log N)$  qubits,  $\mathcal{O}(\nu n \log N)$  gates and makes  $\mathcal{O}(\nu)$  measurements. Finally, the algorithm from Theorem 1 needs  $\mathcal{O}(\log N)$  qubits,  $\mathcal{O}(n \log N)$  gates and  $\mathcal{O}(\log N)$  qubits,  $\mathcal{O}(n \log N)$  gates and  $\mathcal{O}(\log n \log \log n)$  measurements for  $p < \infty$  (one measurement if  $p = \infty$ ).

To discuss the algorithm of Theorem 3, let us introduce the following quantity for an equicontinuous subset  $\mathcal{E} \subset \mathcal{B}(L_p(D))$  and  $\varepsilon > 0$ :

$$\kappa(\mathcal{E},\varepsilon) = \min \{k \in \mathbf{N} \mid | |f(s) - f(t)| \le \varepsilon \text{ whenever } f \in \mathcal{E}, s, t \in D, ||s - t||_{\infty} \le 2^{-k} \}.$$

Then for a given  $\mathcal{E} \subset \mathcal{B}(L_p(D))$  we have to compute the mean of  $N = 2^{dk}$  numbers, where it suffices to take  $k = \kappa(\mathcal{E}, 1/n)$ . If  $N \leq n$ , this can be done with  $\mathcal{O}(\log n)$  qubits,  $\mathcal{O}(N \log n)$  gates and one measurement (see the remarks before Theorem 1). If n < N, this can be implemented on  $\mathcal{O}(d\kappa(\mathcal{E}, 1/n))$  qubits, with  $\mathcal{O}(dn\kappa(\mathcal{E}, 1/n))$  gates and  $\mathcal{O}(\log n \log \log n)$  measurements for  $p < \infty$  and one measurement for  $p = \infty$ . (The constants in the  $\mathcal{O}$ -notation do not depend on  $\mathcal{E}$  and d.)

Next let us compare the results obtained above to the classical deterministic and Monte Carlo setting. We denote the respective quantities by  $e_n^{det}$  and  $e_n^{mc}$ . This discussion is carried out in greater detail in Heinrich and Novak (2001a), where also the related definitions and references can be found. The following table contains the order of the respective quantities, that is, the behaviour up to constants. We also omitted the additional logarithmic factor in the case p = 2. Furthermore, we assume for the case  $\mathcal{B}_p^N$  that  $n \leq c_1 N$ , where in the classical settings,  $c_1$  is any constant with  $0 < c_1 < 1$ , while in the quantum setting for  $2 \leq p \leq \infty$ ,  $c_1$  is the constant from Theorem 2. Moreover in the quantum setting for  $1 \leq p < 2$ , we assume  $n \leq c_0 \sqrt{N}$ , with  $c_0$  from Theorem 2, as well. Finally, when we write  $\mathcal{B}_{L_p}$ , we

| mean (in all three setttings) the supremum over all equicontinuous subsets  |
|---|
| $\mathcal{E} \subset \mathcal{B}(L_p([0,1]^d))$ as in the previous section. |
|   |

|  | $e_n^{det}$ | $e_n^{mc}$   | $e_n^q$      |
|--|-------------|--------------|--------------|
| $\mathcal{B}_p^N, 2\leq p\leq\infty$       | 1           | $n^{-1/2}$   | $n^{-1}$     |
| $\mathcal{B}_p^N,  1 \leq p < 2$           | 1           | $n^{-1+1/p}$ | $n^{-2+2/p}$ |
| $\mathcal{B}_{L_p},  2 \leq p \leq \infty$ | 1           | $n^{-1/2}$   | $n^{-1}$     |
| $\mathcal{B}_{L_p}, 1 \leq p < 2$          | 1           | $n^{-1+1/p}$ | $n^{-2+2/p}$ |

The result on  $\mathcal{B}_{L_p}$  in the randomized setting can be found in Heinrich (1993). The respective statement for the deterministic setting is easily derived using standard methods of information-based complexity theory. A little further below we indicate the proof of a somewhat stronger result.

It might be illustrative to formulate the results in terms of complexity. Here we impose the corresponding restrictions. We always assume  $\varepsilon \leq \varepsilon_0$  for some constant  $\varepsilon_0 > 0$ . In the quantum setting, the case  $1 holds only for <math>N \geq c(1/\varepsilon)^{p/(p-1)}$ , for some constant c > 0. Again, the case p = 2 holds up to logarithmic terms.

| 1   | $\mathrm{comp}_arepsilon^{det}$ | $\mathrm{comp}_arepsilon^{mc}$      | $\mathrm{comp}^q_\varepsilon$          |
|---|---------------------------------|-------------------------------------|--|
| $\mathcal{B}_p^N, 2\leq p\leq\infty$      | Ν                               | $\min((1/arepsilon)^2,N)$           | $\min((1/arepsilon),N)$                |
| $\mathcal{B}_p^N,  1$                     | Ν                               | $\min((1/arepsilon)^{p/(p-1)},N)$ . | $\min((1/\varepsilon)^{p/(2(p-1))},N)$ |
| $\mathcal{B}_{L_p}, 2 \leq p \leq \infty$ |                                 | $(1/\varepsilon)^2$                 | (1/arepsilon)                          |
| $\mathcal{B}_{L_p}, 1 \leq p < 2$         | $\infty$                        | $(1/\varepsilon)^{p/(p-1)}$         | $(1/\varepsilon)^{p/(2(p-1))}$         |

In the case  $\mathcal{B}_{L_1}$  we have  $\infty$  in all three settings. For  $\mathcal{B}_1^N$  we have N in both classical settings, while in the quantum setting our results give the lower bound  $\sqrt{N}$  and the (trivial) upper bound N. The question of the correct order of complexity in this case is answered in Heinrich and Novak (2001b).

We see that for the problems considered here quantum algorithms reach a quadratic speedup over classical randomized ones and – at least as far as the pure number of queries is concerned (disregarding the bit cost and number of qubits) – an arbitrarily large speedup over classical deterministic algorithms. Let us discuss this last point in some more detail and also address the bit issue again. Namely, we show that there are equicontinuous sets  $\mathcal{E}$  in  $\mathcal{B}(L_{\infty}([0,1]))$  with arbitrarily slowly decreasing  $e_n^{det}(I_1,\mathcal{E})$ . More precisely, for any sequence  $(\varepsilon_n)_{n\in\mathbb{N}}$  with

$$0 < \varepsilon_n \le 1, \quad \varepsilon_{n+1} \le \varepsilon_n \le 2\varepsilon_{2n}, \quad \text{and} \quad \lim_{n \to \infty} \varepsilon_n = 0$$
 (69)

there is an equicontinuous set  $\mathcal{E} \subset \mathcal{B}(L_{\infty}([0,1]))$  such that for all  $n \in \mathbb{N}$ 

$$e_n^{det}(I_1, \mathcal{E}) \ge \varepsilon_n/32.$$
 (70)

Indeed, we define  $\mathcal{E}$  as the set of functions f on [0, 1] such that for all  $k \in \mathbb{N}$ and  $s, t \in [0, 1], |s - t| \leq 2^{-k}$  implies  $|f(s) - f(t)| \leq \varepsilon_{2^k}$ . Let

$$\psi(t) = \begin{cases} t & \text{if } 0 \le t \le 1/2\\ (1-t) & \text{if } 1/2 < t \le 1\\ 0 & \text{otherwise,} \end{cases}$$

and put for  $k \in \mathbf{N}$  and  $0 \le i \le 2^k - 1$ 

$$\psi_{k,i}(t) = \varepsilon_{2^k} \psi(2^k (t - 2^{-k} i)).$$

It is easily checked that for any  $\alpha_i \in \{-1, 1\}$   $(i = 0, \dots, 2^k - 1)$ ,

$$\sum_{i=0}^{2^{k}-1} \alpha_{i} \psi_{k,i} \in \mathcal{E}$$

and  $I_1\psi_{k,i} = 2^{-(k+2)}\varepsilon_{2^k}$ . A standard argument from the deterministic setting of information-based complexity theory (see e.g. Novak, 1988, Prop. 1.3.5 b) yields

$$e_{2^{k-1}}^{det}(I_1,\mathcal{E}) \ge \varepsilon_{2^k}/8 \ge \varepsilon_{2^{k-1}}/16,$$

which implies (70). Recall, on the other hand, that by Theorem 3,  $e_n^q(I_1, \mathcal{E}) \leq cn^{-1}$ .

Now let us turn to the bit cost. We show that an exponential speedup is possible. Fix any  $\gamma$  with  $0 < \gamma \leq 1$ . We choose  $\varepsilon_1 = 1$  and  $\varepsilon_n = (\log n)^{-\gamma}$  (n > 1). This sequence satisfies (69). Let  $\mathcal{E} \subset \mathcal{B}(L_{\infty}([0,1]))$  be the corresponding set constructed above, so that

$$e_n^{det}(I_1, \mathcal{E}) \ge (\log n)^{-\gamma}/32 \quad (n > 1),$$

which means that for any  $\varepsilon$  with  $0 < \varepsilon \leq 1/32$  we need at least  $2^{(1/(32\varepsilon))^{1/\gamma}}$ , that is, exponentially many operations to reach error  $\varepsilon$  deterministically. By the construction of the set  $\mathcal{E}$  we have

$$\kappa(\mathcal{E}, 1/n) \leq \lceil n^{1/\gamma} \rceil,$$

which implies, by the discussion at the beginning of this section, that in the quantum setting, an error of  $\varepsilon$  can be reached with  $\mathcal{O}(1/\varepsilon)$  queries, one measurement,  $\mathcal{O}((1/\varepsilon)^{1/\gamma})$  qubits and  $\mathcal{O}((1/\varepsilon)^{1/\gamma+1})$  gates, that is, with polynomial total cost.

Finally we discuss a topic concerning the relations to information-based complexity. A look at our notion of a query might lead to the impression that it covers only what is called standard information, that is, function values of f, while in information-based complexity also more general types of information are considered (e.g. arbitrary linear functionals or scalar products with certain basis functions). This could be relevant not only in finite element methods, but also in the case that function values are not well-defined. Let us show how our approach covers also this situation.

So let F and K be nonempty sets,  $S: F \to G$  be a mapping from F to a normed space G and let  $\Lambda$  be a nonempty set of mappings from F to K. We seek to approximate S again, but now the algorithm is supposed to use information about  $f \in F$  of the form  $\lambda(f)$  for  $\lambda \in \Lambda$ . Let us define a  $\Lambda$ -based quantum algorithm from F to G to be simply a quantum algorithm A from  $\mathcal{F}(\Lambda, K)$  to G. Introduce the mapping

$$\Psi: F \to \mathcal{F}(\Lambda, K)$$

defined for  $f \in F$  by

$$\Psi(f)(\lambda) = \lambda(f) \quad (\lambda \in \Lambda).$$

The error of A at  $f \in F$  is defined as follows. Let  $\zeta$  be a random variable with distribution  $A(\Psi(f))$ . Put

$$e(S, A, f, \theta) = \inf \left\{ \varepsilon \mid \mathbf{P} \{ \| S(f) - \zeta \| > \varepsilon \right\} \le \theta \right\}.$$

Various further quantities like e(S, A, F),  $e_n^q(S, F, \Lambda)$  etc. can be defined on this basis as in section 2. The results of section 2 as well as the general results of section 4 remain valid for this situation if formulated appropriately, that is, if applied to A as an algorithm from  $\mathcal{F}(\Lambda, K)$  to G. The resulting form of the unitary mappings associated with the query is worth mentioning: Let Qbe one of the queries being part of A. Since A is an algorithm on  $\mathcal{F}(\Lambda, K)$ , its queries have the form (1), where everything is as specified there except that

$$\tau: Z \to \Lambda.$$

Let us denote  $\lambda_i = \tau(i)$  for  $i \in \mathbb{Z}$ . Then an element  $f \in F$  gives rise to the

following unitary operator implementing the query

| $Q_{\Psi(f)}\left i\right\rangle\left x\right\rangle\left y\right\rangle=\langle$ | $\left( \begin{array}{c} \ket{i} x \oplus eta(\lambda_i(f)) \ket{y} \end{array} \right)$ | if $i \in Z$ |
|---|--|--------------|
|   | $\left( egin{array}{c c c c c c c c c c c c c c c c c c c $                              | otherwise.   |

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