#### Technische Universität Kaiserslautern

#### Fachbereich Chemie

# Cryo Spectroscopy and Kinetics of Isolated Transition Metal Clusters and Complexes

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## Content

1		Int	roduction	1
	1.1	Ref	erences	3
2		Ехр	perimental and Computational Methods	9
	2.1	lon	Sources	9
		2.1.1	Laser Vaporization (LVAP) Source	9
		2.1.2	ElectroSpray Ionization (ESI) Source	10
	2.2	Fou	urier Transform Ion Cyclotron Resonance Mass Spectrometry (FT-ICR MS)	12
			FRITZ	15
	2.3	Iso	thermal Cryo Kinetics	17
			Relative Rate Constants	17
			Absolute Rate Constants	18
	2.4	Infi	raRed (Multiple) Photon Dissociation (IR-(M)PD)	19
			Optical Parametric Oscillator / Amplifier (OPO / OPA) IR Laser System	20
	2.5	Dei	nsity Functional Theory (DFT)	22
	2.6	Ref	erences	24
3		Infi	rared Spectroscopy of N₂ Adsorption on Size Selected Cobalt Cluster Cation	ns in
		Iso	lation	29
	3.1	Pre	amble	29
	3.2	Rep	orint	30
4		Cry	o Kinetics and Spectroscopy of Cationic Nickel Clusters: Rough and Smoot	h
		Sur	faces	37
	4.1	Pre	amble	37
	4.2	Rep	orint	38
	4.3	Sup	pplementary Information	51
5		Pro	bbing Cluster Surface Morphology by Cryo Kinetics of N₂ on Cationic Nickel	
_			sters	
	г 4			
	5.1	Pre	amble	/1

5.2	Abstract	73
5.3	Introduction	74
5.4	Experimental and Computational Methods	75
5.5	Results and Discussion	77
5.6	Conclusions	90
5.7	Acknowledgements	91
5.8	References	91
5.9	Supplementary Material	93
5	Probing Cluster Surface Morphology by Cryo Spectroscopy of N₂ on C	Cationic
	Nickel Clusters	
6.1	Preamble	105
6.2	Abstract	107
6.3	Introduction	108
6.4	Experimental and Computational Methods	110
6.5	Results and Discussion	111
6.6	Conclusions	128
6.7	Acknowledgements	129
6.8	References	130
6.9	Supplementary Material	133
7	Cryo IR Spectroscopy and Cryo Kinetics of N₂ on Cationic Iron Cluster	s147
7.1	Preamble	147
7.2	Abstract	149
7.3	Introduction	149
7.4	Experimental and Computational Methods	151
7.5	Results and Discussion	153
7.6	Conclusions	170
7.7	Acknowledgements	171
7.8	References	171
7.9	Supplementary Material	177

8	Cryo IR Spectroscopy of $N_2$ and $H_2$ on $Ru_8^+$ : The Effect of $N_2$ on the H-Migration189
8.1	Preamble
8.2	Abstract
8.3	Introduction
8.4	Experimental and Computational Methods
8.5	Results and Discussion
8.6	Conclusions
8.7	Acknowledgments
8.8	References:
8.9	Supporting Information
9	Cryo IR Spectroscopy of [Hemin] <sup>+</sup> Complexes in Isolation229
9.1	Preamble
9.2	Reprint
9.3	Supporting Information
10	Summary and Outlook269
11	Zusammenfassung und Ausblick
12	Appendix: Further Joint Publications279
12.1	Vibrational fingerprints of a tetranuclear cobalt carbonyl cluster within a cryo
	tandem ion trap
12	2.1.1 Preamble
12	2.1.2 Reprint
12.2	Vibrational Blue Shift of coordinated $N_2$ in $[Fe_3O(OAc)_6(N_2)_n]^+$ : "Non Classical"
	Dinitrogen Complexes
12	2.2.1 Preamble
12	2.2.2 Reprint
12.3	Infrared Spectroscopic Investigations of Structures and N <sub>2</sub> Adsorption Induced
	Relaxations of Isolated Rhodium Clusters
12	295.3.1 Preamble
Lebensla	uf325

List of Publications	327
Contribution to Conferences	329
Danksagung	333
Eidesstattliche Erklärung	335

#### 1 Introduction

Transition metals (TM) and TM containing compounds are inevitably linked with life. A large number of proteins contain a TM center as a cofactor. Most prominent representative of such metalloproteins are Vitamin  $B_{12}$  and Hemoglobin.<sup>[1]</sup> The latter one maintains the respirational process of vertebrates by the transportation of  $O_2$  via a Fe center.<sup>[2]</sup> The functionality of this metalloprotein is highly impacted by the electronic configuration of the Fe center.<sup>[3-4]</sup> TM complexes with structural similarities to the prosthetic groups may serve as model systems for the otherwise very complex proteins.<sup>[5]</sup>

Besides their outermost importance in living creatures, TM enable modern everyday life as we know it by facilitating the production of fertilizers<sup>[6]</sup> or gasoline<sup>[7]</sup>. Most industrial processes depend on TM based catalysts like the Haber-Bosch process (Fe)<sup>[8]</sup> or the Fischer-Tropsch process (Co, Fe, Ni,..)<sup>[9]</sup>. A fundamental/mechanistic understanding of such reactions is of great importance for the improvement of available catalysts or the design of new ones. Direct investigations of industrial processes is challenging due to their complexity. Therefore model systems are employed to elucidate the fundamental catalytic steps.<sup>[10]</sup> Size-selected TM clusters with 2-30 atoms may serve as model systems for heterogeneous catalysis.<sup>[11-12]</sup> They represent the transition from TM atoms to the bulk and exhibit remarkable size-dependent properties<sup>[13-14]</sup> and exhibit a conceivable cluster-surface analogy<sup>[15-17]</sup>. They may render the possible structure sensitivity of catalytic reactions. <sup>[18]</sup>

Mass spectrometry<sup>[19]</sup> in combination with ElectroSpray Ionization (ESI) sources<sup>[20]</sup> or Laser VAPorization (LVAP) cluster ion sources<sup>[21]</sup> serves for the generation and subsequent characterization of such model systems under isolated conditions. The possibility of gas phase investigations of reactions on TM clusters and TM compounds provide for the unique opportunity to gather fundamental insights into structures and reactivity omitting perturbing effects such as solvents or packing. Such studies bear the ability to unravel the fundamental interplay of model systems with biologically or catalytically relevant molecules. Numerous studies focused on the gas phase reactions of biomolecules<sup>[22]</sup> and TM clusters with e.g. hydrogen,<sup>[23-26]</sup>, nitrogen,<sup>[27-28]</sup> ammonia,<sup>[26, 29-31]</sup>, water,<sup>[26, 32-33]</sup> and carbon monoxide<sup>[34]</sup>. These studies provided for insight into the thermochemistry and bond energies. Furthermore such studies suggested various cluster structures as they utilized adsorbates as

chemical probes for their geometries.<sup>[29, 35-37]</sup> The unknown cluster structures were as well subject to numerous density functional theory (DFT) studies.<sup>[38-42]</sup> However, computations including adsorbates are rather scarce.<sup>[43-45]</sup>

Furthermore, vibrational spectroscopy of isolated ions by either free electron lasers (FEL) or tunable table top lasers is commonly applied to obtain structural information. [46-47] The InfaRed (Multiple) Photon Dissociation (IR(M)-PD) technique has proven to be a valuable tool for the structural elucidation of biomolecules [48-49], TM complexes, [50-52] and bare TM clusters [53-55]. The investigations on TM atoms with adsorbates [56-58] and TM cluster adsorbate complexes [59-64] provide for fundamental insights into the coordination of the respective adsorbate and has the ability to reveal possible activations.

The introduction of cryogenically cooled ion traps has created new opportunities as it allows for the generation of previously inaccessible reaction products. [65-66] In combination with IR spectroscopy it enables so-called tagging or messenger techniques. [67-68] These techniques allow for a one photon dissociation omitting any spectral artefacts caused by multi photon absorption.

The research studies presented in this thesis combines the afore mentioned techniques. They include cryo IR-PD spectroscopy and cryo kinetics to isolated TM cluster and biomolecule adsorbate complexes in conjunction with DFT modelling to gain insight into their structure and reactivity. This thesis comprises of seven research studies of which each provides for an individual introduction, experimental, discussion and conclusion part. A general introduction to the utilized experimental and computational methods is given in chapter 2.

The chapter 3 elucidates the  $N_2$  adsorption to size selected cationic Co clusters by cryo IR-PD spectroscopy in combination with preliminary DFT modelling. It identifies cluster size dependent effects and a favored  $\mu_1$  head-on adsorption of the  $N_2$  to the Co clusters. This study can be seen as a starting point for the subsequent studies.

The chapters 4-6 focus on the adsorption of  $N_2$  to cationic Ni clusters. These studies characterize the cluster morphologies by kinetic, spectroscopic and computational investigations and allow for a classification of the probed Ni clusters into four classes of structure related surface adsorption behavior.

The chapter 7 provides for kinetic and spectroscopic investigations on  $N_2$  on cationic Fe clusters. It observes remarkable cluster size dependent features that in contrast to the Ni clusters do not allow for a structure related classification. Preliminary DFT modelling allows for a first interpretation of the unreactivity towards  $N_2$  of the  $Fe_{17}^+$  and one isomer of the  $Fe_{18}^+$  cluster.

The chapter 8 investigates the coadsorption of  $N_2$  and  $H_2$  to a  $Ru_8^+$  cluster by cryo IR-PD spectroscopy and DFT modelling. It identifies remarkable effects of the reaction gas sequence on the migration possibility of the hydrides on the cluster.

The chapter 9 characterizes various [Hemin] $^+$  adducts by IR-PD spectroscopy in conjunction with DFT modelling. It reports the effect of different adsorbates (N<sub>2</sub>, CO, O<sub>2</sub>) on the biomolecule and it observes the transition from a non-classical to a classical CO complex.

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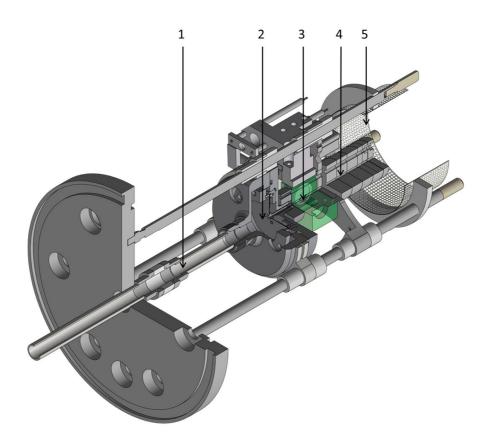
## 2 Experimental and Computational Methods

#### 2.1 Ion Sources

Ion sources are inevitably linked with mass spectrometry. The diversity of available ion sources provides for manifold possibilities for the characterization and analyzation of gaseous ions.<sup>[1-2]</sup> In the following chapters two selected ion sources are presented as they were used in the research studies of this thesis.

#### 2.1.1 Laser Vaporization (LVAP) Source

The investigated metal clusters are produced by a home built Laser VAPorization (LVAP) cluster ion source. The LVAP cluster ion source was first described by Bondybey and English<sup>[3-4]</sup>, and Smalley<sup>[5-6]</sup>. Our source mainly comprises a home built piezoelectric valve<sup>[7]</sup>, an expansion / interaction block, and an expansion channel (**Figure 2.1**).



**Figure 2.1** Schematic drawing of a LVAP source: gas line (1), piezoelectric valve (2), expansion / interaction block (3), expansion channel (4), mesh (5).

The second harmonic of a Nd:YAG laser (Innolas, Spitlight 300; 20 Hz) is focused on a rotating metal foil (thickness: 0.1-0.5 mm) composed of the metal or alloy of interest. The resulting plasma consists of neutral atoms, cationic and anionic species. The plasma is captured by a short transversal He gas pulse generated by the home built piezoelectric valve. This valve operates with a backing pressure of 12-20 bar, an opening time of approximately  $40~\mu s$ , and a repetition rate of 20~Hz. The gas pulse expands into the source vacuum chamber, raising the chamber pressure from  $2~x~10^{-7}$  mbar up to  $2~x~10^{-4}$  mbar. The metal plasma is cooled within the supersonic expansion of the He gas and metal cluster ions are formed in the expansion channel. No further ionization step is needed. Clusters in the size range of 2~to~40 atoms are generated. The intensity maximum of the produced cluster distribution can be influenced by variation of the backing pressure, the valve opening time, the laser-valve-delay, and the applied source voltages. The charge state of the produced clusters can be selected by the polarity of the source voltages and of the subsequent ion optics.

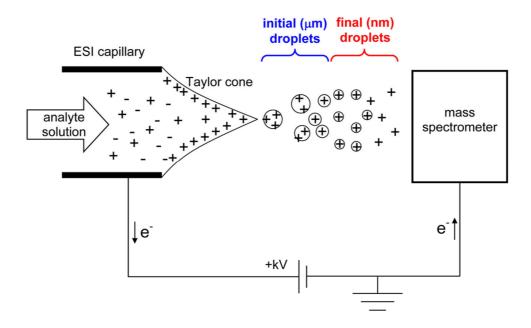
The cobalt foil was purchased from Alfa Aesar (purity: 99.95 %). The nickel , iron, and ruthenium foils were purchased as mono isotopic materials form Oak Ridge National Laboratory (58Ni purity: 99.61 %, 56Fe purity: 99.93 %, 102Ru: 99.38 %).

#### 2.1.2 ElectroSpray Ionization (ESI) Source

ElectroSpray Ionization (ESI) is a method to gently transfer ions from solution into the gas phase. The basis for the development of ESI has been described by Zeleny in 1917<sup>[8]</sup> and was first realized by Dole in 1968<sup>[9]</sup>. In 2002 Fenn was awarded with the Nobel Prize for the combination of the ESI source with a quadrupole mass spectrometer and its application.<sup>[10-11]</sup> Since then ESI mass spectrometry has been proven to be a valuable tool with wide range applications. Inter alia, it enables the analysis of biomolecules<sup>[12]</sup>, non-covalent complexes<sup>[13]</sup>, catalytic intermediates<sup>[14-15]</sup> or transition metal complexes<sup>[16]</sup>.

To start the ESI process (**Figure 2.2**) an analyte solution is pushed through an electroconductive capillary (ESI capillary) by a syringe pump. A strong electrostatic field is applied between the capillary and the orifice of the mass spectrometer. This leads to a charge separation within the solution and the formation of a Taylor Cone<sup>[17-18]</sup>. Charged droplets are released from the Taylor Cone when the coulomb repulsion exceeds the surface

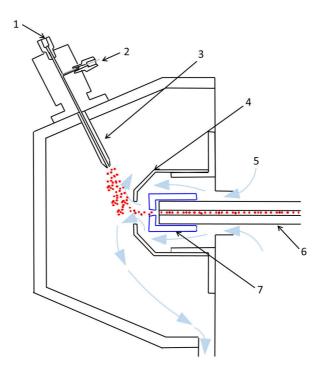
tension of the solvent. The generated droplets shrink by solvent evaporation until they reach the Rayleigh limit<sup>[19]</sup> and undergo Coulomb explosions. This process is repeated until the droplets are in the nanometer size region<sup>[20]</sup>. The final release of the ions into the gas phase is discussed in three different models<sup>[21]</sup>: the ion evaporation model<sup>[22-23]</sup>, the charge residue model<sup>[24-25]</sup>, and the chain ejection model<sup>[26-27]</sup>.



**Figure 2.2**<sup>[20]</sup> Schematic drawing of an ESI source visualizing the release of charged droplets during the ESI process.

We use a commercially available Apollo II ESI source (Bruker, **Figure 2.3**). The sample solution ( $10^{-4}$  mol/I) is placed in a Hamilton<sup>TM</sup> gas tight syringe (0.5 ml). The sample solution is continuously injected into the electrospray needle through a peek capillary. The syringe pump provides for a constant flow rate of 120  $\mu$ L/h. A nebulizer gas ( $N_2$ , 3.0 L/min) facilitates the nebulization of the analyte solution and a heated dry gas ( $N_2$ , 220 °C, 6.0 L/min) supports the solvent evaporation. The electrospray needle is grounded and a high voltage is applied to the spray shield in order to generate an electrostatic field. An additional electrostatic potential between the spray shield and the glass capillary focuses the ions into the capillary. This glass capillary is platinum coated at both ends which allows to apply an electrostatic gradient that guides the ions into the subsequent vacuum stage. After the glass capillary the ions are deflected into an ion funnel. A skimmer is located at the end of this first ion funnel. By adjusting the voltage of the skimmer in-source collision induced dissociation (in-source CID) can be performed. Then the ions enter the second ion funnel that leads to the source

hexapole. This hexapole can be used to accumulate the ions and generate ion packages before entering the next vacuum stage (*cf.* FRITZ).



**Figure 2.3**<sup>[28]</sup> Schematic drawing of the Bruker Apollo II ESI source with the sample inlet (1), the nebulizer gas inlet (2), the electrospray needle (3), the spray shield (4), the heated dry gas (5), the glass capillary (6), and the capillary cap (7).

## 2.2 Fourier Transform Ion Cyclotron Resonance Mass Spectrometry (FT-ICR MS)

The principles of cyclotron was first described by Lawrence in 1930.<sup>[29-31]</sup> On the basis of this effect the first ion cyclotron resonance (ICR) mass spectrometers were constructed in the early 1950.<sup>[32]</sup> The first Fourier Transform Ion Cyclotron (FT-ICR) mass spectrometers were introduced by Comisarow and Marshall.<sup>[33-34]</sup> The performances of these mass spectrometers were further increased with the combination with superconducting magnets.<sup>[35-36]</sup>

In an ICR mass spectrometer the ions of interest are stored in an ion trap (ICR cell or Penning trap) that is located in a strong homogeneous magnetic field. The magnetic field forces the ions on a circular trajectory inside the ion trap. The radius of this motion is dictated by two opposing forces: the Lorentz and the Centrifugal force.

$$F_L = q v_{xy} B ag{2-1}$$

$$F_{Cf} = \frac{mv_{xy}^2}{r}$$

 $F_L$  Lorentz force

 $F_{Cf}$  Centrifugal force

q charge of the ion  $(q = z \cdot e)$ 

z number of charges

e elementary charge

 $v_{xy}$  velocity of the ion in the xy plane

B magnetic field

*m* ion mass

r radius of the ions orbit

The velocity in the xy plane is substituted by the angular velocity and the cyclotron frequency can be inserted into the equation:

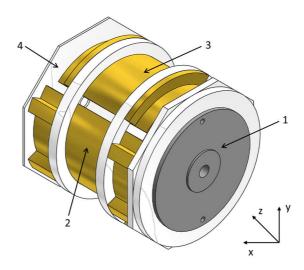
$$v_{xy} = r\omega_{\mathcal{C}}$$
 2-3

$$\omega_{\mathcal{C}} = 2\pi f_{\mathcal{C}} = \frac{qB}{m}$$

$$f_C = \frac{qB}{2\pi m}$$
 2-5

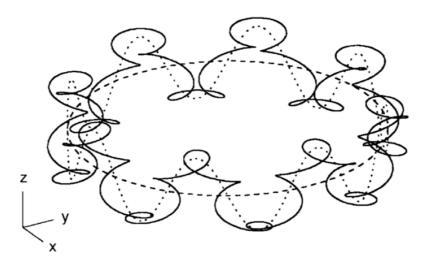
The cyclotron frequency is independent from the initial velocity in the xy plane and proportional to the mass to charge ration (m/z).

An ICR cell consists of at least six plates (**Figure 2.4**). The front and the back trapping plate serve to trap the ions in the cell along the z-axis by an electrostatic field. This trapping results in an oscillatory motion along the z-axis - the trapping motion. The two excitation plates facing each other provide for the alternating electric field. By applying a frequency sweep to the excitation plates, the ions are accelerated and their orbits increase. The oscillating ions induce an image current in the two detection plates. The spectrum is recorded by measuring this image current.



**Figure 2.4** Schematic Drawing of an ICR cell (Bruker Infinity Cell<sup>TM[37]</sup>) with the front trapping plate (1), an excitation plate (2), a detection plate (3), and the back trapping plate (4).

The ion movement inside the ICR cell consists of several components(**Figure 2.5**). Besides the already mentioned cyclotron motion and the trapping motion, the ions move along an electrostatic potential. This motion is called magnetron motion and is mass independent. All motions are superimposed.



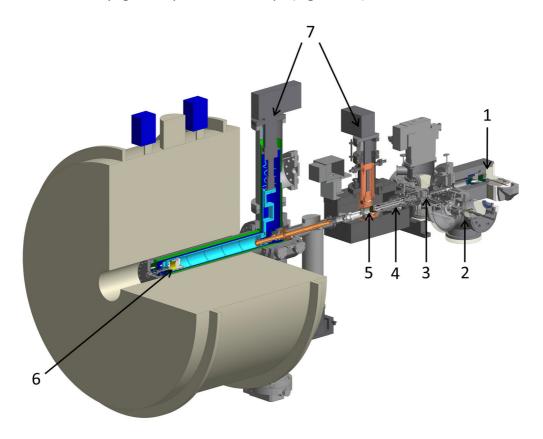
**Figure 2.5**<sup>[38]</sup> Ion motion within an ICR cell consisting of the magnetron motion (dashed line), the magnetron motion plus the trapping motion (dotted line), and the overall motion including the cyclotron motion (solid line).

The ICR cell can also be used as a mass selector. A specific m/z ratio can be isolated by applying a frequency sweep excluding the resonance frequency of the m/z ratio of interest. The other ions are ejected from the ICR cell as they are excited on much higher and unstable

orbits than for detection. By applying this high excitation only to one specific resonance frequency, the respective m/z ratio can be ejected from the ICR cell.

#### **FRITZ**

The experimental setup FRITZ (Fouriertransform Reactive Investigation Test Zoo) is a modified FT-ICR mass spectrometer (Bruker, Apex Ultra) that is equipped with two different ion sources and two cryogenically cooled ion traps (**Figure 2.6**).



**Figure 2.6** Schematic drawing of the FRITZ setup with the ESI source (1) that is located opposing the LVAP source but is shown here on the magnetic field axis for clarity, the LVAP source (2), the quadrupole ion beam bender (3), the quadrupole mass filter (4), the cryogenically cooled hexapole ion trap (5), the cryogenically cooled ICR cell (6), and the two two-stage closed cycle He cryostats (7).

The ions are generated by either the LVAP source or the commercially available Apollo II ESI source. Both ion sources are coupled to the mass spectrometer by home built gate valves to ensure the possibility of individual operation of the source vacuum systems. Home built ion optics guide the ions to a home built electrostatic quadrupole ion beam bender. This ion beam bender bends the ion beam by 90° onto the magnetic field axis. The beam is guided

into a quadrupole mass filter by another stack of home built ion optics. This mass filter provides for the possibility of a first mass selection. After the mass filter the ions enter the cryogenically cooled hexapole ion trap. This ion trap is connected to the second stage of a 4 K two-stage closed cycle He cryostat (Sumitomo SRDK-101E with a HC-4E compressing unit). The temperature of both cooling stages is monitored with two temperature sensors (LakeShore, Cernox<sup>TM</sup> CX-1060-CO-HT-P-1.4M) that are calibrated from 1.4 to 420 K. The temperature can be adjusted by heating resistors (Cartridge Heater, Janis Research,  $R = 50 \Omega$ ) that are controlled by a temperature controller (LakeShore, Model 336). There are two gas lines attached to the hexapole ion trap for the injection of buffer and/or reaction gas. The gases can be introduced by either pulsed or leak valves. After a variable storage time (0 – 30 s), the ions are transferred to the ICR cell. This ICR cell is located in the high field region of a superconducting solenoid (B = 7 T) within the ultra high vacuum region of this setup. The ICR cell is connected to the second stage of a 10 K two-stage closed cycle He cryostat (Sumitomo SRDK-408S2 with a W-71D compressing unit) and shielded by two actively cooled and three passively cooled shielding tubes. The temperature is monitored by three temperature sensors (LakeShore,Cernox<sup>TM</sup> CX-1050-CO-HT-P) calibrated from 4 to 325 K that are located directly on the second stage of the cold head, on a shield connected to first stage, and on the backside of the ICR cell. Again the temperature can be adjusted and is controlled by a temperature controller (LakeShore, Model 340). There is again the possibility to introduce gas either pulsed or continuously into the ICR cell. Furthermore the ions can be irradiated with an IR laser in this cell. Thus, the ICR cell serves as reaction cell, mass selector and mass analyzer altogether.

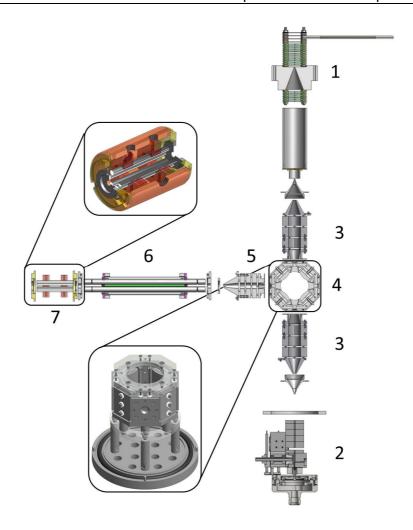


Figure 2.7 Scheme of the ion sources and optics leading to the hexapole ion trap: ESI source (1), LVAP source (2), ion optics (3) and (5), quadrupole ion beam bender (4), quadrupole mass filter (6), and hexapole ion trap (7).

#### 2.3 Isothermal Cryo Kinetics

Isothermal Cryo Kinetics are recorded by storing specific mass selected ions in the hexapole at a well-defined temperature and a well-defined amount of reaction gas. After storing the ions for variable reaction times in the hexapole, mass spectra are recorded. Reaction products appear as additional masses in the respective mass spectrum in dependence of the reaction time, while the intensity of the parent ions decrease.

#### **Relative Rate Constants**

The relative rate constants are determined by fitting the normalized reaction time dependent intensities of the parent and product ions derived from the mass spectra. The fits to pseudo-first-order-kinetics are performed with the evofit program.<sup>[39]</sup> Fitting the

experimental kinetics allows for the quantitative determination of the relative rate constants like in the case of the stepwise  $N_2$  adsorption:

$$[M_n(N_2)_m]^+ + N_2 \xrightarrow[k_{-(n,m+1)}]{k_{-(n,m+1)}} [M_n(N_2)_{m+1}]^+$$
 2-6

#### **Absolute Rate Constants**

The average dipole orientation theory (ADO)<sup>[40-41]</sup> describes the collision rate of polar molecules and is an extension of the classical Langevin collision rate<sup>[42]</sup>. The ADO theory provides for the theoretical limit of the absolute rate:

$$k_{ADO} = \frac{q}{2\varepsilon_0\sqrt{\mu}} \left(\sqrt{\alpha} + c\mu_D\sqrt{\frac{2}{\pi k_B T}}\right)$$
 2-7

μ reduced mass

α polarizability

 $\mu_D$  dipole moment

c parameter between 0 and 1, expressed by polarizability volume and  $\mu_D$ 

This theory is often used to calculate the reaction rates of charged clusters with small molecules but it underestimates the reaction rates.<sup>[43-44]</sup> Therefore two other models were introduced by Kummerlöwe and Beyer – the hard sphere average dipole orientation model (HAS) and the surface charge capture model (SCC).<sup>[45]</sup>

The absolute rate constants  $k_{(n,m)}^{abs}$  can be derived from the relative rate constants  $k_{(n,m)}$  and the absolute collision gas number density  $\rho_{N_2}(T)$ :

$$k_{(n,m)}^{abs} = \frac{k_{(n,m)}}{\rho_{N_2}(T)}$$
 2-8

The collision gas number is calculated from the pressure in the chamber  $p_c^{300\,K}$  and an effective geometry factor  $c_{app}$ :

$$\rho_{N_2} = \frac{c_{app} p_c^{300 \, K}}{k_B T_{300 \, K}}$$
 2-9

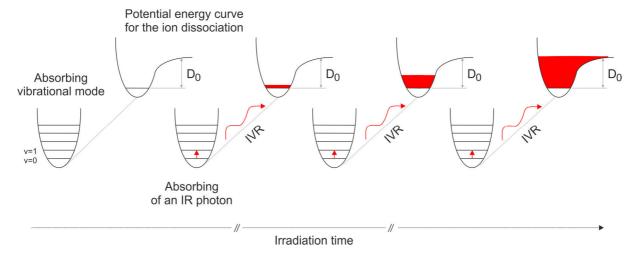
The geometry factor we estimated the geometry factor by the virtue of numerous kinetic studies to be  $1.8 \pm 0.4$  at 26 K with a net uncertainty of  $\pm 50$  %. The absolute reaction

efficiency  $\gamma$  is calculated from the absolute rate constant and collision rate. It can be seen as reaction probability after a collision or as sticking probability as know from surface science.

$$\gamma = \frac{k_{abs}}{k_{coll}}$$

#### 2.4 InfraRed (Multiple) Photon Dissociation (IR-(M)PD)

Infrared (IR) (absorption) spectroscopy is a valuable tool to provide for vibrational/structural information and reactivity of various substances. The application of IR spectroscopy to isolated gaseous ions would enable investigations omitting any solvent or crystal effects. However, direct measurements of light absorption are not possible due to the low particle density in the ion traps. Therefore, more elaborated schemes are needed to obtain the IR spectra of gaseous ions. The InfraRed (Multiple) Photon Dissociation (IR-(M)PD) spectroscopy is an action spectroscopy approach record the vibrational spectra. Here the isolated gaseous ion is irradiated with tunable IR laser radiation. If the laser radiation frequency is in resonance with a vibrational transition of the ion, a photon is absorbed. The absorbed energy is rapidly distributed into other molecular vibrational degrees of freedom by internal vibrational redistribution (IVR). The original adsorbing mode is now able to adsorb another IR photon. This process is repeated until enough energy is available in the ion to break a weak bond (Figure 2.8).



**Figure 2.8**<sup>[48]</sup> Schematic representation of the IR-MPD process. A resonant IR photon is adsorbed by the ion and the energy is redistributed by IVR. This process is repeated until the dissociation threshold is reached and the ion fragmentizes.

The resulting fragments can be detected by mass spectrometry and the IR-(M)PD spectrum is obtained by plotting the fragmentation efficiency (frag. eff.) vs. the laser frequency.

$$frag. eff. = \frac{\sum_{i} I_{i}^{fr}}{\sum_{i} I_{i}^{fr} + \sum_{i} I_{i}^{p}}$$
 2-11

 $I_i^{fr}$  intensity of the fragment ions

 $I_i^p$  intensity of the parent ions

Note that if multiple photons are required to dissociate the ion, the fragmentation efficiency is not linearly correlated to laser intensity and the adsorption cross section of the respective ion. Therefore IR-MPD spectra may differ in intensity to solid state spectra or calculated linear adsorption spectra.

For the cleavage of covalent bonds multiple IR photons are required as one IR photon in the region from 800 cm<sup>-1</sup> to 4000 cm<sup>-1</sup> does not provide the sufficient energy (10 – 50 kJ/mol).<sup>[49-50]</sup> To enable a single photon dissociation messenger or tagging techniques can be applied.<sup>[51]</sup> In this techniques a weakly bound unreactive atom or molecule (He, Ar, H<sub>2</sub>, N<sub>2</sub>,...) is attached to the species of interest. Low temperatures are needed for the attachment that can be achieved by the use of supersonic expansion jets or cryogenically cooled ion traps.<sup>[51-53]</sup>

#### Optical Parametric Oscillator / Amplifier (OPO / OPA) IR Laser System

For the recording of IR-(M)PD spectra over a wide frequency range an intense tunable light source is needed. Optical Parametric Osciallator<sup>[54]</sup> (OPO) / Optical Parametric Amplifier<sup>[55]</sup> (OPA) laser systems provide for such radiation. Our OPO / OPA laser system (LaserVision) (**Figure 2.9**) is pumped by a pulsed injection seeded Nd:YAG (Continuum Powerlite DLS 8000) that provides for intense laser radiation at 1064 nm, a pulse length of about 7 ns, and a pulse energy in the range of 530 – 600 mJ/pulse.

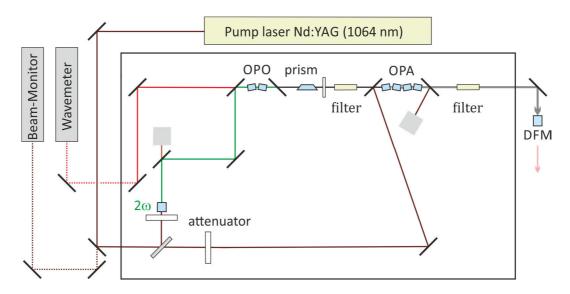
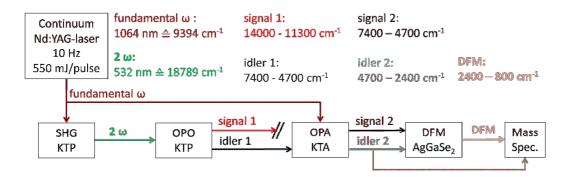


Figure 2.9 Scheme of the beam path in the OPO / OPA laser system (LaserVision).

This fundamental beam is divided by a beam splitter into two beams with one third and two thirds of the original intensity. Two thirds of the intensity are directed to the OPA stage and the one third is frequency doubled (second harmonic generation, SHG) to 532 nm by a potassium titanyl phosphate crystal (KTP). The residual fundamental beam is dumped and the 532 nm beam is guided into to the OPO resonator. This resonator consists of two KTP crystals that split the 532 nm into the signal 1 and the idler 1 waves. The signal wave has a higher frequency than the idler wave, per definition. The frequency can be tuned by varying the angle between the KTP crystals and the incident 532 nm beam. Note that the photon energy of 532 nm is identical to the sum of the photon energy of the signal and idler due to energy conservation. The signal 1 radiation is dumped by a silicon filter and the idler 1 radiation is guided into the OPA stage. The OPA stage consists of four potassium titanyl arsenate (KTA) crystals. Within this stage the remaining two thirds of the fundamental beam is combined with the idler 1 beam. This process yields signal 2 and idler 2 radiation in which the signal 2 beam corresponds to the amplified idler 1. Now either the signal 2 beam is dumped and the idler 2 is guided towards the mass spectrometer, or both beams are mixed in an additional difference frequency generation (DFM) stage. This stage consists of a silver gallium diselenide (AgGaSe<sub>2</sub>) crystal<sup>[56]</sup> and a zinc selenide (ZnSe) filter to dump the residual signal 2 and idler 2 radiation.



**Figure 2.10** Scheme of the nonlinear optical processes and frequency conversions within the OPO / OPA laser system, yielding the respective IR photons.

The idler 2 wave provides for radiation in the region from  $4700 - 2400 \text{ cm}^{-1}$  that is suitable to probe OH, NH or CH stretching modes. The signal 2 wave may also be used to observe low lying electronic transition. The DFM crystal generates radiation in the region from  $2400 - 800 \text{ cm}^{-1}$  that enables the measurement of CO stretching modes, CH bending modes or the stretching mode of adsorbed  $N_2$ .

Overall this OPO / OPA laser systems provides for intense tunable IR radiation in the region from 7400 to 800 cm<sup>-1</sup>, a pulse energy between 0.1 – 20 mJ/pulse and a spectral resolution of approximately 0.9 cm<sup>-1</sup>. The laser system is calibrated with a wave meter (Bristol Instruments, 821B-NIR) and the beam profile of the fundamental beam is constantly monitored with a beam profiler camera (DataRay Inc., WinCamD).

### 2.5 Density Functional Theory (DFT)

To provide for a more detailed interpretation of experimental results a comparison with calculations is indispensable. Density Functional Theory (DFT) is a widely used approach for the calculations of molecular properties, like structures or IR frequencies.<sup>[57-59]</sup>

The basis for DFT is that all information required for the determination of the energy of a molecule is given by its electron density  $\rho(\vec{r})$ . This was first described by Thomas and Fermi. [60-61] Hohenberg and Kohn proved the correctness of this statement by their 1st theorem [62] and showed that the variational principle could be applied by their 2<sup>nd</sup> theorem. The energy minimization by application of the variational principle was described by Kohn and Sham [63], which defined the energy as function of the electron density as follows:

$$E(\rho) = T_0(\rho) + \int dr \, \rho(\vec{r}) (V_{eff}(\vec{r}) + \frac{1}{2}\varphi(\vec{r})) + E_{XC}(\rho)$$
 2-12

 $T_0(\rho)$  kinetic energy

 $V_{eff}(\vec{r})$  effective potential

 $\varphi(\vec{r})$  Coulomb potential

 $E_{XC}(\rho)$  exchange correlation energy

The corresponding Kohn-Sham wavefunction is a single Slater determinant and is constructed from a set of orbitals that are the lowest energy solution to:

$$\left(-\frac{\hbar^2}{2m}\nabla^2 + V_{eff}(\vec{r})\right)\Phi_i(r) = \varepsilon_i\Phi_i(r)$$
 2-13

 $\Phi_i(r)$  Kohn-Sham orbital i

 $\varepsilon_i$  orbital energy

The electron density of the system can now be described as:

$$\rho(\vec{r}) = \sum_{i}^{N} |\Phi_i(r)|^2$$
 2-14

The most crucial part in DFT is the approximation of the exchange correlation energy  $E_{XC}(\rho)$ . It includes all unknown factors and has a significant contribution. The exchange correlation energy is subject to the used functionals. The local density approximation (LDA)<sup>[58]</sup> or the generalized gradient approximation (GGA) are common approaches to estimate the exchange correlation energy. The use of hybrid functionals has proven to provide for accurate results of many systems.<sup>[64-65]</sup>

For the geometry optimization of the calculated system the potential energy surface has to be scanned for so called stationary points. Such stationary points occur if the first derivative equals zero, which happens at energetic minima (minimum structures) or energetic saddle points (transition states). To discriminate between these, the second derivative has to be inspected. If all values are positive a minimum structure is reached and if one value is negative a transition state. As this method only finds minimum structures with geometries similar to the starting geometry, several calculations with different starting

structures have to be performed. The global minimum can be found by comparing the calculated energies of the different structures. The comparison of calculated properties, like the linear absorption spectra, with experiments structural assignments can be made.

All calculations presented here were performed using the Gaussian 09 program package<sup>[66]</sup>. The used functional and basis sets will be addressed in each chapter separately. The calculations were gratefully performed on the computing clusters of the Theoretical Chemistry department under the supervision of Prof. C. van Wüllen.

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# 3 Infrared Spectroscopy of N<sub>2</sub> Adsorption on Size Selected Cobalt Cluster Cations in Isolation

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#### 3.1 Preamble

The following chapter is a reprint of a publication in the journal "Physical Chemistry Chemical Physics".

The experiments were performed by the experimental team consisting of J. Mohrbach, J. Hewer, M. Gaffga and myself. The data evaluation was done by J.Mohrbach and myself. G. Niedner-Schatteburg performed the computations. I wrote the initial manuscript and revised it with the help of G. Niedner-Schatteburg and J. Mohrbach.

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## 3.2 Reprint

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## Infrared spectroscopy of N<sub>2</sub> adsorption on size selected cobalt cluster cations in isolation

Sebastian Dillinger, Jennifer Mohrbach, Joachim Hewer, Maximilian Gaffga and Gereon Niedner-Schatteburg

We report IR active  $N_2$  stretching frequencies in isolated and size selected cobalt cluster nitrogen adsorbate complexes,  $[Co_n(N_2)_1]^+$  as recorded by virtue of InfraRed Photon Dissociation (IRPD) spectroscopy. The observed frequencies of the  $[Co_n(N_2)_1]^+$  complexes (n=8-17) are significantly redshifted (2180 to 2290 cm $^{-1}$ ) with respect to the IR inactive vibrations of free  $N_2$  (2359 cm $^{-1}$ ). These bands are assigned to a  $\mu_1$  head-on type of coordination of the  $N_2$  to the cobalt cluster surface, revealing remarkable cluster size dependent features to interpret.

It is often difficult to investigate heterogeneously catalyzed reactions due to their complexity. Reactions of isolated transition metal clusters may serve to elucidate elementary processes in such reactions. The initial adsorption event is often the rate limiting step in multistep bond activation that precedes any further activation and the phenomenon of gas adsorption in layers became an early subject of research.  $^{1,2}$  Historically adsorption is classified into chemisorption and physisorption by phenomenological persistence of the adsorbate. Physisorbed species often serve as precursors for activation. The initial adsorption and activation of  $N_{\rm 2}$  is the rate limiting step in the Haber–Bosch process. Therefore the characterization of the precursor state is of great importance for the mechanistic understanding of this catalytic conversion.  $^3$ 

CO adsorption on metal surfaces has been characterized by numerous kinetic<sup>4–7</sup> and spectroscopic studies. <sup>8–10</sup> The observed redshift of the CO stretching vibration is understood to indicate the CO coordination site – on top  $(\mu_1)$ , on bridge  $(\mu_2)$  or on hollow  $(\mu_3)$ . It moreover reflects interaction strength and of course charge effects. Complementary insights arise from the IR based characterization of CO adsorbates on the surfaces of isolated metal clusters.  $^{11-13}$ 

There is equally great interest in the kinetics of the  $N_2$  adsorption on metal surfaces. <sup>14–16</sup> Spectroscopic and kinetic studies of  $N_2$  adsorbed on Fe(111) revealed that there are three

characteristic  $\alpha$ -,  $\delta$ - and  $\gamma$ -states, which refer to side-on, and head-on adsorption to highly and to less coordinated metal surface atoms.  $^{17-19}$  Many reaction studies have been performed for a better understanding of the  $N_2$  adsorption kinetics with metal clusters.  $^{20-25}$  Two of these studies  $^{24,25}$  have drawn far reaching structural conclusions from so called uptake plots of average association numbers of multiple  $N_2$  molecules under flow reactor conditions. They discuss icosahedral, hexagonal (hcp) and face centered cubic (fcc) packing speculating about pressure dependent inter conversion. Despite obvious need merely a single spectroscopic study elucidated the  $N_2$  adsorption on isolated metal clusters, namely those of Ruthenium,  $^{26}$  with no unambiguous assignment of the recorded spectral features.

Common interpretation of the head-on adsorption of CO and  $N_2$  to surfaces of extended bulk metal samples and of size selected clusters arises in terms of a  $\sigma$ -donor  $\pi$ -acceptor synergistic bonding scheme according to the so called Blyholder model. Ab initio DFT modelling reaches its limits when it comes to the appropriate choice of exchange correlation functionals.

The present study probes the N–N stretching frequency of  $\rm N_2$  when adsorbed on size selected cobalt cluster cations at cryogenic temperatures. We aim to gain insight into the binding motifs of the adsorbates and towards the structure of the cobalt clusters themselves. Preliminary *ab initio* DFT calculations augment the current experiments, failing to provide unambiguous structural conclusions as of now.

A customized Fourier Transform-Ion Cyclotron Resonance (FT-ICR)-mass spectrometer (Apex Ultra Bruker Daltonics) served to perform the cluster production, isolation,  $N_2$  condensation, InfraRed Photon Dissociation (IRPD) and mass analysis. The metal clusters were generated using a home-built laser vaporization cluster ion source as described before. <sup>26,29</sup> In brief, cobalt atoms are evaporated from a rotating 1 mm thick cobalt foil by the second harmonic of a pulsed Nd:YAG laser. The hot plasma is captured by a He gas pulse (40  $\mu$ s, 10–15 bar) created by a home-built piczoclectric valve. <sup>30</sup> The atoms and ions are cooled and aggregate to clusters in the subsequent jet expansion through a 60 mm long channel (2 mm diameter) into vacuum (10<sup>-6</sup> mbar).

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The clusters are skimmed and injected into a cryogenic hexapole ion trap passing different ion lenses, a 90 degrees ion beam bender and a quadrupole mass filter. The ion trap is cooled to 26 K by a closed cycle He cryostat. Buffer or reaction gas can be introduced both pulsed and continuously. In this work we used the continuous gas inlet. He or Ar (He:  $[Co_{8-10}(N_2)_1]^+$ , Ar:  $\left[\operatorname{Co}_{11-17}(\operatorname{N}_2)_1\right]^+$  is used to increase the pressure in the ion trap from  $1.7 \times 10^{-7}$  mbar up to  $1.0 \times 10^{-6}$  mbar to accomplish the efficient trapping and cooling of the ions. The attachment of nitrogen is achieved due to impurities in the buffer gas and can only be observed at temperatures below 28 K. After storage of the ions for a variable time (0-10 s), the manipulated ions are guided by electrostatic lenses into the FT-ICR cell of the so-called "infinity" type.31 This cell is held at a temperature of 10 K with a closed cycle He cryostat to prevent heating of the clusters by black body radiation. The cell is also used for isolation and detection of the ions.

For the acquisition of the (IRPD) spectra the FT-ICR cell is coupled to a tunable IR laser ( $\delta n = 0.9 \text{ cm}^{-1}$ ,  $\delta t = 7 \text{ ns}$ ). This laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd:YAG laser (PL8000, Continuum). The difference frequency (DF) between the OPA signal and idler waves is generated in a AgGaSe2 crystal. This generates IR radiation in the range of 1400-2400 cm<sup>-1</sup>. Each trapped and isolated package of ions is irradiated by 10-15 laser pulses (0.1-1.2 mJ per pulse) to yield a sufficient amount of fragment ions. The IR spectra were recorded as ion chromatograms while continuously scanning the IR wavelength. The IRPD signal was evaluated as  $\Sigma_i F_i / (\Sigma_i F_i + \Sigma_i P_i)$ , where Fi and Pi indicate fragment and the parent ion signals, respectively. An experimental IRPD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency. We employed the IRPD spectroscopy in the 2100–2350 cm<sup>-1</sup> range on the  $[Co_n(N_2)_1]^+$  species (n = 8-17). In this range we expected the  $N_2$ stretching frequencies of the species. For all complexes the loss of the N<sub>2</sub> was the only observed fragmentation channel.

The cold IRPD spectra of the cryo cooled  $[\operatorname{Co}_n(\operatorname{N}_2)_1]^+$  cluster adsorbate complexes reveal well resolved bands (cf. Fig. 1). All complexes show a single or multiple IR active bands within the range of 2180 to 2290 cm<sup>-1</sup> (2110 2350 cm<sup>-1</sup> probed). These bands are significantly redshifted with respect to the IR inactive stretching mode of free  $\operatorname{N}_2$  (at 2359 cm<sup>-132</sup>). Some clusters show multiple bands (n=14–17), other clusters reveal single bands (n=8–13). Dissociative  $[\operatorname{N-Co}_n-\operatorname{N}]^+$  adsorption would inevitably lead to much lower Co–N stretching frequencies which may become as low as 600 cm<sup>-1</sup> when interstitial nitrides form. Therefore we confirm molecular (intact) adsorption (physisorption) of  $\operatorname{N}_2$  on naked cobalt cluster surfaces. While we cannot exclude some (at present invisible) dissociation of  $\operatorname{N}_2$  we do not find forcing evidence. Such an activation would, if any, occur in competition to intact adsorption.

The observed cluster size dependence reveals continuous variations and "jumps"/discontinuities alike. The dotted red line serves to guide the eye. It is slightly tilted towards the red with cluster size. A plain charge dilution effect would lead to an opposite effect namely to a blue shifting by increasing cluster size.

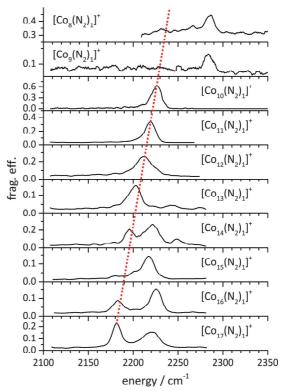


Fig. 1 IRPD spectra of  $[{\rm Co}_n({\rm N}_2)_1]^+$  for n=8-17. The dotted red line serves to guide the eye. Its slight tilt with cluster size indicates a conceivable cooperative polarization effect. Note the variation in the observed peak positions and splittings. Multiple major peaks likely Indicate cluster core isomers, spin isomers or  $N_2$  bonding isomers while weak sidebands to the blue may arise from combination bands ( $N_2$  stretching and wagging modes, cf. Table 1). Note the "jump" of the major peak from n=9 to n=10.

Instead one might recall the (likely) metallic nature of the cobalt cluster allowing for electron density shifts in response to external perturbation. Such polarization may couple back to the perturbing adsorbate and enhance electron donation into empty antibinding orbitals – the more the larger the cluster. Hence this would lead to a weakening of the N–N binding strength and an increasing redshift of the stretching frequency with cluster size.

Looking at the bands in detail it is obvious that  $[\operatorname{Co_8(N_2)_1}]^+$  and  $[\operatorname{Co_9(N_2)_1}]^+$  do not follow the trend illustrated by the red line. The absorption of  $[\operatorname{Co_8(N_2)_1}]^+$  and  $[\operatorname{Co_9(N_2)_1}]^+$  (both at 2285 cm  $^{-1}$ ) are significantly less redshifted than those of all other cluster complexes studied. The clusters with n=10–13 show merely one strong band that aligns well to the mentioned polarization effect (2226, 2219, 2212, 2203 cm $^{-1}$ ). In the case of  $[\operatorname{Co_{13}(N_2)_1}]^+$  this main absorption band is accompanied by weak sidebands to the blue. These may arise from combination bands ( $N_2$  stretching and wagging modes, cf. Table 1, as supported by calculations). Besides these sidebands of  $[\operatorname{Co_{13}(N_2)_1}]^+$ , the main band is in line with a possible icosahedral structure ( $I_h$ ). A major difference arises

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 $\label{eq:total_loss} \begin{tabular}{ll} \textbf{Table 1} & Computed vibrations in $[Co_{13}(N_2)_1]^T$ ($I_h$, $2S+1=15$). Explicitly listed values document all those modes that involve significant motion of either of the two N-atoms $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$) and $I_h$ ($I_h$) and $I_h$ ($I_h$). The significant motion of either of the two N-atoms $I_h$ ($I_h$) and $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) and $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the two N-atoms $I_h$ ($I_h$) are significant motion of either of the t$ 

Mode	Туре	Freq./ cm <sup>-1</sup>	Scaled freq./ cm <sup>-1</sup>	IR intensity/ km mol <sup>-1</sup>
$ \begin{array}{c} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_3 \cdots \nu_{37} \\ \nu_{38} \\ \nu_{39} \end{array} $	$N_2$ wagging $N_2$ wagging $N \uparrow -N - Co \downarrow$ bending $Co_{13}^+$ skeleton modes $N \uparrow -N \downarrow - Co \uparrow$ bending $N - N$ stretching	33.8 46.7 78 78306 354 2338	32.1 44.4 74 336 2221	0.04 0.08 0.17 <2.7 37 605

for even larger clusters, which reveal multiple bands. These are possibly due to cobalt cluster isomers, to spin state isomers or to  $N_2$  bonding isomers or to combinations of all three effects.  $[Co_{14}(N_2)_1]^+$  is most prominent in revealing three major bands (at 2195, 2222, 2248 cm $^{-1}$ ). A possible explanation is the presence of spin isomers. The  $Co_{14}^+$  cluster core structure is conceivable, yet speculative. Our preliminary calculations reveal a possible adatom to a icosahedral core (little overall relaxation), alternatively: significant reorganization (from  $Co_{13}^+$  to  $Co_{14}^+$ ) through incorporation of the extra Co atom into prior  $Co_{13}^+$  cluster surface, *e.g.* by opening the prior five membered rings to a six membered ring. This would provide for a higher (sixfold) coordinated Co surface atom in the center of the six membered ring. This working hypothesis might serve to explain the new band at 2222 cm $^{-1}$  in  $[Co_{14}(N_2)_1]_1^+$ .

A similar picture can be found in  $[Co_{15}(N_2)_1]^{\dagger}$ , except for the vanishing "red" peak (which was strong for  $[Co_{10-14}(N_2)_1]^{\dagger}$ ), indicative of a major change in structural binding motif. Possibly explained by a change from icosahedral to hexagonal close-packed (hcp) as found in larger cobalt clusters by Trapped Ion Electron Diffraction (TIED) experiments (Co bulk: hcp; Co cluster: icosahedral). <sup>33</sup>

DFT calculations of  $[\mathrm{Co_{13}(N_2)_1}]^+$  (at PBE0/ECP(Co); cc-pVTZ(N) level of theory) reveal full icosahedral  $I_\mathrm{h}$  geometry of the  $\mathrm{Co_{13}}^+$  core with little relaxation by the  $\mathrm{N_2}$  attachment. SCF convergence is tedious and can be achieved only by tolerating relaxed SCF convergence criteria of  $10^{-5}$  (as compared to  $10^{-8}$  in "standard" DFT calculations). Choice of an appropriate spin multiplicity is crucial. Our previous XMCD investigations yielded a spin magnetic moment of 2.30(15)  $\mu_\mathrm{B}$  per atom for the  $\mathrm{Co_{14}}^+$  cluster. That implies 32(2) unpaired electrons and a multiplicity of 2S+1=33(2). Our present DFT calculations have revealed stable high spin state structures of a  $[\mathrm{Co_{14}(N_2)_1}]^+$  complex with multiplicities 30(+47), 32(0) and 34(+29), relative stabilities in kJ mol  $^1$  indicated in parentheses. Both findings are in good agreement – assuming that  $\mathrm{N_2}$  adsorption does not alter spin states in  $\mathrm{Co_{14}}^+$ .

On the basis of this agreement we utilized our DFT approach to undertake an extended search of minimum structures of  $[\text{Co}_8(N_2)_1]^+, \; [\text{Co}_9(N_2)_1]^+, \; [\text{Co}_{10}(N_2)_1]^+ \; (\textit{cf. Fig. 3}), \; [\text{Co}_{13}(N_2)_1]^+, \; [\text{Co}_{14}(N_2)_1]^+, \; [\text{Co}_{14}(N_2)_1]^+, \; \text{The calculations reveal head-on $N_2$ binding in $\mu_1$ N–Co coordination, irrespective of chosen cobalt cluster geometry – allowing for full relaxation without constraints – and irrespective of chosen $N_2$ coordination site,$ 

and irrespective of particular spin multiplicity. Other assumed coordinations ( $\mu_2$  or  $\mu_3$ ) relax towards  $\mu_1$  coordination. This finding is the more important as  $\mu_2$  or  $\mu_3$  coordinated  $N_2$  would be weak or inactive in the IR. Any activation towards dinitride species was found vastly endothermic. The computed zero Kelvin adsorption enthalpies of  $N_2$  to  ${\rm Co_{13}}^+$  were found to range around 80 kJ mol $^{-1}$  in case of all likely spin states (2S+1=25,27,29 and 31), the Co–N distances round 1.890(2) Å. It thus takes two to three IR photons to induce the observed IRPD processes.

Standard ab initio calculations reveal force constants and subsequent normal mode analysis reveal harmonic vibrational frequencies. After appropriate lump sum scaling for anharmonicities (empirical factor 0.95) the thus obtained values compare to experimental data. We chose to document the case of  $[Co_{13}(N_2)_1]^+$  in more detail (cf. Table 1) assuming an icosahedral  $I_h$  geometry of  $\operatorname{Co}_{13}^+$  (cf. Fig. 2). The IR inactive N-N stretching mode of free N<sub>2</sub> at 2359 cm<sup>-1</sup> redshifts through coordination with  $\mathrm{Co_{13}}^+$  to 2203 cm $^{-1}$  (expt.) and 2221 cm $^{-1}$  (calc.  $\nu_{39}$ ) in  $[Co_{13}(N_2)_1]^+$ . The concomitant N-N-Co bending mode within  $\left[\text{Co}_{13}(N_2)_1\right]^{\!+}$  is predicted to occur at 336  $\text{cm}^{-1}$  which is outside of our experimental probing range. However, the experimental spectrum reveals weak sidebands to the N2 stretching mode which locate at  $\nu_s(N_2) + 15 \text{ cm}^{-1}$ , 35 cm<sup>-1</sup> and (maybe) 68 cm<sup>-1</sup>. We assign these sidebands to combination modes  $(\nu_{39} + \nu_1)$ ,  $(\nu_{39} + \nu_2)$  and  $(\nu_{39} + \nu_3)$ , which is in qualitative agreement with the DFT computed  $\nu_1$ ,  $\nu_2$  and  $\nu_3$  values of +32 cm<sup>-1</sup>, +44 cm<sup>-1</sup> and +78 cm $^{-1}$ .

Despite all current effort the accordingly computed vibrational spectra of other clusters (as listed above) do not recover the subtle changes in the recorded experimental spectra. Obtained  $N_2$  stretching frequencies do indeed fall into the range of experimental values. There is, however, no further insight from calculations into the origin of the three experimental observations: general and steady increase of  $N_2$  redshift, sudden increase of  $N_2$  redshift when going from  $\left[Co_{8,9}(N_2)_1\right]^+$  to  $\left[Co_{10}(N_2)_1\right]^+$ , and multiple strong vibrational peaks in the spectra of cluster  $\left[Co_{14}(N_2)_1\right]^+$  and beyond.

It remains to be seen whether further calculations achieve more insight. Four improvements come to our minds. Broken symmetry

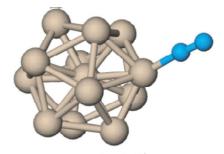


Fig. 2 Computed geometry of  $[\text{Co}_{13}(\text{N}_2)_1]^+$ . The assumed icosahedral  $\text{Co}_{13}^+$  core is a low lying stable geometry, and it only physisorbs  $\text{N}_2$  in  $\mu_1$  head-on coordination. Note, that all cobalt surface atoms are equivalent, thus giving rise to a single IR active N-N stretching vibration at about 2230 cm $^{-1}$  (scaled by 0.95) with variations by spin multiplicity of the cobalt cluster core (likely 2S+1=25,27,29,31) (see text for discussion).

10360 | Phys. Chem. Chem. Phys., 2015, 17, 10358-10362

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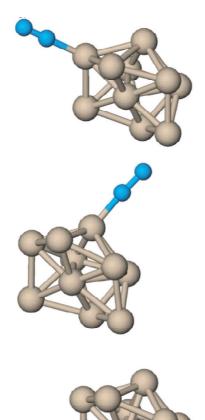


Fig. 3 Three computed geometries of  $[{\rm Co_{10}}({\rm N_2})_1]^+$ . The calculated species show the different binding sites of  ${\rm N_2}$  to the  ${\rm Co_{10}}^+$  core.  ${\rm N_2}$  only coordinates  $\mu_1$  head-on. The structures differ in the binding motif of the coordination site (Co atom). The structures illustrate an adsorption of  ${\rm N_2}$  on a Co atom which in turn coordinates to four- (top), five- (middle) or six-(bottom) membered cobalt atom rings. It comes somewhat as a surprise that the present DFT calculations find little variation of  ${\rm N_2}$  stretching frequencies in response to this change of coordination (e.g. shifts by less than 10 cm $^{-1}$ ).

DFT would allow to check for conceivable antiferromagnetic coupling. 35-38 Variation of the DFT functional is mandatory and tedious. Dispersion interaction needs to be considered. Dynamic basin hopping/annealing calculations might retrieve otherwise overlooked geometries.

#### Conclusions

The  $N_2$  adsorption on cationic  $Co_n^+$  clusters in the size range of n=8-17 has been investigated via IRPD spectroscopy. The recorded spectra revealed remarkable cluster size dependent features.

All species show bands within the range of 2180 to 2290 cm $^{-1}$ , suggesting a head-on  $\mu_1$  coordination of the  $N_2$  on the cluster surface. Current DFT calculations reveal a possible icosahedral  ${\rm Co_{13}}^+$  core. Nevertheless the calculations do not allow for a final assignment of the observed spectral features (*e.g.* the "jump" from n=9 to n=10 or the presence of multiple bands). It is mandatory to spend further effort in *ab initio* calculations to gain invaluable insight into the binding motifs of the nitrogen and of conceivable activation routes.

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## 4 Cryo Kinetics and Spectroscopy of Cationic Nickel Clusters: Rough and Smooth Surfaces

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## 4.1 Preamble

The following chapter is a reprint of a publication in the journal "The Journal of Physical Chemistry C".

The experiments were performed by the experimental team consisting of J. Mohrbach, and myself. The data evaluation was done by J. Mohrbach and myself. The computations were performed by myself. The initial manuscript was written by J. Mohrbach and revised with the help of G. Niedner-Schatteburg and myself.

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## 4.2 Reprint

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Rough and Smooth Surfaces

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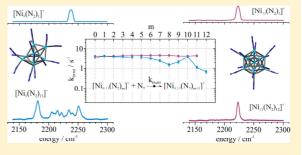
## Cryo Kinetics and Spectroscopy of Cationic Nickel Clusters: Rough and Smooth Surfaces

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Supporting Information

ABSTRACT: The stepwise N2 adsorption on size selected Ni<sub>9</sub><sup>+</sup> and Ni<sub>13</sub><sup>+</sup> clusters at 26 K is studied in a hybrid tandem ion trap instrument. Adsorption kinetics of these clusters in conjunction with infrared photon dissociation (IR-PD) spectroscopy of their cluster adsorbate complexes allows for the elucidation of various N2 coverage and cluster size dependent effects, which are related to the rough Ni<sub>9</sub><sup>+</sup> and smooth Ni<sub>13</sub><sup>+</sup> cluster surface morphologies. Pseudo-first-order kinetic fits confirm consecutive adsorption steps by single exponential decays exclusively. The recorded IR-PD spectra of all observed cluster adsorbate complexes reveal IR active vibrational bands at frequencies of 2170-2260 cm<sup>-1</sup>, which



coincides with the range of metal head-on coordinated N-N stretching modes. Density functional theory (DFT) calculations confirm the experiments and reinforce a possible isomerization with low  $N_2$  coverage in the case of  $\mathrm{Ni_9}^+$ .

#### 1. INTRODUCTION

Reactivity studies of naked metal clusters are an ongoing endeavor, providing new perspectives on the repetitively stated cluster-surface analogy. 1-6 In the "non-scalable cluster size regime", 7-9 atom-by-atom dependent variation superimposes to the gradual, scalable change of cluster properties by size. 7,10 Nonscalable jumps in molecular adsorption to size selected clusters have been interpreted in terms of structural changes at local binding sites on the cluster surfaces. 11-15 In the context of CO adsorption to metal clusters and of related carbonyl complexes, it showed that adsorbates may well serve to titrate available surface sites up to saturation for the elucidation of underlying structural motifs. <sup>16,17</sup> Beyond mere adsorption, it warrants to study and understand the activation of this and other diatomic molecules, e.g., NO, N2, and H2, driven by perspectives and challenges in heterogeneous catalysis. 18-2

It has proven helpful to study the vibrational modes of adsorbates on transition metal (TM) clusters and in TM coordination complexes as isolated ions by infrared multiple photon dissociation (IR-MPD).<sup>21–24</sup> This method has further gained by the introduction of tunable free electron lasers (FEL).<sup>25–27</sup> Cryo IR-action spectroscopy achieves significant advances by so-called tagging or messenger techniques where weakly bound species serve to provide for a preferred fragmentation channel, sometimes driven by single photon absorption. The study of in situ generated TM clusters helped to elucidate the influence of net charge and oxidation state onto the single molecule CO adsorption in terms of a charge dilution model. 30-32

1.1. N<sub>2</sub> Coordination. Isoelectronic to CO, the N<sub>2</sub> molecule—nonpolar when isolated—polarizes upon surface adsorption through a  $\sigma$  donor  $\pi$  acceptor synergism in analogy to that of CO-some differences in size and shape of participating MOs notwithstanding. The resulting red shifts of CO vibrations are usually discussed in terms of the Dewar-Chatt-Duncanson model, which explains coordinative complexation to single TM centers, 33,34 or in terms of the Blyholder model, when interpreting surface coordination.<sup>35</sup> The concept of both models applies to N2 adsorption as well. The discovery of dinitrogen-pent-amine Ru(II) complexes in 1965<sup>36</sup> has initiated a fertile field of  $N_{\rm a}$  coordination chemistry  $^{37-40}$  in quest for its activation.

Early DFT revealed a strong preference of N2 for end-on coordination to single Fe centers with some evidence for rather exceptional side-on  $\eta^2$  coordination of at most two  $N_2$  to otherwise naked Fe atoms. 41,42 Other than in a Zr-N2-Zr  $\operatorname{arrangement}^{39,43}$  and to the best of our knowledge, there is no spectroscopic evidence for such side-on coordination to a transition metal center in a molecular coordination complex. In this respect, it pays to recognize a most recent study of N<sub>2</sub> adsorption to Ta clusters, which interprets their experimental findings in terms of a DFT computed  $N_2$  side-on  $(\eta^2)$ coordination. 44 Recent IR-MPD studies of N2 adsorption to Ru clusters have concluded in exclusive end-on  $(\eta^1)$  coordina-

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1.2. Prior Studies of Nickel Clusters. Experiments on magnetism of neutral and cationic Ni clusters were recently reviewed in the context of XMCD gas phase studies.<sup>46</sup> In parallel to the experiments, an increasing interest arose to model the electronic and magnetic properties of these nickel clusters,  $^{47-49}$  and of nickel alloys.  $^{48,50-52}$  The particularly narrow PES spectrum of Ni13 was interpreted in terms of a highly symmetric structure, likely of icosahedral  $T_d$  symmetry.  $^{53,54}$  CO adsorption up to saturation to small nickel clusters  $Ni_n$  (n = 2-20) revealed the limits of electron counting rules and the stability of larger clusters with internal metal atoms, while small clusters ( $n \le 13$ ) undergo adsorption induced structural changes in favor of enhanced adsorption to achieve coverages close to the electron counting rule predictions for the bare cluster geometries.<sup>55</sup> D<sub>2</sub> was found to adsorb readily to  $Ni_n$ ' (n = 2-16), while the formation of  $Ni_nD$ ' exhibits thresholds. <sup>56</sup> A simulation of  $Ni_n$  (n = 2-150) by a dedicated Aufbau/Abbau method predicted structures, symmetries, and stabilities of most stable isomers and found a particularly high stability of Ni<sub>13</sub>.<sup>57</sup> Studies of activated CH<sub>4</sub> reactions with Ni<sub>n</sub> (n = 2-16) found a rich chemistry that is consistent with simple bond order considerations. 58 IR-MPD studies of H<sub>2</sub> adsorbed onto  $\mathrm{Ni_{4-6}}^+$  revealed propensities for activation and hydride formation.  $^{59,60}$  IR-MPD spectroscopy helped to characterize vibrational patterns of hydrated and comlexed  $\mathrm{Ni}^{+}$   $^{61,62}$  First adsorption studies of  $\mathrm{N}_2$  on nickel were carried out in the 1950s on evaporated nickel films<sup>63</sup> and on nickel powders.  $^{64}$  N<sub>2</sub> uptake studies with nickel clusters Ni<sub>n</sub> (n = 3– 28) were interpreted in terms of head-on  $N_2$  coordination. 65,66

**1.3. Kinetic Models.** The classical Langevin collision rate of ions with neutrals is conveniently extended toward polar molecules by the average dipole orientation (ADO) theory. <sup>68,69</sup> This ADO theory is frequently used to calculate the reaction rates of charged clusters with small molecules, knowingly underestimating the reaction rates for charged metal clusters significantly. <sup>70–72</sup> Another model to estimate the collision rates of ionic clusters with neutral molecules is the surface charge capture model (SCC), which leads to significantly increased collision rate constants, in comparison with Langevin or ADO rates. <sup>73</sup>

1.4. Prior Nickel - N<sub>2</sub> Spectroscopy. IR spectra of nitrogen adsorbed on silica-supported Ni films<sup>74</sup> revealed a strong infrared absorption band at 2202 cm<sup>-1</sup>, attributed to the N-N stretching vibration of head-on bound N2. A combined IR spectroscopy and electron-microscopic study of N<sub>2</sub> adsorbed on silica supported nickel samples (at -80 to  $-20~^{\circ}\text{C}$ ) revealed that this IR active N2 only occurs on nickel particles with diameters between 15 and 70 Å. Matrix infrared spectra of, e.g.,  $Ni(N_2)_n$  (n = 1-4) in argon 76 reinforce interpretation in terms of an end-on coordination. Several strong dinitrogen frequencies in the range 2100-2230 cm<sup>-1</sup> were identified in these matrix infrared spectra. More recently, there was a report on N<sub>2</sub> stretching frequencies at 2223/2234 cm<sup>-1</sup> by an end-on coordinated N<sub>2</sub> in cationic Ni(II) complexes,<sup>77</sup> well in line with those observed for N<sub>2</sub> adducts of molecular Ni(II) halides. The  $N_2$  interaction with an open coordination site on a Ni(I) macrocycle revealed head-on coordination with a dinitrogen frequency at 2170 cm<sup>-1</sup>.7

**1.5. Our Approach.** We have been preparing ionic TM clusters within ion traps, e.g., for kinetic studies of hydrocarbon activation, and we have introduced X-ray studies by cryo ion trapping for the investigation of spin and orbital contributions to the magnetic moments of TM clusters. 46,80 In parallel, we

have utilized table top IR lasers for the identification of dark IR-MPD bands in oligonuclear TM complexes,81 torsional isomerizations, 82 and multistate-mediated rearrangements. 83 Recently, we have upgraded our instrumentation toward tandem cryo ion trapping,84 and we have started a long-term quest for the vibrational spectroscopy of N2 adsorbates on size selected TM clusters under isothermal cryo conditions, first results on  $[Co_n(N_2)_1]^+$  clusters and on Fe based "non-classical" dinitrogen complexes being published. 85,86 This study aims to elucidate N2 adsorption onto cationic nickel clusters,  $[N_{i_n}(N_2)_m]^+$ , by a combination of kinetic investigations, IR-PD spectroscopy, and DFT based modeling of structures and vibrations. We aim to gain insight into the metal-adsorbate bonding and to unravel structure-reactivity relationships. Within this work, we have chosen to discuss the archetypical cases of Ni<sub>9</sub><sup>+</sup> and Ni<sub>13</sub><sup>+</sup> in more detail and up to the prevailing adsorption limits of saturation.

#### 2. EXPERIMENTAL AND COMPUTATIONAL METHODS

A customized Fourier transform ion cyclotron resonance (FT-ICR) mass spectrometer (Apex Ultra Bruker Daltonics) served to perform the cluster production, isolation, N2 condensation, infrared photon dissociation (IR-PD), and mass analysis. The nickel clusters were generated using a home-built laser vaporization cluster ion source as described before. 70,87 In brief, nickel atoms are evaporated from a rotating 0.4 mm thick isotopically enriched 58Ni foil (99.61%, Oak Ridge National Laboratories) by the second harmonic of a pulsed Nd:YAG laser (Innolas Spitlight 300, 20 Hz). The hot plasma is captured by a He gas pulse (40  $\mu$ s, 15 bar) created by a home-built piezoelectric valve.<sup>88</sup> The atoms and ions are cooled and aggregate to clusters in the subsequent jet expansion through a 50 mm long channel (Ø 2 mm) into a vacuum ( $10^{-7}$  mbar). The clusters are skimmed and injected into a cryogenic hexapole ion trap passing a 90° ion beam bender and a quadrupole mass filter. The ion trap is cooled to 26 K by a closed cycle He cryostat. Buffer and reaction gas are introduced continuously. Sufficient nitrogen attachment is achieved by increasing the pressure in the ion trap from  $1.1 \times 10^{-7}$  mbar up to  $3.0 \times 10^{-7}$  mbar. Additional He is introduced to increase the pressure up to  $3.0 \times 10^{-6}$  mbar to accomplish an efficient trapping and cooling of the ions. After storing the mass-selected ions for a variable time (0-5 s), the manipulated ions of the form  $[Ni_n(N_2)_m]^+ = (n,m)$  are guided by electrostatic lenses into the FT-ICR cell of the so-called "infinity" type. 89 The ICR cell is held at temperatures below 10 K with a closed cycle He cryostat to prevent heating of the clusters by blackbody radiation prior to ion detection.

For kinetic investigations, the ICR cell serves for the detection of the formed  $[\mathrm{Ni}_n(\mathrm{N}_2)_m]^+-(n,m)$  cluster adsorbate complexes. The kinetic curves of cationic nickel clusters and their nitrogen adducts originate from reaction delay scans and subsequent evaluation of the recorded mass spectra. Fits to pseudo-first-order kinetics occur through the "evofit" program. Our thus determined rate constants for the (9,m) species (recorded at  $p(\mathrm{N}_2) = 3.0 \times 10^{-7}$  mbar) are normalized to the experimental conditions for the (13,m) species (recorded at  $p(\mathrm{N}_2) = 1.3 \times 10^{-7}$  mbar). The relative rate constants  $k_{(n,m)}$  determine the absolute rate constants  $k_{(n,m)}$ , the absolute collision gas number densities  $\rho_{\mathrm{N}_2}(T)$  serving as the conversion factor:

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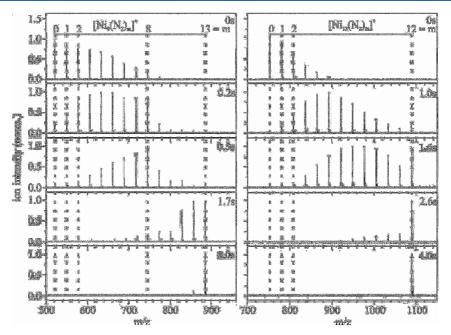


Figure 1. Temporal evolution of the mass spectra of mass-selected  $Ni_9^+ = (9,0)$  clusters exposed to  $3.0 \times 10^{-7}$  mbar of  $N_2$  in  $3.0 \times 10^{-6}$  mbar of He at 26 K for up to 5 s (left) and of mass-selected  $Ni_{13}^+ = (13,0)$  clusters exposed to  $1.3 \times 10^{-7}$  mbar of  $N_2$  in  $3.0 \times 10^{-6}$  mbar of He at 26 K for up to 4 s (right).

$$k_{(n,m)}^{\text{abs}} = k_{(n,m)}/\rho_{N_2}(T)$$

We obtain approximate values for  $\rho_{\rm N_2}(T)$  indirectly from the pressure in the surrounding chamber  $p_{\rm c}^{(300{\rm K})}$  and an effective geometry factor  $c_{\rm app}$ :

$$\rho_{\rm N_2}(26~{\rm K}) = \frac{c_{\rm app}p_{\rm c}^{\rm 300K}}{k_{\rm B}T_{\rm 300K}}$$

The geometry factor  $c_{\rm app}$  bears a significant dependence on the temperature of the hexapole ion trap. By numerous kinetic studies of transition metal cluster cations with neutral reactants at cryogenic temperatures, we evaluated this factor  $c_{\rm app}$  to  $1.8\pm0.4$  at 26 K with a net uncertainty of  $\pm50\%$ . The quotient of the absolute rate constants and the collision rate enables us to calculate the absolute reaction efficiency  $\gamma$  for the adsorption of  $N_2$  by cationic Ni clusters. This absolute reaction efficiency indicates the probability of a reaction occurring after a collision between a metal cluster ion and its reaction partner.

To record IR-PD spectra, the ICR cell is used for the isolation of each observed (n,m) species, subsequent storage for ion irradiation, and the detection of the resulting ions. It is coupled to a tunable IR laser  $(\delta n = 0.9 \text{ cm}^{-1}, \delta t = 7 \text{ ns})$ . The laser is comprised of a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd:YAG laser (PL8000, Continuum). In this work, we used the difference frequency (DF) between the OPA signal and idler waves generated in a AgGaSe<sub>2</sub> crystal. This generates IR radiation in the range  $1000-2400 \text{ cm}^{-1}$ . Each trapped and isolated package of ions is irradiated by 5–10 laser pulses (0.1-1.2 mJ) per pulse) to yield a sufficient amount of fragment ions. The IR spectra were recorded as mass chromatograms while continuously scanning the IR wavelength. The IR-PD signal was evaluated as  $\Sigma F_t/(\Sigma_t F_t + \Sigma P_t)$ , where  $F_t$ 

and  $P_i$  indicate the fragment and the parent ion signals, respectively. An experimental IR PD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency. We employed the IR-PD spectroscopy in the 2140–2300 cm<sup>-1</sup> range on the isolated (n,m) species. In this range, we expected the  $N_2$  stretching frequencies of these species. For all complexes, the loss of  $N_2$  was the only observed fragmentation channel.

Linear IR absorption spectra were calculated at the PBE0<sup>91</sup> level of theory using the cc-pVTZ basis sets<sup>92</sup> (N) and the Stuttgart RSC 1997<sup>93</sup> effective core potential (Ni), respectively, as implemented in the Gaussian 09 program package. 94 All calculated spectra were scaled to account for prevalent anharmonicities (empirical factor 0.93). Full geometry optimization yielded multiple local minimum structures for spin multiplicities 2S + 1 = 6-18 (e.g., Table S4 in the Supporting Information). The lowest energy structure is assumed to represent the most stable isomer. All local minimum structures were confirmed by the absence of imaginary frequencies. SCF convergence is tedious and can be achieved only at the expense of relaxed SCF convergence criteria of  $10^{-5}$  (as compared to  $10^{-8}$  in DFT calculations on light main group elemnts). Zero point energy effects are small if any (see Table S1).

#### 3. RESULTS AND DISCUSSION

**3.1.** Molecular Nitrogen Adsorption on Nickel Cluster Cations: Trends and Limits of Adsorption. We stored the generated  $[Ni_n(N_2)_m]^+ = (n,m)$  cluster adsorbate complexes in the cryogenic hexapole under isothermal conditions at 26 K. In all investigated cases (n = 5, ..., 20), stepwise  $N_2$  uptake reaches a strict adsorption limit  $m_{\text{max}}$ . Here (Figure 1) and in the following, we elucidate the two cases of (n,m) = (9,m) and (13,m).

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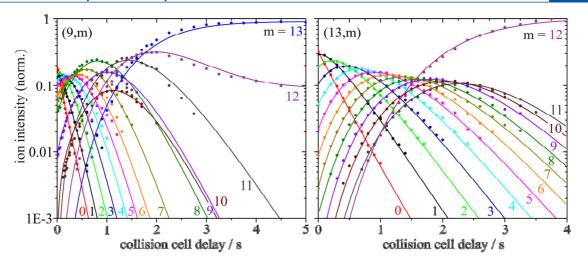


Figure 2. Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Ni_9^+$  clusters (left) and  $Ni_{13}^+$  clusters (right) at 26 K. The fits (shown as lines) assume pseudo-first-order kinetics in a reaction chain of up to 13 consecutive steps for  $Ni_9^+$  clusters and up to 12 for  $Ni_{13}^+$  clusters.

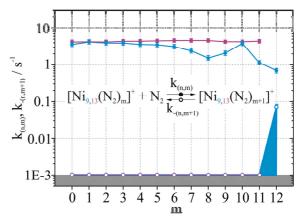
Starting from the bare nickel clusters, the temporal evolution of the detected species reveals a successive gain of  $28\ m/z$ , which does indicate the adsorption of molecular nitrogen. This temporal evolution indicates subtle details: In the case of (9,m), we observe the  $N_2$  adsorption limit at (9,13) preceded by an additional intermittent adsorption limit at (9,8), which does not suppress further adsorption but causes some retardation. In the case of (13,m), we observe a sole  $N_2$  adsorption limit at (13,12) without any intermittent adsorption limit or retardation. Note that neither an increase of  $N_2$  pressure nor of storage times up to  $30\ s$  would drive the  $N_2$  adsorption beyond these limits at (9,13) and (13,12).

3.2. Isothermal Kinetics under Cryogenic Conditions. We further investigated the stepwise N<sub>2</sub> adsorption on Ni<sub>9</sub><sup>+</sup> and Ni<sub>13</sub><sup>+</sup> clusters at 26 K by recording their reaction kinetics in more detail, and we performed pseudo-first-order kinetic fits by our genetic algorithm routine, which confirms consecutive adsorption steps (Figure 2). Both Ni<sub>9</sub><sup>+</sup> and Ni<sub>13</sub><sup>+</sup> clusters decay mono exponentially without any indication of a second component. This, and the overall superior quality of all fits, entitles us to fit each consecutive step by a single rate constant throughout. The fit of the very last adsorption step of  $(9,12) \rightarrow$ (9,13) requires a significant backward reaction in parallel, which indicates swift  $N_2$  desorption  $(9,13) \rightarrow (9,12)$ . It is noteworthy at this point to emphasize the obvious and manifest differences in the reaction kinetics of  $\mathrm{Ni_9}^{\scriptscriptstyle +}$  and  $\mathrm{Ni_{13}}^{\scriptscriptstyle +}\!\!: N_2$  adsorption to  $\mathrm{Ni_9}^{\scriptscriptstyle +}$ takes place step by step at individual rates. Each step is different. N2 adsorption to Ni13+ takes place step by step at equal rates. Each step is the same.

Fitting the experimental kinetics implies the quantitative determination of relative rate constants for  $N_2$  adsorption  $k_{(9,m)}$  and  $k_{(13,m)}$  for each step  $m \to m+1$  and for  $N_2$  desorption  $k_{-(9,m+1)}$  and  $k_{-(13,m+1)}$  for each step  $m+1 \to m$  alike:

$$[Ni_n(N_2)_m]^+ + N_2 \xrightarrow[k_{-(n,m+1)}]{k_{-(n,m+1)}} [Ni_n(N_2)_{m+1}]^+$$

The consecutive relative rate constants of the (9,m) species (cf. Figure 3, Table 1)  $k_{(9,m)}$  are constant up to  $k_{(9,4)}$  within the uncertainties of the fits. Relative rate constants start to decline at  $k_{(9,5)}$  and reach a minimum at  $k_{(9,8)}=1.5~{\rm s}^{-1}$ . The rate



**Figure 3.** Observed rate constants of the (9,m) species (blue) and the (13,m) species (red) as a function of  $N_2$  coverage. Filled circles show the rate of adsorption, and open circles indicate single  $N_2$  desorption.

Table 1. Pseudo-First-Order Rate Constants for the  $\mathrm{N}_2$  Adsorption on  $\mathrm{Ni_9}^+$  Clusters  $k_{(9,m)}$  for Each Step  $m\to m+1$ , Related Absolute Rate Constants  $k_{(9,m)}^{\mathrm{abs}}$ , and Calculated Absolute Reaction Efficiencies  $\gamma_{\mathrm{L}(9,m)}$  and  $\gamma_{\mathrm{SCC}(9,m)}$ 

m	$k_{(9,m)}$ (s <sup>-1</sup> )	$k_{(9,m)}^{\text{abs}} \ (10^{-10} \ \text{cm}^3 \ \text{s}^{-1})$	7L(9,m)	7SCC(9,m)
0	3.6(3)	6.37	1.05	0.50
1	4.2(3)	7.43	1.23	0.58
2	3.9(3)	6.90	1.14	0.53
3	3.9(3)	6.90	1.15	0.53
4	3.6(3)	6.37	1.06	0.49
5	3.5(3)	6.19	1.03	0.47
6	3.1(3)	5.48	0.91	0.41
7	2.4(2)	4.25	0.71	0.32
8	1.5(2)	2.65	0.44	0.20
9	2.1(2)	3.72	0.62	0.27
10	3.8(4)	6.72	1.12	0.49
11	1.1(1)	1.94	0.33	0.14
12	0.7(1)	1.24	0.21	0.09

10910

constants of the two subsequent adsorption steps  $k_{(9,9)}$  and  $k_{(9,10)}$  increase with further adsorption declining to a total minimum of  $k_{(9,12)} = 0.7~{\rm s}^{-1}$  (Table 2). Please note the sole

Table 2. Pseudo-First-Order Rate Constants for the  $N_2$  Adsorption on  $Ni_{13}^+$  Clusters  $k_{(13,m)}$  for Each Step  $m \to m+1$ , Related Absolute Rate Constants  $k_{(13,m)}^{abs}$ , and Calculated Absolute Reaction Efficiencies  $\gamma_{L(13,m)}$  and  $\gamma_{SCC(13,m)}$ 

m	$k_{(13,m)}$ (s <sup>-1</sup> )	$k_{(13,m)}^{\text{abs}} \ (10^{-10} \ \text{cm}^3 \ \text{s}^{-1})$	$\gamma_{L(13,m)}$	$\gamma_{\text{SCC}(13,m)}$
0	4.2(2)	7.38	1.23	0.54
1	4.2(2)	7.38	1.23	0.54
2	4.2(2)	7.38	1.23	0.53
3	4.4(2)	7.74	1.29	0.56
4	4.4(2)	7.74	1.29	0.55
5	4.5(2)	7.91	1.32	0.56
6	4.6(3)	8.09	1.35	0.57
7	4.6(3)	8.09	1.35	0.57
8	4.6(3)	8.09	1.36	0.56
9	4.3(2)	7.56	1.27	0.52
10	4.2(2)	7.38	1.24	0.51
11	4.4(2)	7.74	1.30	0.53

significant backward reaction of  $N_2$  desorption from (9,13) of  $k_{-(9,13)} = 0.07$ . In all other cases, any desorption/back reaction is insignificant up to our experimental detection limits. The determined relative rate constants of the (13,m) species are

constant without variation within uncertainties of the fits up to the adsorption limit ( $k_{(13,m)} \sim 4.4 \text{ s}^{-1}$ ; cf. Figure 3, Table 2).

Collision rate constants are calculated as Langevin rates and on the basis of the SCC model. The ratios of the determined absolute rate constants  $k_{(n,m)}^{\rm abs}$  and either of the two collision rates  $k_{\rm L}$  or  $k_{\rm SCC}$  provide for absolute reaction efficiencies  $\gamma_{\rm L(n,m)}$  or  $\gamma_{\rm SCC(n,m)}$ , respectively (see Tables S2 and S3 for all values). Note that the current case of  $N_2$  adsorption makes reaction efficiencies equivalent to sticking probabilities as defined in conjunction with surface science.

We obtain absolute rate constants in the range of  $10^{-10}$  cm<sup>3</sup> s<sup>-1</sup> for all adsorption steps. In the case of (9,m), the absolute rate constants for the  $N_2$  adsorption attain their minimum of  $1.24 \times 10^{-10}$  cm<sup>3</sup> s<sup>-1</sup> when reaching the adsorption limit. The absolute reaction efficiency for this last adsorption step is as low as 21% ( $\gamma_{L(9,12)}$ ) or 9% ( $\gamma_{SCC(9,12)}$ ), respectively (cf. Table 1). In contrast, the absolute rate constant for the observed  $N_2$  desorption from (9,13) is an order of magnitude smaller (cf. Table S2).

The absolute rate constants for the  $N_2$  adsorption on  $Ni_{13}^{+}$  clusters  $k_{(13,m)}^{abs}$  stay constant up to the  $N_2$  adsorption limit. They exceed the predicted Langevin rates ( $\sim\!6.0\times10^{-10}~{\rm cm^3~s^{-1}}$ ) by 30%, and they are 40% below the collision rates ( $\sim\!13.5\times10^{-10}~{\rm cm^3~s^{-1}}$ ) by the SCC model (cf. Table 2).

There is a significant change in the reaction rates for  $m \ge 9$  in (9,m), and there is an undisputable absence of  $m \ge 13$  species in (13,m). These two eminent findings may indicate various  $N_2$  coverage and cluster size dependent effects: a

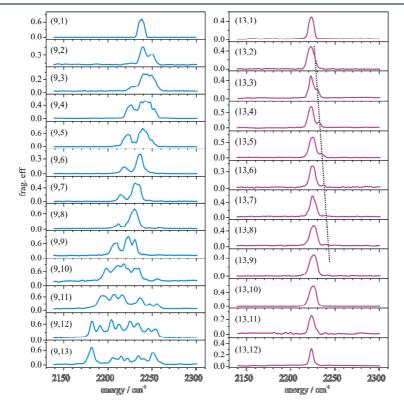


Figure 4. IR-PD spectra of the (9,m) species (blue curves/left) and (13,m) species (red curves/right) at 26 K. Note the variation in the observed band positions and splittings with further adsorption for the (9,m) species in contrast to the single dominant band for all (13,m) species. The black dotted line highlights the minor peak, that progressively shifts to the blue and decreases with further  $N_2$  adsorption. See text for details.

10911

Article

change in the adsorption type (e.g., end-on, side-on, head-on/ atop  $(\mu_1)$ , bridging  $(\mu_2)$ , face bound  $(\mu_3)$ , etc.), a change in the  $N_2$  adsorption number per site (vicinal vs geminal binding motifs), a change of the cluster geometry (reorganization/ isomerization),  $N_2$  adsorption shell closing, first monolayer formation, saturation of all coordination sites, differences in the morphology of the respective cluster surfaces.

A change in the  $N_2$  adsorption type with coverage is rather unlikely on Ni compounds. Investigations of  $N_2$  adsorbed on Ni(100) and Ni(110) surfaces have suggest end-on coordination.  $^{95,96}$   $N_2$  adsorption studies on neutral Ni clusters  $^{65,66}$  reinforce head-on coordination.

A change in the  $N_2$  adsorption number per site on neutral Ni clusters has been suggested from so-called uptake plots of average association numbers of multiple  $N_2$  molecules under flow reactor conditions. <sup>65,66</sup> It is found that atoms that are 4 or less coordinated can bind two nitrogen molecules. In some cases, nitrogen adsorption causes a change in the cluster structure, usually to one that can accommodate more  $N_2$  molecules.

It is an ongoing issue whether the prevalence of conceiving cluster isomers would have consequences on kinetic studies and how they would emerge. Two cases may prevail. If isomers undergo reactive processes at comparable rates, it would show as kinetics of a single species. It would not make a difference. If isomers react with different rates, it would show in the form of bi- or multiexponential kinetics. In the present cases of  $N_2$  adsorption on nickel clusters, we have found no evidence for multiexponential kinetics. All fits are by single exponentials, and we conclude that there is no evidence for distinctly different isomers. If prevailing, they do need to take very similar structures and react with equal rates.

The closing of a first adsorption shell would imply occupation of all available adsorption sites, which is first monolayer formation in surface science language. Once achieved, a change in kinetic efficiency of subsequent adsorption steps would be inevitable.

Any discussion in terms of cluster surfaces requires prior knowledge—or at least sincere estimates—of cluster geometries. Icosahedral shapes and according trigonal binding motifs seem likely, given the ground breaking electron diffraction experiments of Schooß, Kappes et al., <sup>97</sup> which unambiguously unraveled a double closed shell icosahedral shape of Ni<sub>55</sub> ions when trapped in an ion trap. From this and other work, it is known that icosahedral motifs minimize surface energy in disfavor of bulk cohesion. It seems justified to assume such icosahedral motifs prevailing in smaller clusters as well—as confirmed by our DFT calculations (cf. section 3.4). A perfect icosahedron of Ni<sub>13</sub>\* provides for a single bulk atom and for 12 surface atoms of equal coordination to 6 nearest neighbors. It shows a *smooth* surface.

The Ni<sub>9</sub><sup>+</sup> cluster arranges its atoms in remarkable contrast, likely in the form of a tricapped octahedron or in the form of a bicapped pentagonal bipyramid—which upon further cluster growth would easily isomerize into pure icosahedral shape. These cluster geometries provide various coordinated Ni atoms ranging from 3-fold coordination up to 8-fold coordination to nearest neighbors. Both types show a *rough* surface.

The origin of the found variation in relative rate constants in  $\mathrm{Ni_9}^+$  and its absence in  $\mathrm{Ni_{13}}^+$  seem to relate to the cluster surface morphologies. However, we address this issue by recording IR-PD spectra of the (n,m) species of interest.

**3.3.** Experimental IR-PD Spectra of the (n,m) Species (n = 9, 13; m = 1, ..., 13). We have recorded IR-PD spectra of a large range of  $[Ni_n(N_2)_m]^+$  cluster adsorbate complexes. Here we want to present and discuss the two cases (n,m) = (9,m) and (13,m) up to  $m = m_{\text{max}}$ . The IR-PD spectra reveal several vibrational bands at frequencies from 2170 to 2260 cm<sup>-1</sup> (**Figure 4**). The range of these bands coincides with the range of metal head-on coordinated N-N stretching modes in  $[Co_n(N_2)_1]^+$  cluster adsorbate complexes of our previous study. All bands are significantly red-shifted with respect to the IR inactive stretching mode of free  $N_2$  at 2330 cm<sup>-1</sup>.

The recorded IR-PD spectra of (9,m) show remarkable shifts of peak positions and onset of splittings by increase of the N2 coverage (cf. Figure 4, left). This is indicative of a rough surface—as suggested by our kinetic results. In more detail: The IR-PD spectrum of the singly adsorbed species (9,1) shows a single band at 2238 cm<sup>-1</sup>. The (9,2) species shows a second blue-shifted band at 2250 cm<sup>-1</sup>. Going to higher N<sub>2</sub> coverages for the (9,m) clusters (m > 2), an additional red-shifted weaker band occurs in the IR-PD spectra. The spectra in the range from m = 3 to m = 8 stand out by successive shifting of the stretching frequencies from 2220-2260 to 2200-2240 cm<sup>-1</sup>, resulting in a major band at 2230 cm<sup>-1</sup> and a minor band at 2210 cm<sup>-1</sup> for the (9,8) species. The spectrum of the (9,9) species shows three resolved bands induced by a 10 cm splitting of the major band. Further adsorption up to m = 13leads to complicated vibrational patterns comprising multiple bands within a range of 70 cm<sup>-1</sup>. The spectrum of the (9,13) species shows two strong bands at 2181 and 2252 cm-1 and several less intense bands in between. Note the overall asymmetrical broadening of the vibrational pattern to the red with N2 coverage.

We also recorded the IR-PD spectrum of the (9,13) species in an extended frequency range of  $1010-2340~{\rm cm}^{-1}$  (cf. Figure S1 of the Supporting Information)—with no further recordable features arising. Thus, there is no evidence for the presence of adsorption types other than the head-on bound  $N_2$ , as evident in the presented spectra.

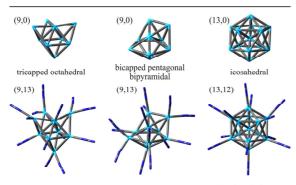
Each (13,m) IR-PD spectrum (Figure 4, right) shows a single dominant band around 2222 cm<sup>-1</sup> which does not shift upon increasing N2 adsorption up to saturation. This encourages the proposition of a smooth Ni<sub>13</sub><sup>+</sup> cluster surface, where all 12 surface atoms are equivalent. Note the minor peak at 2230 cm<sup>-1</sup> for (13,3) that progressively shifts to the blue and decreases with further N2 adsorption (black dotted line in Figure 4). We tentatively attribute this feature to combination bands with N<sub>2</sub> wagging modes. Interestingly, this would imply a gradual stiffening of the wagging coordinate with increasing N<sub>2</sub> coverage of the adsorbing, curved cluster surface. This allows us to deduce a self-organized proximity of as little as three to four  $N_2$  adsorbates on the  $Ni_{13}^{\phantom{13}\dagger}$  cluster surface and, in consequence, an attraction among these adsorbates—albeit a weak one. Note that a coupling between the adsorbed N<sub>2</sub> molecules could cause symmetric and antisymetric N2 stretching modes. These modes would occur in the IR-PD spectra with about equal intensities.

**3.4. Comparison of the Experimental IR-PD Spectra with Simulations.** Geometry optimizations yielded multiple local minimum structures for the naked Ni<sub>9</sub><sup>+</sup> cluster, likely in an octet or dectet spin state. A bicapped pentagonal bipyramidal cluster core is favored. The three most stable structures (0–21 kJ/mol) found are of this motif and differ in positions of the capping two atoms. There is another stable structure (+30 kJ/mol) that consists of a tricapped octahedral geometry. Both

10912

structural motifs, the pentagonal and octahedral ones, prefer neighboring capping atoms in order to reach high coordination of their Ni atoms (computed geometries and their relative stabilities are shown in Figure S2 of the **Supporting** Information). We treated the  $\mathrm{Ni_{13}}^+$  cluster core in an assumed icosahedral structure only. Geometry optimization for multiple spin multiplicities resulted in a clearly preferred 2S+1=16 spin state (relative stabilities for 2S+1=10-18 are listed in Table S4 of the Supporting Information).

In the case of  $\widetilde{Ni_9}^+$ , the observed  $\widetilde{N_2}$  adsorption limit at (9,13) is consistent with a tricapped octahedral as well as a bicapped pentagonal bipyramidal structure (both with neighboring capping atoms). In the case of  $\widetilde{Ni_{13}}^+$ , the observed  $N_2$  adsorption limit at (13,12) is consistent with the icosahedral structure (cf. Figure 5).



**Figure 5.** Computed geometries of different possible (n,m)  $(m=0,m_{\rm max})$  species. The displayed tricapped octahedral and bicapped pentagonal bipyramidal  $N_{\rm is}^{-}$  clusters and the icosahedral  $N_{\rm i13}^{+}$  cluster are low lying stable geometries. Sole head-on coordination results in (9,13) from geminal  $N_2$  binding to the 3- and 4-coordinate atoms and single  $N_2$  binding to the 5-, 6-, 7-, and 8-coordinate atoms. (13,12) results from single  $N_2$  binding to all 12 surface atoms.

The tricapped octahedron of  $\mathrm{Ni_9}^+$  provides for three atoms of 3-fold coordination to nearest neighbors, one atom of 4-fold coordination, two atoms of 5-fold coordination, two atoms of 6-fold coordination, and one atom with 7-fold coordination. The bicapped pentagonal bipyramid provides for four atoms of 4-fold coordination, two atoms of 6-fold coordination, two atoms of 6-fold coordination, and one atom with 8-fold coordination. These geometries corroborate the observed adsorption limit (9,13) as well as the intermittent adsorption limit (9,8). The (9,8) case would result from all but the 7- or 8-fold coordinated Ni atoms binding of  $\mathrm{N_2}$  to the 3- and 4-fold coordinated Ni atoms and single  $\mathrm{N_2}$  binding to the 7- or 8-fold coordinated Ni atoms.

The icosahedral  $\mathrm{Ni_{13}}^+$  provides for a single bulk atom and for 12 Ni surface atoms of equal coordination to 6 nearest Ni neighbors. This geometry corroborates the observed adsorption limit (13,12), which results from binding of a single  $\mathrm{N_2}$  to each of the 12 Ni surface atoms—a motif that may be called a monolayer or a first solvation shell at will.

We have conducted further DFT simulations to obtain linear IR absorption spectra for numerous relevant complex structures, and with variation of the spin multiplicity of the Ni cluster cores. The obtained IR spectra for the (n,m) species are sensitive to the binding position of the adsorbed  $N_2$ , to its adsorption angle, and to geminal  $N_2$  adsorption (two  $N_2$  adsorbates to one Ni atom). We have considered a variation

of spin multiplicities in the range of 2S + 1 = 6-18. Note that the spin contamination is insignificantly small (e.g., in the case of dectets:  $S^2 - 24.75 < 10^{-2}$ ). In the case of the  $Ni_9^+$  cluster, we find the highest stability in octet and dectet spin states, and this persists upon  $N_2$  adsorption up to the adsorption limit (9,13). In the case of the  $Ni_{13}^+$  cluster, we find a clear preference of high spin states in the naked cluster (2S + 1 = 16), that diminishes gradually upon stepwise  $N_2$  adsorption up to the adsorption limit (13,12) which is preferentially a dectet. In the following, we restrict ourselves to the elucidation of the cases (n,m) = (9,2), (9,5), (9,8), (9,9), and (13,12).

The best fit of the experimental IR-PD spectrum of  $[Ni_9(N_2)_2]^+$  is obtained by a DFT simulation of the tricapped octahedron (9,2) species, where the two  $N_2$  adsorbates locate at two (out of three) capping atoms (cf. Figure 6). The calculated

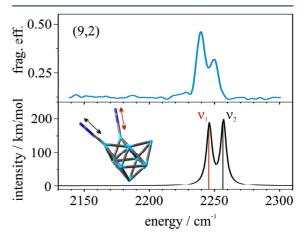


Figure 6. IR-PD spectrum of (9,2) (blue) and the calculated IR absorption spectrum for the tricapped octahedral motif where the  $N_2$  molecules are adsorbed by different Ni capping atoms (black; PBE0/ECP(Ni), cc-pVTZ(N), 2S+1=10). The calculated spectrum is scaled by 0.93 and simulated using the Gaussian profile of fwhm = 5 cm<sup>-1</sup>.

two N–N stretching frequencies split by 10 cm<sup>-1</sup>, the  $N_2$  adsorbates locating on the inner and one of the two outer Ni capping atoms, which make for two similar but inequivalent adsorption sites. The  $N_2$  adsorbate at the outer capping Ni atom reveals a Ni–N bond distance (1.92 Å) which is 0.02 Å greater than that at the inner capping Ni atom (1.90 Å). The former case corresponds to a weaker  $\pi$  back-bonding, resulting in a blue shift of the  $N_2$  stretching frequency. Note that the second band cannot be explained by the assumption of a bicapped pentagonal bipyramial cluster core, where a double  $N_2$  adsorption to the lowest coordinated Ni atoms would lead to degenerate vibrational bands with a vanishing splitting—other than observed.

When further increasing the  $N_2$  coverage (m=3), the simulated spectra are in line with the experimental spectra when sticking with the assumption of a tricapped octahedral structure. Note that it is not feasible to achieve a definite assignment of the experimental spectrum, which is not fully resolved. It is conceivable that there are multiple  $N_2$  adsorption isomers. For even higher adsorption numbers  $(m \ge 4)$ , we find the best agreement of calculated and measured IR spectra when assuming a bicapped pentagonal bipyramidal motif. However,

10913

there may be a bicapped pentagonal bipyramid and a tricapped octahedron in coexistence.

In particular, when reckoning the m = 5 case, the adsorption angles of the  $N_2$  molecules appear to influence the absorption spectra significantly (Figure 7). This comes in parallel to the

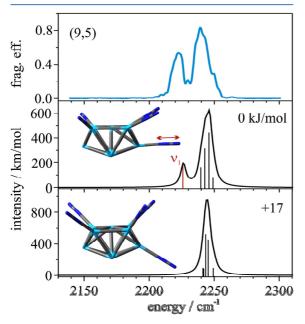
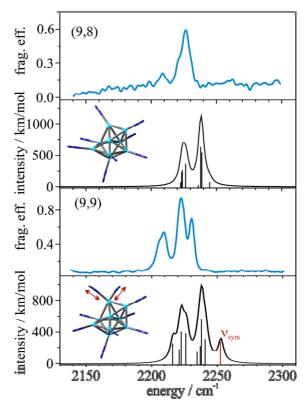


Figure 7. IR-PD spectrum of (9,5) (blue) and calculated IR absorption spectra for the bicapped pentagonal bipyramidal motif with different  $N_2$  adsorption angles (black; PBE0/ECP(Ni), cc-pVTZ(N), 2S+1=10) scaled by 0.93 and simulated using the Gaussian profile of fwhm =  $5~{\rm cm}^{-1}$ . Note that the viewpoint of the Ni<sub>9</sub>+ cluster is rotated with respect to that of Figure 5.

theoretical prediction of an isomerization of the  $\mathrm{Ni_9}^+$  cluster by the increased  $\mathrm{N}_2$  coverage: Naked and  $m \leq 4$  tricapped octahedra seem to become a bicapped pentagonal bipyramid, and the dectet spin state persists. It would be a valid point of further investigation to check for the  $\mathrm{N}_2$  bending coordinate by parametric variation in a series of explicit DFT calculations, and to check for possible fingerprints by even colder high resolution IR spectra.

The  $N_2$  uptake studies of small neutral Ni clusters emphasize two cases,  $Ni_8$  and  $Ni_9$ , with evidence for two (or more) bare cluster structures at very low  $N_2$  coverages. The mass spectra show clear bimodal distributions which hint for an isomerization at low  $N_2$  coverages. They suggest that these two clusters, each, have two isomers of comparable energy. We are in line with these early findings.

Another issue of great interest is the onset of major splittings of the main  $N_2$  stretching band for m > 8 (Figure 8). We interpret these splittings in terms of a geminal  $N_2$  adsorption—two  $N_2$  adsorbates to a single Ni atom—in the (9,9) case. The resulting symmetric and antisymmetric  $N_2$  stretching frequencies would split by  $\sim 10~{\rm cm}^{-1}$ . DFT modeling reveals: The higher an adsorbing Ni atom is coordinated within the cluster, the more red-shifted is the stretching frequency of the adsorbed  $N_2$ . It is unlikely that the origin of the observed vibrational splittings of (9,9) originates from an  $N_2$  coordination to the



Article

Figure 8. IR-PD spectra of (9,8) and (9,9) (blue) and calculated IR absorption spectra for the bicapped pentagonal bipyramidal motif with and without geminal binding (black; PBE0/ECP(Ni),cc-pVTZ(N), 2S + 1 = 10) scaled by 0.93 and simulated using the Gaussian profile of fwhm =  $5 \text{ cm}^{-1}$ .

highest coordinated Ni atom—which stays vacant in favor of the geminal  $N_2$  adsorption at a low coordinated Ni atom.

Trends in the calculated  $N_2$  binding energies of  $Ni_9^+$  are in line with the kinetic and spectroscopic findings of this study (Table 3). The first  $N_2$  binds stronger,  $\Delta_{ads}H^{0K}(9,1)=76$  kJ/mol (0.79 eV), than all subsequent adsorbates, namely, all other (9,m) cases. Further  $N_2$  adsorption up to the (9,8) species takes place with  $\Delta_{ads}H^{0K}(9,m\leq 8)\sim 50$  kJ/mol. It is the ninth  $N_2$  molecule which binds significantly weaker,  $\Delta_{ads}H^{0K}(9,9)\sim 20$  kJ/mol—a finding which nicely matches the occurrence of an observed intermittent limit at (9,8). Notice that the case of (9,9) favors by  $\sim 5$  kJ/mol one instance of geminal  $N_2$  adsorption over one  $N_2$  adsorption to the highest (8-fold) coordinated  $N_3$  site.

Further  $N_2$  adsorption up to the adsorption limit at (9,13) leads to complicated vibrational patterns comprising multiple bands, most likely by further splittings as a result of geminal  $N_2$  adsorption on the remaining three lowest coordinated Ni atoms and by adsorption on the highest coordinated Ni atom. The observed vibrational bands spread out over more than 70 cm<sup>-1</sup> for m > 9, and this finding is well reproduced by our calculated IR spectra, but they fail in reflecting the absolute vibrational patterns (cf. Figures S5–S12 in the Supporting Information for more details on the (9,m) species).

In the case of  $[Ni_{13}(N_2)_{12}]^+$ , which is the (13,12) species, we find good agreement of the experimental IR-PD spectra and the

10914

Table 3. Calculated  $N_2$  Binding Energies of the Last Adsorbed  $N_2$  (Highlighted in Magenta) to Various (9,m) Species in Dectet Spin States

(n.m)	calculated N <sub>2</sub> binding cncrgy / kJ/mol	
(9,1)	-75.9	
(9,2)	-53.6	
(9,8)	-46.5	
(9,9)	-20.4	
(9,9)	-15.8	

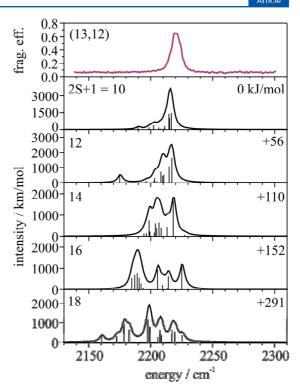
calculated IR absorption spectra, when assuming a spin quenching from  $2S+1=16\to 10$  when  $m=0\to 12$ . Each experimental (13,m) IR-PD spectrum shows a single dominant band around 2222 cm<sup>-1</sup> which does not shift upon increasing  $N_2$  adsorption up to its limit. Assuming a perfect icosahedral  $Ni_{13}^+$  core, all 12 surface atoms would be equivalent and the  $N_2$  stretching frequencies would degenerate—as observed. Note that such degeneracy is indeed predicted from DFT calculations of (13,m) when assuming the gradual spin quenching discussed above. Otherwise, there would be clearly discernible band splittings (cf. Figure 9)—other than observed.

Linear IR absorption spectra of several (9,m) species were calculated including the Grimme correction for dispersion with the original D3 damping function (GD3). There is no significant change in band positions or intensities (cf. Figures S13 and S14 in the Supporting Information for the comparison of calculated spectra for (9,8) and (9,9) including GD3).

There is no clear correlation between  $N_2$  vibrational frequencies and individual adsorption enthalpies, in particular when multiple  $N_2$ 's adsorb. Their vibrational modes are coupled, and the adsorption/desorption likely implies reorganization of the adsorbate layer.

Our DFT level of theory would not cover antiferromagnetic coupling within the Ni cluster core. As of now, we conclude that there is no evidence by our IR-PD spectra of either ferroor antiferromagnetic coupling in  $\mathrm{Ni}_{13}{}^{+}.$  Other than in the case of Fe $_{13}{}^{+}.^{46,101}$  the XMCD investigation of  $\mathrm{Ni}_{13}{}^{+}$  did not reveal any evidence for a reduction of magnetic moments by antiferromagnetic coupling.  $^{46}$ 

Finally, our nonrelativistic DFT level of theory does not cover relativistic effects such as—most notably—spin orbit couplings. These, however, likely alter the orbital occupations within the Ni cluster core, which in turn might influence the coupling to and force constants with  $N_2$  adsorbates. Thus, the calculated adsorbate vibrations may depend significantly on the spin multiplicity and in the case of otherwise equivalent adsorbate motifs.



**Figure 9.** IR-PD spectrum of (13,12) (red) and calculated IR absorption spectra (black; PBE0/ECP(Ni),cc-pVTZ(N)) scaled by 0.93 with variation by the spin multiplicity of the icosahedral nickel cluster core (likely 2S + 1 = 10, 12, 14, 16, 18) and simulated using the Gaussian profile of fwhm = 5 cm<sup>-1</sup>.

#### 4. CONCLUSIONS

We have investigated the stepwise  $N_2$  adsorption on size selected  $Ni_n^+$  (n=5-20) clusters by recording their reaction kinetics at 26 K in a hexapole ion trap. We performed pseudofirst-order kinetic fits, which confirm consecutive adsorption steps by single exponential decays exclusively, and we have chosen to discuss the archetypical cases of  $Ni_9^+$  and  $Ni_{13}^+$  in more detail. These two clusters show remarkable differences in the  $N_2$  adsorption trends and in the adsorption limits of saturation:  $N_2$  adsorption on  $Ni_9^+$  takes place at individual rates up to the adsorption limit at  $\left[Ni_9(N_2)_{13}\right]^+$  and retards at an additional intermittent adsorption limit at  $\left[Ni_9(N_2)_8\right]^+$ . The fit of the very last adsorption step reveals a significant backward reaction, which indicates swift  $N_2$  desorption.  $N_2$  adsorption on  $Ni_{13}^+$  takes place at equal rates up to the adsorption limit at  $\left[Ni_{13}(N_2)_{12}\right]^+$ . Our findings indicate a rough cluster surface of  $Ni_9^+$  with inequivalent adsorption sites and a smooth cluster surface of  $Ni_{13}^+$  with equivalent adsorption sites.

We have further investigated the origin of the found variation in relative rate constants in  $\mathrm{Ni_9}^+$  and its absence in  $\mathrm{Ni_{13}}^+$  via IR-PD spectroscopy. The recorded spectra of all observed cluster adsorbate complexes reveal IR active vibrational bands at frequencies from 2170 to 2260 cm<sup>-1</sup>. The recorded range of these bands is compatible with the range of published head-on coordinated N–N stretching modes on TM metals. The IR-PD spectra of  $\left[\mathrm{Ni_9(N_2)}_m\right]^+$  show significant shifts of peak positions and onset of splittings by increase of the  $\mathrm{N_2}$  coverage, as

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suggested by our kinetic results. In contrast, all IR-PD spectra of  $[\mathrm{Ni}_{13}(\mathrm{N}_2)_m]^+$  show a single dominant band around 2222 cm $^{-1}$  which does not shift upon increasing  $\mathrm{N}_2$  adsorption up to saturation. This further encourages the proposition of a smooth  $\mathrm{Ni}_{13}^+$  cluster surface, where all surface atoms are equivalent.

Current DFT calculations reinforce a possible isomerization with low  $N_2$  coverage in the case of  $Ni_9^+$ , most likely from a tricapped octahedron to a bicapped pentagonal bipyramid, and geminal binding of  $N_2$  setting in at m=9. In the case of  $Ni_{13}^+$ , we assume an icosahedral structure which coincides with all experimental findings and theory.

Our present study unravels subtle details of  $N_2$  adsorption to individual sites on cluster surfaces of high  $(Ni_9^+)$  and low curvatures  $(Ni_{13}^+)$ . High curvature enables low coordinated sites and surface roughness. Low curvature implies a higher regularity, equal coordinated sites, and surface smoothness. The rough surface of  $Ni_9^+$  provides for a large variation of  $N_2$  adsorption in terms of enthalpy and  $N_2$  bond softening, while the smooth surface of  $Ni_{13}^+$  merely provides one such case at all levels of  $N_2$  coverage. It seems likely that a variation of adsorption conditions is more efficient for catalytic needs than a single situation. Rough likely beats smooth. The current study paves the way for subsequent  $N_2$  activation studies with more active transition metals and their alloys. Such studies are underway.

#### ■ ASSOCIATED CONTENT

#### **S** Supporting Information

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Additional information on experiments and DFT calculations (PDF)

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#### Notes

The authors declare no competing financial interest.

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10918

DOI: 10.1021/acs.jpcc.6b12167 J. Phys. Chem. C 2017, 121, 10907–10918

50

## 4.3 Supplementary Information

#### **Table of Content:**

Energy differences including zero-point-energy corrections for several [Ni<sub>9</sub>(N<sub>2</sub>)<sub>1</sub>]<sup>+</sup> isomers

**Table S1.** Relative stabilities including zero-point-correction (in kJ/mol) for the computed tricapped octahedral  $Ni_9^+$  cluster core upon the first  $N_2$  adsorption for decet spin states at several adsorption positions.

Detailed information on all observed reaction steps of the N2 adsorption/desorption

**Table S2.** Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption on  $Ni_9^+$  clusters  $(k_{(9,m)})$  and  $k_{-(9,m+1)}$ , related absolute rate constants  $(k_9,m)$  abs and  $k_9$  and  $k_9$  calculated collision rates  $(k_1)$  and  $k_{SCC}$ .

**Table S3.** Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption on  $Ni_{13}$ <sup>+</sup> clusters ( $k_{(13,m)}$  and  $k_{-(13,m+1)}$ ), related absolute rate constants ( $k_{(13,m)}$  abs and  $k_{(13,m)}$  and calculated collision rates ( $k_{(13,m)}$  and  $k_{(13,m)}$ ).

Spectrum of the (9,13) species in an extended frequency range

**Figure S1.** IR-MPD spectrum of the (9,13) species in an extended frequency range of 1010 - 2340 cm<sup>-1</sup>. Note, that this spectrum has been recorded with increased laser power.

Computed geometries and relative energies for multiple local minimum structures for the naked Ni<sub>9</sub><sup>+</sup> cluster and relative stabilities for icosahedral Ni<sub>13</sub><sup>+</sup> clusters considering several spin states.

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**Table S4.** Relative stabilities for computed icosahedral  $Ni_{13}^+$  with variation of the spin multiplicity, 2S + 1 = 10-18.

Possible adsorption positions on the tricapped octahedral Ni<sub>9</sub><sup>+</sup> cluster core and relative stabilities with variation of the spin multiplicity

**Figure S3:** Tricapped octahedron with numbered adsorption positions. Note, that each number reflects the Ni-Ni coordination, respectively.

**Table S5.** Relative stabilities for the computed tricapped octahedral Ni<sub>9</sub><sup>+</sup> cluster core upon the first N<sub>2</sub> adsorption with variation of the spin multiplicity and adsorption position.

Comparison of the experimental IR-PD spectrum of (9,2) and calculated IR absorption spectra for the assumed tricapped octahedral and bicapped pentagonal bipyramidal Ni<sub>9</sub><sup>+</sup> motifs

**Figure S4.** IR-PD spectrum of (9,2) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

Comparison of the experimental IR-PD spectra of (9,m) (m = 3,4,6,7, and 10-13) and calculated IR absorption spectra for the assumed tricapped octahedral and bicapped pentagonal bipyramidal  $Ni_9^+$  motifs

**Figure S5.** IR-PD spectrum of (9,3) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

**Figure S6.** IR-PD spectrum of (9,4) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

**Figure S7.** IR-PD spectrum of (9,6) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

**Figure S8.** IR-PD spectrum of (9,7) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

**Figure S9.** IR-PD spectrum of (9,10) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms and in spin multiplicities (black; PBE0/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of  $fwhm = 5 cm^{-1}$ .

**Figure S10.** IR-PD spectrum of (9,11) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms and in spin multiplicities (black; PBE0/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of  $fwhm = 5 cm^{-1}$ .

**Figure S11.** IR-PD spectrum of (9,12) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the sole vacant Ni atom and in spin multiplicities (black; PBEO/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

**Figure S12.** IR-PD spectrum of (9,13) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with maximum  $N_2$  adsorption as shown in Fig. 5 (black; PBE0/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

Calculated IR absorption spectra including the Grimme correction for dispersion with the original D3 damping function (GD3)

**Figure S13.** Calculated IR absorption spectra for the tricapped octahedral motif (top lanes) in comparison with calculated IR absorption spectra including the Grimme correction for dispersion with the original D3 damping function (bottom lanes) for (9,8) for the octet spin state (left) and the decet spin state (right). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

**Figure S14.** Calculated IR absorption spectra for the tricapped octahedral motif (top lanes) in comparison with calculated IR absorption spectra including the Grimme correction for dispersion with the original D3 damping function (bottom lanes) for (9,9) for the octet spin state (left) and the decet spin state (right). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

## Energy differences including zero-point-energy corrections for several $[Ni_9(N_2)_1]^+$ isomers

**Table S1.** Relative stabilities including zero-point-correction (in kJ/mol) for the computed tricapped octahedral  $Ni_9^+$  cluster core upon the first  $N_2$  adsorption for decet spin states at several adsorption positions.

position	$\Delta_f U_{0K}$	$\varDelta_f U_{0\ K}^{ZPE}$	$\Delta_f U_{300 K}$	$\Delta_f H_{300K}$	$\Delta_f G_{300 K}$
3,1	4.5	5.5	9.5	9.5	0
3,2	0	0	0	0	0.8
4	15.9	16.1	18.3	18.3	13.7
5	10.8	10.8	12.8	12.8	9.2

## Detailed information on all observed reaction steps of the N<sub>2</sub> adsorption/desorption

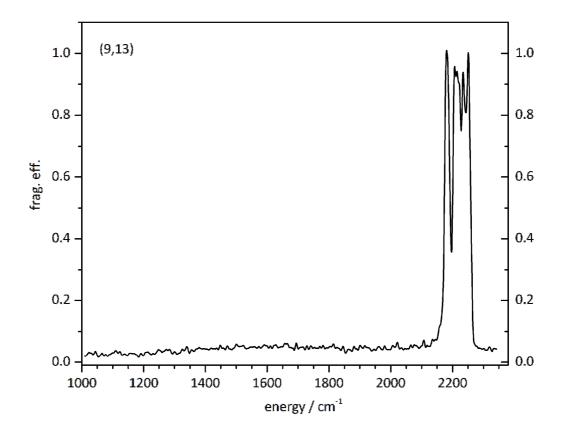
**Table S2.** Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption on  $Ni_9^+$  clusters  $(k_{(9,m)})$  and  $k_{(9,m+1)}$ , related absolute rate constants (k(9,m)) abs and k = (9,m+1) abs) calculated collision rates  $(k_L \text{ and } k_{SCC})$ .

m	k <sub>(9,m)</sub> s <sup>-1</sup>	k <sub>-(9,m+1)</sub> s <sup>-1</sup>	$k_{(9,m)}^{abs} \ 10^{\text{-}10}  \mathrm{cm^3  s^{\text{-}1}}$	$k_{-(9,m+1)}^{abs}$ 10 <sup>-13</sup> cm <sup>3</sup> s <sup>-1</sup>	k <sub>L</sub> 10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	k <sub>scc</sub> 10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>
0	3.6(3)	<0.001	6.37	<1.77	6.05	12.66
1	4.2(3)	< 0.001	7.43	<1.77	6.04	12.78
2	3.9(3)	< 0.001	6.90	<1.77	6.03	12.91
3	3.9(3)	< 0.001	6.90	<1.77	6.02	13.02
4	3.6(4)	< 0.001	6.37	<1.77	6.02	13.13
5	3.5(4)	< 0.001	6.19	<1.77	6.01	13.24
6	3.1(3)	< 0.001	5.48	<1.77	6.01	13.36
7	2.4(2)	< 0.001	4.25	<1.77	6.00	13.46
8	1.5(2)	< 0.001	2.65	<1.77	6.00	13.57
9	2.1(2)	< 0.001	3.72	<1.77	5.99	13.68
10	3.8(4)	< 0.001	6.72	<1.77	5.99	13.78
11	1.1(1)	< 0.001	1.94	<1.77	5.99	13.88
12	0.7(1)	0.07(1)	1.24	123	5.98	13.98

**Table S3.** Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption on  $Ni_{13}^+$  clusters ( $k_{(13,m)}$  and  $k_{-(13,m+1)}$ ), related absolute rate constants (k(13,m) abs and k-(13,m+1)) and calculated collision rates ( $k_L$  and  $k_{SCC}$ ).

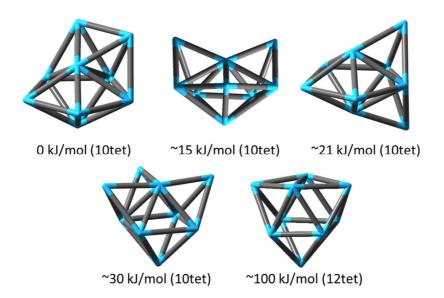
m	k <sub>(13,m)</sub> s <sup>-1</sup>	k <sub>-(13,m+1)</sub> s <sup>-1</sup>	$k_{(13,m)}^{abs}$ $10^{-10} \text{ cm}^3 \text{ s}^{-1}$	k <sup>abs</sup> <sub>-(13,m+1)</sub> 10 <sup>-13</sup> cm <sup>3</sup> s <sup>-1</sup>	k <sub>L</sub> 10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	k <sub>SCC</sub> 10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>
0	4.2(2)	<0.001	7.43	<1.77	6.00	13.60
1	4.2(2)	< 0.001	7.43	<1.77	5.99	13.71
2	4.2(2)	< 0.001	7.43	<1.77	5.99	13.81
3	4.4(2)	< 0.001	7.78	<1.77	5.99	13.91
4	4.4(2)	< 0.001	7.78	<1.77	5.98	14.01
5	4.5(2)	< 0.001	7.96	<1.77	5.98	14.11
6	4.6(3)	< 0.001	8.14	<1.77	5.98	14.20
7	4.6(3)	< 0.001	8.14	<1.77	5.97	14.30
8	4.6(3)	< 0.001	8.14	<1.77	5.97	14.39
9	4.3(2)	< 0.001	7.61	<1.77	5.97	14.48
10	4.2(2)	< 0.001	7.43	<1.77	5.97	14.57
11	4.4(2)	< 0.001	7.78	<1.77	5.96	14.66

## Spectrum of the (9,13) species in an extended frequency range



**Figure S1.** IR-MPD spectrum of the (9,13) species in an extended frequency range of 1010 - 2340 cm<sup>-1</sup>. Note, that this spectrum has been recorded with increased laser power.

Computed geometries and relative energies for multiple local minimum structures for the naked  $Ni_9^+$  cluster and relative stabilities for icosahedral  $Ni_{13}^+$  clusters considering several spin states.

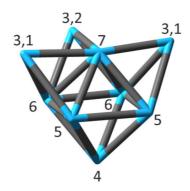


**Figure S2:** Computed geometries for Ni<sub>9</sub><sup>+</sup> and their relative stabilities. Note, that the stated values are given for the favoured spin multiplicity, respectively.

**Table S4.** Relative stabilities for computed icosahedral  $Ni_{13}^+$  with variation of the spin multiplicity, 2S + 1 = 10-18.

2S+1	rel. energy / kJ/mol
10	56
12	50
14	31
16	0
18	89

Possible adsorption positions on the tricapped octahedral Ni<sub>9</sub><sup>+</sup> cluster core and relative stabilities with variation of the spin multiplicity

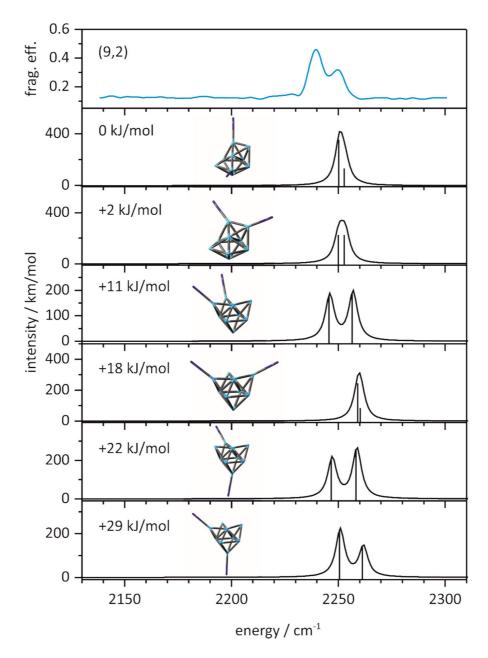


**Figure S3:** Tricapped octahedron with numbered adsorption positions. Note, that each number reflects the Ni-Ni coordination, respectively.

**Table S5.** Relative stabilities for the computed tricapped octahedral Ni<sub>9</sub><sup>+</sup> cluster core upon the first N<sub>2</sub> adsorption with variation of the spin multiplicity and adsorption position.

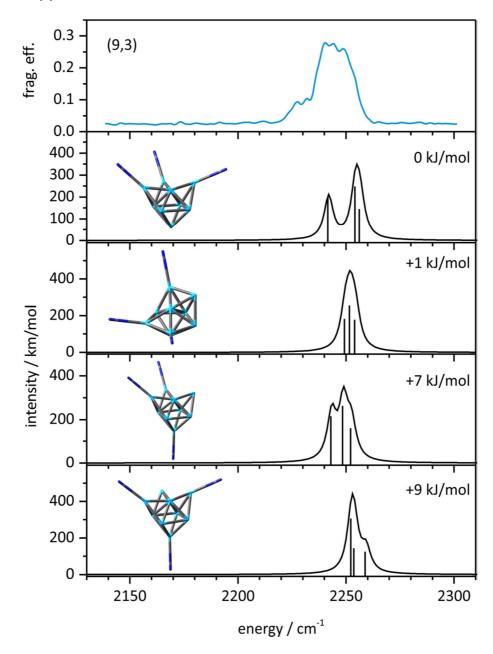
position	2S+1	rel. energy / kJ/mol
3,1	6	23
	8	67
	10	5
	12	103
	14	168
3,2	6	75
	8	82
	10	0
	12	97
	14	139
4	6	22
	8	74
	10	16
	12	94
	14	205
5	6	22
	8	17
	10	10
	14	154
6	6	24
	8	57
	10	15
	12	74
	14	189
7	6	138
	8	33
	10	22
	12	111
	14	187

Comparison of the experimental IR-PD spectrum of (9,2) and calculated IR absorption spectra for the assumed tricapped octahedral and bicapped pentagonal bipyramidal Ni<sub>9</sub><sup>+</sup> motifs

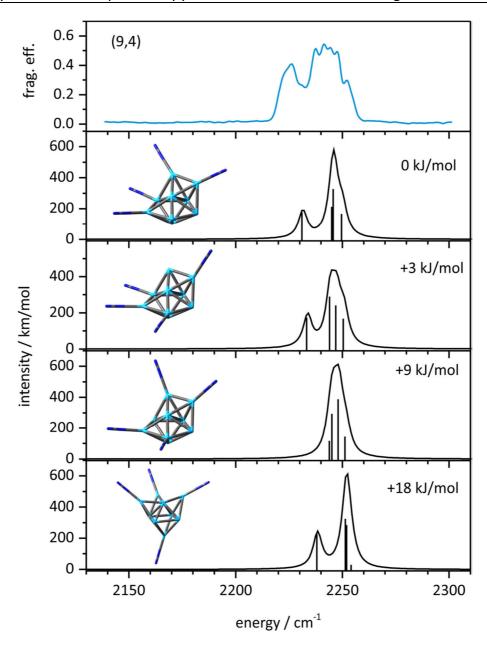


**Figure S4.** IR-PD spectrum of (9,2) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

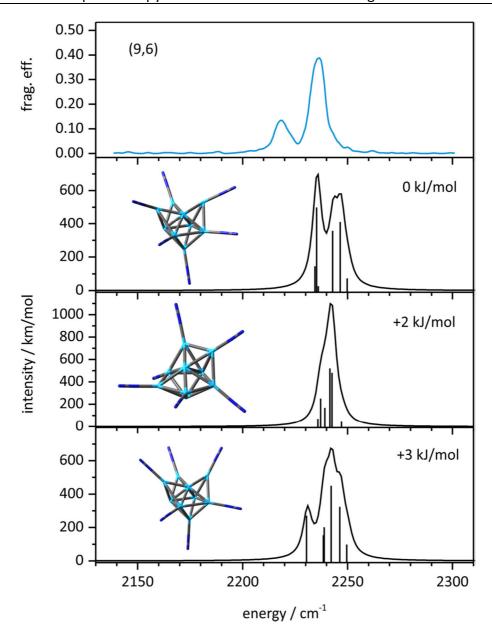
Comparison of the experimental IR-PD spectra of (9,m) (m = 3,4,6,7, and 10-13) and calculated IR absorption spectra for the assumed tricapped octahedral and bicapped pentagonal bipyramidal  $Ni_9^+$  motifs



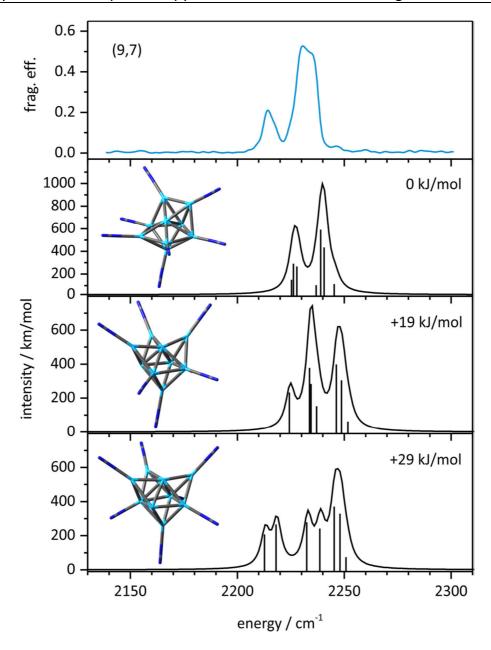
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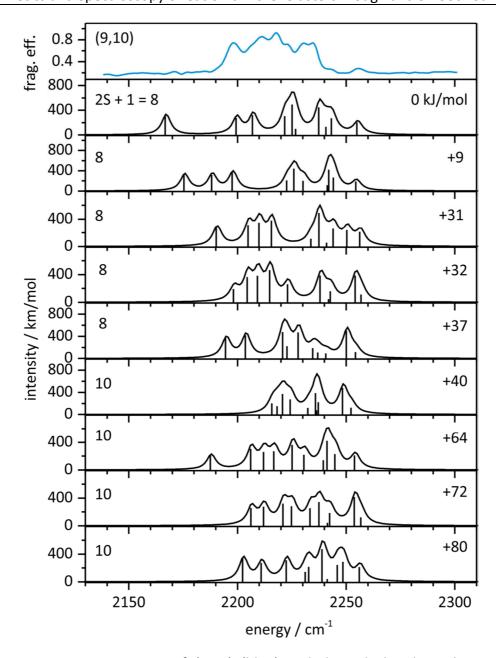
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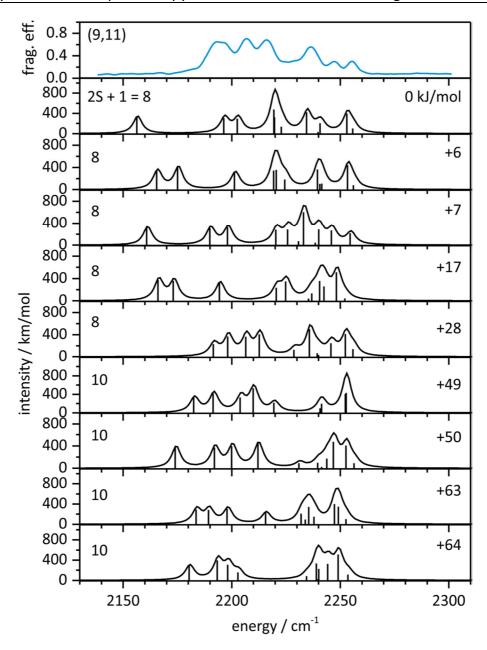
**Figure S7.** IR-PD spectrum of (9,6) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms (black; PBEO/ECP(Ni), cc-pVTZ(N), 2S + 1 = 10). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.



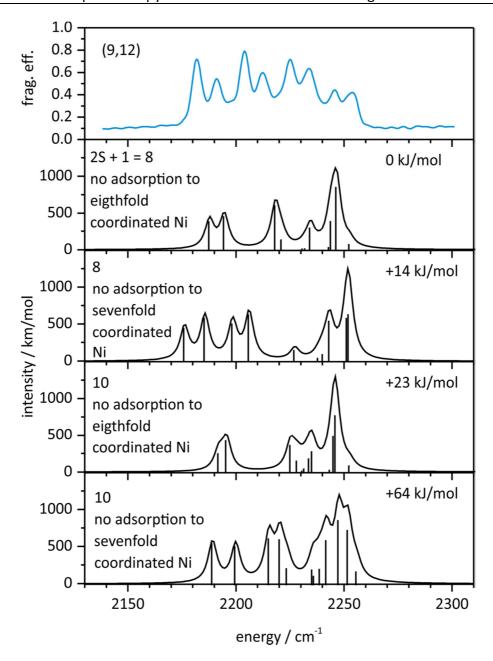
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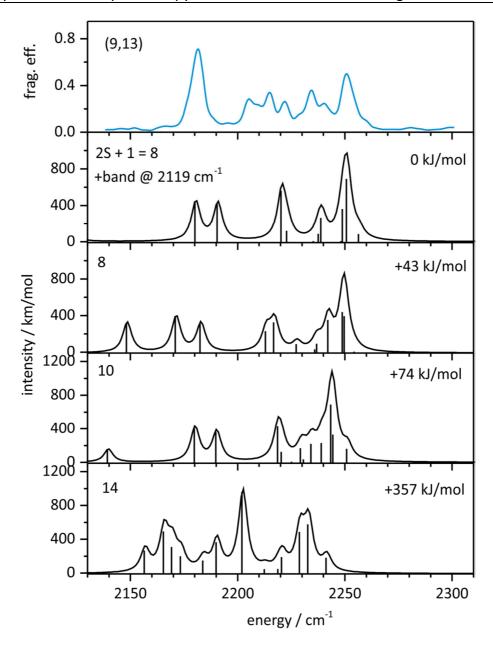
**Figure S9.** IR-PD spectrum of (9,10) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms and in spin multiplicities (black; PBEO/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.



**Figure S10.** IR-PD spectrum of (9,11) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the adsorbing Ni atoms and in spin multiplicities (black; PBEO/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

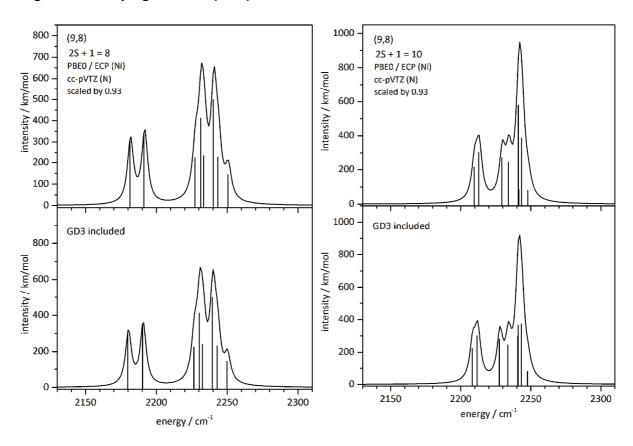


**Figure S11.** IR-PD spectrum of (9,12) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with variation in the sole vacant Ni atom and in spin multiplicities (black; PBEO/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm =  $5 \text{ cm}^{-1}$ .

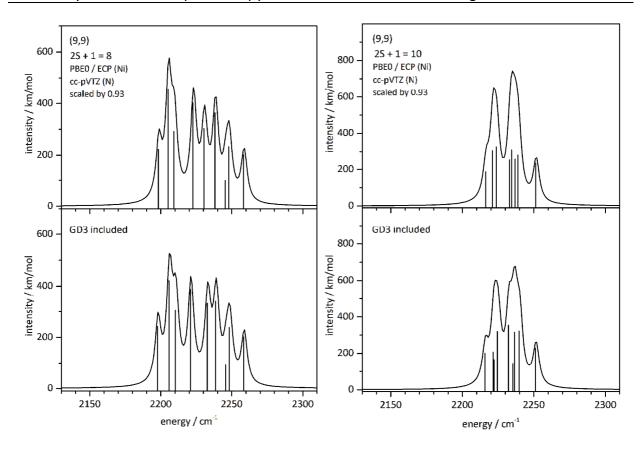


**Figure S12.** IR-PD spectrum of (9,13) (blue) and the calculated IR absorption spectra for the tricapped octahedral and bicapped pentagonal bipyramidal motifs with maximum  $N_2$  adsorption as shown in Fig. 5 (black; PBE0/ECP(Ni), cc-pVTZ(N)). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

# Calculated IR absorption spectra including the Grimme correction for dispersion with the original D3 damping function (GD3)



**Figure S13.** Calculated IR absorption spectra for the tricapped octahedral motif (top lanes) in comparison with calculated IR absorption spectra including the Grimme correction for dispersion with the original D3 damping function (bottom lanes) for (9,8) for the octet spin state (left) and the decet spin state (right). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm =  $5 \text{ cm}^{-1}$ .



**Figure S14.** Calculated IR absorption spectra for the tricapped octahedral motif (top lanes) in comparison with calculated IR absorption spectra including the Grimme correction for dispersion with the original D3 damping function (bottom lanes) for (9,9) for the octet spin state (left) and the decet spin state (right). The calculated spectra are scaled by 0.93 and simulated using the gaussian profile of fwhm = 5 cm<sup>-1</sup>.

# 5 Probing Cluster Surface Morphology by Cryo Kinetics of N<sub>2</sub> on Cationic Nickel Clusters

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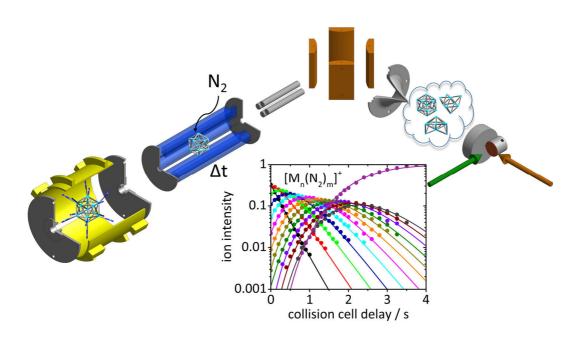
#### 5.1 Preamble

The experiments were performed by the experimental team consisting of J. Mohrbach, and myself. The data evaluation was done by J. Mohrbach and myself. The initial manuscript was written by J. Mohrbach and revised by G. Niedner-Schatteburg and myself.

This manuscript has been **accepted** for publication in The Journal of Chemical Physics as part 1 of 2 of a back to back submission (for part 2 see chapter 6).

# 5.2 Abstract

We present the stepwise  $N_2$  adsorption kinetics of size selected  $N_{in}^+$  (n =5-20) clusters at 26 K as obtained by a hybrid tandem ion trap instrument. Pseudo-first-order kinetic fits confirm consecutive adsorption steps without evidence of cluster isomers and up to adsorption limits, which scale with cluster size. The reaction rates for the initial  $N_2$  adsorption increase smoothly with cluster size and similar to hard sphere cluster modelling. The isothermal kinetics allow for the tentative elucidation of cluster surface morphologies and for their classification into highly symmetrical clusters with all smooth surfaces, small clusters with rough surfaces, and large clusters with partially rough and smooth surface areas. The parallel characterization of the vibrational spectroscopy of some cluster adsorbate complexes supports and refines the achieved conclusions and is published back to back to this contribution. These two studies elucidate the adsorbate to cluster interaction, and they confirm and specify the sometimes considerable structural fluxionality of finite and curved metal surfaces in high detail. This work precedes further studies along the present lines of thought.



# 5.3 Introduction

Structure and adsorption dynamics of transition metal (TM) clusters have been subject of considerable research by experiments and computations alike. Such research is very involved. Reactivity studies of naked metal clusters provide a powerful approach towards cluster structure determination. The adsorption of weakly bound molecules to clusters is influenced by the coordination of the surface atoms, which in turn puts boundaries on conceivable overall cluster structures. In the context of CO adsorption on some transition metal clusters and of related carbonyl complexes it showed that adsorbates may well serve to titrate available surface sites up to saturation for the elucidation of underlying structural motifs.[1-5] Beyond mere adsorption to clusters and nanostructures, it is worthwhile to study and understand the relation of this and other diatomic molecules such as e.g. NO, N<sub>2</sub>, and H<sub>2</sub> to corresponding bulk surfaces in general, driven by perspectives and challenges in heterogeneous catalysis.[6-8]

In particular the N<sub>2</sub> adsorption to Fe surfaces has been elucidated by angle resolved LEED studies in conjunction with potential surface modelling, pointing to  $\alpha$ -N<sub>2</sub> and  $\gamma$ -N<sub>2</sub> motifs[9] which resemble  $\eta^2$  side-on and  $\eta^1$  end-on coordination of  $N_2$  to multiple and single metal centers, respectively. Early adsorption studies of CO on Nin (n=3-10) clusters determined saturation limits,[10] and the results from those cluster reactions with N2, O2, CO2 and N2O were interpreted in terms of cluster structures by empirical many-body potential and by subsequent mol. dynamics (MD) simulations.[11] Collision induced dissocciation (CID) studies of Ni<sub>n</sub><sup>+</sup> (n=2-18) determined bond strengths and cohesion energies.[12] Butadiene dehydrogenation by  $Ni_n^+$  (n  $\leq$  10) was found to be less efficient than by  $Pd_n^+$  or  $Pt_n^+$ .[13] Kinetic studies of nickel cluster reactions with NO<sub>2</sub> revealed complex reaction mechanisms.[14] Hot kinetics of C<sub>60</sub> adsorption to Ni<sub>n</sub> (n=2-72) revealed a size dependence consistent with C<sub>60</sub> adsorption on essentially spherical Ni<sub>n</sub> clusters without any evidence for C<sub>60</sub> decomposition.[15] Methanol undergoes chemisorption, demethanation, and carbide formation by Ni<sub>n</sub><sup>+</sup> (n=3-11), which was found to change dramatically with cluster size,[16] and the total reactivity to anti-correlate to the HOMO-LUMO gap.[17] Exposure of isolated Ni<sub>n</sub><sup>+</sup> (n=3-11) cluster cations to benzene revealed vivid acetylene formation.[16] Multistep reactions of Ni<sub>n</sub><sup>+</sup> (n=2-29) with O<sub>2</sub> revealed a selective formation of the nickel suboxides Ni<sub>13</sub>O<sub>8</sub><sup>+</sup> and Ni<sub>16</sub>O<sub>10</sub><sup>+</sup>.[18] Structural, magnetic and adsorption properties of Ni<sub>n</sub> (n=216, 21, 55) by DFT calculations with the Perdew-Burke-Ernzerhof (PBE) functional elucidated the most stable isomers and their  $CH_4$  adsorption into "on top" sites to be understood in terms of the electronic stability and localization of the frontier MO's.[19] Chemical probe experiments helped to elucidate structural motifs of  $Ni_n$  and  $Co_n$  clusters (n = 50-200), using ammonia and water as chemical probe molecules.[20, 21] The uptake of molecular nitrogen to small  $Ni_n$  clusters (n = 3-28, 49-71) helped to propose structures for bare clusters as well as for fully nitrogenated clusters.[22-24]

We have performed kinetic studies of hydrocarbon activation on charged TM clusters,[25] and we have introduced X-ray studies by cryo ion trapping for the investigation of spin and orbital contributions to the magnetic moments of TM clusters.[26, 27] Our tandem cryo ion trap instrument[28] enabled us to focus on the vibrational spectroscopy of  $N_2$  adsorbates on size selected TM clusters under isothermal cryo conditions, first results on  $[Co_n(N_2)_1]^+$  (n = 8 – 17) clusters, and on  $[Ni_n(N_2)_m]^+$  (n = 9, 13; m = 0 - 13) clusters being published.[29, 30]

This study aims to elucidate  $N_2$  adsorption onto cationic nickel clusters  $[Ni_n(N_2)_m]^+$  (n = 5-20) by kinetics investigation, and it obtains strong support of its findings through our adjoined infrared cryo spectroscopic study[31], which we will reference in the following as **[SD]**, while we refer to the present kinetic study as **[JM]**. We aim to gain insight into the metal-adsorbate bonding and to unravel structure-reactivity relationships.

# 5.4 Experimental and Computational Methods

A customized Fourier Transform-Ion Cyclotron Resonance (FT-ICR) mass spectrometer (Apex Ultra Bruker Daltonics) served to perform the cluster production, isolation,  $N_2$  condensation and mass analysis. A detailed description has been given before.[30] In brief: The nickel clusters were generated using a home-built laser vaporization cluster ion source as described before.[32, 33] Nickel atoms are evaporated from a rotating 0.4 mm thick isotopically enriched Ni foil (99,61%, Oak Ridge National Laboratories) by the second harmonic of a pulsed Nd:YAG laser (Innolas *Spitlight 300*, 20 Hz). The hot plasma is captured by a He gas pulse (40  $\mu$ s, 15 bar) created by a homebuilt piezoelectric valve[34] and expanded into vacuum ( $10^{-7}$  mbar). The mass selected clusters are injected into a cryogenic hexapole ion trap. The ion trap is cooled to 26 K by a closed cycle He cryostat. Buffer and

reaction gas are introduced continuously. Sufficient nitrogen attachment is achieved by increasing the pressure in the ion trap from  $1 \times 10^{-7}$  mbar up to  $4.5 \times 10^{-7}$  mbar. Additional He is introduced to accomplish an efficient trapping and cooling of the ions ( $\sim 5 \times 10^{-6}$  mbar). After storing the mass-selected ions for a variable time (0-30 s), the manipulated ions of the form  $[Ni_n(N_2)_m]^+ = (n,m)$  are guided by electrostatic lenses into the FT-ICR cell of the so-called "infinity" type.[35] The ICR cell is held at temperatures below 10 K with a closed cycle He cryostat to prevent heating of the clusters by black body radiation prior to ion detection.

The ICR cell serves for the detection of the formed  $[Ni_n(N_2)_m]^+ = (n,m)$  cluster adsorbate complexes. The kinetic curves of cationic nickel clusters and their nitrogen adducts originate from reaction delay scans and subsequent evaluation of the recorded mass spectra. Fits to pseudo-first-order-kinetics occur through the "evofit" program.[36] Our thus determined rate constants for the (n,m) species (recorded at various pressures  $p(N_2) = 1.1 - 3.5 \times 10^{-7}$  mbar) are normalized to the experimental conditions for the (13,m) species (recorded at  $p(N_2) = 1.3 \times 10^{-7}$  mbar). Fitting the experimental kinetics implies the quantitative determination of relative rate constants for  $N_2$  adsorption  $k_{(n,m)}$  for each step  $m \to m+1$ , and for  $N_2$  desorption  $k_{(n,m+1)}$  for each step  $m+1 \to m$  alike:

$$[Ni_n(N_2)_m]^+ + N_2 \xrightarrow[k_{-(n,m+1)}]{k_{-(n,m+1)}} [Ni_n(N_2)_{m+1}]^+$$

The relative rate constants  $k_{(n,m)}$  determine the absolute rate constants  $k_{(n,m)}^{abs}$ , the absolute collision gas number densities  $\rho_{N2}(T)$  serving as the conversion factor:

$$k_{(n,m)}^{abs} = k_{(n,m)}/\rho_{N2}(T)$$

We obtain approximate values for  $\rho_{N2}(T)$  indirectly from the pressure in the surrounding chamber  $p_c^{(300 \text{ K})}$  and an effective geometry factor  $c_{app}$ :

$$\rho_{N2}(26 K) = \frac{c_{app} p_c^{300 K}}{k_B T_{300 K}}$$

The geometry factor  $c_{app}$  shows to bear a significant dependence on the temperature of the hexapole ion trap. By numerous kinetic studies of transition metal cluster cations with neutral reactants at cryogenic temperatures we evaluated this factor  $c_{app}$  to 1.8  $\pm$  0.4 at 26 K with a net uncertainty of  $\pm$  50%.

The classical Langevin collision rate of ions with neutrals[37] is conveniently extended towards polar molecules by the average dipole orientation theory (ADO).[38, 39] The ADO theory gives the theoretical limit of the absolute rate constants, the collision rate k<sup>ADO</sup>, based on a classical trajectory of a linear dipole in the field of a point charge:

$$k^{ADO} = \frac{q}{2\varepsilon_0\sqrt{\mu}} \left( \sqrt{\alpha} + c\mu_D \sqrt{\frac{2}{\pi k_B T}} \right)$$

 $\mu$  is the reduced mass (of the cluster adsorbate complex),  $\alpha$  is the polarizability and  $\mu_D$  is the dipole moment (in Debye). The parameter c lies between 0 and 1, and can be expressed by the polarizability volume  $\alpha$ 'and the molecular dipole moment  $\mu_D$  – the latter vanishing in the present case of  $N_2$ .[40]

This ADO theory is frequently utilized to estimate the reaction rates of charged clusters with small molecules, knowingly underestimating the reaction rates for charged metal clusters significantly.[41, 42] Kummerlöwe and Beyer introduced two more models for the collision rates of ionic clusters with neutral molecules, the HSA model (hard sphere average dipole orientation) and the surface charge capture (SCC) model.[43] In both models, the cluster and the neutral reaction partner are treated as hard spheres, and the charge is treated as either fixed or mobile point charge. For the HSA collision rate k<sup>HSA</sup>, the charge is located fixed in the center of the cluster, while in the SCC model (k<sup>SCC</sup>), the mobile charge is drawn to the cluster surface by the attractive interaction with the neutral collision partner.

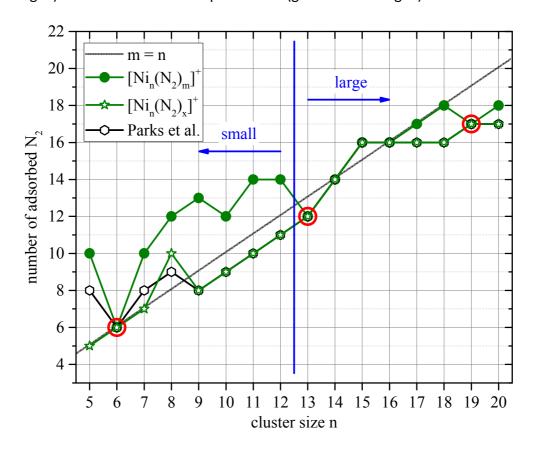
# 5.5 Results and Discussion

We investigated the stored  $[Ni_n(N_2)_m]^+ = (n,m)$  clusters for their  $N_2$  adsorption in the cryogenic hexapole under isothermal conditions at 26 K. In general, we observe two different adsorption behaviors – reminiscent of the two show-cases  $Ni_9^+$  and  $Ni_{13}^+$  that we have discussed before.[30] The mass spectra of all detected  $Ni_n^+$  clusters reveal a successive gain of 28 m/z, which does indicate the stepwise adsorption of molecular nitrogen in consecutive chains of individual adsorption processes (see Fig. S1 in the supplementary material). In all investigated cases (n = 5 – 20) stepwise  $N_2$  uptake reaches a strict adsorption limit  $m_{max}$  within t < 12 sec, and this does not increase further up to t < 30 sec. In some cases we observe the  $N_2$  adsorption limit preceded by one or more additional intermittent

adsorption limits, which do not suppress further adsorption but cause some retardation. In the other cases we observe a sole  $N_2$  adsorption limit without any intermittent adsorption limit or retardation. This behavior is representative for rough and smooth cluster surfaces, respectively.

# Adsorption limits at 26 Kelvin

Visual inspection of recorded mass spectra suffices to identify adsorption limits (filled circles in Fig. 1) and intermittent adsorption limits (green stars in Fig. 1) as well.



**Figure 1** Recorded adsorption limits  $m_{max}$  (filled circles) and most intense intermittent adsorption limits  $m_x$  (stars) of  $N_2$  adsorption to cationic nickel clusters  $N_{in}^+$ , n=5-20 in comparison to  $N_2$  uptake "plateaus" of neutral nickel clusters  $^{19-20}$  (black hexagons). Each mass-selected  $N_{in}^+$  cluster was stored for up to 30 s - saturation typically reached much before. Highly symmetrical clusters are marked in red, size ranges indicated in blue.

They may serve as initial guidelines for some elucidation of cluster surface morphology that receive subsequent verification by our kinetic studies (chapter 3.2) and our IR spectroscopic studies (see [SD]). The mass spectra reveal stoichiometries which show, that

 $N_1^+$  clusters tend to adsorb  $N_2$  close to an equal atom- $N_2$ -ratio (n=m), which correlates with single  $N_2$  head-on adsorption to each cluster surface atom. In this regard, we identify two size ranges of small clusters (n < 13) and large clusters ( $n \ge 13$ ) as indicated in Fig. 1. Small clusters exceed the 1:1 ratio, likely by geminal adsorption to low coordinated surface atoms, yielding a 1:2 ratio for such fourfold or less coordinated  $N_1$  atoms - the n=6 cluster being exceptional by smoothly adsorbing six  $N_2$  molecules up to a mere 1:1 ratio. Large clusters of the size  $13 \le n \le 16$  and n=19 do not form pronounced intermittent adsorption limits either, indicative for smooth cluster surfaces. An intermittent adsorption limit close to the adsorption limit is observed for n=17, 18 and 20 - likely due to one or two exposed surface atoms, e.g. capping atoms, which accept a second  $N_2$  adsorbate, but reluctantly. The clusters n=6, 13, and 19 stick out by low and smooth  $N_2$  uptake without intermittent delay. Such double (geminal) occupation of low coordinated  $N_1$  surface sites was proposed before[44,  $N_2$  adsorption on neutral  $N_1$  clusters at flow reactor conditions (according adsorption limits indicated as open hexagon symbols in Fig. 1).

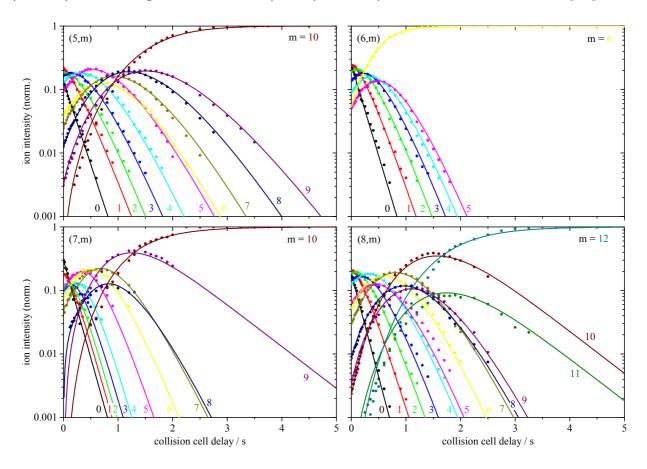
# Kinetics of small Nickel clusters: Ni<sub>5</sub><sup>+</sup> - Ni<sub>12</sub><sup>+</sup>

We further investigated in more detail the stepwise  $N_2$  adsorption on  $Ni_n^+$  clusters by explicitly recording their reaction kinetics when trapped under isothermal cryo buffer gas conditions, and we performed pseudo-first-order kinetic fits to the experimental data by our genetic algorithm routine. The obtained fits confirm consecutive adsorption steps (Fig.s 2-5). All  $Ni_n^+$  clusters decay mono exponentially without any indication of a second component. This, and the overall superior quality of all fits, entitles us to fit each consecutive step by a single rate constant throughout. Some fits require significant backward reaction in cases of high  $N_2$  coverages, which indicates swift  $N_2$  desorption (see the supplementary information for details on the corresponding rate constants  $k_{(n,m)}$ ).

Small nickel clusters  $Ni_n^+$  in the range of n = 5 - 12 exhibit a large variety with respect to the values of their stepwise rate constants  $k_{(n,m)}$ , their adsorption limits  $m_{max}$ , and their intermittent adsorption limits  $m_x$ . These findings are characteristic for rough cluster morphologies. Such clusters provide a variety of unique surface atoms, likely by low Ni-Ni coordination. There is no significant backward reaction for the adsorption steps of n = 5 - 8. All clusters reveal a strict adsorption limit at  $m \ge n$  (cf. Fig. 2).

In the case of (5,m) the recorded kinetic curves clearly reveal the intermittent adsorption limit at (5,5) and the adsorption limit at (5,10) (see Fig. 2, top left). A structure with only fourfold or less coordinated Ni atoms would explain the intermittent adsorption limit by single  $N_2$  adsorption on each Ni atom as well as the adsorption limit (of m=2n) by the adsorption of a second  $N_2$  molecule, respectively. The trigonal bipyramid or a square pyramid—exclusively possessing three- and fourfold coordinated Ni atoms — would represent the most likely structures for  $N_{15}^+$ .

Inspection of the detailed kinetic curves of (6,m) confirm the exceptional status of n=6 as deduced from the adsorption limits (*cf.* Fig. 2 top right). The smooth  $N_2$  adsorption kinetics on (6,m) clusters is indicative for a highly symmetrical structure of six equally coordinated surface atoms. The  $Ni_6^+$  cluster has most likely an octahedral structure. By merely adsorbing a single  $N_2$  molecule on each Ni atom, the cluster adsorbate complex would retain its symmetry. This finding is corroborated by our spectroscopic studies as documented in **[SD]**.

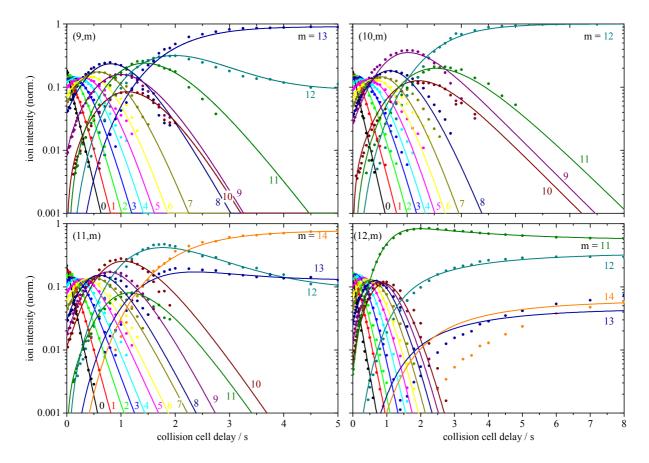


**Figure 2.** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Ni_n^+$  clusters (n = 5-8) within 26 Kelvin He buffer gas and  $3.0 \times 10^{-7}$  mbar of  $N_2$  (solid points). The pseudo-first-order kinetic fits (solid lines) reveal reaction chains of up to 12 consecutive steps.

In the case of (7,m) the kinetics confirm the intermittent adsorption limits at (7,7), and the adsorption limit at (7,10). Single binding to all Ni atoms and the adsorption of a second  $N_2$  molecule by three Ni atoms would result in dominant (7,7) and (7,10) species. The capped octahedron would be the most likely structure for  $Ni_7^+$ , having a single threefold coordinated Ni capping atom. At this point we cannot exclude, however, a structural change of the cluster geometry upon  $N_2$  adsorptions substantiated by the spectroscopic studies of [SD].

In the case of (8,m) the pattern of recorded kinetic curves is much involved. Each step seems unique, and the extracted rate constants vary (cf. Fig. S3 bottom right and Table S1 in the supplementary material) such that  $k_{(8,4)}$ ,  $k_{(8,7)}$ , and  $k_{(8,10)}$  are somewhat smaller than others which nicely corresponds to the intermittent maxima of the corresponding kinetic curves (Fig. 2, bottom right). In this case, we thus observe several intermittent adsorption limits at (8,4), (8,7) and (8,10), and the adsorption limit at (8,12). A structure with four atoms of low coordination could cause a first intermittent limit at (8,4). Note, that this cluster is the smallest one to reveal an intermittent limit at m = n-1. This indicates the presence of a highly coordinated Ni atom which adsorbs N2 less swiftly than a low coordinated Ni site able to accept a second N<sub>2</sub> adsorbate. Single binding to all Ni atoms but the highest coordinated Ni atom and the adsorption of a second N2 molecule by four Ni atoms would result in dominant (8,7) and (8,12) species. Reflecting all of these details we suggest likely structures of Ni<sub>8</sub>+: Either a bicapped octahedron (providing for two threefold, two fourfold, two fivefold and two sixfold coordinated Ni atoms) or a capped pentagonal bipyramid (providing for one threefold, three fourfold, three fivefold and one sixfold coordinated Ni atoms) or isomeric mixtures of both. Both structures would explain the intermittent limit at (8,4) by adsorption of one N2 to the three- and fourfold coordinated Ni atoms. Only the capped pentagonal bipyramid would explain the intermittent limit at (8,7) by adsorption of one  $N_2$  to all  $N_1$ atoms except the sixfold coordinated one, and only the capped octahedron woul explain the intermitent limt at (8,10) by adsorption of one  $N_2$  to every Ni atom except the highest coordinated one and a second N2 to the three- and fourfold coordinated ones. Therefore we do not make a final assignment based only on the kinetic data. Note that an octahedral structure is clearly supported by the kinetics of (6,6), and a monocapped octahedron structure in (7,10) by the spectroscopic data of [SD]. As the exceptional behavior of Ni<sub>13</sub><sup>+</sup> suggests icosahedral motifs, a transition towards pentagonal motifs likely sets in at some cluster size, which might well be the  $Ni_8^+$  case. It is well possible that an adsorbate induced reorganization takes place upon  $N_2$  saturation – in any case turning a rough cluster surface into another rough one.

The kinetics of clusters with more than eight Ni atoms (n = 9 – 12) require fits which include significant backward reactions for the last adsorption steps. This finding reveals the presence of one or more weakly bound  $N_2$  adsorbate upon saturation (*cf.* Fig. 3).



**Figure 3.** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Ni_n^+$  clusters (n = 9-12) within 26 Kelvin He buffer gas and  $3.0 \times 10^{-7}$  mbar of  $N_2$  (n = 12: p( $N_2$ ) =  $3.2 \times 10^{-7}$  mbar) (solid points). The pseudo-first-order kinetic fits (solid lines) reveal reaction chains of up to 14 consecutive steps.

We have discussed the cases of rough surfaces of (9,m) and all smooth surfaces of (13,m) in extenso in a previous publication,[30] and we have observed an intermittent adsorption limit at (9,8) and the adsorption limit at (9,13). In conjunction with our spectroscopic investigation and DFT computations, we have concluded in a possible isomerization upon low  $N_2$  coverage, likely from a tricapped octahedron to a bicapped pentagonal bipyramid, and in geminal binding of  $N_2$  setting in at m=9. In the case of smooth  $Ni_{13}$  we have

concluded in an icosahedral structure which coincides with all experimental findings and theory.

In the cases of (10,m), (11,m) we observe dominant intermittent adsorption limits at m = n-1. This indicates once more the structural motif of one semi internal, highly coordinated Ni atom that is initially reluctant to accept an  $N_2$  adsorbate. Conceivable structures might base on the successive packing of additional Ni capping atoms to a pentagonal bipyramid – a building scheme that would eventually lead to an icosahedral  $Ni_{13}$ <sup>+</sup> cluster. Of course, the rough surface morphologies of the (10,m) and (11,m) species are less stable and susceptible to adsorbate induced relaxation, in particular upon an increasing  $N_2$  coverage. The observed adsorption limits at (10,12) and (11,14) are well in line with such suggestions. For further insights refer to the spectroscopic findings in [SD].

The cases of the (12,m) species are somewhat special as compared to the previous ones. We find strong evidence for a smooth surface by the kinetic curves (Fig. 3, bottom right) and the obtained rate constants  $k_{(12,m)}$  (Fig. S4 and Table S2 in the supplementary material) up to (12,11). This behaviour is reminiscent of the cases (6,m) in the present study and of (13,m) before. In a clear demarcation, however, (12,11) slowly reacts on by significantly slower stepwise uptake of further  $N_2$  adsorbates up to (12,14) which indicates  $m_{max} = 14$ . Note that the deviations between the experimental data and the kinetic fits of the final products most likely originate from the low ion intensities of (12,13) and (12,14). The saturation stoichiometry of n: m = 12:14 is significantly beyond a 1:1 ratio. This is only conceivable through a rough surface morphology that would provide for some — likely two - low coordinated Ni sites which serve to accept  $N_2$  adsorbates in a geminal fashion. In summary, we conclude in evidence for smooth surface up to (12,11) and in rough surface up to (12,14). This change of adsorption behaviour likely indicates an adsorbate induced Ni cluster core relaxation. It is most gratifying to find according evidence by our spectroscopic study, cf. [SD], where we discuss structral motifs explicitly.

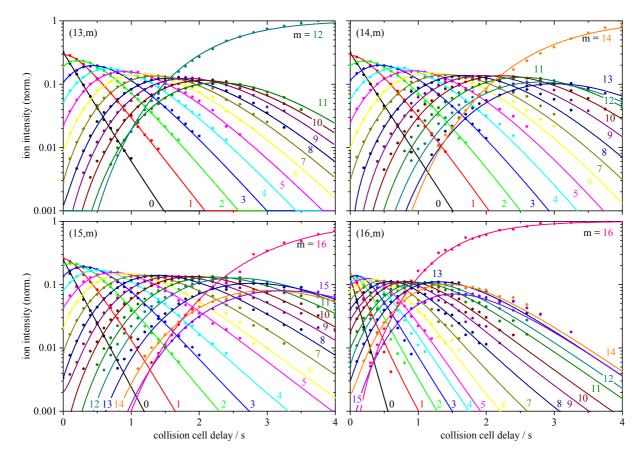
#### Micro kinetics of small nickel clusters

Initial  $N_2$  collisions hit naked Ni cluster surfaces at arbitrary locations, and subsequent sticking occurs either at the same, or at some other location. Our  $N_2$  adsorption studies with small clusters (rough surfaces) likely imply reduced mobility and stable localization of  $N_2$ 

adsorbate on site or nearby. The more the surface fills by stepwise adsorption the more likely the next  $N_2$  collision hits occupied or unfavorable adsorption sites missing the next most favorable location. Initially trapped into a metastable situation the cluster adsorbate complex may relax either by  $N_2$  migration or by cluster core reorganization. Either case may evidence by spectroscopic fingerprints as subject of the accompanying paper, see [SD].

# Kinetics of large Nickel clusters: Ni<sub>13</sub><sup>+</sup> - Ni<sub>20</sub><sup>+</sup>

The  $N_2$  adsorption kinetics of  $Ni_n^+$  clusters of sizes  $13 \le n \le 16$  do not reveal pronounced intermittent adsorption limits (*cf.* Fig. 4). The  $Ni_{13}^+$  cluster is known to persist as a perfect icosahedron of a single interior Ni atom and 12 surface atoms of equal coordination to six nearest neighbors - irrespective of the level of  $N_2$  adsorbate load and without any observable structure relaxation. It possesses a smooth surface, coinciding with the finding of constant reaction rates up to the adsorption limit at (13,12).



**Figure 4.** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Ni_n^+$  clusters (n = 13-16) within 26 Kelvin He buffer gas and 1.3 x  $10^{-7}$  mbar of  $N_2$  (solid points). The pseudo-first-order kinetic fits (solid lines) reveal a reaction chain of up to 16 consecutive steps.

In the case of (14,m) we observe smooth reaction kinetics up to an adsorption limit at (14,14) and without any intermittent retardation. Reminiscent of the highly symmetrical icosahedral (13,12) case, we find an explanation when assuming a capped icosahedron, where the capping Ni atom might accept two  $N_2$  adsorbates (geminal occupation). It is a noteworthy finding that the last,  $14^{th}$   $N_2$  adsorbate attaches with equal rate as all previous ones.

In the case of (15,m) we observe smooth stepwise  $N_2$  adsorption up to an adsorption limit at (15,16). All steps are equally fast up to (15,13) where a minor decline of rates sets in. This finding supports a bicapped icosahedron with two non-neighboring low coordinated capping Ni atoms. Such a structural conclusion is somewhat far reaching on its own. It is in line with the spectroscopic findings of **[SD]**. We might conceive at this point the alternative of a truncated octahedron, which is, however, ruled out by **[SD]**.

In the case of (16,m) we observe the adsorption limit at (16,16). All adsorption steps are equally fast with some minor decline to the end. Such largely smooth surface behavior would be in line with e.g. a tricapped icosahedral structure, where the three extra Ni atoms neighbour each other.

Our studies of cluster adsorbate complexes n = 17 - 20 (Fig. 5) revealed large similarities to those of n = 13 - 16 (Fig. 4) and minor differences which is why we will present and discuss these clusters together. We identify five findings as follows:

Finding F0: n = 13 and 19 reveal all even kinetics by all smooth surfaces of high symmetry

It is only the (13,m) and (19,m) species which reveal exclusively steady kinetics up to saturation such that each adsorption step proceeds by the same rate constant  $k_m$  within our experimental uncertainties. Subtle details on the final reaction steps  $k_m$  and  $k_{-m}$ , where  $m=m_{\text{max-1}}$ , are to find in the separate chapter on our micro kinetic model. It seems sound to conclude in icosahedral and bi-icosahedral structures with smooth surfaces of evenly high coordinated surface Ni atoms and void of any defects and low coordinated extra adsorption site Ni atoms. The found  $m_{\text{max}}=12$ , 17 saturation values support this conclusion in terms of a pure Ni:  $N_2$  1: 1 coordination.

Finding F1: n = 14, 15, 16, 17, 18, and 20 reveal initially even kinetics

All other large clusters (n = 14, 15, 16, 17, 18, and 20) reveal stepwise  $N_2$  adsorption where the initial steps are equally fast.

Finding F2: n = 14 - 17 reveal slower kinetics at pre-saturation

A minor retardation of  $N_2$  adsorption sets in at two to three steps before saturation (*cf.* Fig.s S5, S6 and Tables S3, S4 in the supplementary material). This finding is also vaguely recognizable in the intensity maxima of consecutive adsorption products (n,m-3), (n,m-2), and (n,m-1), where  $m = m_{max}$  in the cases of n = 14, 15, 16 and  $m = m_x$  where n = 17, 18, *cf.* Fig.s 4 and 5.

Finding F3: n = 17, 18, 20 reveal intermittent limits

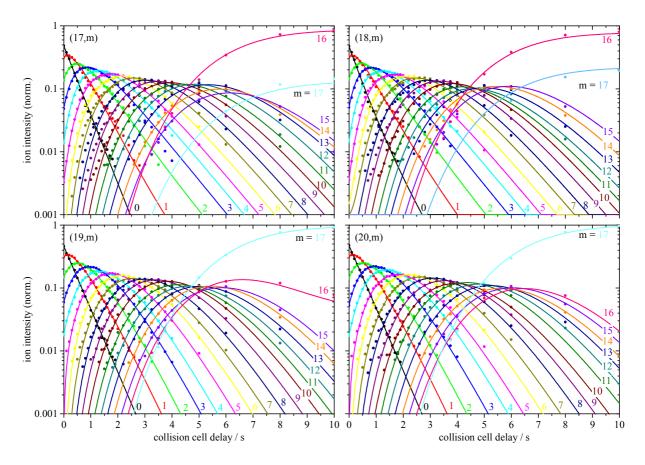
Note, that it is among all large clusters only n = 17 and 18 which reveal intermittent adsorption limits in our 26 Kelvin kinetics up to 10 sec reaction delay.

Finding F4: n = 18 and 20 have additional long time kinetics

However, through further collisions on longer time scales or by increased pressure there are further slow  $N_2$  adsorption steps taking place which lead up to (18,18) and (20,18) (*cf.* Figs. S1 and S2 in the supplementary material).

The findings F0 through F4 all together allow for further interpretation in terms of tentative structural conclusions: The clusters n=13 and 19 provide for an all smooth surface (F0) which can be interpreted in terms of highly symmetric cluster core structures: icosahedron for n=13 and bi-icosahedron for n=19. All other large clusters show similar even kinetics in the first steps (F1) which indicates partly smooth cluster cores. The appearance of some retardation in the final steps (F2), intermittent limits (F3) and longtime kinetics (F4) point towards some low coordinated Ni surface atoms or a possible cluster core reorganization. Altogether we conclude that these cluster have rough and smooth surface areas which is in line with an icosahedral cluster growth from an icosahedron (n=13) to a bi-icosahedron (n=19). This growth leaves one hemisphere of an icosahedron unaffected (smooth part) and provides for some low coordinated capping Ni atoms on the other part (single defects). Verification and further insights arise from the IR studies of adsorbate

complexes in conjunction with some DFT modelling as far as possible, which is subject of the accompanying **[SD]** publication.



**Figure 5.** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Ni_n^+$  clusters (n = 17-20) within 26 Kelvin He buffer gas and 1.1 x  $10^{-7}$  mbar of  $N_2$  (solid points). The pseudo-first-order kinetic fits (solid lines) reveal reaction chains of up to 17 consecutive steps. Note, that  $Ni_{18}^+$  and  $Ni_{20}^+$  reveal long time kinetics up to  $m_{max}$  = 18, cf. Fig.s S1 and S2 in the supplementary material.

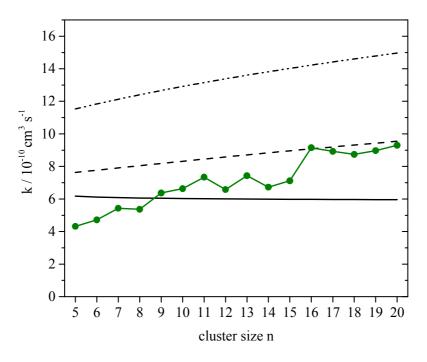
Micro kinetics of highly symmetrical clusters and of large clusters

In case of the highly symmetrical clusters (n = 6, 13 & 19) with all smooth surfaces and void of defects we conclude in initially high mobility of  $N_2$  adsorbates and in considerable probabilities for site hopping after first  $N_2$  cluster encounter. As the first shell of  $N_2$  adsorbates starts to fill this turns into a pseudo-diffusion-like migration of the remaining adsorbate vacancies. Shell filling up to saturation certainly comes with significant adsorbate loss when looking at larger surfaces as in the case of n = 19 - as fitted by assumed back reactions (adsorbate evaporation, *cf.* Table S4 and Fig. S6 in the supplementary material). In cases of large clusters n > 13 (but n = 19) the found kinetics point to high  $N_2$  adsorbate mobility in conjunction with effective sticking largely as for the highly symmetrical clusters

n=6, 13, and 19. Such stochastic, precursor mediated migration may eventually lead to  $N_2$  stabilization at low coordinated Ni surface atoms which are inevitably present in large clusters other than the exceptional, highly symmetrical ones. Second solvation shell  $N_2$  adsorption may act as precursor - albeit highly facile and largely back dissociating. Instead it seems likely that pairwise surface  $1Ni:1N_2$  adsorption morphology reorganizes in favor of geminal  $1Ni:2N_2$  stoichiometry at low coordinated Ni sites and as early as required. In no case we see as large stepwise variations as with the small clusters with rough surfaces. We thus tentatively assume large clusters of mainly smooth surfaces with some single surface defects.

## Absolute rate constants of the initial N2 adsorption

So far we have presented and discussed relative pseudo-first-order rate constants. These convert to absolute rate constants  $k_{(n,m)}^{abs}$  as described (see exptl. part). In our previous study we have focused on the consecutive adsorption steps  $k_{(9,m)}^{abs}$  and  $k_{(13,m)}^{abs}$  with N<sub>9</sub><sup>+</sup> and Ni<sub>13</sub><sup>+</sup>. We found that ADO/Langevin would underestimate the true adsorption rate, implying a sticking probability larger unity. The SCC model would overestimates the adsorption rate implying a sticking probability much below unity. Neither of both cases seems likely.



**Figure 6.** Experimental absolute rate constants  $k_{(n,0)}^{abs}$  of the initial N<sub>2</sub> adsorption to naked Ni<sub>n</sub><sup>+</sup> clusters (solid green dots), and modelled collision rate constants according to the ADO theory (black line), to the HSA model (dashed line), and to the SCC model (dot-dashed line). See text for details on the models.

In the present case, we consider the initial  $N_2$  adsorption step,  $k_{(n,0)}^{abs}$  as a function of cluster size n (green circles in Fig. 6), and in comparison to collision rate constants by models as above, and by the HSA model in addition. None of these models describes all of the recorded absolute values in a quantitative way. This renders it likely that the sticking probabilities of small clusters n < 9 fall below unity. This is conceivable in view of their finite size. Moreover, we find evidence for collision rates and the according adsorption rates beyond the ADO values for clusters n > 10, where the HSA seems to describe the recorded rates sufficiently. The sheer size of the large clusters thus enhances adsorption cross sections and rate constants. We find, however, no evidence for the surface charge localization effect by the SCC model through our present studies. The minor, particular variations of  $k_{(n,0)}^{abs}$  in cases of individual n values certainly relate to structures and shapes of these  $N_{in}^+$  clusters. The determined rate constants are rendered by the individual geometries of the  $N_{in}^+$  clusters which are not sufficiently described by the presented models.

**Table 1**. Pseudo-first-order rate constants  $k_{(n,0)}$ , absolute rate constants  $k_{(n,0)}^{abs}$  for the initial  $N_2$  adsorption on  $Ni_n^+$  (n = 5-20) clusters (both by experiment), and modelled collision rate constants as obtained by ADO theory, by the HSA model and by the SCC model.

n	k <sub>(n,0)</sub>	$k_{(n,0)}^{abs}$	$k_{(n,0)}^{ADO}$	$k_{(n,0)}^{\mathit{HSA}}$	$k_{(n,0)}^{SCC}$
n	S <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>
5	2.4	4.32	6.17	7.63	11.53
6	2.7	4.72	6.12	7.77	11.84
7	3.1	5.43	6.09	7.91	12.13
8	3.0	5.37	6.06	8.05	12.40
9	3.6	6.37	6.05	8.18	12.66
10	3.8	6.64	6.03	8.32	12.91
11	4.2	7.34	6.02	8.45	13.15
12	3.7	6.58	6.01	8.58	13.38
13	4.2	7.43	6.00	8.71	13.60
14	3.8	6.73	5.99	8.84	13.82
15	4.0	7.12	5.98	8.96	14.02
16	5.2	9.15	5.98	9.08	14.22
17	5.1	8.93	5.97	9.20	14.42
18	4.9	8.74	5.97	9.32	14.60
19	5.1	8.97	5.96	9.43	14.79
20	5.3	9.30	5.96	9.54	14.97

## 5.6 Conclusions

We have investigated the stepwise  $N_2$  adsorption on size selected  $Ni_n^+$  (n = 5 – 20) clusters by recording their reaction kinetics at 26 K in a hexapole ion trap. We performed pseudofirst-order kinetic fits, which confirm consecutive adsorption steps by single exponent decays exclusively.  $N_2$  desorption, if any, only occurs at high levels of  $N_2$  coverage. In all investigated cases the stepwise  $N_2$  uptake reaches a strict adsorption limit  $m_{max}$ , which does not increase further, and scales with cluster size.

By the recorded  $N_2$  adsorption kinetics and their limits we are able to identify three classes of structure related surface adsorption behavior of cationic Ni clusters: The clusters  $Ni_6^+$ ,  $Ni_{13}^+$ , and  $Ni_{19}^+$  are <u>highly symmetrical clusters with all smooth surfaces</u>. They reveal all even reaction kinetics up to their adsorption limits, which is strong evidence for all equally coordinated Ni atoms. We conclude in most likely high symmetry structures such as octahedron (n = 6), icosahedron (n = 13), and bi-icosahedron (n = 19). The clusters  $Ni_5^+$ , and  $Ni_{7-12}^+$  are <u>small clusters with rough surfaces</u>. They possess a large variety of stepwise adsorption kinetics and limits which are characteristic for rough cluster morphologies with a variety of unique surface atoms. We likely see a transition from octahedral to isocsahedral cluster structures. The clusters  $Ni_{14-18}^+$ , and  $Ni_{20}^+$  are <u>large clusters with rough and smooth surface areas</u>. They provide for initially even kinetics, for intermittent limits and for some retarded longtime kinetics which indicate partly smooth cluster surfaces with some low coordinated Ni surface atoms, in line with stepwise Ni cluster growth from icosahedron (n = 13) to bi-icosahedron (n = 19).

We observe an overall increase in the absolute rate constants with cluster size in line with a hard sphere average dipole orientation model (HSA). The observed stoichiometries of intermittent and ultimate adsorbate shell closures at  $m_x$  and  $m_{max}$ , respectively, are understood in terms of initial single adsorbate occupation of available binding sites, followed by further adsorption through solvent shell re-organization towards double (geminal) occupation of low coordinated Ni surface sites. We devise a micro kinetic model with some level of speculation. It would be beneficial to obtain a future verification of our envisaged Ni cluster surface morphology and adsorption dynamics by conceivable molecular dynamics simulations, and we find full support of our cryo kinetic interpretations by the adjoined cryo spectroscopic study [SD].

# 5.7 Acknowledgements

We dedicate this work to the memory of the late Tamotsu Kondow in recognition of his seminal contributions to the field of cluster science. This work was supported by the German research foundation DFG within the transregional collaborative research center SFB/TRR 88 "Cooperative effects in homo and heterometallic complexes" (3MET.de) and by the state research center OPTIMAS. The <sup>58</sup>Ni isotopic sample used in this research was supplied by the United States Department of Energy Office of Science by the Isotope Program in the Office of Nuclear Physics. We thank Thomas Kolling for technical assistance and valuable discussions.

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# 5.9 Supplementary Material

#### **Table of Content:**

**Figure S1.** Temporal evolution of the mass spectra of mass-selected  $Ni_6^+$ ,  $Ni_{18}^+$  clusters at 26 K.

**Figure S2.** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Ni_{18}^+$  clusters at  $p(N_2) = 1.1 \times 10^{-7}$  mbar (top) and  $p(N_2) = 3.2 \times 10^{-7}$  mbar (bottom) within 26 Kelvin He buffer gas. The fits (shown as lines) assume pseudo-first-order kinetics in a reaction chain of up to 18 consecutive steps.

Figure S3. Observed rate constants of the (n,m) species n = 5-8 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S1**. Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption  $k_{(n,m)} / k_{-(n,m+1)}$  on  $Ni_n^+$  (n = 5-8) clusters.

Figure S4. Observed rate constants of the (n,m) species n=9-12 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

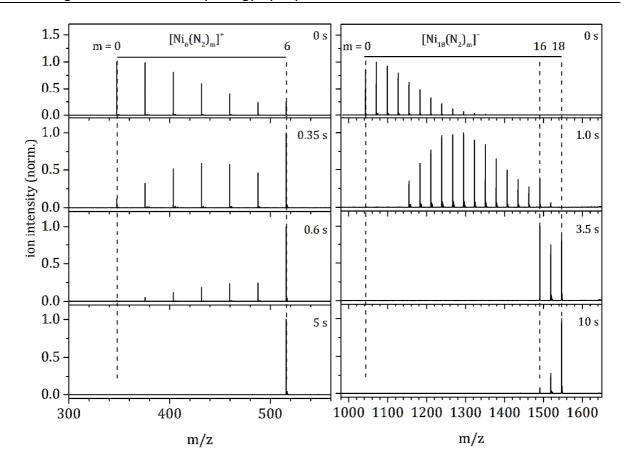
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Figure S5. Observed rate constants of the (n,m) species n=13-16 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

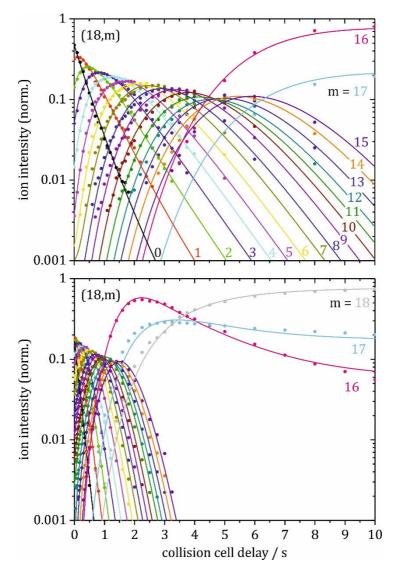
**Table S3**. Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption  $k_{(n,m)}$  /  $k_{-(n,m+1)}$  on  $Ni_n^+$  (n = 13-16) clusters.

Figure S6. Observed rate constants of the (n,m) species n=17-20 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

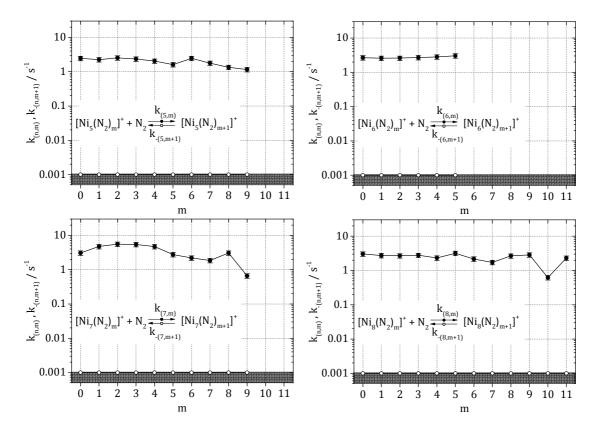
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**Figure S1.** Temporal evolution of the mass spectra of mass-selected  $Ni_6^+$ ,  $Ni_{18}^+$  clusters at 26 K.



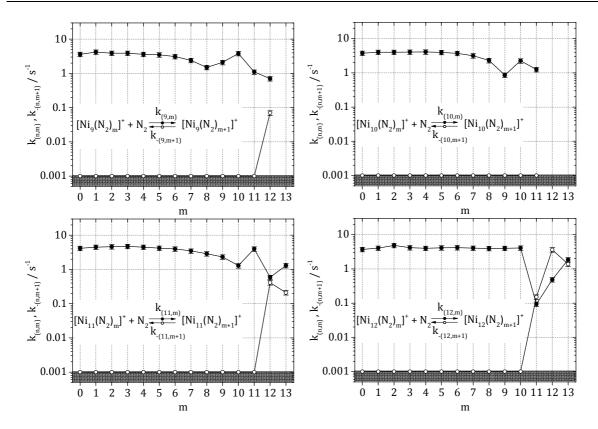
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**Figure S3.** Observed rate constants of the (n,m) species n = 5-8 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S1**. Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption  $k_{(n,m)}$  /  $k_{-(n,m+1)}$  on  $Ni_n^+$  (n = 5-8) clusters.

m	k <sub>(5,m)</sub> s <sup>-1</sup>	k <sub>-(5,m+1)</sub> s <sup>-1</sup>	k <sub>(6,m)</sub> s <sup>-1</sup>	k <sub>-(6,m+1)</sub> s <sup>-1</sup>	k <sub>(7,m)</sub> s <sup>-1</sup>	k-(7,m+1) S <sup>-1</sup>	k <sub>(8,m)</sub> s <sup>-1</sup>	k <sub>-(8,m+1)</sub> s <sup>-1</sup>
0	2.4	<0.001	2.7	<0.001	3.1	<0.001	3.0	<0.001
1	2.2	< 0.001	2.6	< 0.001	4.7	< 0.001	2.7	< 0.001
2	2.5	< 0.001	2.6	< 0.001	5.5	< 0.001	2.7	< 0.001
3	2.3	< 0.001	2.7	< 0.001	5.4	< 0.001	2.8	< 0.001
4	2.0	< 0.001	2.8	< 0.001	4.7	< 0.001	2.3	< 0.001
5	1.6	< 0.001	3.0	< 0.001	2.8	< 0.001	3.2	< 0.001
6	2.5	< 0.001			2.2	< 0.001	2.2	< 0.001
7	1.8	< 0.001			1.9	< 0.001	1.7	< 0.001
8	1.3	< 0.001			3.1	< 0.001	2.7	< 0.001
9	1.2	< 0.001			0.7	< 0.001	2.8	< 0.001
10							0.6	< 0.001
11							2.3	<0.001



**Figure S4.** Observed rate constants of the (n,m) species n = 9-12 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S2**. Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption  $k_{(n,m)}$  /  $k_{-(n,m+1)}$  on  $Ni_n^+$  (n = 9-12) clusters.

m	k <sub>(9,m)</sub> s <sup>-1</sup>	k <sub>-(9,m+1)</sub> s <sup>-1</sup>	k <sub>(10,m)</sub> s <sup>-1</sup>	k <sub>-(10,m+1)</sub> s <sup>-1</sup>	k <sub>(11,m)</sub> s <sup>-1</sup>	k <sub>-(11,m+1)</sub> s <sup>-1</sup>	k <sub>(12,m)</sub> s <sup>-1</sup>	k <sub>-(12,m+1)</sub> S <sup>-1</sup>
0	3.6	<0.001	3.8	<0.001	4.2	<0.001	3.7	<0.001
1	4.2	< 0.001	4.0	< 0.001	4.5	< 0.001	4.0	< 0.001
2	3.9	< 0.001	4.0	< 0.001	4.6	< 0.001	4.8	< 0.001
3	3.9	< 0.001	4.1	< 0.001	4.7	< 0.001	4.2	< 0.001
4	3.6	< 0.001	4.1	< 0.001	4.5	< 0.001	4.0	< 0.001
5	3.5	< 0.001	3.9	< 0.001	4.2	< 0.001	4.1	< 0.001
6	3.1	< 0.001	3.7	< 0.001	4.0	< 0.001	4.2	< 0.001
7	2.4	< 0.001	3.2	< 0.001	3.5	< 0.001	4.0	< 0.001
8	1.5	< 0.001	2.3	< 0.001	2.9	< 0.001	4.0	< 0.001
9	2.1	< 0.001	0.9	< 0.001	2.3	< 0.001	4.0	< 0.001
10	3.8	< 0.001	2.2	< 0.001	1.3	< 0.001	4.1	< 0.001
11	1.1	< 0.001	1.3	< 0.001	4.0	< 0.001	0.1	0.15
12	0.7	0.07			0.6	0.41	0.5	3.62
13					1.3	0.21	1.9	1.38

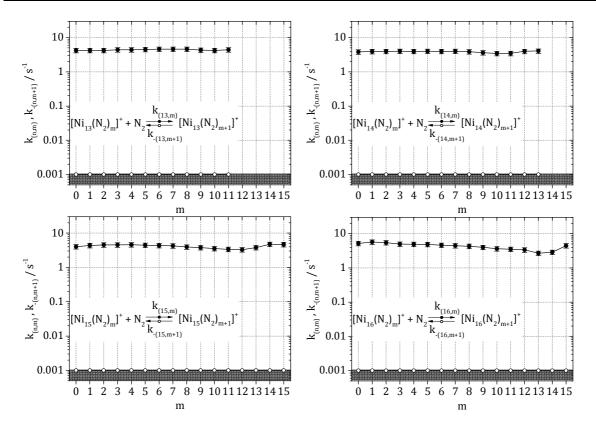


Figure S5. Observed rate constants of the (n,m) species n = 13-16 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S3.** Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption  $k_{(n,m)}$  /  $k_{-(n,m+1)}$  on  $Ni_n^+$  (n = 13-16) clusters.

m	k <sub>(13,m)</sub> s <sup>-1</sup>	k <sub>-(13,m+1)</sub> S <sup>-1</sup>	k <sub>(14,m)</sub> s <sup>-1</sup>	k <sub>-(14,m+1)</sub> s <sup>-1</sup>	k <sub>(15,m)</sub> s <sup>-1</sup>	k <sub>-(15,m+1)</sub> s <sup>-1</sup>	k <sub>(16,m)</sub> s <sup>-1</sup>	k <sub>-(16,m+1)</sub> s <sup>-1</sup>
0	4.2	<0.001	3.8	<0.001	4.0	<0.001	5.2	<0.001
1	4.2	< 0.001	3.9	< 0.001	4.4	<0.001	5.7	< 0.001
2	4.2	< 0.001	4.0	< 0.001	4.6	< 0.001	5.4	< 0.001
3	4.4	< 0.001	4.0	< 0.001	4.6	< 0.001	5.0	< 0.001
4	4.4	< 0.001	3.9	< 0.001	4.6	< 0.001	4.9	< 0.001
5	4.5	< 0.001	4.0	< 0.001	4.4	< 0.001	4.8	< 0.001
6	4.6	< 0.001	4.0	< 0.001	4.4	< 0.001	4.6	< 0.001
7	4.6	< 0.001	4.0	< 0.001	4.2	< 0.001	4.5	< 0.001
8	4.6	< 0.001	3.9	< 0.001	3.9	< 0.001	4.3	< 0.001
9	4.3	< 0.001	3.6	< 0.001	3.8	< 0.001	4.0	< 0.001
10	4.2	< 0.001	3.4	< 0.001	3.5	< 0.001	3.6	< 0.001
11	4.4	< 0.001	3.5	< 0.001	3.4	< 0.001	3.5	< 0.001
12			4.0	< 0.001	3.3	< 0.001	3.3	< 0.001
13			4.1	< 0.001	3.8	< 0.001	2.7	< 0.001
14					4.8	<0.001	2.9	< 0.001
15					4.7	<0.001	4.4	<0.001

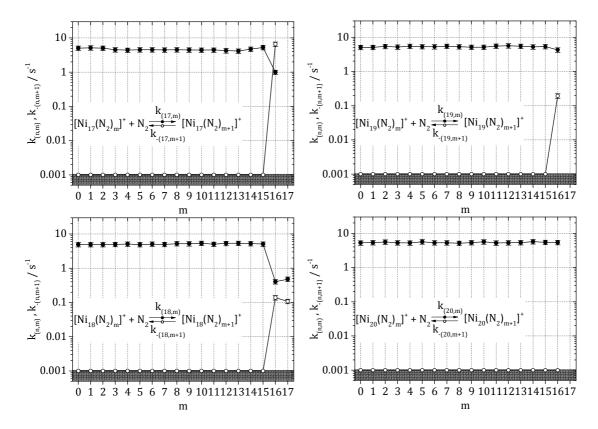


Figure S6. Observed rate constants of the (n,m) species n=17-20 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S4**. Pseudo-first-order rate constants for the  $N_2$  adsorption/desorption  $k_{(n,m)}$  /  $k_{-(n,m+1)}$  on  $Ni_n^+$  (n = 17-20) clusters.

m	k <sub>(17,m)</sub> s <sup>-1</sup>	k <sub>-(17,m+1)</sub> s <sup>-1</sup>	k <sub>(18,m)</sub> s <sup>-1</sup>	k <sub>-(18,m+1)</sub> s <sup>-1</sup>	k <sub>(19,m)</sub> s <sup>-1</sup>	k <sub>-(19,m+1)</sub> s <sup>-1</sup>	k <sub>(20,m)</sub> s <sup>-1</sup>	k <sub>-(20,m+1)</sub> S <sup>-1</sup>
0	5.1	<0.001	4.9	<0.001	5.1	<0.001	5.3	<0.001
1	5.2	< 0.001	4.9	< 0.001	5.1	< 0.001	5.3	< 0.001
2	5.0	< 0.001	4.9	< 0.001	5.4	< 0.001	5.6	< 0.001
3	4.5	< 0.001	5.0	< 0.001	5.2	< 0.001	5.3	< 0.001
4	4.4	< 0.001	5.1	< 0.001	5.5	< 0.001	5.2	< 0.001
5	4.5	< 0.001	4.9	< 0.001	5.3	< 0.001	5.7	< 0.001
6	4.5	< 0.001	5.1	< 0.001	5.3	< 0.001	5.3	< 0.001
7	4.5	< 0.001	5.0	< 0.001	5.5	< 0.001	5.3	< 0.001
8	4.5	< 0.001	5.2	< 0.001	5.3	< 0.001	5.1	< 0.001
9	4.5	< 0.001	5.2	< 0.001	5.1	< 0.001	5.3	< 0.001
10	4.4	< 0.001	5.4	< 0.001	5.1	< 0.001	5.7	< 0.001
11	4.5	< 0.001	5.1	< 0.001	5.5	< 0.001	5.2	< 0.001
12	4.3	< 0.001	5.4	< 0.001	5.6	< 0.001	5.3	< 0.001
13	4.2	< 0.001	5.4	< 0.001	5.5	< 0.001	5.4	< 0.001
14	4.7	< 0.001	5.3	< 0.001	5.2	< 0.001	5.7	< 0.001
15	5.3	< 0.001	5.1	< 0.001	5.3	<0.001	5.4	< 0.001
16	1.0	6.63	0.4	0.14	4.3	0.19	5.4	< 0.001
17			0.5	0.11				

# 6 Probing Cluster Surface Morphology by Cryo Spectroscopy of N₂ on Cationic Nickel Clusters

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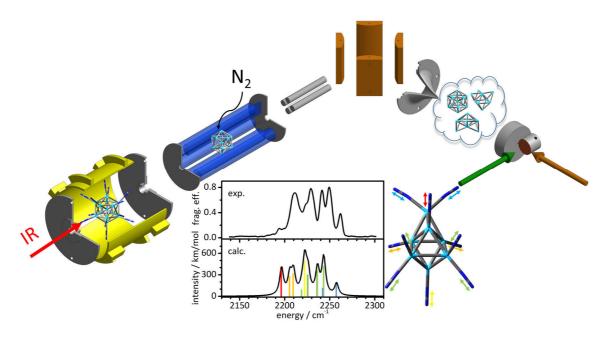
#### 6.1 Preamble

The experiments were performed by the experimental team consisting of J. Mohrbach, and myself. The data evaluation was done by J. Mohrbach and myself. The computations were done by myself. The initial manuscript was written by myself and revised with the help of G. Niedner-Schatteburg and J. Mohrbach.

This manuscript has been **accepted** for publication in The Journal of Chemical Physics as part 2 of 2 of a back to back submission (for part 1 see chapter 5).

## 6.2 Abstract

We present the cryogenic (26 Kelvin) IR spectra of selected  $[Ni_n(N_2)_m]^+$  (n = 5 – 20, m = 1 – m<sub>max</sub>), which reveal strongly n- and m-dependent features in the N<sub>2</sub> stretching region, in conjunction with density functional theory (DFT) modelling of some of these findings. The observed spectral features allows to refine the kinetic classification (cf. accompanying paper) and to define four classes of structure related surface adsorption behavior: Class (1) of Ni<sub>6</sub><sup>+</sup>, Ni<sub>13</sub>+, and Ni<sub>19</sub>+, are highly symmetrical clusters with all smooth surface of equally coordinated Ni atoms, that entertain stepwise  $N_2$  adsorption up to stoichiometric  $N_2$ : Ni<sub>surface</sub> saturation. Class (2) of Ni<sub>12</sub>+, and Ni<sub>18</sub>+ are highly symmetrical clusters minus one. Their relaxed smooth surfaces reorganize by enhanced N<sub>2</sub> uptake towards some low coordinated Ni surface atoms with double N<sub>2</sub> occupation. Class (3) of Ni<sub>5</sub><sup>+</sup>, and Ni<sub>7</sub><sup>+</sup> through Ni<sub>11</sub><sup>+</sup> are small clusters of rough surfaces with low coordinated Ni surface atoms, some reveal semi internal Ni atoms of high next neighbor coordination. Surface reorganization upon N2 uptake turns rough into rough surface by Ni atom migration and turns octahedral based structures into pentagonal bipyramidal structures. Class (4) of Ni<sub>14</sub><sup>+</sup> through Ni<sub>17</sub><sup>+</sup>, and Ni<sub>20</sub><sup>+</sup> are large clusters with rough and smooth surface areas. They possess smooth icosahedral surfaces with some proximate capping atom(s) on one hemisphere of the icosahedron with the other one largely unaffected.



## 6.3 Introduction

In practice, much of heterogeneous catalysis is based on transition metals(TM) [1-4], and we are in desperate need for a better understanding at the level of participating elementary steps[2, 5]. Metal clusters are conceivable model system for heterogeneous catalysis [6-9]. Smaller than nanostructured catalysts, isolated clusters offer unique opportunities to study highly corrugated and highly curved surfaces, albeit at the expense of indirect access to their structural features. Elaborate studies of clusters may reveal their stabilities and instabilities alike. On the basis of such knowledge cluster studies provide insight into TM – adsorbate interactions which are complementary to TM bulk surface science studies, this analogy being recognized before and repeatedly. [10-13]

 $N_2$  activation is crucial in ammonia synthesis, the corresponding Haber Bosch process being based on Fe, Ni, Ru, and other TMs .[14] Several surface science studies characterized the Fe  $N_2$  interactions [15-20] and angle resolved photoemission studies in conjunction with potential surface modelling, concluded in  $\alpha$ - $N_2$  and  $\gamma$ - $N_2$  motifs[21] which resemble  $\eta^2$  sideon and  $\eta^1$  end-on coordination of  $N_2$  to multiple and single metal centers. Some studies recognized the resemblance of such topics to the  $N_2$  interaction with the surfaces of Fe clusters.[22, 23] Numerous studies elucidated  $N_2$  adsorbates on other first row transition metal surfaces, undertaken by a variety of experimental and theoretical methods, such as in the case of  $N_2$  on  $N_1$  surfaces [24-27].

Armentrout and coworkers elucidated the thermochemistry of several small molecules (D<sub>2</sub>, O<sub>2</sub> and CH<sub>4</sub>) on Ni clusters, and they determined bond energies of adsorbates and products with considerable precision.[28-30] The dissociation of ions and mass detection of the resulting fragments helps in gathering structural information about molecules. In complement, the study of the vibrational modes of adsorbates on TM clusters and in TM coordination complexes as isolated ions by Infrared Multiple Photon Dissociation (IR-MPD) is prevalent.[31-34] The IR-MPD method has been extended by the introduction of tunable free electron lasers (FEL).[35-37] In this context IR spectroscopic studies of different adsorbates on Ni clusters are already published including the interaction of size selected Ni clusters with H<sub>2</sub> and CO. [38, 39] The latter one proposed a charge dilution model for the observed redshifts of the adsorbed CO on metal clusters. This model is in line with earlier proposed models for metal adsorbate/ligand interactions: the Dewar-Chatt Duncanson

model for the coordination of alkenes with atomic metal centers [40] and the Blyholder model for the adsorption of CO on metal surfaces[41]. These findings may be helpful regarding  $N_2$  adsorbate complexes as CO is isoelectronic to  $N_2$ . The fundamental interplay of  $N_2$  with atomic transition metal cations (V, Nb, Rh) have been studied by the Duncan group by utilizing table top IR lasers.[42-44]

IR spectroscopy is inseparably linked to computational modelling of the investigated systems. Most theoretical studies focus on the modelling of the structures and energetics of bare Ni clusters [45-48]. Such studies are doubtlessly of high significance. The most rewarding modelling of cluster adsorbate complexes has received much less attention in the past, and there are merely a few studies on the modelling of Ni cluster adsorbate complexes [49, 50].

Two publications recently opened the research field of IR spectroscopy of  $N_2$  on transition metal cluster:  $N_2$  on neutral Ru clusters by Fielicke et al. [51], and  $N_2$  on cationic Co clusters under isothermal cryo conditions by ourselves[52].

There are merely a few experimental studies of  $N_2$  on size selected Ni clusters, however. Early studies investigated the  $N_2$  adsorption of neutral nickel clusters under flow reactor conditions by Parks et al, which have been published over two decades ago. They proposed several cluster structures.[53, 54] Yet there is no definite assignment. In this regard we must mention our recent publication on the  $[Ni_n(N_2)_m]^+$  (n = 9, 13; m = 1 – 13) systems, which elucidated the cluster surface morphologies in terms of rough and smooth surfaces.[55] Knowing the possibly relaxing cluster structures throughout their participation in catalytic cycles, and unravelling the structural effects onto their reactivity would be a great leap in understanding catalysis and might assist the design of new catalysts.

This study aims to elucidate the vibrational spectroscopy of  $N_2$  adsorbates onto cationic nickel clusters  $[Ni_n(N_2)_m]^+$  (n = 5 – 20), and it obtains strong support of its findings through our preceding kinetic study[56], which we will reference in the following as **[JM]**, while we refer to the present spectroscopic study as **[SD]**.

## **6.4 Experimental and Computational Methods**

The experiments were carried out in a customized Fourier Transform - Ion Cyclotron Resonance (FT-ICR) – mass spectrometer (Apex Ultra Bruker Daltonics). It served to perform the cluster production, isolation,  $N_2$  condensation, InfraRed (Multi) Photon Dissociation (IR(M)PD) spectroscopy and mass analysis. For a detailed description of the formation of the cluster adsorbate complexes refer to [JM].

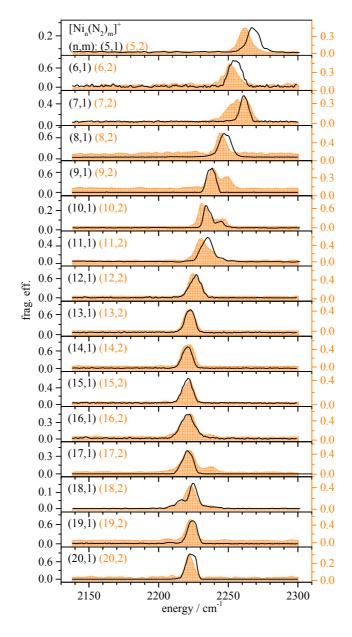
For the acquisition of the IR-PD spectra the FT-ICR cell is coupled to a tunable IR laser (spectral resolution:  $\delta n = 0.9 \text{ cm}^{-1}$ , pulse length:  $\delta t = 7 \text{ ns}$ ). This laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd:YAG laser (PL8000, Continuum). The difference frequency (DF) between the OPA signal and idler waves is generated in a AgGaSe<sub>2</sub> crystal. This generates IR radiation in the range of 1000 – 2400 cm<sup>-1</sup>. Each trapped and isolated package of ions is irradiated by 7-10 laser pulses (0.1 – 1.5 mJ/pulse) to yield a sufficient amount of fragment ions. The IR spectra were recorded as ion chromatograms while continuously scanning the IR wavelength. The IR-PD signal was evaluated as  $\Sigma_i F_i / (\Sigma_i F_i + \Sigma_i P_i)$ , where  $F_i$  and  $P_i$  indicate fragment and the parent ion signals, respectively. An experimental IR-PD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency. We employed the IR-PD spectroscopy in the  $2140 - 2300 \text{ cm}^{-1}$  range on the  $[Ni_n(N_2)_m]^+ = (n,m)$  species (n = 5 – 20). In this range we expected the  $N_2$  stretching frequencies of the species. For all complexes the loss of one or two N2 was the only observed fragmentation channel. Note, that IR-PD spectra of (n,m) species with high m likely originate from single photon absorption, whereas those of low m likely originate from two or even multiple photon absorption – rendering the latter IRMPD spectra.

Linear IR absorption spectra were calculated at the PBE0[57] level of theory using the cc-pVTZ basis sets[58] (N), and the Stuttgart RSC 1997[59] effective core potential (Ni), respectively, as implemented in the Gaussian 09 program package[60]. SCF convergence is tedious and can be achieved only at the expense of relaxed SCF convergence criteria of  $10^{-5}$  (as compared to  $10^{-8}$  in DFT calculations on light main group elements). The calculated spectra are scaled by 0.93 to account for prevailing anharmonicities and simulated using the Lorentz profile of fwhm = 5 cm<sup>-1</sup>. We regard our obtained results as starting points for future

and more elaborate treatments - as far as possible. In this regard, our current DFT results are "preliminary".

## 6.5 Results and Discussion

#### Low N<sub>2</sub> coverages



**Fig. 1** IR-PD spectra of (n,1) (solid lines) and (n,2) (orange shaded areas) species for n = 5 - 20. There are subtle differences in the IR-PD spectra induced by the adsorption of a second  $N_2$  molecule. Refer to the supplementary material for figures that show spectra of (n,1) and (n,2) separately.

We employed our cryo IR-PD spectroscopy scheme in the  $2140 - 2300 \text{ cm}^{-1}$  range on the  $[Ni_n(N_2)_m]^+ = (n,m)$  adsorbate cluster species (n = 5 – 20). In the cases of single and double  $N_2$ 

adsorption, (n,1) and (n,2), we found IR active bands in the region of 2210 cm<sup>-1</sup> to 2280 cm<sup>-1</sup> (Fig. 1).

#### First N<sub>2</sub> adsorbate

The recorded IR-PD bands of (n,1) and (n,2) fall into a region (above 2000 cm<sup>-1</sup>) which likely corresponds to a head on / end on adsorption of  $N_2$  on top of single Ni atoms of the cluster, as we have shown before in the cases of (9,m) and (13,m).[55] Most (n,1) species – those with a single  $N_2$  – reveal a single IR band in the N-N stretching region; with the exceptions of the n=10 and n=18 species, both of which provide for spectra that reveal a weaker second band. Such additional bands are possible indicators for the presence either of spin states isomers, or of cluster structural isomers, or of  $N_2$  binding site isomers. We will discuss these possibilities in the light of further results below.

Aside from these specific exceptions, there is a clear and dominating trend throughout the recorded IR spectra: The larger the clusters the larger the observed red shifts. At (5,1) we find a redshift of 65 cm<sup>-1</sup> with respect to the IR inactive (yet well known) stretching frequency of free  $N_2$  (2330 cm<sup>-1</sup>), and in (20,1) we find a redshift of 107 cm<sup>-1</sup>. The increase is nonlinear in cluster size n, and it becomes constant beyond n = 14 (at ~ 2221 cm<sup>-1</sup>; cf. Figs. 1 and 2). It follows a trend observed before in the case of CO adsorption on cationic  $Rh_n^+$  [61] and  $Ni_n^+$  [38] clusters. There is an exception at n = 7 which reveals less red shift than expected from the general trend (by about 11 cm<sup>-1</sup>). A similar exception was found in the IR spectra of CO on  $Ni_7^+$  [61] without receiving particular attention. Elucidation of conceivable structures arises in the light of our preliminary DFT structure modelling. At this point we want to emphasize that a reduced red shift likely points towards low coordinated Ni surface atoms. They carry localized cationic charge that suffices to hamper efficient  $\pi$  back donation – thus reducing observable  $N_2$  bond weakening.

### Second N2 adsorbate

IR spectra of the (n,2) species almost coincide with those of (n,1) - some subtle differences prevailing. Most remarkably the small (n,2) species,  $n \le 11$ , seem to provide for additional, partially resolved contributions which are often slightly to the red of the single (n,1) peaks and rarely to the blue (as in the sole case of n = 9).

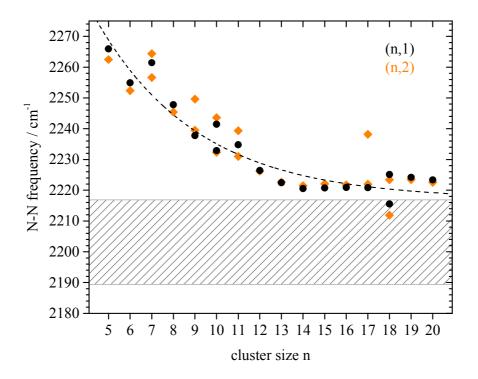
It was expected that the adsorption of a second  $N_2$  adsorbate in the (n,2) species gives rise to additional spectral signatures. While the first  $N_2$  adsorbate likely finds the most favorable adsorption site on the surface of the Ni clusters, the second  $N_2$  may be forced to settle for another, possibly nonequivalent site. Either sort of sites originates from individual Ni atoms at the cluster surface. These Ni atoms may reside in various coordination environments that make up for a rough or smooth cluster surface as shown before[55]. It is likely the small clusters (n < 12) which provide for "rough" surfaces.

Our previous study of (9,m) and (13,m) [55] had revealed another mechanism of spectral complexity: Adjacent  $N_2$  adsorbates may couple to symmetric or antisymmetric combinations of their stretching excitations. In the case of Ni clusters this leads to a largely constant splitting of  $\sim 12~\text{cm}^{-1}$ . We observe such splittings in many IR spectra of (n,m); however, with m >> 2. In the case of (9,2) our DFT calculations had revealed that a splitting of comparable magnitude arose from  $N_2$  coordination to two Ni centers which were three-and fourfold coordinated within the Ni clusters, respectively. In all other cases, there is no indication for any such coupling. It is conceivable that first and second  $N_2$  adsorbates initially stick to surface sites without relaxing into spatial proximity. The other minor, only partially resolved structures likely resolve such pinning to nonequivalent sites.

## 3.1.3 Charge dilution

When inspecting the recorded  $N_2$  stretching bands for their values (Fig. 2) one finds a systematic increase of red shift with cluster size, running into a limiting value of about 2220 cm<sup>-1</sup> which comes close to the  $N_2$  stretching band position of  $N_2$  molecules adsorbed on Ni surfaces [24, 62]. While the bands of n = 14 - 17 and of n = 18 - 20 merely shift, those of n = 17 to n = 18 are less red shifted by about 5 cm<sup>-1</sup> with respect to the aforementioned ones. The red side bands of (18,1) and (18,2) fall within the range of the surface values. The observed trend can be explained by a charge dilution model as proposed by Fielicke before.[61] This model takes into account the cluster size dependent effect of net charge on the  $\pi$  back donation. It was first applied to transition metal clusters with CO. This rather simple model is well suited to describe analogous effects in the isoelectronic  $N_2$  adsorption. We do observe indeed the same trend and magnitude ( $\sim$  50 cm<sup>-1</sup>) in the present  $N_2$  spectra as in the previous CO spectra. It is remarkable that we observe the same trend in the (n,2)

species, and it may be described by the same model, only minor differences prevailing with respect to the (n,1) cases.



**Fig. 2** N-N stretching frequencies of (n,1) (black dots) and (n,2) (orange diamonds) Ni cluster adsorbate complexes as function of cluster size n=5-20. The dashed line is to guide the eye and corresponds to charge dilution model proposed before[65]. The shaded area indicates the range of the N-N stretching frequencies on various Ni surfaces.[24, 66] Note the slight increase in  $N_2$  frequencies when n>15.

#### High N<sub>2</sub> coverages

It is imperative to check for spectral  $N_2$  features on Ni clusters at higher  $N_2$  coverages. We chose to apply our cryo IR-PD spectroscopy scheme to  $N_2$  loaded cluster complexes with  $(n,m)=(n,m_x)$  - the intermittent adsorption limit - and  $(n,m_{max})$  - the adsorption limit. Note, that these well-defined limits arose from our own kinetic studies. For a detailed explanation refer to **[JM]**. We obtained spectra of n=5-20, for  $(n,m_{max})$  and  $(n,m_x)$  (Fig. 3). All of these spectra show IR active bands in the region between 2160 cm<sup>-1</sup> and 2270 cm<sup>-1</sup> which still corresponds to a head on adsorption of the  $N_2$ .

As expected the IR spectra for the adsorption limits provide for a significantly higher degree of complexity than those of the (n,1) and (n,2) species (cf. Fig. 3 right). This general statement holds true but for the exceptions of n = 6, 13, 19 - all of which are assumed to

provide for highly symmetric structures of all smooth surfaces (octahedron, icosahedron, and bi-icosahedron).

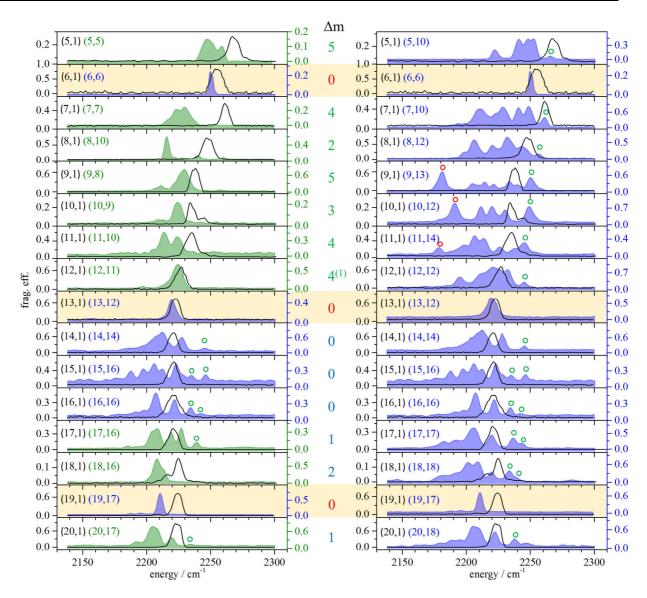
Other than these three highly symmetrical clusters, there are two distinct size ranges, namely  $n_{small} = 5 - 12$  (without n = 6) and  $n_{large} = 14 - 20$  (without n = 19) as defined by kinetic findings in **[JM]**. For ease of reading, we label these size ranges as "small" and "large" clusters. While the small clusters provide for rough surfaces and suffer from a somewhat ambiguous definition of the intermittent size limit, the large clusters are found to provide for smooth surfaces and well defined intermittent size limits if any (cf. **[JM]**). It is gratifying to see that these kinetic findings find some correspondence in the recorded IR-PD spectra. Both classes of clusters reveal an enhanced spectral complexity upon saturation of  $N_2$  coverage at  $m_{max}$  – largely irrespective of their size. However, the small and large clusters proceed differently on their way towards  $m_{max}$ .

#### Small clusters

There is a constant redshift of the spectral window of observed features, *cf.* green boxes in Fig. S22, for all  $(n_{small}, m_x)$  with respect to the free  $N_2$  stretching  $(\Delta v \sim -105 \text{ cm}^{-1})$ . This is in noteworthy contrast to the clear increase of redshift with  $n_{small}$  in the cases of  $(n_{small}, 1)$  and  $(n_{small}, 2)$  – indicative of a reduction of charge influence with  $n_{small}$  as discussed above.

Upon going from m=1 to  $m=m_x$  the spectral broadening is small/limited ( $\Delta\Delta v \leq 35 \text{ cm}^{-1}$ ). Large spectral broadening, which is indicative of enhanced couplings, sets in only upon going from  $m_x$  to  $m_{max}$  where it reaches considerable amounts ( $\Delta\Delta v \leq 85 \text{ cm}^{-1}$ ). Note that large spectral width/broadening implies large variety of Ni coordination environments, and some  $N_2 - N_2$  sym/asym coupling on top.

The enhanced spectral broadening has three components: Enhanced couplings account for the major part of spectral complexity for all  $n_{small}$  ( $\Delta\Delta v \sim 55~cm^{-1}$ ). To the blue there are one or two distinct additional peaks ( $v \sim 2260-2245~cm^{-1}$ , marked with green circles) which we attribute to symmetrically coupled  $N_2$  stretching modes of pairs of  $N_2$  adsorbates on top of low coordinated Ni atoms, supported by our DFT results in the following. It is noticeable that we do not observe such green circle bands in the spectra of ( $n_{small}$ , $m_x$ ). This indicates a lack of double  $N_2$  occupation on low coordinated Ni sites up to the intermittent limits  $m_x$  of small clusters  $n_{small}$ .



 $^{(1)}$   $\Delta$ m=4 is the kinetic finding. Experimental limitations hampered spectroscopic data taking of (12,m>12). We include (12,12) instead, nicely documenting the adsorbate induced reorganization.

**Fig. 3** IR-PD spectra of  $[Ni_n(N_2)]^+$  cluster adsorbate complexes n=5-20 at the intermittent adsorption limits  $(n,m_x)$  (green shaded areas, left) and at the adsorption limits  $(n,m_{max})$  (blue shaded areas, right). The Δm numbers in between of both stacks indicate the difference of adsorption limit and intermittent adsorption limit,  $\Delta m = m_{max} - m_x$ , color coded in red for highly symmetrical clusters, in green for small clusters, and in blue for large clusters. Highly symmetrical cluster spectra are highlighted with a yellow background. The (n,1) spectra of Fig. 1 are indicated by solid lines. In some cases there is no intermittent adsorption limit ( $\Delta m = 0$ ), and we plot the adsorption limit instead (blue spectra on the left). In the case of (12,m) the complex at the adsorption limit  $(12,m_{max}) = (12,14)$  was too weak for interrogation. We chose to study the more intense (12,12) instead. The green and red circles are to highlight significant bands, which are discussed in the text.

There are red circle peaks only for  $n_{small} = 9$ , 10, 11 which are those sizes that might provide for semi internal Ni atoms of highest coordination (7 to 9 nearest Ni neighbors) yet accessible for adsorbates from the outside.

It is interesting to focus on the cases of (12,11) and (12,12) in particular. They seem to break the rules. (12,11) is narrow / single line and little redshift. Best conceivable explanation comes by structural assumption based on icosahedron minus on surface atom. All equivalent Ni surface atoms of the same or at least similar coordination numbers to next Ni neighbors. In remarkable contrast, there is significant red shift and spectral broadening in (12,12), together with some blue shifted bands. Indicative for an adsorbate induced surface reconstruction from smooth to rough yielding some low coordinated Ni surface atoms, which give rise to a "green circle" band in the blue. Note that we did not highlight the band at around 2195 cm<sup>-1</sup> in the spectrum of (12,12) as a "red circle" band because we find it highly speculative to assign this band exclusively to a N<sub>2</sub> coordinated to a highly coordinated, semi internal Ni atom. Furthermore the spectrum of (12,11) reveals a small feature in this region as well and DFT does not suggest the binding of N<sub>2</sub> to a highly coordinated Ni atom (see 3.2.3). The adsorbates are possibly able to induce a Ni cluster reorganization and break the high symmetry of an unstable icosahedron-minus-one-structure.

#### Large clusters

There is a constant redshift of the spectral window of observed features, cf. green boxes in Fig. S22, for all  $(n_{large}, m_x)$  with respect to the free  $N_2$  stretching  $(\Delta v \sim -105 \text{ cm}^{-1})$  and of the same value as for  $(n_{small}, m_x)$ . Upon going from m=1 to  $m=m_x$  and to  $m=m_{max}$  the spectral broadening is considerable  $(\Delta \Delta v \leq 70-80 \text{ cm}^{-1})$ . It is only the case of (18,16) – similar to (12,11) – which has a single band, and it seems to reorganize towards spectral complexity – evidenced by a broad spectral window – upon full coverage at (18,18). (18,16) is equally exempt with respect to "green circle" bands, which occur in all other large clusters. Some redshift and significant spectral broadening appear upon m=1 to  $m=m_x$ . It is surprising to see the exception of (18,16) where the redshift comes without broadening. The single band at (18,16) may indicate a smooth surface of equivalent coordination of surface atoms with two interior/bulk Ni atoms. The spectral broadening of (18,18) indicates significant reorganization. The "green circle" band points to low coordinated Ni surface atom, which might be only in the case of adsorbate induced reorganization.

#### IR spectra and DFT modelling per cluster size

Putting everything together, we now look at the effect of  $N_2$  adsorption level m per  $Ni_n$  core size in more detail:

 $[Ni_5(N_2)_m]^+$  = (5,m): The IR-PD spectrum of the intermittent adsorption limit (5,m<sub>x</sub>) = (5,5) reveals two close lying bands which likely indicates two distinct adsorption sites (Fig. 3, top left). This would be in line with a cluster structure of a trigonal bipyramid: Three equatorial Ni atoms form a triangular ring, four next Ni neighbors each; two polar apex Ni atoms above and below the triangular plane are threefold coordinated each. All Ni atoms are in a low coordination environment, in particular as compared to close packed fcc Ni(111) surfaces where the next neighbor count is 9. The 1:1 adsorption of Ni : N<sub>2</sub> onto these two classes of adsorption sites renders the occurrence of two vibrational bands likely. We expect – and we find – these two bands in close proximity with a small splitting of merely 12cm<sup>-1</sup>. Single N<sub>2</sub> adsorption to all of the three Ni atoms causes the band to the red; single adsorption to both polar Ni atoms causes the band to the blue.

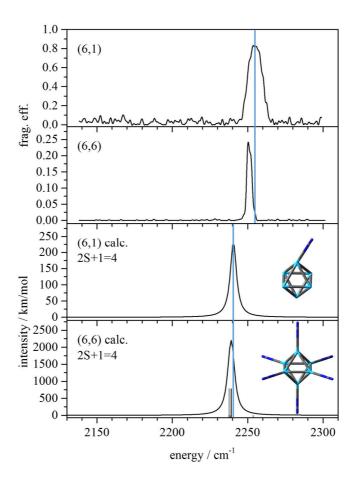
The IR-PD spectrum of the saturated species  $(5,m_{max}) = (5,10)$  shows a broader absorption pattern of five bands (Fig. 3, top right) which spread out over  $\sim 52$  cm<sup>-1</sup> – as compared to mere 22 cm<sup>-1</sup> in the case of (5,5). The increased complexity can be attributed to the enhanced couplings of  $N_2$  adsorbates which share the same  $N_1$  adsorption site in the given 1: 2 adsorption stoichiometry. Note that such a double occupation of adsorption sites is unlikely – if not impossible – on a planar dense packed bulk surface, while conceivable in conjunction with a curved cluster surface.

As of now we did not manage to reproduce the seemingly simple vibrational spectra of (5,5) and (5,10) by standard DFT methods (*cf.* Fig. S19 in the supplementary material). We speculate that prevailing symmetry reductions by Jahn-Teller and/or Renner-Teller effects would render dedicated treatments necessary.

[Ni<sub>6</sub>(N<sub>2</sub>)<sub>m</sub>]<sup>+</sup> = (6,m): This exceptional Ni cluster without an intermittent adsorption limit reveals an IR-PD spectrum of a single band in all three cases recorded: (6,1), (6,2), and (6,m<sub>max</sub>) = (6,6). It is most noteworthy to point out that the width of the single band in (6,6) reduces by almost a factor of three with respect to that of (6,1) and (6,2):  $\Delta v_{\text{fwhm}(6,6)} = 3.8 \text{ cm}^{-1}$  and  $\Delta v_{\text{fwhm}(6,1)} = 10 \text{ cm}^{-1}$ . These findings can be explained by a high symmetry of the cluster

itself. An octahedral structure should adsorb six  $N_2$  onto six  $N_1$  atoms, one each. Retaining its symmetry upon adsorption the resulting IR spectrum should only show one single band. Seemingly, the 1:1 adsorption stoichiometry at saturation limit helps to stiffen the  $N_2$  adsorption geometries and reduces angular tilts and/or conceivable adsorbate induced symmetry breaking. We have found and discussed similar effects in the cases of (13,m) before.[55] Here, we utilized DFT modelling to endorse our structural hypothesis on (6,1) and (6,6), see Fig. 4.

Our DFT modelling of the (6,1) complex in a quartet state reveals an  $N_2$  stretching vibration at 2240 cm<sup>-1</sup> in reasonable agreement with the recorded IR-PD band at 2255 cm<sup>-1</sup>. Other multiplicities would be less stable.



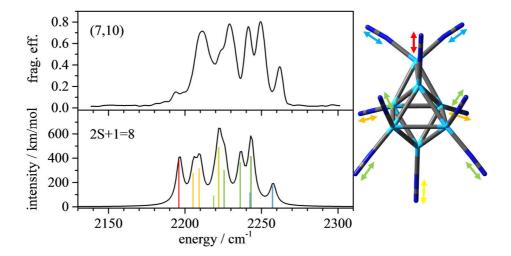
**Fig. 4** Experimental IR-PD spectra and DFT modelling of (6,1) and (6,6). Both calculated clusters have a quartet spin state and an octahedral cluster core. For the (6,6) cluster we enforced a  $C_{2h}$  symmetry, a much larger splitting occurring otherwise (cf. Fig. S20). The (6,1) cluster was optimized without restrictions. The blue lines are to emphasize the slight redshift from (6,1) to (6,6) – in experiment and calculations alike.

In the (6,6) case we find that the quartet state is more stable than a doublet or a sextet — no matter which geometry. Full geometry relaxation would yield  $N_2$  tilts off the  $N_1$  adsorption site symmetry axis (the "surface normal vector") and a concomitant spread of  $N_2$  vibrational frequencies of up to  $40~\text{cm}^{-1}$  which would be ten times beyond the experimental width (cf. Fig S20 in the supplementary material). Given the very sharp experimental band, we assume a high symmetry of the  $N_1$  cluster core and of the  $N_2$  adsorption layer, and we have continued further modelling accordingly. Interestingly, we achieved converged DFT results only when enforcing a reduced  $C_{2h}$  symmetry — thus allowing for some Jahn-Teller relaxation of the  $N_1$  core while enforcing strictly linear  $N_1$  –  $N_2$  on symmetry axis adsorption – and we failed when asking for full octahedral  $O_h$  symmetry. Under such symmetry restrictions we obtain a closely spaced bunch of  $N_2$  adsorbate stretching vibrations in (6,6) the center of which is slightly red shifted with respect to the according value in (6,1),  $\Delta V_{(6,6)}$ -(6,1),  $C_1$ - $C_2$ - $C_3$ - $C_4$ - $C_4$ - $C_5$ 

 $[Ni_7(N_2)_m]^+$  = (7,m): Three possible cluster structures have been proposed by Parks & Riley for the  $Ni_7^+$  cluster: a pentagonal bipyramid, a capped octahedron and a four capped trigonal prism. They conclude the capped octahedron to be most likely, even though they could not rule out a structural rearrangement upon coordination of the first  $N_2$  adsorbate.[53] Our own search revealed that the calculated vibrational spectrum of a capped octahedral  $Ni_7$  core with  $10 N_2$  adsorbates reproduces the experimental IR-PD spectrum of (7,10) well and in most details (Fig. 5), while the calculated spectra of complexes with other  $Ni_7$  core structures do not.

This substantiates the theory of a capped octahedral cluster structure of the high coordinated species. The DFT calculations predict IR intensities, which are in very good agreement with the experiment – except for the lowest  $N_2$  stretching frequency at 2196 cm<sup>-1</sup> that is significantly weaker in the IR-PD spectrum. Our modelling attributes this vibration to the  $N_2$  adsorbate on top of the highest coordinated nickel atom (cf. Fig. 5 red arrow).

Nevertheless, we see a clear trend in the calculated IR spectrum of the (7,10) complex (cf. color code in Fig.5): The higher the coordination number of the Ni, the larger the redshift of the N<sub>2</sub> vibration. Stretching vibrations of N<sub>2</sub> adsorbates on the same Ni atom couple to symmetric and antisymmetric combinations, which typically split by  $\sim 10 \text{ cm}^{-1}$ .



**Fig. 5** Experimental IR-PD spectrum and DFT modelling of (7,10). The computed structure is a capped octahedron with a spin state of 2S+1=8. Each Ni atom adsorbs a single  $N_2$ , and the three least coordinated Ni atoms another second  $N_2$ . The color-coding of the stick spectrum and of the vibrational double arrows relate these to each other. Note the vibrational splittings by symmetric and antisymmetric couplings of  $N_2$  vibrations at doubly occupied Ni sites (green and blue) or at adjacent equivalent Ni sites (orange and green).

It is noteworthy to emphasize that the adsorbate layer of intermittent size, the (7,7) complex reveals a seemingly much simpler spectral pattern, but shifted with respect to the sole bands of the (7,1) complex. One may be tempted to speculate about a pentagonal bipyramid structure with a 1:1 adsorption scheme, all Ni sites similar, being four- or five-fold coordinated. Certainly, there is no low coordinated Ni site, as there is no "green circle" band. Unfortunately, there are no reliable structure calculations available as of now.

[Ni<sub>8</sub>(N<sub>2</sub>)<sub>m</sub>]<sup>+</sup> = (8,m): The IR spectrum of the  $(8,m_x)$  = (8,10) complex consists of one main band at 2216 cm<sup>-1</sup> (Fig. 3, left row, spectrum in green) which is redshifted by 32 cm<sup>-1</sup> with respect to (8,1), and accompanied by several weaker bands to the blue. The spectrum of the  $(8,m_{max})$  = (8,12) complex reveals a broad vibrational pattern of at least six strong bands which partially overlap, and which has a strong similarity to the pattern of (7,10). One may speculate about a bicapped octahedron or a mono-capped pentagonal bipyramid, as suggested by our kinetic studies as well, see [JM]. Our DFT modelling has not led to a reasonable simulation of the observed vibrational spectrum yet.

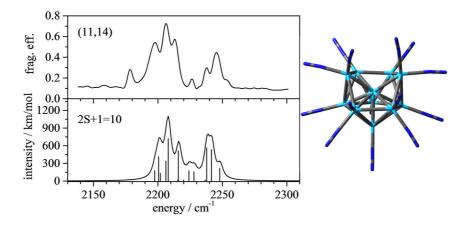
 $[Ni_9(N_2)_m]^+ = (9,m)$ : We have studied and published these species before.[55] The adsorption limit at (9,13) and the intermittent adsorption limit (9,8) could be explained by both a tricapped octahedron and a bicapped pentagonal bipyramid. Utilizing DFT

calculations, we showed that there is a possible structural rearrangement upon adsorption of  $N_2$ . Starting from a tricapped octahedron the cluster relaxes to a bicapped pentagonal bipyramid. In any case, the n=9 cluster complexes have shown strong evidence for a single highly coordinated Ni atom, that might be regarded as "semi-internal" or embryonic on the way to internal or bulk. It is this highly coordinated Ni atom which seems to receive an  $N_2$  adsorbate only upon saturation, namely at the (9,12) and (9,13) complexes. Here, we conclude that the most red shifted band at  $2181 \text{ cm}^{-1}$  (marked by red circle in Fig. 3) originates from such an  $N_2$  adsorbate on the semi-internal Ni site.

 $[Ni_{10}(N_2)_m]^+ = (10,m)$ : The spectrum of  $(10, m_x) = (10,9)$  resembles that of  $(9,m_x) = (9,8)$ , and that of  $(10,m_{max}) = (10,12)$  resembles that of  $(9,m_{max}) = (9,13)$ . This finding suggests structural similarities. The  $(10,m_x) = (10,9)$  stoichiometry indicates a highly coordinated, semi-internal Ni atom, further substantiated by the "red circle" fingerprinting band at  $2191 \text{ cm}^{-1}$  — subtle structural balances modulating the very band position slightly. Appearance of a "green circle" band to the blue once more indicates the occurrence of double  $N_2$  occupation of a low coordinated Ni site. Taken altogether these spectral observations suggest a conceivable tricapped pentagonal bipyramid structure.

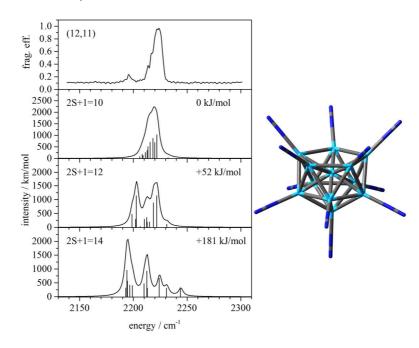
 $[Ni_{11}(N_2)_m]^+ = (11,m)$ : Once more spectral similarities to (9,m) and (10,m) suggest structural similarity. The  $(11,m_x) = (11,10)$  stoichiometry indicates a highly coordinated, semi-internal Ni atom, further substantiated by the "red circle" fingerprinting band at 2179 cm<sup>-1</sup> – once more shifted by some subtle structural balances. A "green circle" band indicates double  $N_2$  on a low coordinated Ni site. We speculate on a conceivable tetracapped pentagonal bipyramid structure – which would be isomorph to an icosahedron missing two neighboring atoms.

Our structural modelling by DFT suggests similar structures and reasonable spin states, 2S+1=10. It manages to simulate all but one spectral features: The observed "red circle" band is missing by simulation (Fig. 6). This might be due to slight overbinding of the Ni apex atoms. If the low coordinated Ni apex atoms next to the semi-internal Ni site were a little more to the outside, the otherwise shielded central Ni atom might become accessible for N<sub>2</sub> adsorbates. DFT modelling of the intermittent adsorption limit (11,10) is still in progress (Fig. S21 in the supplementary material). A superposition of two different spin states is conceivable.



**Fig. 6** Experimental IR-PD spectrum and DFT modelling of (11,14). The computed structure is an icosahedron missing two neighboring atoms with a spin state of 2S+1=10. Every Ni atom adsorbs at least a single  $N_2$ , except for the Ni atom in the center of the cluster which stays vacant. The four least coordinated Ni atoms accept an additional  $N_2$ . The computed spectrum models the experimental measured spectrum closely, except for the experimental band at  $2179 \text{ cm}^{-1}$ .

 $[Ni_{12}(N_2)_m]^+ = (12,m)$ : The spectrum of the  $(12,m_x) = (12,11)$  species is dominated by a single band at 2222 cm<sup>-1</sup> which suggests a smooth surface of the Ni core. A weak sideband at 2196 cm<sup>-1</sup> asks for some explanation.



**Fig. 7** Experimental IR-PD spectrum of (12,11) and DFT modelling by an icosahedral structure with one missing surface atom. Each Ni atom adsorbs a single  $N_2$ , except for the semi internal Ni atom. There are several conceivable spin states.

Our DFT modelling converges to an icosahedron minus one surface atom with a single  $N_2$  occupation of each  $N_1$  site but the internal one. This structure stabilizes a spin state of

2S+1=10, and its spectrum matches the experimental spectrum quite well (Fig. 7) – except for the weak sideband, which we attribute to possible contributions from the 12tet spin state.

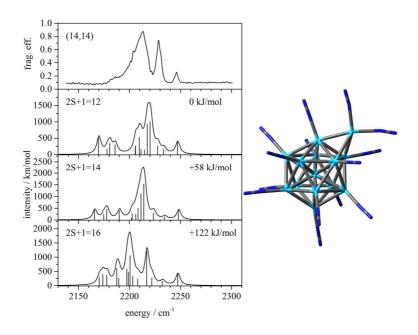
The experimental IR-PD spectrum of the  $(12,m_{max}) = (12,12)$  complex reveals a broad and more complex pattern. It gives rise to a green circle band, which indicates double  $N_2$  adsorption to a low coordinated Ni atom. This cluster complex does not possess the rather smooth structure of the (12,11) complex. We thus observe a presumable reorganization of the Ni cluster core by an increase of the  $N_2$  adsorption by one molecule, from 11 to 12. The  $Ni_{12}$  cluster most likely does not provide for the high stability of the highly symmetric  $Ni_{13}$  cluster. Thus, it is possible that adsorbates induce reorganization. A similar effect has been predicted for neutral Ni clusters before[45]: Highly symmetrical clusters of closed geometric shells (n = 13, 19) provide for large reorganization energies, while all others reveal low lying isomers close to the most stable minimum structure. The latter ones are subject of high probability for reorganization, e.g. upon adsorbate induced distortions.

 $[Ni_{13}(N_2)_m]^+$  = (13,m): The naked Ni<sub>13</sub><sup>+</sup> cluster is assumed to be of icosahedral shape with an all smooth surface. Therefore, the experimental IR-PD spectrum of the (13,m<sub>max</sub>) = (13,12) complex consists of a single band. For a detailed discussion see our recent publication.[55]

[Ni<sub>14</sub>(N<sub>2</sub>)<sub>m</sub>]<sup>+</sup> = (14,m): The IR-PD spectrum of (14,m<sub>max</sub>) = (14,14) reveals two narrow bands at 2229 cm<sup>-1</sup> and 2246 cm<sup>-1</sup>, and an asymmetric wide band at 2212 cm<sup>-1</sup> with a tail to the red. Our DFT modelling suggests a capped icosahedron, the capping Ni atom doubly occupied and all other Ni sites singly. Despite all effort, we only achieved a fair agreement of the modelled vibrational spectrum with the experimental IR-PD spectrum (cf. Fig. 8). A weak band to the blue nicely models the "green circle" band of the IR-PD. All the other modelling deviates significantly from the experiment. In particular, the strong experimental doublet at 2212 and 2229 cm<sup>-1</sup> finds no correspondence in modelling – which in turn finds significant IR intensities below 2180 cm<sup>-1</sup> void of correspondence in experiment. Obviously, DFT modelling reaches limits at this point – at least by our currently available methods. The found motifs of all but the green circle vibrations originate from various delocalized N<sub>2</sub> stretching modes remote of the capping Ni site. It may be this coupling, which ceases to be modelled accurately as of now. The experiment reveals a clear 17 cm<sup>-1</sup> splitting which comes close to

the previously observed symmetric to asymmetric combination mode differences by adjacent N<sub>2</sub> adsorbates [55].

The  $N_2$  adsorption kinetics show no evidence for any reorganization and/or intermittent adsorption limits. We thus have no reason to speculate about those in the present case – similar to what follows.



**Fig. 8** Experimental IR-PD spectrum of (14,14) and DFT modelling by a capped icosahedral structure. Each Ni surface atom adsorbs a single  $N_2$ , except for the capping Ni atom which accepts another second  $N_2$ . There are several conceivable spin states.

Of course, we have checked for various spin states in the course of our DFT modelling, and we found less stable 14tet and 16tet states, the IR spectra of which do not agree with experiment either. While it was stated that standard DFT may underestimate spin magnetic moments [63] we find good agreement with our own previous determination of these by XMCD experiments on isolated cluster ions[64]. Finally, we have to consider the possibility of somewhat higher atomic moments – more unpaired d electrons – which might be in part antiferromagnetically coupled. It would take high effort to model such electronics by broken symmetry calculations, and this is clearly beyond the scope of our present study.

 $[Ni_{15}(N_2)_m]^+$  = (15,m): The spectrum of (15,m<sub>max</sub>) = (15,16) is similar to that of (14,14) but for two changes: It provides for some additional bands. There are two "green circle" bands at 2247 cm<sup>-1</sup> and 2235 cm<sup>-1</sup>, instead of merely one in (14,14). This indicates two low coordinated Ni sites which accept double occupation by N<sub>2</sub> adsorbates each - conceivably an

icosahedral Ni core structure with two non-neighboring Ni capping atoms. Note, that thereby we rule out an otherwise conceivable truncated octahedron (cf. [JM]) which would be void of such low coordinated Ni atoms. Additional bands to the red make up for an increased spectral complexity. This might be explained by the superposition of multiple spin states and / or by the coexistence of multiple structural isomers, the latter arising from different positioning of the two capping Ni atom with respect to each other: adjacent or remote.

In the cases of this and larger complexes, we refrained from pursuing DFT modelling on our own. This challenging task is left to others and it would find our full support – once pursued.

 $[Ni_{16}(N_2)_m]^+ = (16,m)$  and  $[Ni_{17}(N_2)_m]^+ = (17,m)$ : The spectra of  $(16,m_{max}) = (16,16)$  and  $(17,m_{max}) = (17,17)$  provide for a very large similarity. In both cases, there are two "green" circle" bands around 2240 cm<sup>-1</sup>, which we assign to the symmetric stretching vibrations of a pair of two N2 adsorbates on top of low coordinated Ni capping atoms, much like in the (15,16) case discussed above. The central part of both spectra is dominated by a pair of strong bands close to what we found in (14,14) and in (15,16). Such close similarities suggest likely similar structural motifs. It is conceivable that the Ni cluster cores originate from a stepwise addition of single Ni atoms to the triangular faces of a Ni<sub>13</sub> icosahedron. This would eventually lead to a bi-icosahedral shape at Ni<sub>19</sub>, and we will discuss this option latter. It is likely that the capping atoms preferentially accumulate on one side of the Ni<sub>13</sub> core and in mutual proximity in order to gain cohesion energy. Such a stepwise adding up process maintains the previously smooth icosahedral surface on one side while creating defect like rough surface parts on the other side. It is this Janus head like situation of all clusters n = 14 – 17 which renders the resulting IR spectra similar. There is an ubiquitous symmetric to asymmetric N<sub>2</sub> coupling splitting in all cases, and is accordingly seen in the spectra by the dominant 17 cm<sup>-1</sup> splitting of the two strongest bands.

 $[Ni_{18}(N_2)_m]^+$  = (18,m): The spectrum of the (18,m<sub>x</sub>) = (18,16) species is dominated by a single band at 2209 cm<sup>-1</sup> which suggests a smooth surface of the Ni core. A weak shoulder to the blue might correspond somehow to the weak additional band to the red of the sole dominant peak in (18,1). In any case this simple spectrum is in remarkable contrast to the spectral complexity of the n = 14 – 17 clusters. The more noteworthy it is that the n = 18

cluster turns into spectral complexity once there are two more  $N_2$  adsorbates, namely at  $(18,m_{max})$  = (18,18). This behavior is reminiscent of the (12,11) and (12,12) cases where we concluded in structural reorganization upon  $N_2$  saturation. Dwelling on this analogy we postulate a rather smooth cluster structure at the intermittent adsorption limit (18,16) (e.g. a bi-icosahedron missing one apex atom) which would provide for all equivalent  $N_1$  sites for  $N_2$  adsorption but one semi internal, highly coordinated  $N_1$  atom that remains vacant in (18,16). As such a structure does not provide for the stability of a high symmetry cluster (n=19, bi-icosahedron) further  $N_2$  adsorption might induce some structural reorganization. This inevitably leads to coordination loss of some  $N_1$  surface atoms and accordingly to surface roughening, or in other words to the emergence of some defect sites. The spectral consequence of such roughening is by now well known to us: The appearance of a "green circle" band would be inevitable and fingerprinting: Indeed, the IR-PD spectrum of (18,18) clearly reveals two of these in partial coalescence at  $\sim 2234$  and 2238 cm $^{-1}$ .

[Ni<sub>19</sub>(N<sub>2</sub>)<sub>m</sub>]<sup>+</sup> = (19,m): The spectrum of (19,m<sub>max</sub>) = (19,17) is almost as simple as those of (6,6) and (13,12): All of these consist of a single strong band, which points towards high symmetry and all equivalent Ni surface sites. In the case of (19,17) it is straightforward to assume bi-icosahedral Ni<sub>19</sub> core, the 17 Ni surface atoms of which are "titrated" by 17 N<sub>2</sub> adsorbates in 1:1 adsorption stoichiometry. Besides the main band of (19,17) at 2211 cm<sup>-1</sup> a second glance reveals two very weak bands arise to the red, at 2196 cm<sup>-1</sup> and at 2188 cm<sup>-1</sup>(cf. Fig. S17 in the supplementary material). While we have no proof of any explanation we feel entitled to speculate about spin state isomers, which might stabilize by minute structural distortions. By our own DFT modelling of the small Ni clusters we would expect stretching vibrations of N<sub>2</sub> adsorbates to such high spin isomers (also refer to [55]Fig. 9) shifting to the red by amounts on the order of the observed weak bands in (19,17).

 $[Ni_{20}(N_2)_m]^+ = (20,m)$ : The recorded IR-PD spectra of  $(20,m_x) = (20,17)$  and  $(20,m_{max}) = (20,18)$  show high similarities. Both consist of three strong bands, and both species give rise to "green circle" bands. Only minor differences prevail: There is a somewhat broader shoulder to the red of the 2205 cm<sup>-1</sup> band in (20,18), and its "green circle" band is somewhat more distinct. The general spectral pattern is reminiscent of (14,14). Dwelling once more on an analogy – here to (13,12) - all of the spectral features in (20,18) suggest a singly capped bi-icosahedral  $Ni_{20}^+$ core structure where the low coordinated Ni capping atom

accepts a pair of  $N_2$  adsorbates (see green circle band in the spectrum). This capping atom most likely goes to the waist of bi-icosahedron and thereby slightly bends it off linearity. The disfavored Ni counterpart on the opposite site acquires a locally convex surface environment, ceasing to accept an  $N_2$  adsorbate. In total the number count of saturation comes to (20,18).

#### 6.6 Conclusions

D The recorded IR-PD spectra of all (n,1) and (n,2) cluster adsorbate complexes show IR active bands by head-on bound  $N_2$  adsorbates which shift from ~ 2270 cm<sup>-1</sup> at small (n,1) and (n,2) to ~ 2220 cm<sup>-1</sup> at large ones. These redshifts (~ 50 cm<sup>-1</sup>) with increasing cluster size are in line with the established charge dilution model.

The many recorded IR-PD spectra of intermittent  $(n,m_x)$  and saturated  $(n,m_{max})$  species – together with the few successfully modeled DFT spectra – allow to refine our initial separation by presumed structure and observed adsorption behavior (small, large, and highly symmetric) as stated on the basis of the recorded kinetic data [JM]. We now conclude that there are four classes of structure related surface adsorption behavior:

Class (1) of highly symmetrical clusters with all smooth surfaces comprises  $Ni_6^+$ ,  $Ni_{13}^+$ , and  $Ni_{19}^+$ , and their  $N_2$  adsorbate complexes up to saturated (n,m<sub>max</sub>). These clusters of exceptional high symmetry (octahedron, icosahedron, bi-icosahedron) provide for simple IR-PD spectra. Adsorption kinetics, IR-PD spectra, and DFT in line conclude in step wise and largely independent  $N_2$  adsorption up to a 1:1 stoichiometry of  $Ni_{surface}: N_{2,adsorbate}$ .

Class (2) of some <u>highly symmetrical clusters minus one</u> Ni atom comprises  $Ni_{12}^+$ , and  $Ni_{18}^+$ , and their  $N_2$  adsorbate complexes up to saturated (n,m<sub>max</sub>). These two clusters are short of one Ni atom relative to the all smooth surface class (1) species. The IR-PD spectra strongly suggest that the naked clusters, and those with few  $N_2$  adsorbates, have relaxed into some structure with a smooth surface and void of defects such as low coordinated Ni surface atoms. Upon enhanced  $N_2$  load they reorganize into partially rough surface structures which allow for  $N_2$  uptake beyond the 1:1 stoichiometry, in particular by providing low coordinated Ni surface atoms which stabilize by double  $N_2$  adsorption.

Class (3) of <u>small clusters with rough surfaces</u> comprises  $Ni_5^+$ , and  $Ni_7^+$  through  $Ni_{11}^+$ , and their  $N_2$  adsorbate complexes up to saturated ( $n_{small}$ ,  $m_{max}$ ). The IR-PD spectra suggest rough

surfaces with one or multiple low coordinated Ni surface atoms by "green circle" bands, and they fingerprint semi internal Ni atoms of high next neighbor coordination by "red circle" bands in the cases of  $n_{small}=9$ , 10, and 11. We conceive surface reorganization upon  $N_2$  uptake, namely from rough to rough surface by Ni atom migration. Such migration might induce the transition from octahedral based structures to pentagonal bipyramidal structures, which are representative for icosahedral cluster growth.

Class (4) of <u>large clusters with rough and smooth surface areas</u> comprises  $Ni_{14}^+$  through  $Ni_{17}^+$ , and  $Ni_{20}^+$ , and their  $N_2$  adsorbate complexes up to saturated ( $n_{large}$ ,  $m_{max}$ ). We observe smooth surfaces with minor defects such as e.g. capping atom(s) on an icosahedral surface. From the IR-PD spectra we extract evidence for the Ni capping atoms to locate in proximity to each other – resulting from a stepwise cluster growth on one hemisphere of the initial icosahedron, leaving the other hemisphere largely unaffected. The  $N_2$  saturation stoichiometry thus runs up to  $m_{max}$  values beyond the Ni cluster surface atom count  $n_{surface} < n_{total}$  – once more invoking double  $N_2$  occupation of low coordinated Ni surface atoms.

We provide a graphical summary of this classification, based on Fig. 3, in the supplement (Fig. S22 in the supplementary material).

This study and the accompanying kinetic study [JM] are understood as a basis for our subsequent studies on various other TM clusters (of Fe, Ru, and alike), and of some of their alloys. Such cluster adsorbate complexes shall help to elucidate crucial elementary steps in catalysis, and they shall benchmark current theoretical modelling and help in designing new approaches with predicting power.

## 6.7 Acknowledgements

We dedicate this work to the memory of the late A. W. "Will" Castleman, Jr. in recognition of his seminal contributions to the field of cluster science. This work was supported by the German research foundation DFG within the transregional collaborative research center SFB/TRR 88 "Cooperative effects in homo and heterometallic complexes" (3MET.de) and by the state research center OPTIMAS. The <sup>58</sup>Ni isotopic sample used in this research was supplied by the United States Department of Energy Office of Science by the Isotope Program in the Office of Nuclear Physics. We thank Thomas Kolling for technical assistance and valuable discussions. Finally, we thank the reviewers for valuable suggestions.

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## **6.9 Supplementary Material**

#### **Table of Content:**

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Fig. S1 Mass spectrum of a cationic nickel cluster distribution
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**Fig. S2** IR-PD spectra of  $[Ni_n(N_2)_1]^+$  (n,1) and  $[Ni_n(N_2)_2]^+$  (n,2) for n = 5 – 20.

Fig. S3 IR-PD spectra of (5,m)

Fig. S4 IR-PD spectra of (6,m)

Fig. S5 IR-PD spectra of (7,m)

Fig. S6 IR-PD spectra of (8,m)

Fig. S7 IR-PD spectra of (9,m)

Fig. S8 IR-PD spectra of (10,m)

Fig. S9 IR-PD spectra of (11,m)

Fig. S10 IR-PD spectra of (12,m)

Fig. S11 IR-PD spectra of (13,m)

Fig. S12 IR-PD spectra of (14,m)

Fig. S13 IR-PD spectra of (15,m)

Fig. S14 IR-PD spectra of (16,m)

Fig. S15 IR-PD spectra of (17,m)

Fig. S16 IR-PD spectra of (18,m)

Fig. S17 IR-PD spectra of (19,m)

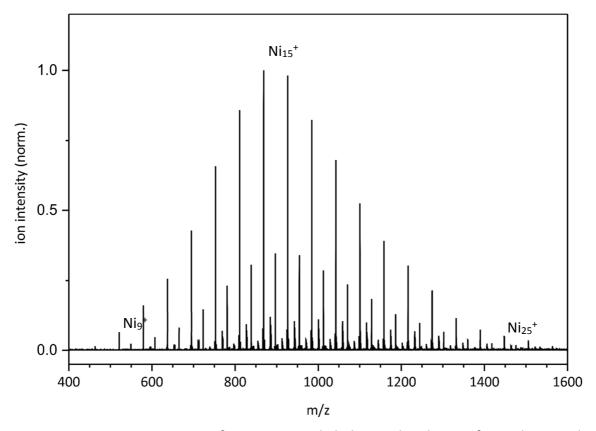
Fig. S18 IR-PD spectra of (20,m)

**Fig. S19** IR-PD spectra of (5,10) in comparison with computed spectra of the different spin states.

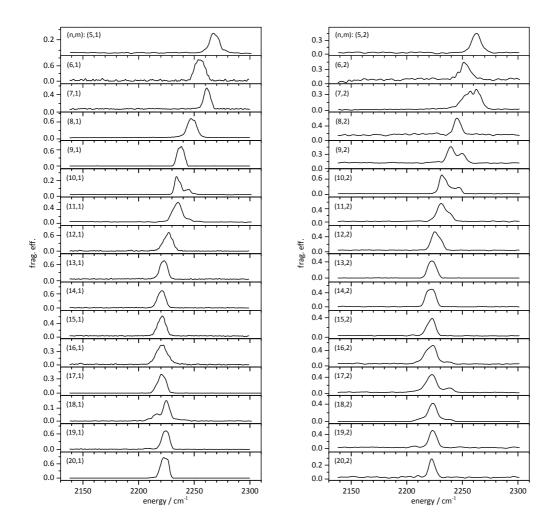
**Fig. S20** IR-PD spectra of (6,6) in comparison with computed spectra of the different spin states.

**Fig. S21** IR-PD spectra of (11,10) in comparison with computed spectra of the different spin states.

**Fig. S22** IR-PD spectra of  $[Ni_n(N_2)_m]^+$  cluster adsorbate complexes n = 5 - 20 at the intermittent adsorption limits  $(n, m_x)$  and at the adsorption limits  $(n, m_{max})$ .



**Fig. S1** Mass spectrum of a cationic nickel cluster distribution from about  $Ni_9^+$  (521 m/z) to about  $Ni_{25}^+$  (1448 m/z) with a maximum at  $Ni_{15}^+$  (869 m/z)..



**Fig. S2** IR-PD spectra of  $[Ni_n(N_2)_1]^+$  (n,1) (left) and  $[Ni_n(N_2)_2]^+$  (n,2) (right) for n = 5 - 20.

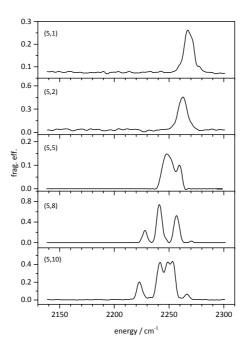


Fig. S3 IR-PD spectra of (5,m)

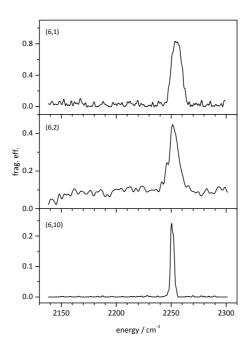


Fig. S4 IR-PD spectra of (6,m)

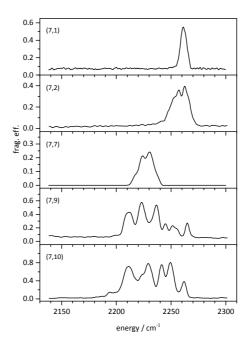


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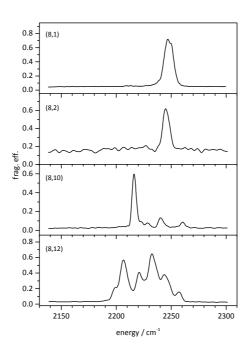


Fig. S6 IR-PD spectra of (8,m)

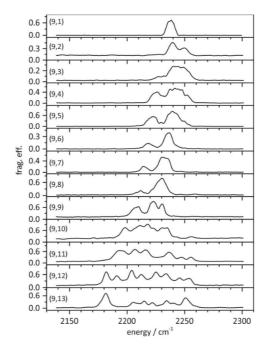


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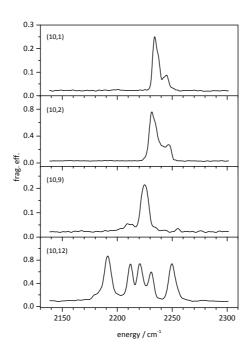


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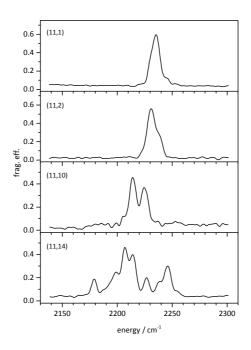


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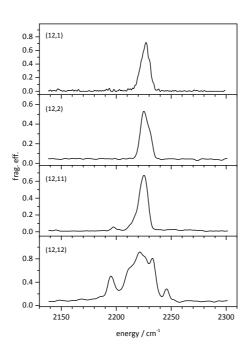


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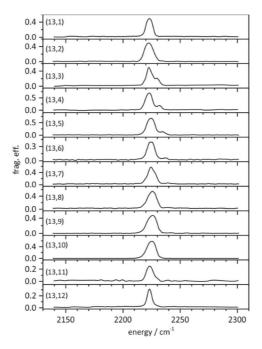


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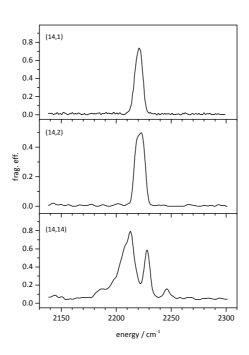


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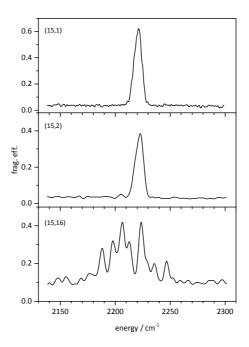


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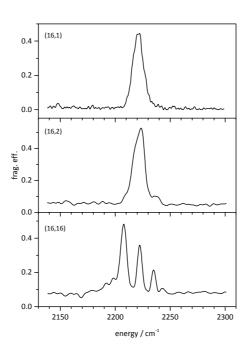


Fig. \$14 IR-PD spectra of (16,m)

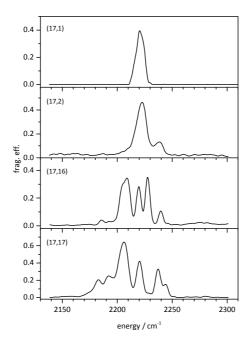


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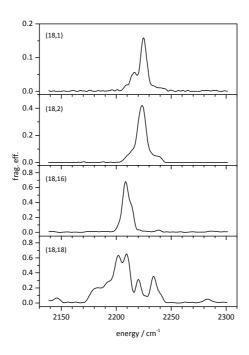


Fig. S16 IR-PD spectra of (18,m)

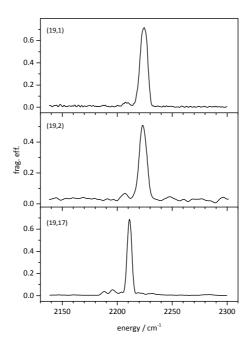


Fig. S17 IR-PD spectra of (19,m)

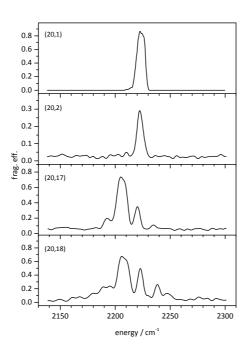
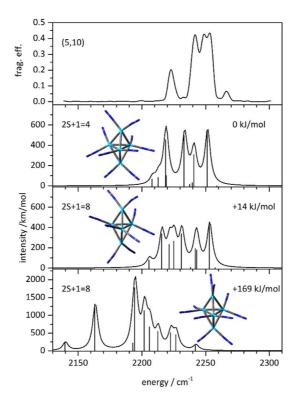
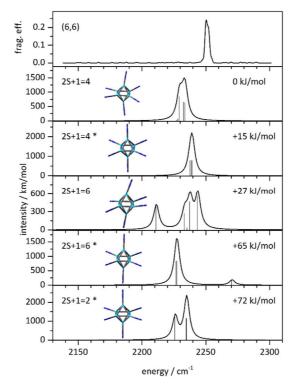


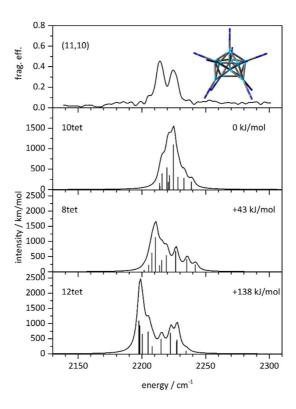
Fig. \$18 IR-PD spectra of (20,m)



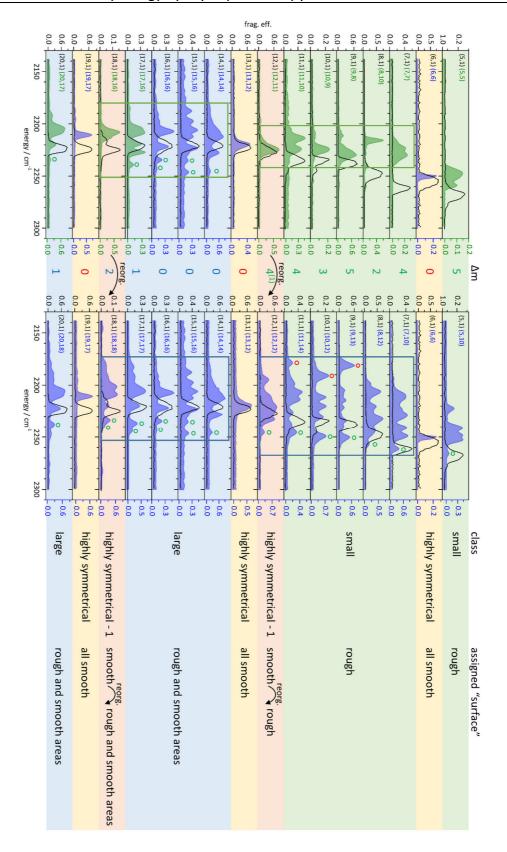
**Fig. S19** Experimental IR-PD spectra of (5,10) in comparison with computed spectra (PBEO/ECP(Ni); cc-pVTZ(N) level of theory, scaled with 0.93) of the different spin states.



**Fig. S20** Experimental IR-PD spectra of (6,6) in comparison with computed spectra (PBEO/ECP(Ni); cc-pVTZ(N) level of theory, scaled with 0.93) of the different spin states. The species marked with "\*" have forced  $C_{2h}$  symmetry.



**Fig. S21** Experimental IR-PD spectra of (11,10) in comparison with computed spectra (PBEO/ECP(Ni); cc-pVTZ(N) level of theory, scaled with 0.93) of the different spin states.



**Fig. S22** Experimental IR-PD spectra of  $[Ni_n(N_2)]^+$  cluster adsorbate complexes n = 5 - 20 at the intermittent adsorption limits  $(n, m_x)$  (green shaded areas, left) and at the adsorption limits  $(n, m_{max})$  (blue shaded areas, right). Most findings of this publication are summarized in this graphic.

# 7 Cryo IR Spectroscopy and Cryo Kinetics of N<sub>2</sub> on Cationic Iron Clusters

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# 7.1 Preamble

The experiments were performed by the experimental team consisting of J. Mohrbach, and myself. The data evaluation was done by J. Mohrbach and myself. The computations were done by M. H. Prosenc. The kinetic part of the manuscript was written by J. Mohrbach and the spectroscopy part was written by myself.

# 7.2 Abstract

The stepwise  $N_2$  adsorption on size selected  $Fe_n^+$  (n = 8 – 20) clusters at 26 K is studied in a hybrid tandem ion trap instrument. Infrared photon dissociation (IR-PD) spectroscopy helped to identify  $N_2$  head-on adsorption in the first adsorption steps. We observed remarkable cluster size dependence of the adsorption limits. The exceptional  $Fe_{17}^+$  cluster does not show any reactivity towards  $N_2$  at all. We identified two isomers of the  $Fe_{18}^+$  cluster of which one is unreactive. Preliminary density function theory (DFT) calculations provide for a first explanation for the size dependent inertness towards  $N_2$ .

## 7.3 Introduction

The ligation of transition metal (TM) clusters exhibits physical and chemical properties that are highly dependent on the geometrical cluster structure. Many studies of such metalligand clusters are largely motivated by their potential applications in catalysis. [1-5] Early research on the chemical reactivity of transition metal clusters reveals a strong size effect. [6-<sup>8]</sup> Iron clusters, in particular, show a strong variation in their reaction rates with small molecules as the cluster size increases. Several experimental and theoretical studies on the gas phase reactivity of Fen clusters with different ligands, such as the adsorption of hydrogen, [7, 9-11], ammonia, [7, 12-14] carbon [15], water, [7, 16-17] and benzene [18-20] have been carried out. Guided ion beam studies elucidated the gas phase thermochemistry of cationic Fe clusters with N<sub>2</sub>,<sup>[21]</sup> O<sub>2</sub>,<sup>[22]</sup> and CO<sup>[23]</sup>. These studies demonstrated the high reactivity exhibited especially for small iron clusters. Time-of-flight (TOF) mass spectra reveal an unusual sequence of magic numbers n = 7, 13, 15, 19 and 23 for Fe<sub>n</sub> clusters, [24] which can be explained neither by electronic shell closure<sup>[25]</sup> nor by packing of hard spheres.<sup>[26]</sup> Because of the unique ferromagnetic properties of bulk iron, studies on the magnetic properties of small iron clusters have drawn considerable interests. Iron clusters are found to be magnetic with higher moments than those found in the bulk.<sup>[27-30]</sup> The intimate relationship between structure, magnetic properties and stability poses an uncommon challenge that motivated many theoretical studies of Fe<sub>n</sub> clusters.<sup>[31-40]</sup> The Fe<sub>13</sub>+ cluster has drawn special attention with its proposed antiferromagnetic coupling. [41-42] Still, important controversies remain unresolved among the reported results, including the ground-state atomic arrangements,

magnetic moments, noncollinear magnetism, and finally the stability spectrum of small Fe aggregates.

The physisorption and chemisorption of N2 on metal surfaces have been studied extensively<sup>[43]</sup> due to their importance in many catalytic processes. For example, the ratedetermining step in the industrial synthesis of ammonia is the dissociation of N<sub>2</sub>, using iron as a catalyst. [44] The fixation of nitrogen by the nitrogenase enzyme is the equivalent process in biological systems. [45] The behavior of N<sub>2</sub> reacting with iron clusters of different nuclearity is therefore particularly relevant to industrial and biological processes involving N-N bond breaking. Experimentally, it has been shown that N2 adsorption to Fe(111) surfaces takes place in  $\alpha$ -N<sub>2</sub> and  $\gamma$ -N<sub>2</sub> motifs, elucidated by angle resolved LEED studies in conjunction with potential surface modelling. [46] This resembles η<sup>2</sup> side-on and η<sup>1</sup> end-on coordination of N<sub>2</sub> to multiple and single metal centers, respectively. Spectroscopic and kinetic studies of N2 adsorbed on Fe(111) revealed that there are three characteristic  $\alpha$ -,  $\delta$ - and  $\gamma$ -states, which refer to side-on, and head-on adsorption to highly and to less coordinated metal surface atoms. [43, 47-48] Early density functional theory (DFT) calculations revealed strong preference for end-on coordination of N<sub>2</sub> to single Fe centers with some evidence for rather exceptional η<sup>2</sup> side-on coordination of at most two N<sub>2</sub> to otherwise naked Fe atoms.<sup>[49-50]</sup> However, there are merely gas phase IR studies on the interaction of adsorbates with Fe clusters irrespective of their charge state. Such studies provide for the unique opportunity to gather structural information of size dependent reactions as demonstrated by the observation of hydride formation on Fe clusters<sup>[51]</sup> or the size dependent dissociation of water<sup>[52]</sup>.

Our tandem cryo ion trap instrument allows for the study of adsorption and reaction kinetics of clusters under single collision conditions at temperature as low as 11 K and for Infrared Photon Dissociation (IR-PD) spectroscopy –initially focusing on the one and two color investigations of metal organic complexes.<sup>[53]</sup> We have started a systematic study of N<sub>2</sub> and H<sub>2</sub> cryo adsorption on size selected Fe, Co, and Ni clusters, first results being published.<sup>[54-56]</sup> X-ray studies by cryo ion trapping help for the investigation of spin and orbital contributions to the magnetic moments of these TM clusters.<sup>[57-58]</sup>

Here, we present detailed isothermal cryo kinetics and cryo IR spectroscopy of the  $N_2$  adsorption onto cationic iron clusters  $Fe_n^+$  (n = 8 - 20) in conjunction with DFT modelling.

This study aims to enable insight into the metal-adsorbate bonding and into the reactivity of individual Fe clusters towards  $N_2$  adsorption

# 7.4 Experimental and Computational Methods

A customized Fourier Transform Ion Cyclotron Resonance (FT-ICR) mass spectrometer (Apex Ultra Bruker Daltonics) served to perform the cluster production, isolation, N2 condensation and mass analysis. The iron clusters were generated using a home-built laser vaporization cluster ion source as described before. [59-60] In brief, iron atoms are evaporated from a rotating 0.4 mm thick isotopically enriched <sup>56</sup>Fe foil (99,93%, Oak Ridge National Laboratories) by the second harmonic of a pulsed Nd:YAG laser (Innolas Spitlight 300, 20 Hz). The hot plasma is captured by a He gas pulse (40 µs, 15 bar) created by a homebuilt piezoelectric valve. [61] The atoms and ions are cooled and aggregate to clusters in the subsequent jet expansion through a 50 mm long channel ( $\emptyset$  2 mm) into vacuum ( $10^{-7}$  mbar). The clusters are skimmed, mass selected and injected into a cryogenic hexapole ion trap. The ion trap is cooled to 26 K by a closed cycle He cryostat. Buffer and reaction gas are introduced continuously. Sufficient nitrogen attachment is achieved by increasing the pressure in the ion trap from  $1.1 \times 10^{-7}$  mbar to  $1.6 \times 10^{-7}$  mbar. Additional He is introduced to increase the pressure up to 3.5 x 10<sup>-6</sup> mbar to accomplish an efficient trapping and cooling of the ions. After storing the ions for a variable time (0-10 s), the manipulated ions of the form  $[Fe_n(N_2)_m]^+ = (n,m)$  are guided by electrostatic lenses into the FT-ICR cell of the socalled "infinity" type. [62] The ICR cell is held at temperatures below 10 K with a closed cycle He cryostat to prevent heating of the clusters by black body radiation. The ICR cell serves for the detection and isolation of the formed  $[Fe_n(N_2)_m]^+ = (n,m)$  cluster adsorbate complexes.

The kinetic curves of cationic iron clusters and their nitrogen adducts originate from reaction delay scans and subsequent evaluation of the recorded mass spectra. Each of these mass spectra originates from an average of 20 mass spectra for the fixed collision cell delay. Fits to pseudo-first-order-kinetics occur through the "evofit" program. The relative rate constants  $k_{(n,m)}^{abs}$  determine the absolute rate constants  $k_{(n,m)}^{abs}$ , the absolute collision gas number densities  $\rho_{N2}(T)$  serving as the conversion factor:

$$k_{(n,m)}^{abs} = k_{(n,m)}/\rho_{N2}(T)$$

We obtain approximate values for  $\rho_{N2}(T)$  indirectly from the pressure in the surrounding chamber  $p_c^{(300 \text{ K})}$  and an effective geometry factor  $c_{app}$ :

$$\rho_{N2}(26 K) = \frac{c_{app} p_c^{300 K}}{k_B T_{300 K}}$$

The geometry factor  $c_{app}$  shows to bear a significant dependence on the temperature of the hexapole ion trap. By numerous kinetic studies of transition metal cluster cations with neutral reactants at cryogenic temperatures we evaluated this factor  $c_{app}$  to 1.8  $\pm$  0.4 at 26 K with a net uncertainty of  $\pm$  50%.

The classical Langevin collision rate of ions with neutrals<sup>[64]</sup> is conveniently extended towards polar molecules by the average dipole orientation theory (ADO).<sup>[65-66]</sup> The ADO theory gives the theoretical limit of the absolute rate constants, the collision rate k<sup>ADO</sup>, based on a classical trajectory of a linear dipole in the field of a point charge:

$$k^{ADO} = \frac{q}{2\varepsilon_0\sqrt{\mu}} \left( \sqrt{\alpha} + c\mu_D \sqrt{\frac{2}{\pi k_B T}} \right)$$

 $\mu$  is the reduced mass (of the cluster adsorbate complex),  $\alpha$  is the polarizability and  $\mu_D$  is the dipole moment (in Debye). The parameter c lies between 0 and 1, and can be expressed by the polarizability volume  $\alpha'$  and  $\mu_D$ . [67]

Kummerlöwe and Beyer introduced two models for calculating the collision rates of ionic clusters with neutral molecules, the HSA model (hard sphere average dipole orientation) and the surface charge capture (SCC) model. [68] In both models, the cluster and the neutral reaction partner are treated as hard spheres, and the charge is treated as point charge. For the HSA collision rate kHSA, the charge is located in the center of the cluster, while in the SCC model (kSCC), the charge is drawn to the cluster surface by the attractive interaction with the neutral collision partner.

For the acquisition of the (IR-PD) spectra the FT-ICR cell is coupled to a tunable IR laser  $(\delta n = 0.9 \text{ cm}^{-1}, \ \delta t = 7 \text{ ns})$ . This laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd:YAG laser (PL8000, Continuum). The difference frequency (DF) between the OPA signal and idler waves is generated in a AgGaSe<sub>2</sub> crystal. This generates IR radiation in the range of 1000 –

2400 cm<sup>-1</sup>. Each trapped and isolated package of ions is irradiated by 7-10 laser pulses (0.1-1.5 mJ/pulse) to yield a sufficient amount of fragment ions. The IR spectra were recorded as ion chromatograms while continuously scanning the IR wavelength. The IR-PD signal was evaluated as  $\Sigma_i F_i / (\Sigma_i F_i + \Sigma_i P_i)$ , where  $F_i$  and  $P_i$  indicate fragment and the parent ion signals, respectively. An experimental IR-PD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency. We employed the IR-PD spectroscopy in the  $2150-2340 \text{ cm}^{-1}$  range on the  $[Fe_n(N_2)_m]^+$  species (n=8-20). For all complexes the loss of  $N_2$  was the only observed fragmentation channel.

All density functional theory calculations were performed using the Turbomole suit of programs <sup>[73-74]</sup>. For all atoms the def2-TZVP basis set was used <sup>[75]</sup>. For corrections of energies and gradients the PBE GGA functional has been employed <sup>[76]</sup>. Calculation of occupation numbers at a finite temperature located the lowest energy spin state.

Geometries were optimized and checked for stationary points by second derivative calculations revealing no imaginary frequencies for minima. Jahn-Teller distortions were resolved by initially optimization of the geometry at the highest symmetry followed by frequency calculations and by subsequent IRC calculations from the saddle point to reveal the optimal distorted geometry which was then fully optimized and checked again for possible further distortions.

## 7.5 Results and Discussion

## Molecular nitrogen adsorption on iron cluster cations: Trends and limits of adsorption

We investigated the stored  $[Fe_n(N_2)_m]^+ = (n,m)$  clusters for their  $N_2$  adsorption in the cryogenic hexapole under isothermal conditions at 26 K. The mass spectra of all detected  $Fe_n^+$  clusters - except for  $Fe_{17}^+$  - reveal a successive gain of 28 m/z, which does indicate the stepwise adsorption of molecular nitrogen in consecutive chains of individual adsorption processes (*cf.* Fig. 1).

In all investigated cases (n = 8-20) stepwise  $N_2$  uptake reaches a strict adsorption limit  $m_{max}$  within t < 12 s, and this does not increase further up to t < 30 s. In most cases we

observe the  $N_2$  adsorption limit preceded by one or more additional intermittent adsorption limits, which do not suppress further adsorption but cause some retardation.

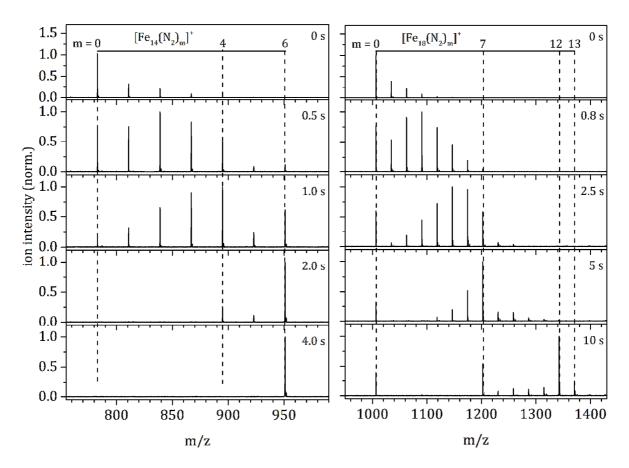
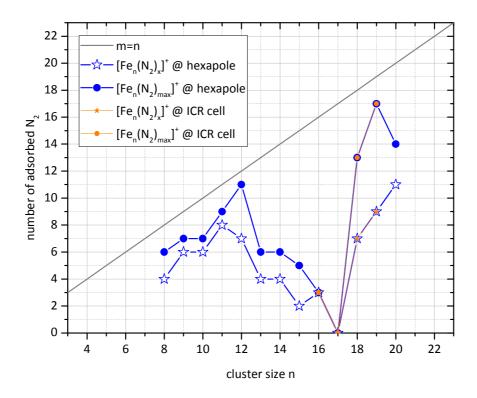


Fig. 1 Temporal evolution of the mass spectra of mass-selected  $Fe_{14}^+$  = (14,0) clusters up to 4 s (left) and of mass-selected  $Fe_{18}^+$  = (18,0) clusters up to 10 s (right), exposed to 1.6 x  $10^{-7}$  mbar  $N_2$  within 26 K He buffer gas (3.5 x  $10^{-6}$  mbar).

The intermittent adsorption limits (blue stars in Fig. 2) and the adsorption limits (filled blue circles in Fig. 2) serve as guidelines for some elucidation of adsorbate bonding and cluster geometries. It shows, that the n:m stoichiometry is > 1 in all investigated cases n=8-20, when reaching the respective  $N_2$  adsorption limit. Yet, there is a trend in  $N_2$  adsorption limits towards a molar ratio of n:m<sub>max</sub> = 1:1 for small clusters (n  $\leq$  12). The overall adsorption behavior cannot be explained by single  $N_2$  head-on adsorption to each cluster surface atom, as shown for  $Ni_n^+$  clusters. For clusters with less than 18 Fe atoms the observed intermittent adsorption limits are close to the recorded adsorption limits. Note the pronounced dip in the adsorption limits for  $13 \leq n \leq 16$  and the absence of  $N_2$  adsorption to

the Fe<sub>17</sub><sup>+</sup> clusters. Clusters with n>17 exhibit an increase in reactivity towards  $N_2$  adsorption. IR-PD experiments indicate  $\mu_1$  head-on adsorption of the initial adsorbed  $N_2$  for all investigated (n,1) cluster adsorbate complexes.<sup>[14]</sup>



**Fig. 2** Recorded adsorption limits (filled circles) and intermittent limits (stars) of nitrogen adsorbed on a cationic iron clusters. The limits are displayed as a function of cluster size for n = 8 - 20. The blue symbols indicate that the  $N_2$  was adsorbed in the hexapole and the orange symbols indicate the adsorption in the ICR cell.

Preliminary results of  $N_2$  adsorption kinetics recorded in the ICR cell yield (*cf.* Fig. 2, orange symbols) the same results as the kinetics recorded in the hexapole. We observe the same adsorption limits, the non-reactive  $Fe_{17}^+$ , and a non-reactive isomer in the case of  $Fe_{18}^+$ . Therefore the observed effects are confirmed by two individual experiments.

#### **Kinetics:**

We further investigated the stepwise  $N_2$  adsorption on  $Fe_n^+$  clusters by recording their reaction kinetics in more detail, and we performed pseudo-first-order kinetic fits by our genetic algorithm routine. The obtained fits confirm consecutive adsorption steps (Fig.s 2-4).

All but one  $Fe_n^+$  clusters decay mono exponentially without any indication of a second component. This, and the overall superior quality of all fits, entitles us to fit each consecutive step by a single rate constant. Some fits require significant backward reaction in cases of high  $N_2$  coverages, which indicates swift  $N_2$  desorption (see the supplementary information for details on the corresponding rate constants  $k_{(n,m)}$  for all cluster kinetics). There is one exception: The  $N_2$  adsorption to  $Fe_{18}^+$  exhibits a biexponentially decay in the initial adsorption step.

## Fe<sub>8</sub><sup>+</sup> - Fe<sub>11</sub><sup>+</sup>:

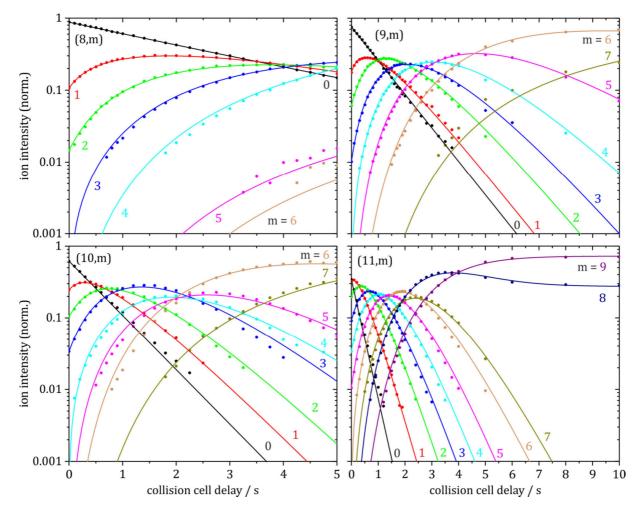
Small iron clusters  $Fe_n^+$  in the range of n=8-11 exhibit little deviation in the observed adsorption limits and the intermittent adsorption limits. All clusters reveal a strict adsorption limit at  $n \ge m$ . There are significant backward reactions for the last adsorption steps, suggesting one or more weakly bound  $N_2$  molecules when reaching cluster saturation (*cf.* Fig. 3).

In the case of (8,m) we observe an intermittent adsorption limit at (8,4) and the adsorption limit at (8,6). The predicted most stable structures for Fe<sub>8</sub> clusters correspond to a capped pentagonal bipyramid, [36-37, 69-70] or a bisdisphenoid structure. [36-40] The capped pentagonal bipyramid consists of one threefold, three fourfold, two fivefold, one sixfold and one sevenfold coordinated Fe atom. Assuming the same N<sub>2</sub> adsorption 'rules' as stated for Ni<sub>n</sub><sup>+</sup> clusters, [55] the adsorption limits should be observed at (8,7) and (8,12). In contrast, initial binding to the three- and fourfold coordinated Fe sites and additional adsorption to the fivefold atoms would result in limits at (8,4) and (8,6), as observed. The bisdisphenoid structure consists of four fourfold and four fivefold coordinated Fe atoms, which would result in limits at (8,8) and (8,12) or at (8,4) and (8,8), other than observed.

In the cases of (9,m) and (10,m) we observe an intermittent adsorption limit at n=6 and the adsorption limit at n=7. The reported most stable structures for Fe<sub>9</sub> and Fe<sub>10</sub> clusters correspond to capped square antiprisms, capped pentagonal bipyramidal motifs, or capped trigonal bipyramidal motifs. These geometries are possible growth models leading to the icosahedral structure predicted for Fe<sub>13</sub>.<sup>[36-37, 69-70]</sup> The (11,m) cluster adsorbate complex exhibits an intermittent adsorption limit at (11,6) and the adsorption limit at (11,9), in

equilibrium with its precursor. The preferred structure for this cluster is found to be a tetracapped pentagonal bipyramid.

Unfortunately, there is no universally applicable adsorption rule, which would explain the observed adsorption limits. Without further knowledge of the exact  $N_2$  adsorption site, no comprehensive structural interpretation can be drawn out of the adsorption limits alone. We tentatively assume  $\mu_1$  head-on adsorption up to the adsorption limit, based on the shape of the kinetic curves in conjunction with those obtained for  $Ni_n^+$  clusters. In addition, IR-PD investigations of the (n,1) and (n,2) cluster adsorbate complexes point towards  $\mu_1$  head-on  $N_2$  adsorption (see IR-PD part).

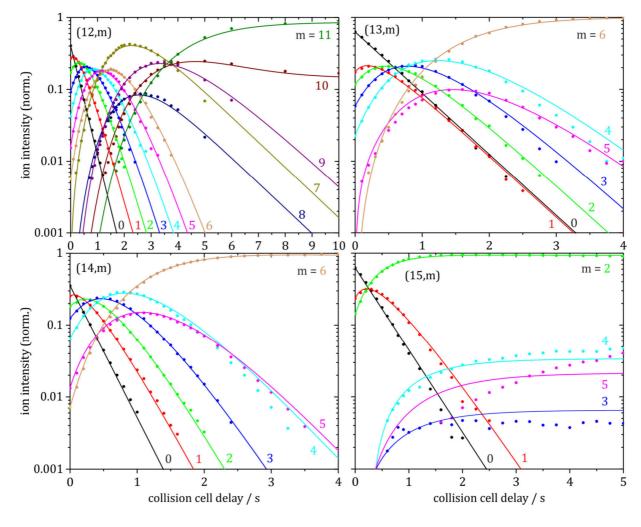


**Fig. 3** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Fe_n^+$  clusters (n = 8 – 11). The fits (shown as lines) assume pseudo-first-order kinetics in a reaction chain of up to 9 consecutive steps.

## Fe<sub>12</sub><sup>+</sup> - Fe<sub>15</sub><sup>+</sup>:

In the range of n = 12 - 15 the  $N_2$  adsorption behavior to  $Fe_n^+$  clusters changes dramatically (*cf.* Fig. 4). We observe a significant decrease in  $N_2$  adsorption with cluster size for n > 12.

In the case of (12,m) we observe an intermittent adsorption limit at (12,7) and the adsorption limit at (12,11). In the cases of (13,m) and (14,m) we observe intermittent adsorption limits at m = 4 and the adsorption limit at m = 6. The (15,m) cluster adsorbate complex exhibits only five consecutive steps. The terminal four adsorbate species (m = 2 - 5) are in equilibrium in favor of m = 2. Note, that the intensities of the minor adsorption limits (m = 3 - 5) are close to our detection limit, resulting in a reduction of the fit quality.

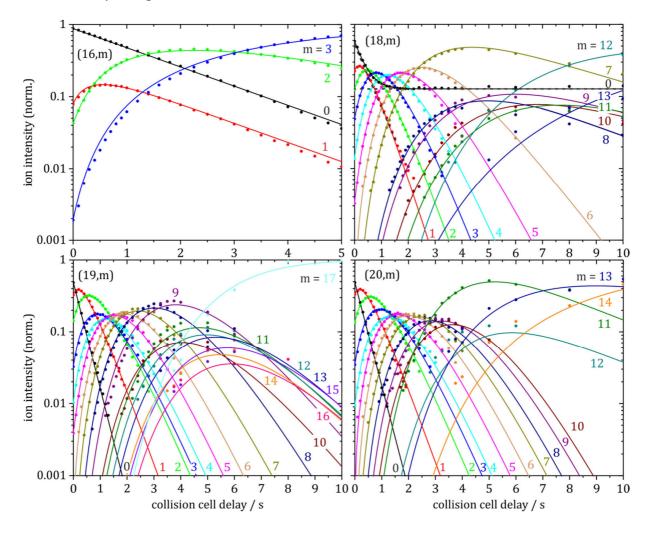


**Fig. 4** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Fe_n^+$  clusters (n = 12 – 15). The fits (shown as lines) assume pseudo-first-order kinetics in a reaction chain of up to 11 consecutive steps.

## Fe<sub>16</sub><sup>+</sup> - Fe<sub>20</sub><sup>+</sup>:

In the range of n = 16 - 20 a significant change in reactivity towards  $N_2$  adsorption is observed (*cf.* Fig. 4). Note, that in the case of  $Fe_{17}^+$  no  $N_2$  adsorption could be detected within the described experimental conditions.

In the case of (16,m) we observe a sole adsorption limit at (16,3). Clusters of the sizes n=18, 19 and 20 exhibit dominant intermittent adsorption limits. Note the observed irregularity in intensity with further  $N_2$  adsorption beyond these limits, which can still be fitted as consecutive reaction steps with mono-exponentially decays in good quality. This finding might indicate a change in e.g. adsorption site, likely from head-on to side-on coordination by tilting the adsorbed  $N_2$ .



**Fig. 5** Isothermal kinetics of the stepwise  $N_2$  adsorption by isolated  $Fe_n^+$  clusters (n = 16, 18 – 20). The fits (shown as lines) assume pseudo-first-order kinetics in a reaction chain of up to 17 consecutive steps.

In the case of (18,m) we assume the presence of a second isomer which does not adsorb  $N_2$  (~ 13 %, cf. Tab. 1) – presumably analogous to  $Fe_{17}$ . A first explanation for the non-reactivity of the  $Fe_{17}$  cluster and the non-reactivity of one  $Fe_{18}$  isomer can be found in the DFT part. We observe a distinct intermittent adsorption limit at (18,7) and the adsorption limit at (18,13). In the cases of (19,m) and (20,m) we observe a first intermittent adsorption limit at m = 2 followed by intermittent adsorption limits at (19,9) and (20,11). The respective adsorption limits are observed at (19,17) and (20,14).

**Table 1.** Pseudo-first-order rate constants for the initial  $N_2$  adsorption to  $Fe_{18}^+$  in  $1.6 \times 10^{-7}$  mbar of  $N_2$  and 26 K He buffer gas.

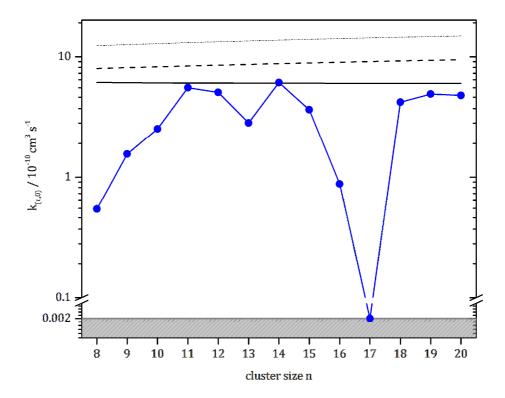
	[Fe <sub>18</sub> (N <sub>2</sub> ) <sub>m</sub> ] <sup>+</sup>		
_	isomer I	isomer II	
%	87	13	
$k_{(18,0)} / s^{-1}$	2.91	0.00	

# Absolute rate constants of the initial N2 adsorption

The absolute rate constants  $k_{(n,m)}^{abs}$  are obtained from the pseudo-first-order rate constants as a function of cluster size (Fig. 6, blue circles). Collision rate constants are calculated on the basis of classical ADO theory, as well as on the HSA and SCC models.

We observe an overall increase in the absolute rate constants with cluster size towards collision rates predicted by ADO theory. The absolute rate constants for clusters up to n = 11 increase rapidly. Clusters with n = 13 and 15 - 17 show a decrease in reactivity towards  $N_2$  adsorption as compared to the stated increase with cluster size. Larger clusters with  $n \ge 18$  reveal equal rates for the initial  $N_2$  adsorption (*cf.* Tab. 2).

We reach limits when trying to interpret the subtle details of the current kinetic findings on their own, as they do not correlate with the cluster structure like in the case of Ni clusters<sup>[56]</sup>. Most noticeable, the seeming inertness of the  $Fe_{17}^+$  cluster bears elements of a miracle – that will be addressed later on.



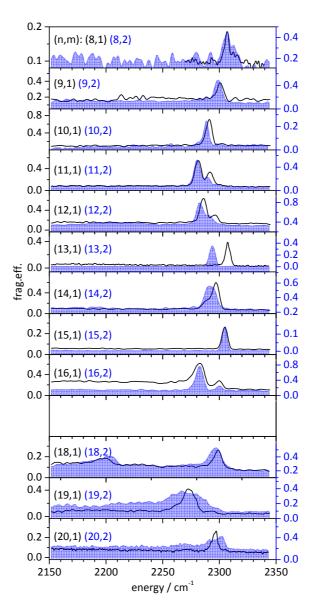
**Fig. 6** Absolute rate constant  $k_{(n,0)}^{abs}$  of the initial N<sub>2</sub> adsorption to Fe<sub>n</sub><sup>+</sup> clusters by experiment (blue), according to classical average dipole orientation theory (black line), to the hard-sphere average dipole orientation model (dashed line), and to the surface charge capture model (dot-dashed line).

**Table 2.** Relative and calculated absolute rate constants for the initial  $N_2$  adsorption to  $Fe_n^+$  clusters in comparison to the calculated rate constants assuming classical ADO theory, the HSA model, and the SCC model.

n	k <sub>(n,0)</sub>	$k_{(n,0)}^{abs}$	$k_{(n,0)}^{ADO}$	$k_{(n,0)}^{HSA}$	$k_{(n,0)}^{SCC}$
	S <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>	10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup>
8	0.4	0.54	6.07	8.01	12.33
9	1.1	1.55	6.05	8.14	12.58
10	1.7	2.51	6.04	8.27	12.83
11	3.8	5.50	6.02	8.40	13.06
12	3.5	5.03	6.01	8.53	13.29
13	2.0	2.82	6.00	8.65	13.50
14	4.2	6.07	5.99	8.77	13.71
15	2.5	3.61	5.99	8.89	13.91
16	0.6	0.88	5.98	9.01	14.11
17	< 0.001	<0.002	5.98	9.13	14.30
18	2.9	4.18	5.97	9.24	14.48
19	3.4	4.88	5.97	9.35	14.66
20	3.3	4.78	5.96	9.46	14.84

It is worth pointing out, however, that the drop in adsorption limit at n=13 sets in at the very same cluster size that is confirmed for its antiferromagnetic coupling. [41, 58] Inevitably,  $N_2$  activation and nitride formation come to mind when dealing with the [Fe,N] system. While this is conceivable, in general such processes would not explain an almost complete absence of any adsorption in the case of n=17. Reaction products would be detected by MS. However, there are none. Fission or loss of charge when storing the clusters in the traps is negligible (see Fig. S5 in the supplementary information for more details).

#### IR-PD:



**Fig. 7** IR-PD spectra of  $[Fe_n(N_2)_1]^+$  (solid lines) and  $[Fe_n(N_2)_2]^+$  (blue shaded areas) for n = 8 - 20. Note that for n = 17 no nitrogen attachment was observed.

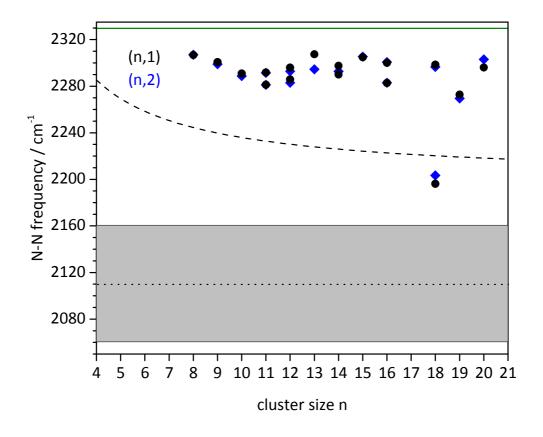
To gain further inside into the Fe/N<sub>2</sub> systems, we applied our cryo IR-PD scheme to the  $[Fe_n(N_2)_1]^+$  (n,1) and the  $[Fe_n(N_2)_2]^+$  (n,2) for n = 8 – 20 species. We recorded the spectra in the region from 2150 to 2340 cm<sup>-1</sup> (Fig. 7). Furthermore, we recorded the spectra of (13,6) and (18,2) over the complete range of our IR laser to ensure that we do not miss any spectral features. No further bands were observed in the region below 2150 cm<sup>-1</sup> (cf. Fig. S6 and S7).

All species show one or two IR active bands in the probed region. The species with n = 11, 12, 16, and 18 exhibit a second band. The cluster with n = 14 shows a shoulder in the case of (14,1), that is no longer present in the (14,2) species. In general the bands are less redshifted with respect to the free  $N_2$  stretching frequency (2330 cm<sup>-1</sup>) compared to the nitrogen adsorption on cationic Co and Ni clusters <sup>[54-56]</sup>.

Looking at the spectra in more detail, one can perceive several special features. Most conspicuous feature is the shift of the band from (13,1) to (13,2). The adsorption of a second  $N_2$  leads to a redshift of over  $10 \text{ cm}^{-1}$ . Also noticeable are the missing spectra of (17,1) and (17,2), as under our experimental conditions no nitrogen attachment to the bare  $Fe_{17}^+$  cluster was observed, like already stated in the kinetics chapter. A first explanation of this inertness of  $Fe_{17}^+$  towards  $N_2$  will be given in the DFT chapter. The spectra of the (18,1) and (18,2) species are the only ones which provide for a second far redshifted band. This second band is located at about 2200 cm<sup>-1</sup>, representing an over  $50 \text{ cm}^{-1}$  bigger redshift compared to any other species presented here. Note that the  $Fe_{18}^+$  cluster also reveals a special behavior in the kinetic studies in the form of a non-reactive isomer. The "far" redshift in the IR-PD spectra could be an indication for a tilting of a nitrogen molecule. A tilting could enable a larger interaction between cluster and nitrogen which would result in a larger redshift. This could be a precursor for a nitrogen activation. The IR-PD spectrum of (19,1) reveals a broad absorption (FWHM >  $50 \text{ cm}^{-1}$ ) around 2270 cm<sup>-1</sup>. Upon the adsorption of a second  $N_2$  the narrows to FWHM =  $13 \text{ cm}^{-1}$ .

Nevertheless, we assign the observed spectral features to head-on bound  $N_2$  on the Fe clusters. Other species, as known from surface studies, would provide for spectral features far below 2000 cm<sup>-1</sup>.<sup>[47, 71]</sup> The appearance of a second band could origin from cluster core isomers, different adsorption sites or different spin states of the cluster.

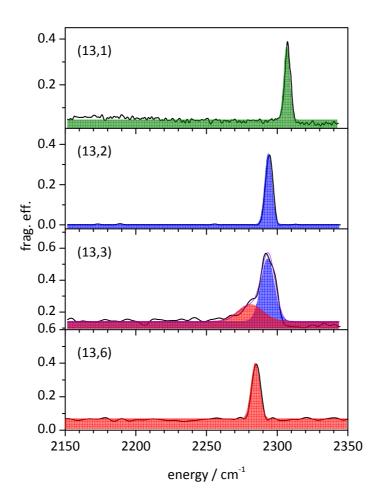
However, no overall trends were observed like increasing redshift with cluster size which could correspond to the charge dilution model as it was observed in cationic Ni clusters (cf. Fig. 8, dashed line)<sup>[56]</sup>. Only the small clusters from n = 8 - 11 follow a similar trend. This simple model (charge dilution) cannot be applied for bigger clusters. Other effects prevail that are most likely from electronic origin and not mainly dominated by the cluster structure like in the case of cationic Ni clusters.<sup>[56]</sup>



**Fig. 8** N-N stretching frequency in  $[Fe_n(N_2)_1]^+$  (black dots) and  $[Fe_n(N_2)_2]^+$  (blue diamonds) for n=7-20. The dashed line represents the trend of the N-N stretching frequency observed in cationic nickel clusters. <sup>[56]</sup> The green line is the stretching frequency of free N<sub>2</sub>. Also shown is the stretching frequency (black dotted line) with its FWHM (grey shaded area) of so called γ-N<sub>2</sub> on clean iron surfaces revealed via vibrational EELS. <sup>[71]</sup>

Looking at the species with two  $N_2$  adsorbed (n,2), nearly no change in peak position can be observed. This could hint at that the first and the second  $N_2$  are equivalently bound to the cluster. As already mentioned, the only exception is  $Fe_{13}$ <sup>+</sup> which shows a significant shift of over 10 cm<sup>-1</sup> upon adsorption of the second  $N_2$ . Comparing the spectral data with those of

 $N_2$  on cationic Ni clusters (*cf.* Fig. 8), it is noticeable that Fe clusters reveal completely different behavior. No systematic overall trend can be observed. Furthermore the Fe clusters do not approach the value of  $N_2$  on Fe surfaces with cluster size as it was observed in the case of  $N_2$  on Ni cluster.



**Fig. 9** IR-PD spectra of  $[Fe_n(N_2)_m]^+$  (n,m) for m = 1, 2, 3 & 6. Note the jump of the band from m = 1 to m = 2 of over 10 cm<sup>-1</sup>. The color shaded areas are Gaussian profiles fitted to the spectra to illustrate the different peak positions. The spectra of the (13,3) species consists of a band (blue shaded area) with a shoulder (red shaded area) to lower energies. The main peak could correspond to the peak in the peak of (13,2) and the shoulder to the peak of (13,6). The spectra without the color shaded areas can be found in the supplement.

The spectra of (13,m) reveal several special features (see Fig. 9). The most outstanding one is the jump from 2307 cm<sup>-1</sup> (13,1) to 2294 cm<sup>-1</sup> (13,2) of 13 cm<sup>-1</sup>. This cluster size is well known to provide for "special" features as already seen in XMCD and computational

studies.<sup>[41-42]</sup> Those studies show a significant drop of the magnetic moment at n = 13. Therefore a possible explanation of this jump would be an adsorbate induced change of the spin state. As of now DFT failed to describe the observed jump. Perhaps we have to take antiferromagnetic coupling into account in the form of broken symmetry calculations to render the observed effect.<sup>[72]</sup>

We still observe a single band in the IR-PD spectrum of (13,2), which lead to the conclusion that the  $N_2$  are presumably equivalently bound. The cluster core has most likely an icosahedral structure<sup>[42]</sup> and the two  $N_2$  could be bound on two iron atoms facing each other. If you now assume a perfectly symmetric structure, this structure should show a symmetrically and an antisymmetrically coupled stretching vibration of the two  $N_2$ . The symmetrically coupled vibration should have no IR intensity due to no change in the dipole moment during this vibration. This should result in one single IR active band in N-N stretching region.

With the adsorption of one more  $N_2$ , resulting in the (13,3) species, the IR-PD spectrum reveals that the main band at 2193 cm<sup>-1</sup> does not change in position but a shoulder at lower energies (2282 cm<sup>-1</sup>) arises. This is indicative for an adsorption side which is no longer equivalent to the previous ones. This results in a second band/shoulder. The  $Fe_{13}$ <sup>+</sup> cluster adsorbs up to 6  $N_2$  under our experimental conditions. The IR-PD spectrum of the (13,6) shows only a single band at 2185 cm<sup>-1</sup>. This band is located in the same region as the observed shoulder of the (13,3). One may speculate about the fact, that each  $N_2$  blocks two Fe surface atoms. Yet there is no spectral evidence for a side-on adsorption of the  $N_2$  on the Fe cluster or even the formation of nitrides that would provide for further red shifts than the observed.

#### **DFT**:

For geometry optimization of  $Fe_{17}^+$  we first optimized the total symmetric Cluster  $Fe_{19}^+$  and withdrew two distal iron atoms from the hyperoctahedron edges. Further geometry optimization revealed the nearly  $C_{2\nu}$ -symmetric cluster cation depicted in Fig. 10.

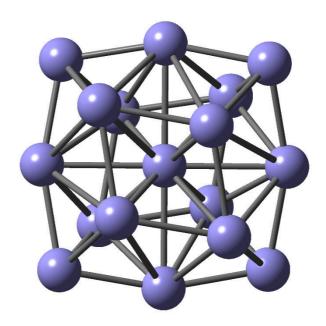
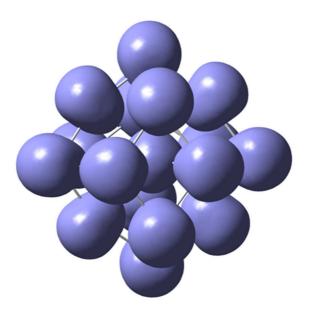


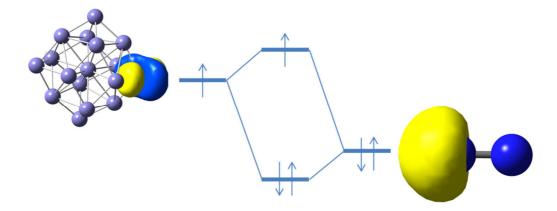
Fig. 10: Optimized structure of cluster Fe<sub>17</sub><sup>+</sup>.

The calculated electronic ground state includes 53 unpaired electrons which distribute nearly evenly by three unpaired electrons on the d-shells on each Fe-atom and two electrons also nearly distributed on the inner s and p-shells with slight preference to the inner iron atom and tip atoms of the polyhedron. Thus, all localized orbitals pointing outwards from the cluster are single occupied.



**Fig. 11:** Spin density calculated for the Fe<sub>17</sub><sup>+</sup> Cluster.

Putative bonding of a N<sub>2</sub> molecule to an iron atom would be significantly reduced in strength by 2 center 3 electron interaction i.e. coulomb repulsion between the N<sub>2</sub>-sigma orbital and the single occupied localized orbital on Fe (see Fig. 12).



**Figure 12:** Interaction diagram of NLMOs (Natural Localized Molecular Orbitals) of  $Fe_{17}$ <sup>+</sup>(right) and  $N_2$  (left). The interaction of the two electrons from the nitrogen molecule with the single occupied orbital of the iron cluster leads to a splitting of the molecular orbital levels with an occupied bonding and a single occupied antibonding orbital. This leads to a weakening of the  $N_2$ -Fe  $\sigma$ -bond.

From the calculations on the Cluster  $Fe_{17}^+$  it can be deduced that a spherical half-filled shell results in weak bonding from iron clusters to a  $N_2$ -molecule.

To probe this hypothesis we performed calculations on the  $Fe_{18}^+$  cluster which appear to appear in at least two isomeric forms; one which reacts with  $N_2$  and one which does not.

The Initial geometry for optimization of the  $Fe_{18}^+$  cluster was again taken from the optimized  $Fe_{19}^+$  cluster from which one edge Fe-atom has been withdrawn. Geometry optimization revealed a geometry depicted in Fig. 13.

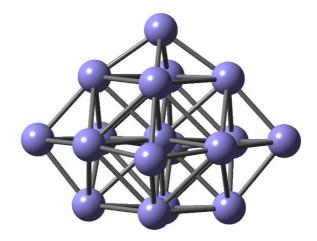


Fig. 13: Optimized geometry of the cluster Fe<sub>18</sub><sup>+</sup>

Calculation of the electronic ground state revealed 55 unpaired electrons and an electron distribution comparable to the cluster  $Fe_{17}^+$ . The spin density is drawn in Fig. 14.

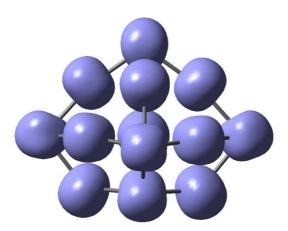
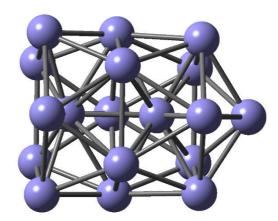


Fig. 14: Spin density of a Fe<sub>18</sub><sup>+</sup> cluster isomer

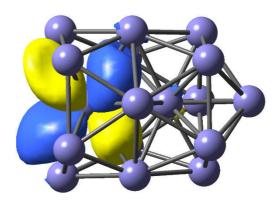
Due to the outward polarized spin distribution a similar behavior towards  $N_2$ -molecules can be assumed. Thus, the  $Fe_{18}^+$  cluster isomer deduced from the  $Fe_{19}^+$  cluster has similar reactive properties. However, for  $Fe_{18}^+$  different isomers can be taken into account.

An isomer deduced form an icosahedron with nearly five-fold symmetry is depicted in Fig. 15.



**Fig. 15:** Optimized geometry of the cluster Fe<sub>18</sub><sup>+</sup> bearing a caped icosahedron.

In contrast to former structures for  $Fe_{17}^+$  and one isomer of  $Fe_{18}^+$  we calculated for the structure depicted in Figure 18 with 55 unpaired electrons a LUMO+1 to be unoccupied (Fig. 16). Thus, a s-bond of  $N_2$  to the icosahedron based cluster  $Fe_{18}^+$  is feasible.



**Fig. 16**: LUMO+1 orbital of the cluster Fe<sub>18</sub><sup>+</sup> bearing a caped icosahedron.

In conclusion, the cluster Fe<sub>17</sub><sup>+</sup> derived from the hyperoctahedron Fe<sub>19</sub> was calculated to be less Lewis-acidic towards a dinitrogen molecule due to single occupied orbitals which causes coulomb repulsion with the nonbonding electron pair on nitrogen. Along this line, one isomer also derived from the hyperoctahedron exhibits the same electronic environment and thus, has less preferences for binding dinitrogen to one iron center. However, the isomer derived from an icosahedron exhibits on a central iron atom on one face of the cluster an unoccupied orbital which would facilitate dinitrogen bonding and thus, would be the main reason for the differences in reactivity.

#### 7.6 Conclusions

We have investigated the stepwise  $N_2$  adsorption on size selected  $Fe_{n}^+$  (n=8-20) clusters by recording their reaction kinetics at 26 K in a hexapole ion trap. We performed pseudofirst-order kinetic fits, which confirm consecutive adsorption steps by single exponentially decays and by a biexponential decay in the case of  $Fe_{18}^+$ . There is little  $N_2$  adsorption to  $Fe_{13}^+$  -  $Fe_{16}^+$  and no  $N_2$  adsorption to  $Fe_{17}^+$  clusters. The adsorption limits which stay below a molar ratio of n:m=1:1 in all investigated cases n=8-20. As of now, we cannot provide for an overall applicable adsorption rules for the  $N_2$  adsorption on Fe clusters to explain the observed stoichiometries. These stoichiometries are not mainly dominated by the cluster structure itself like in the case of cationic  $N_1$  clusters. Other effects like the electronic configuration of the cluster prevail.

We further recorded the IR-PD spectra of the  $[Fe_n(N_2)_1]^+$  (n,1) and  $[Fe_n(N_2)_2]^+$  (n,2) species in the N-N stretching region and observe single or double bands. These bands are less

redshifted with respect to the free  $N_2$  mode compared to  $N_2$  on cationic Co or Ni cluster and we assign them to the  $\mu_1$  head-on adsorption of  $N_2$  on the cluster. We do not observe a systematic variation of the band positions following a charge dilution model like previously observed for Ni clusters. We further identified a further redshifted band in the spectra of (18,1) and (18,2) that we tentatively assign to a slightly tilted  $N_2$  on the cluster. This could be a precursor for the  $N_2$  activation.

Preliminary DFT modelling provide for a first understanding of the miraculous unreactivity of  $Fe_{17}^+$  cluster towards  $N_2$ . The calculations found an electronic configuration that should not show a high reactivity towards  $N_2$ . Furthermore it identified two isomers for the  $Fe_{18}^+$  cluster one with a similar electronic configuration as the  $Fe_{17}^+$  cluster explaining the inertness and one reactive isomer.

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# 7.9 Supplementary Material

#### **Tabel of Content:**

**Figure S1** Observed rate constants of the (n,m) species n = 8-11 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S1** Relative rate constants for the  $N_2$  adsorption  $k_{(n,m)}$  to  $Fe_n^+$  clusters (n = 8-11) and for the respective  $N_2$  desorption  $k_{-(n,m+1)}$  from  $[Fe_n(N_2)_m]^+$  clusters.

Figure S2 Observed rate constants of the (n,m) species n=12-15 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S2** Relative rate constants for the  $N_2$  adsorption  $k_{(n,m)}$  to  $Fe_n^+$  clusters (n = 12-15) and for the respective  $N_2$  desorption  $k_{-(n,m+1)}$  from  $[Fe_n(N_2)_m]^+$  clusters.

Figure S3 Observed rate constants of the (n,m) species n=16, 18-20 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S3** Relative rate constants for the  $N_2$  adsorption  $k_{(n,m)}$  to  $Fe_n^+$  clusters (n = 16, 18-12) and for the respective  $N_2$  desorption  $k_{-(n,m+1)}$  from  $[Fe_n(N_2)_m]^+$  clusters.

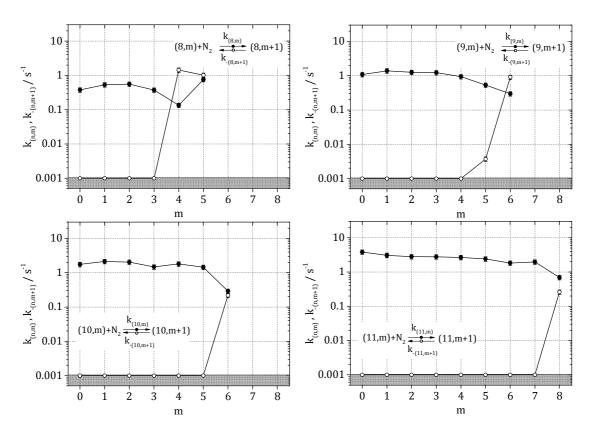
**Figure S4** Relative rate constant  $k_{(n,0)}$  of the initial  $N_2$  adsorption to  $Fe_n^+$  clusters recorded at 26 K (filled circles), and recorded at 25 K (open circles). Note, that the measurements at 25 K have been performed at a lower  $N_2$  pressure, which causes the offset in relative rate constants.

**Figure S5** Temporal intensity decrease of isolated  $Fe_n^+$  (n = 17 - 19) clusters at 26 K in the presence of 1.6 x  $10^{-7}$  mbar of  $N_2$ . The grey shaded area indicates the loss of trapped ions.

**Figure S6** IR-PD spectra of  $[Fe_{13}(N_2)_6]^+$  from 1000 cm<sup>-1</sup> to 2400 cm<sup>-1</sup>. The grey line shows the powercurve of the IR laser in this spectral region.

**Figure S7** IR-PD spectra of  $[Fe_{18}(N_2)_1]^+$  from 1000 cm<sup>-1</sup> to 2400 cm<sup>-1</sup>. The grey line shows the powercurve of the IR laser in this spectral region.

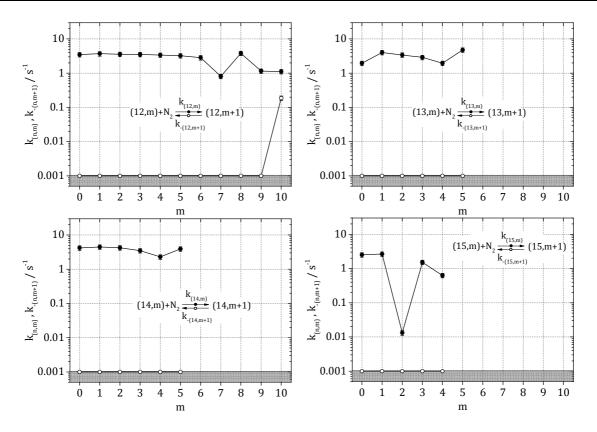
Figure S8 IR-PD spectra of  $[Fe_n(N_2)_m]^+$  for m = 1, 2, 3 & 6. Note the jump of the band from m = 1 to m = 2 of over 10 cm<sup>-1</sup>.



**Figure S1.** Observed rate constants of the (n,m) species n = 8-11 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S1.** Relative rate constants for the  $N_2$  adsorption  $k_{(n,m)}$  to  $Fe_n^+$  clusters (n = 8-11) and for the respective  $N_2$  desorption  $k_{-(n,m+1)}$  from  $[Fe_n(N_2)_m]^+$  clusters.

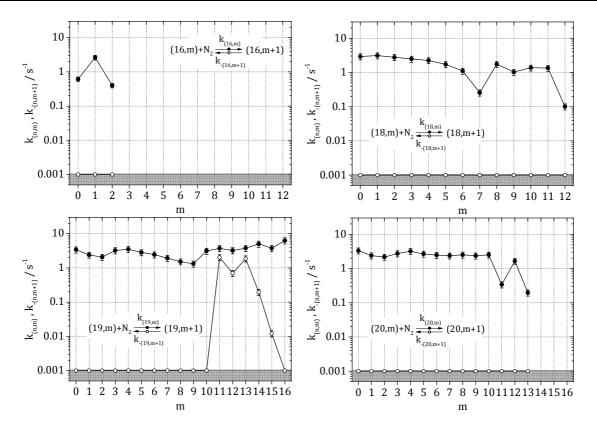
m	k <sub>(8,m)</sub>	k-(8,m+1)	k <sub>(9,m)</sub>	k <sub>-(9,m+1)</sub>	k <sub>(10,m)</sub>	k-(10,m+1)	k <sub>(11,m)</sub>	k-(11,m+1)
	s <sup>-1</sup>	S <sup>-1</sup>	S <sup>-1</sup>	S <sup>-1</sup>	s <sup>-1</sup>	S <sup>-1</sup>	S <sup>-1</sup>	S <sup>-1</sup>
0	0.4	<0.001	1.1	<0.001	1.7	<0.001	3.8	<0.001
1	0.5	<0.001	1.4	<0.001	2.1	<0.001	3.1	<0.001
2	0.6	<0.001	1.3	<0.001	2.0	<0.001	2.8	<0.001
3	0.4	<0.001	1.2	<0.001	1.5	<0.001	2.8	<0.001
4	0.1	1.43	0.9	<0.001	1.8	<0.001	2.7	<0.001
5	0.8	1.02	0.5	0.004	1.4	<0.001	2.4	<0.001
6			0.3	0.907	0.3	0.218	1.8	<0.001
7							2.0	<0.001
8							0.7	0.261



**Figure S2.** Observed rate constants of the (n,m) species n=12-15 as a function of  $N_2$  coverage. Filled circles show the rate of adsorption and open circles indicate single  $N_2$  desorption.

**Table S2.** Relative rate constants for the  $N_2$  adsorption  $k_{(n,m)}$  to  $Fe_n^+$  clusters (n = 12-15) and for the respective  $N_2$  desorption  $k_{-(n,m+1)}$  from  $[Fe_n(N_2)_m]^+$  clusters.

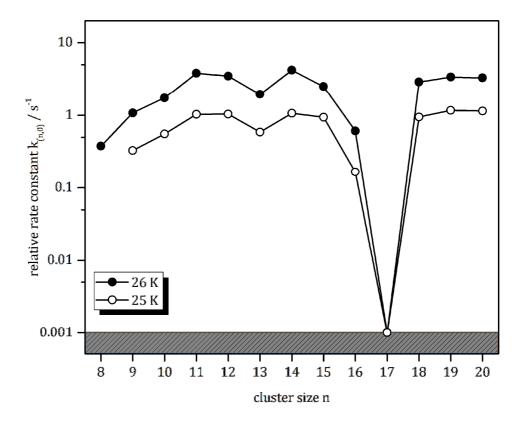
m	k <sub>(12,m)</sub>	k-(12,m+1)	k <sub>(13,m)</sub>	k-(13,m+1)	k <sub>(14,m)</sub>	k-(14,m+1)	k <sub>(15,m)</sub>	k-(15,m+1)
	S <sup>-1</sup>	S <sup>-1</sup>						
0	3.5	<0.001	2.0	<0.001	4.2	<0.001	2.5	<0.001
1	3.7	<0.001	4.0	<0.001	4.5	<0.001	2.6	<0.001
2	3.6	<0.001	3.4	<0.001	4.2	<0.001	0.0	<0.001
3	3.5	<0.001	2.9	<0.001	3.5	<0.001	1.5	<0.001
4	3.4	<0.001	2.0	<0.001	2.3	<0.001	0.6	<0.001
5	3.2	<0.001	4.8	<0.001	3.9	<0.001		
6	2.8	<0.001						
7	0.8	<0.001						
8	3.8	<0.001						
9	1.2	<0.001						
10	1.1	0.186						



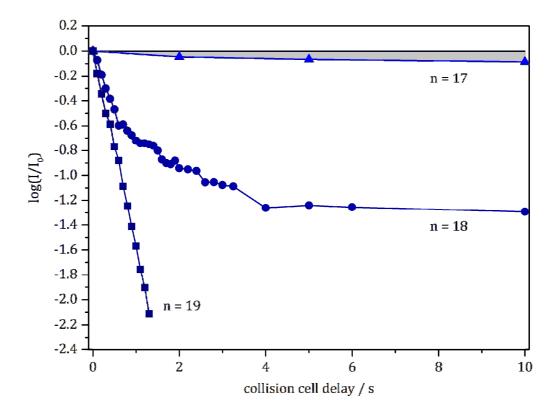
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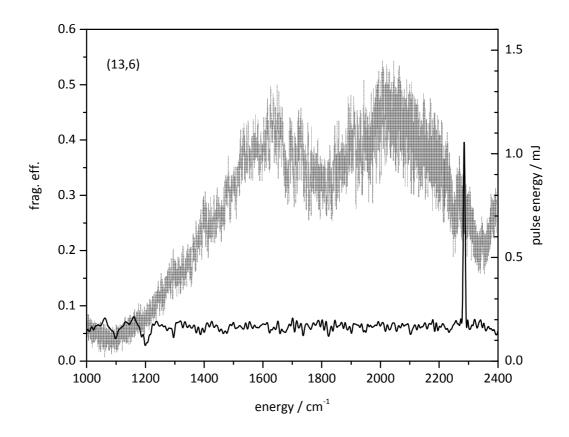
m	k <sub>(16,m)</sub>	k-(16,m+1) S <sup>-1</sup>	k <sub>(18,m)</sub> S <sup>-1</sup>	k <sub>-(18,m+1)</sub>	k <sub>(19,m)</sub> S <sup>-1</sup>	k-(19,m+1) S <sup>-1</sup>	k <sub>(20,</sub> m) s <sup>-1</sup>	k-(20,m+1) S <sup>-1</sup>
0	0.6	<0.001	2.9	<0.001	3.40	<0.001	3.31	<0.001
1	2.6	<0.001	3.1	<0.001	2.39	<0.001	2.39	<0.001
2	0.4	<0.001	2.8	<0.001	2.06	<0.001	2.17	<0.001
3			2.5	<0.001	3.23	<0.001	2.73	<0.001
4			2.2	<0.001	3.49	<0.001	3.20	<0.001
5			1.7	<0.001	2.81	<0.001	2.67	<0.001
6			1.1	<0.001	2.43	<0.001	2.47	<0.001
7			0.3	<0.001	1.93	<0.001	2.37	<0.001
8			1.7	<0.001	1.52	<0.001	2.50	<0.001
9			1.0	<0.001	1.31	<0.001	2.36	<0.001
10			1.4	<0.001	3.13	<0.001	2.52	<0.001
11			1.3	<0.001	3.71	2.019	0.34	<0.001
12			0.1	<0.001	3.23	0.702	1.66	<0.001
13					3.77	1.859	0.20	<0.001
14					5.00	0.196		
15					3.76	0.012		
16					6.28	0.001		



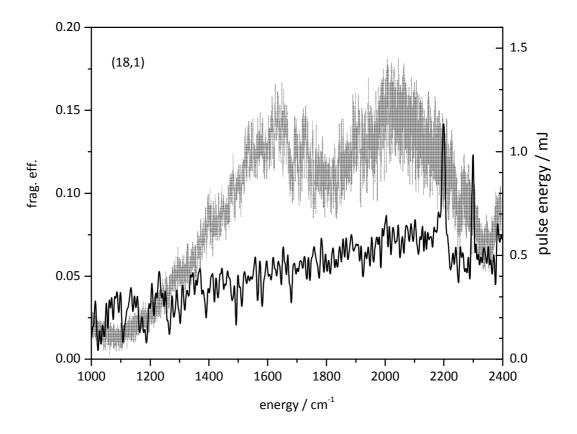
**Figure S4.** Relative rate constant  $k_{(n,0)}$  of the initial  $N_2$  adsorption to  $Fe_n^+$  clusters recorded at 26 K (filled circles), and recorded at 25 K (open circles). Note, that the measurements at 25 K have been performed at a lower  $N_2$  pressure, which causes the offset in relative rate constants.



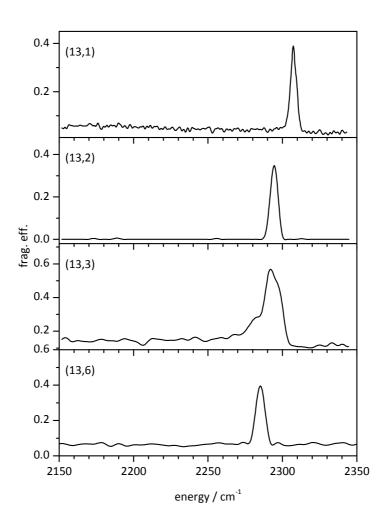
**Figure S5.** Temporal intensity decrease of isolated  $Fe_{n}^{+}$  (n = 17 - 19) clusters at 26 K in the presence of 1.6 x  $10^{-7}$  mbar of  $N_2$ . The grey shaded area indicates the loss of trapped ions.



**Figure S6** IR-PD spectra of  $[Fe_{13}(N_2)_6]^+$  from 1000 cm<sup>-1</sup> to 2400 cm<sup>-1</sup>. The grey line shows the powercurve of the IR laser in this spectral region. Only a single absorption band at 2285 cm<sup>-1</sup> can be observed.



**Figure S7** IR-PD spectra of  $[Fe_{18}(N_2)_1]^+$  from 1000 cm<sup>-1</sup> to 2400 cm<sup>-1</sup>. The grey line shows the powercurve of the IR laser in this spectral region. Two absorption bands can be observed.



**Figure S8** IR-PD spectra of  $[Fe_n(N_2)_m]^+$  for m = 1, 2, 3 & 6. Note the jump of the band from m = 1 to m = 2 of over 10 cm<sup>-1</sup>.

# 8 Cryo IR Spectroscopy of N<sub>2</sub> and H<sub>2</sub> on Ru<sub>8</sub><sup>+</sup>: The Effect of N<sub>2</sub> on the H-Migration

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76131 Karlsruhe, Germany

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#### 8.1 Preamble

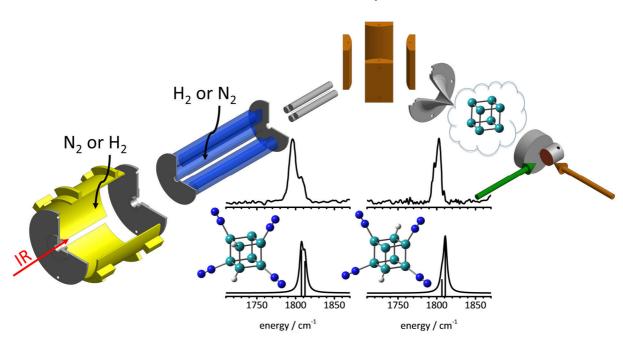
The experiments were performed by the experimental team consisting of M. P. Klein, A. Steiner, D. C. McDonald II, and myself. The data evaluation was done by myself. The computations were done by myself. The initial manuscript was written by myself and revised with the help of G. Niedner-Schatteburg, M. M. Kappes, and M. A. Duncan.

This manuscript is prepared for a submission to Angewandte Chemie.

# 8.2 Abstract

We present the cryo IR-PD spectra of  $[Ru_8(H_2)_k]^+$ , k=1-8, of  $[Ru_8(N_2)_m]^+$ , m=1-8, and of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species, which we record via application of tandem cryo ion trapping. The  $[Ru_8(H_2)_{k>1}]^+$  spectra reveal strong evidence for dissociative  $H_2$  adsorption, and the  $[Ru_8(N_2)_m]^+$  spectra indicate  $N_2$  head-on adsorption. The spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species reveal differences in the Ru-H stretching region, which we assign to distal and proximal H atom locations on the  $Ru_8^+$  cluster, their migration likely hindered by preloaded  $N_2$ s, and unaffected by subsequent  $N_2$  adsorption.

# **Table of Content Graphic:**



#### 8.3 Introduction

Ru based catalysts make it possible to run the Haber-Bosch process under milder conditions than with widespread Fe based catalysts.<sup>[1]</sup> This is underlined by the industrial Kellogg Advanced Ammonia Process which uses supported Ru nanoparticles as catalyst and works at lower pressures and temperatures.<sup>[2]</sup> Ammonia synthesis on Ru catalysts is highly structure sensitive.<sup>[3]</sup> Highly reactive "B5 sites" (5 Ru atoms interact with N<sub>2</sub>) are thought to mediate the rate limiting step in ammonia synthesis – the N<sub>2</sub> activation.<sup>[4]</sup> Studies on isolated clusters can provide valuable information to elucidate such structure-sensitive reactions. Yet, mass spectrometric investigations of reactions of Ru clusters with small molecules like H<sub>2</sub> are very challenging due in part to the broad isotopic distribution of Ru.

Various spectroscopic studies of the N<sub>2</sub>/Ru(001) surface-adsorbate system indicate that at low temperatures N<sub>2</sub> absorbs in a head-on position.<sup>[5]</sup> High-resolution electron energy loss spectroscopy (HREELS) has identified the same type of adsorption on Ru(1010)<sup>[6]</sup>. Dissociative adsorption of N<sub>2</sub> on Ru(0001) only sets in at higher surface temperatures.<sup>[7]</sup> In contrast H<sub>2</sub> dissociatively chemisorbs on Ru(001) at temperatures as low as 50K.<sup>[8]</sup> The corresponding change in work function has been associated with two different adsorption states as also observed in thermal desorption studies.<sup>[9]</sup> HREELS measurements of hydride monolayers have allowed the determination of the Ru-H stretch and bend vibrations (around 820 and 1137 cm<sup>-1</sup>).<sup>[10]</sup> More recently, fast quantum tunneling effects of H on Ru (0001) have been observed at low temperatures.<sup>[11]</sup> These may also play a role in the formation of NH on a Ru(001) surface.<sup>[12]</sup>

The magnetic properties of isolated neutral Ru clusters in the size range between 10 and 100 atoms have been investigated by cluster beam deflection experiments which showed no evidence for significant ferromagnetism.<sup>[13]</sup> Several computational studies predict that small Ru clusters favor cubic structures.<sup>[14]</sup> This prediction was further substantiated by far IR spectroscopy of small cationic Ru clusters which provided evidence for cubic structures.<sup>[15]</sup> Furthermore, trapped-ion electron diffraction (TIED) found a cubic motif for the Ru<sub>8</sub>-cluster.<sup>[16]</sup> There are several reaction studies with Ru clusters, although these are complicated by the mentioned difficulties with the isotopic distribution. Mass spectrometry in conjunction with density functional calculations has revealed water activation by cationic Ru oxide clusters.<sup>[17]</sup> TIED experiments have shown that Ru<sub>19</sub>- undergoes a structural

transition upon  $H_2$  adsorption.<sup>[18]</sup>  $H_2$  on neutral Ru clusters has also been the subject of extensive theoretical investigations.<sup>[19]</sup> IR spectroscopy has yielded fundamental insights into the binding of CO to cationic and anionic Ru<sup>[20]</sup> clusters as well as into the adsorption of  $N_2$  on neutral Ru clusters<sup>[21]</sup>. Primarily head-on  $\mu_1$  adsorption of CO and  $N_2$  have been inferred.

To our knowledge, there have been no experiments aimed at elucidating the coadsorption of  $H_2$  and  $N_2$  on isolated Ru clusters (in order to explore precursor states leading to catalytic activation). Our tandem cryo trap instrument provides the unique opportunity to conduct these experiments as shown by our previous work on  $N_2$  on Co <sup>[22]</sup> and Ni clusters<sup>[23]</sup>.

This study reports IR spectra of the  $[Ru_8(H_2)_k]^+$  k=1-8,  $[Ru_8(N_2)_m]^+$  m=1-8 and the coadsorbed  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species (differing in adsorption sequence) under isolated conditions. It elucidates the interplay of  $H_2$ - $N_2$  coadsorption in unprecedented detail. In particular it provides clear evidence for dissociative chemisorption of  $H_2$  and demonstrates that subsequent mobility of H atoms can be constrained by  $N_2$  adsorption.

# 8.4 Experimental and Computational Methods

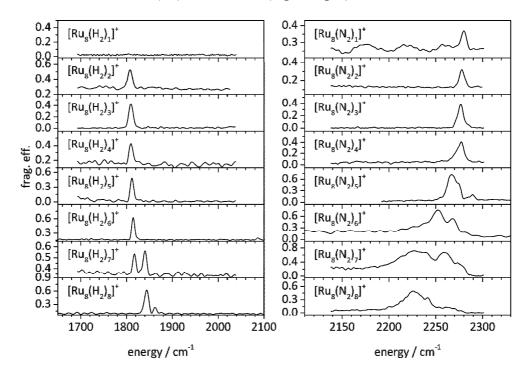
We performed all experiments in a customized Fourier Transform - Ion Cyclotron Resonance (FT-ICR) – mass spectrometer (Apex Ultra Bruker Daltonics) – in particular the cluster production, isolation, N<sub>2</sub>/H<sub>2</sub> adsorption, InfraRed - Photodissociation (IR-PD) spectroscopy and mass analysis. The metal cluster ions were generated from a monoisotopic <sup>102</sup>Ru (99.38%, Oak Ridge National Laboratories; Fig. S1) foil using a home-built laser vaporization source<sup>[24]</sup>. After mass selection the Ru clusters were stored in a cryogenic hexapole ion trap (17 K for H<sub>2</sub> and 26 K for N<sub>2</sub>). Reaction gas (H<sub>2</sub> or N<sub>2</sub>) was continuously introduced up to a pressure of  $5.0 \times 10^{-7}$  mbar to yield sufficient reaction products. Helium was used to increase the pressure up to  $5.0 \times 10^{-6}$  mbar to accomplish efficient trapping and cooling (cf. extended experimental description in the supplement). After a variable storage time (0-10 s), the ions were guided into the FT-ICR cell that was held at a temperature below 10 K for the spectroscopy of the  $[Ru_8(H_2)_k]^+$  and  $[Ru_8(N_2)_m]^+$  species. The cell was used for isolation and detection of the ions. For the coadsorption experiments the ICR cell served as second reaction cell that was heated to 19 K for reactions with H2 or to 49 K for N2 to avoid condensation. The pressure was increased from  $2.0 \times 10^{-9}$  mbar up to  $1.0 \times 10^{-8}$  mbar and the ions were stored up to 2 s.

For the acquisition of the IR-PD spectra the FT-ICR cell is coupled to a tunable IR laser that generates radiation in the range of  $1000 - 2400 \text{ cm}^{-1}$ . Each trapped and isolated packet of ions is irradiated by 7 - 10 laser pulses (0.1 - 1.5 mJ/pulse).

Linear (harmonic) IR absorption spectra were calculated at the PBE0<sup>[25]</sup> level of theory using the cc-pVTZ basis sets<sup>[26]</sup> (H, N), and the Stuttgart RSC 1997<sup>[27]</sup> effective core potential (Ru), respectively, as implemented in the Gaussian 09 program package<sup>[28]</sup> – appropriately gauged scaling factors correcting for the vibrational anharmonicities.

#### 8.5 Results and Discussion

The IR-PD spectra for  $[Ru_8(H_2)_k]^+$  k = 1 – 8 (Fig. 1, left) were recorded in the region from 1700 to 2200 cm<sup>-1</sup> and for  $[Ru_8(N_2)_m]^+$  m = 1 – 8 (Fig. 1, right) from 2140 to 2300 cm<sup>-1</sup>.



**Fig. 1** IR-PD spectra of the  $[Ru_8(H_2)_k]^+$  k = 1 – 8 (left) and the  $[Ru_8(N_2)_m]^+$  m = 1 – 8 (right) species. The lack of spectral features for  $[Ru_8(H_2)_1]^+$  is likely caused by a low fragmentation efficiency.

IR-PD spectra of  $[Ru_8(H_2)_k]^+$ 

The spectra of the  $[Ru_8(H_2)_k]^+$  species reveal no (in the case of k = 1), one (k = 2 – 6), and two bands (k = 7 and 8), all of which occur in the region of 1800 to 1880 cm<sup>-1</sup>, and with no further spectral features throughout the extended range of 750 to 2375 cm<sup>-1</sup> (Fig. S2). The sole vibrational band in  $[Ru_8(D_2)_6]^+$  (Fig. S3), which is isotopically shifted by 508 cm<sup>-1</sup> to

1307 cm<sup>-1</sup>, helped us to assign the  $[Ru_8(H_2)_k]^+$  spectral features to a Ru-H stretching mode – indicating dissociative chemisorption of  $H_2$ .

IR absorption leads to  $H_2$  elimination as the only fragmentation channel, except for k=1 which shows no fragmentation (possibly reflecting a higher kinetic barrier for recombination of distal hydrogens on opposing Ru atoms, cf. Fig. S14 and below). We find a slight blue shift of 8 cm<sup>-1</sup> with increasing  $H_2$  coverage from k=2 to k=6 (1807 to 1815 cm<sup>-1</sup>). Exceeding the intermediate adsorption limit at k=6 (for a kinetic reasoning of the term "intermediate adsorption limit", also known as "intermittent adsorption limit", refer to  $^{[23]}$ ), the spectra of k=7 and 8 consist of two bands. The k=7 species reveals a band at 1817 cm<sup>-1</sup>, still in line with the aforementioned slight blue shift, and a new spectral feature at 1840 cm<sup>-1</sup>. The spectrum of  $H_2$  saturated species at k=8 consists of two bands, the main one at 1843 cm<sup>-1</sup> and a less intense side band at 1862 cm<sup>-1</sup>. Overall one may speculate about an adsorbate-induced reorganization of the core upon exceeding the intermediate adsorption limit at k=6. Other possible explanations would be spin state changes, or a structural reorganization of the adsorbate layer.

IR-PD spectra of  $[Ru_8(N_2)_m]^+$ 

The spectra of  $[Ru_8(N_2)_m]^+$ , m=1-8, reveal features in the region between 2190 and 2300 cm<sup>-1</sup>.  $N_2$  loss is the only fragmentation channel observed. All bands are redshifted with respect to the free  $N_2$  vibration (2330 cm<sup>-1</sup> [29]) and fall in the range previously observed for head-on coordinated  $N_2$  on (other) cationic transition metal clusters<sup>[22-23, 30]</sup>.

Note, that the feature observed for  $[Ru_8(N_2)_m]^+$ , m=1, is about  $80 \text{ cm}^{-1}$  less red shifted compared to neutral  $[Ru_8(N_2)_1]$ , which has a band at  $2201 \text{ cm}^{-1}$  [22]. This less pronounced red shift can be attributed to charge effects. In the cationic cluster less electron density is available to be transferred into the antibonding orbitals of the  $N_2$ .

The spectra of the m = 1 - 4 species reveal a single band which shows a slight red shift with increasing  $N_2$  coverage (2280 cm<sup>-1</sup> to 2276 cm<sup>-1</sup>) similar to the trend observed on Ru(001) surfaces. This has been tentatively rationalized in terms of two opposing coverage-dependent contributions to the shift: dipole-dipole coupling and "chemical (repulsive) effects" associated with  $N_2$  surface bonding<sup>[5a, 31]</sup>.

In DFT simulations of  $[Ru_8(N_2)_4]^+$ , we have focused on a cubic  $Ru_8^+$  cluster core predicted to be the lowest energy form of the naked cluster in previous computational studies - as also supported by experiment. [14-16] We conclude, that the spin state is a quartet and that there is a diagonal  $N_2$  arrangement (close to  $C_{2v}$  that Jahn-Teller relaxes into  $C_s$  symmetry by pairwise  $N_2$  twists). A force constant analysis reveals four almost degenerate  $N_2$  stretch modes, which convolute into a single, red-shaded band with a shape very similar to that recorded in the IR-PD measurement (*cf.* Fig. S7).

The spectrum of the m = 5 species is the first to show two bands - at 2268 and 2289 cm<sup>-1</sup>. Beyond the intermediate adsorption limit at m = 5, the spectra are no longer well resolved. The spectrum of m = 6 consists of at least two bands at 2229 and 2258 cm<sup>-1</sup> and in the cases of m = 7 and 8 only a broad adsorption between 2200 and 2290 cm<sup>-1</sup> is observed.

Again one may speculate about adsorbate induced reorganization when exceeding the intermediate adsorption limit at m = 5. In fact, the cluster core geometry may already be slightly distorted at the intermediate adsorption limit given that its vibrational pattern consists of at least two bands (in contrast to the spectra of the m = 1 - 4 species).

Interestingly, in the case of  $H_2$  the intermediate limit is seen at k = 6 whereas for  $N_2$  it occurs at m = 5. If adsorbate induced reorganization is indeed responsible, it sets in with fewer  $N_2$  than  $H_2$  adsorbates, which may reflect the different steric requirements of H vs.  $N_2$ .

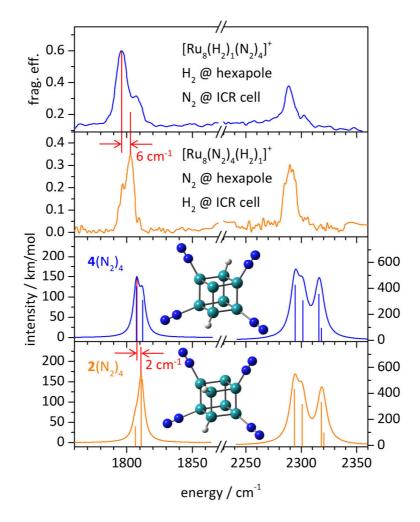
IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$ 

Using our tandem cryo trapping technique we were able to produce  $H_2$ - $N_2$ -coadsorbates by sequential addition **in either order**. We find that the IR-PD spectra of the "mixed" adsorbates can depend on this order. To demonstrate this, we have selected the two species  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$ , where the notation signifies the order of attachment: the  $[Ru_8(H_2)_1(N_2)_4]^+$  species is assembled by first adsorbing a single  $H_2$  in the cryo hexapole trap followed by four  $N_2$  adsorbates in the cryo FT-ICR trap. Conversely, the  $[Ru_8(N_2)_4(H_2)_1]^+$  species is formed by adding four  $N_2$  adsorbates in the cryo hexapole trap and one  $H_2$  adsorbate in the subsequent cryo FT-ICR trap.

At first sight, the IR-PD spectra of both species reveal similar Ru-H and N<sub>2</sub> stretching features (Fig. 2) much like those seen with separate adsorbates; the supporting information

provides extended spectra (Fig. S4 and S5) – void of additional features – which document the excellent reproducibility of individual features over multiple measurements (Fig. S18).

Upon closer inspection, the Ru-H bands differ in the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  spectra: the former reveals a resolved side peak 10 cm<sup>-1</sup> to the blue from the main peak at 1796 cm<sup>-1</sup>, which is not present in the latter. The latter has an asymmetric peak shape with some red shading, which is absent in the former. The dominant Ru-H stretch blue shifts by 6 cm<sup>-1</sup> from the former to the latter.



**Fig. 2** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  (blue) and  $[Ru_8(N_2)_4(H_2)_1]^+$  (orange) compared to the predictions of theory. The red lines serve to highlight the shifts induced by varying the sequence of  $N_2/H_2$  addition. For a discussion of the predicted bands (including \* features) refer to the text and the supplement.

In the  $N_2$  stretch region both the  $[Ru_8(H_2)_1(N_2)_4]^+$  and the  $[Ru_8(N_2)_4(H_2)_1]^+$  species reveal a strong main peak at about 2290 cm<sup>-1</sup> with a weak feature about 13 cm<sup>-1</sup> to the blue; this is well resolved in the former and somewhat blurry in the latter.

We refer the reader to supporting information (Fig. S6) for a figure contrasting the spectra of the coadsorbed species with those of  $[Ru_8(H_2)_k]^+$  and  $[Ru_8(N_2)_m]^+$ , respectively.

DFT modelling of IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$ 

We extended our DFT calculations to model  $H_2$ - $N_2$ -coadsorption. In particular we checked relative energies and predicted IR allowed vibrational transitions for numerous plausible structural variants of [cubic Ru<sub>8</sub>, 4N<sub>2</sub>, 2H]<sup>+</sup> (for structures and corresponding spectra see Fig. S7 – S14; for structural labels see Fig. 3). Note that the spin quenches from a quartet in the case of cubic Ru<sub>8</sub><sup>+</sup>/1 and [Ru<sub>8</sub>(N<sub>2</sub>)<sub>4</sub>]<sup>+</sup>/1(N<sub>2</sub>)<sub>4</sub> to a doublet upon further addition of 2H. On the basis of energetics and spectral features we conclude that the two most likely structures of [cubic Ru<sub>8</sub>, 4N<sub>2</sub>, 2H]<sup>+</sup> have doublet spin states (see insets to Fig. 2). We present their predicted IR absorption spectra in the lower traces of Fig. 2.

The assigned calculated spectra show features which are quite similar to the experimental IR-PD spectra, except for the predicted \* bands which occur significantly weaker in the experiment. This deviation is likely due to a lack of efficient internal vibrational redistribution (IVR) in these cases (*cf.* the supplement), which limits the dissociation yield.

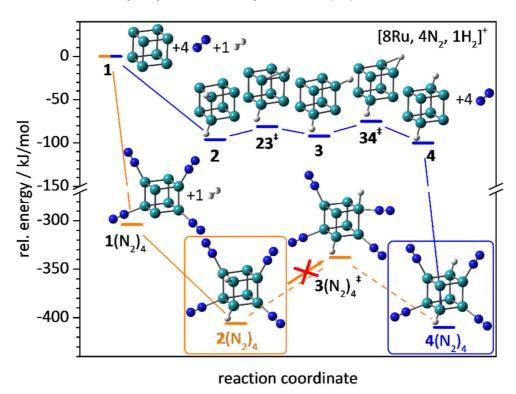
In the Ru-H band region we find a close resemblance of the IR absorption spectra predicted by DFT for isomers  $4(N_2)_4$  and  $2(N_2)_4$  with the IR-PD spectra recorded for the two distinct species  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$ : DFT modelling reveals a shoulder to the blue of the RuH stretching band in  $4(N_2)_2$ , and asymmetric red shading in case of  $2(N_2)_4$ . The predicted blue shift of the RuH band of  $4(N_2)_4$  with respect to that of  $2(N_2)_4$  (about 2 cm<sup>-1</sup>) closely corresponds to the IR-PD bands of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$ , which differ by a blue shift of about 6 cm<sup>-1</sup>.

Based on all of the above findings we are confident to assign the  $4(N_2)_4$  structure to the  $[Ru_8(H_2)_1(N_2)_4]^+$  species, and the  $2(N_2)_4$  structure to the  $[Ru_8(N_2)_4(H_2)_1]^+$  species. Both have the same arrangement of  $N_2$  on the cubic  $Ru_8^+$  cluster as in  $1(N_2)_4$ . They merely differ in their RuH positions: in the case of  $[Ru_8(H_2)_1(N_2)_4]^+/4(N_2)_4$  the hydrogens are located on two opposing Ru atoms (distal "trans" HH arrangement) and in the case of  $[Ru_8(N_2)_4(H_2)_1]^+/2(N_2)_4$  on two neighboring Ru atoms (proximal "cis" HH arrangement).

Our vibrational band assignments lead to the tentative conclusion that H atom migration is hindered on N<sub>2</sub> preloaded Ru<sub>8</sub><sup>+</sup> clusters, whereas on naked Ru<sub>8</sub><sup>+</sup> H atoms can apparently

swiftly migrate to the most favorable Ru binding sites in a cooperative manner (see Fig. S14). These lie on two (space) diagonally opposing Ru atoms (distal "trans" HH arrangement). Once formed, this arrangement apparently persists upon subsequent  $N_2$  adsorption. When  $H_2$  adsorbs to an  $N_2$  preloaded  $Ru_8^+$  cluster ( $\mathbf{1}(N_2)_4$ ), it dissociates as well – but the adsorbed  $N_2$ s likely hinder H migration. The H atoms are forced to stay on two neighboring Ru atoms (proximal "cis" HH arrangement).

In order to further support the above interpretation, we calculated a range of possible reaction paths for H-migration on a cubic  $Ru_8^+$  cluster, thereby confirming the effect of coadsorbed  $N_2$  (Fig. 3). We predict facile H atom hopping among adjacent (unloaded) Ru atoms, whereas H migration across a face of the  $Ru_8^+$  cube is energetically precluded (Fig. S15). In the case of  $H_2$  adsorption on a naked  $Ru_8^+$  (blue reaction path in Fig. 3), the migration from two neighboring Ru-H (2) occurs via an intermediate with the Hs on two (face) diagonal Ru atoms (3) (and via two low lying Ru-H-Ru "on bridge" transition states (23<sup>‡</sup> and 32<sup>‡</sup>)), ultimately to two opposing Ru-H (4) (distal "trans" HH arrangement), which convert without a barrier by stepwise  $N_2$  adsorption into  $4(N_2)_4$ .



**Fig. 3** Predicted reaction paths for the formation of  $[Ru_8(H_2)_1(N_2)_4]^+/4(N_2)_4$  and  $[Ru_8(N_2)_4(H_2)_1]^+/2(N_2)_4$ .

The  $H_2$  adsorption on a preloaded  $[Ru_8(N_2)_4]^+/1(N_2)_4$  species (orange reaction path in Fig. 3) results in the  $2(N_2)_4$  species with the Hs on two neighboring Ru atoms (proximal "cis" HH arrangement). It is essentially impossible to reach the  $4(N_2)_4$  species by further hydrogen hopping, which would involve a high energy transition state where the H atom would be located on an  $N_2$  loaded Ru site  $(3(N_2)_4^{\ddagger})$ . Thus, the  $2(N_2)_4$  species is the final product upon  $N_2$  preloading.

# 8.6 Conclusions

We have presented the cryo IR-PD spectra of  $[Ru_8(H_2)_k]^+$  k = 1 – 8 and  $[Ru_8(N_2)_m]^+$  m = 1 – 8. We find dissociative chemisorption of  $H_2$  in all  $[Ru_8(H_2)_k]^+$  with k > 1, and we postulate an adsorbate induced reorganization of the cluster core and/or the adsorbate layer after exceeding the intermediate adsorption limit at k = 6. In the case of  $[Ru_8(N_2)_m]^+$  we identify a head-on adsorption of  $N_2$  and an intermediate adsorption limit at m = 5 beyond which a structural reorganization may occur. The cryo IR-PD spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species, where the listed order signifies the order of adsorption, show tantalizing differences - in particular in the Ru-H bands. We interpret this in terms of distal or proximal hydrogen locations on the  $Ru_8^+$  cluster as well as by differences in hydrogen migration kinetics. This is likely hindered by preloading with  $N_2$ s but unaffected by subsequent  $N_2$  adsorption. We elucidate the interplay of low temperature  $H_2$ - $N_2$  coadsorption in unprecedented detail. It will be important to extend such studies towards further adsorbate species such as HD and  $NH_3$ , and towards photo activation and product identification.

### 8.7 Acknowledgments

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# 8.9 Supporting Information

#### **Table of Content:**

#### **Detailed Experimental and Computational Methods**

- **Fig. S1**. Mass spectra recorded in the  $Ru_8^+$  cluster mass range using a Ru laser vaporization target with natural isotope ratio (top) and a monoisotopic  $^{102}$ Ru foil (bottom).
- Fig. S2 IR-PD spectra of the  $[Ru_8(H_2)_6]^+$  and  $[Ru_8(H_2)_8]^+$  species from 750 cm<sup>-1</sup> to 2375 cm<sup>-1</sup>.
- Fig. S3 IR-PD spectrum of  $[Ru_8(D_2)_6]^+$ .
- **Fig. S4** IR-PD spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species from 1700 cm<sup>-1</sup> to 2375 cm<sup>-1</sup> including the laser power (gray).
- **Fig. S5** IR-PD spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species from 2650 cm<sup>-1</sup> to 4200 cm<sup>-1</sup> including the laser power (gray).
- **Fig. S6** IR-PD spectra of  $[Ru_8(H_2)_k]^+$  k = 1 8 (left) and  $[Ru_8(N_2)_m]^+$  m = 1 8 (right). Both stacks include the spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species for comparison.
- **Fig. S7.** IR-PD spectrum of  $[Ru_8(N_2)_4]^+$  in comparison with DFT predictions for various structural models based on a cubic  $Ru_8^+$  core with different  $N_2$  adsorption sites.
- **Fig. S8.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two (face) diagonal Ru atoms in doublet spin states.
- **Fig. S9.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two (face) diagonal Ru atoms in quartet spin states.
- **Fig. S10.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two neighboring Ru atoms in doublet spin states.
- **Fig. S11.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two neighboring Ru atoms in quartet spin states.
- **Fig. S12.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two opposing Ru atoms (space diagonal/distal) in doublet spin states.
- **Fig. S13.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two opposing Ru atoms in quartet spin states.
- **Fig. S14.** IR-PD spectrum of  $[Ru_8(H_2)_1]^+$  in comparison with DFT calculations of different H adsorption sites on cubic  $Ru_8^+$  clusters in doublet and quartet spin states.

**Fig. S15.** Alternative calculated reaction paths for the formation of **4** from **2** (left) and for the formation of  $4(N_2)_4$  from  $2(N_2)_4$  (right).

**Fig. S16.** IR-PD spectra of  $[Ru_8(N_2)_4]^+$ ,  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations.

# Discussion of DFT Predicted Splittings and Intensities of N<sub>2</sub> Stretching Bands in Comparison to the Experimental IR-PD Spectra

- Fig. S17. Calculated spectra of  $4(N_2)_4$  and  $2(N_2)_4$  and their respective structure.
- **Table S1.** Calculated IR frequencies and assignment of  $4(N_2)_4$ .
- **Table S2.** Calculated IR frequencies and assignment of  $2(N_2)_4$ .
- **Fig. S18.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  recorded on two separate measurement days.
- **Fig. S19.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations using a range of different density functionals.
- **Fig. S20.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations using a range of different density functionals.

#### **Detailed Experimental and Computational Methods**

All experiments were carried out in a customized Fourier Transform - Ion Cyclotron Resonance (FT-ICR) – mass spectrometer (Apex Ultra Bruker Daltonics). The specific apparatus configuration allowed for cluster production, isolation, N<sub>2</sub>/H<sub>2</sub> adsorption, InfraRed (Multi) Photon Dissociation (IR(M)PD) spectroscopy and mass analysis. The metal cluster ions were generated using a home-built laser vaporization source as described previously.[1] For this ruthenium atoms are evaporated from a rotating 0.4 mm thick monoisotopic 102Ru (99.38%, Oak Ridge National Laboratories; Fig. S1) foil by the second harmonic of a pulsed Nd:YAG laser. The hot plasma is captured by a He gas pulse (40 μs, 15 bar) created by a home-built piezoelectric valve.<sup>[2]</sup> The atoms and ions are cooled and aggregate to clusters in the subsequent jet expansion through a 60 mm long channel (2 mm diameter) into vacuum (10<sup>-7</sup> mbar) yielding a continuous cluster size distribution. The cluster beam is skimmed and steered using different electrostatic lenses and a 90 degrees ion beam bender. After this the bare metal cluster cations can be mass selected in a quadrupole mass filter. Subsequently the ions are injected into a cryogenic hexapole ion trap cooled to 17 K for reactions with H<sub>2</sub> and to 26 K for reactions with N2 by a closed cycle He cryostat. Buffer or reaction gas can be introduced either continuously or in pulses. For this work we used the continuous gas inlets. Sufficient amounts of reaction products were obtained by flowing enough H2 or N2 into the vacuum chamber to raise its base pressure  $1.1 \times 10^{-7}\,\text{mbar}$  up to  $5.0 \times 10^{-7}\,\text{mbar}$ . Additionally, He was added to improve trapping and cooling of ions thus increasing the total pressure up to  $5.0 \times 10^{-6}$  mbar. Due to the large mass differences between the buffer gas He and the adsorbate gases H<sub>2</sub>/N<sub>2</sub> and Ru<sub>8</sub>, only a minor part of the residual kinetic energy of Ru<sub>8</sub> (acquired by prior electrostatic accelerations) transforms into the center of mass frame. In the case of  $H_2$  it is safe to state that an  $E_{kin}(Ru_8)$  of up to 1 eV do not lead to raised effective temperatures beyond 30 K. In the case of N<sub>2</sub> some hyperthermal collisions may initially occur. By virtue of the excess of Helium (more than 100:1) in the hexapole cell the kinetic thermalization of Ru<sub>8</sub> occurs within few milli seconds, whereas the observed reactions take place on a multiple second time scale.

After storage of the ions for a variable time (0-10 s), the reaction products (with either  $N_2$  or  $H_2$  attached) were guided by electrostatic lenses into the FT-ICR cell which is of the so-called "infinity" type.<sup>[3]</sup> This cell was held at a temperature below 10 K with a closed cycle He

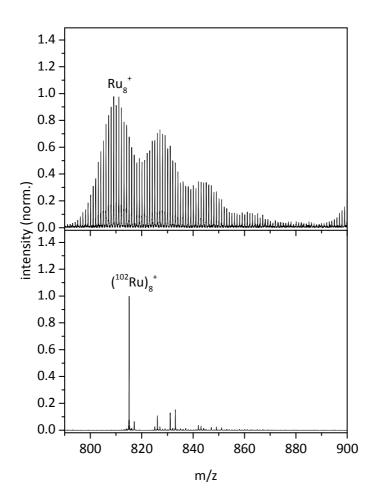
cryostat for the spectroscopy of the  $[Ru_8(H_2)_k]^+$  and the  $[Ru_8(N_2)_m]^+$  species. The cell is normally used for isolation, laser irradiation and detection of the ions. For the coadsorption experiments the ICR cell also served as a second reaction cell. In this case the ICR cell was heated to 19 K for additional reactions with  $H_2$  or to 49 K for  $N_2$  to avoid condensation of the respective reaction gas. The pressure was correspondingly increased from  $2.0 \times 10^{-9}$  mbar (base pressure) up to  $1.0 \times 10^{-8}$  mbar and the ions were stored up to 2 s in the ICR cell.

For the acquisition of IR-PD spectra the FT-ICR cell is coupled to a tunable IR laser  $(\delta n = 0.9 \text{ cm}^{-1}, \ \delta t = 7 \text{ ns})$ . This laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd:YAG laser (PL8000, Continuum). The difference frequency (DF) between the OPA signal and idler waves is generated in a AgGaSe<sub>2</sub> crystal. This generates IR radiation in the range of  $1000 - 2400 \text{ cm}^{-1}$ . Each trapped and isolated package of ions is irradiated by 7 - 10 laser pulses (0.1 - 1.5 mJ/pulse) to yield a sufficient amount of fragment ions. The IR spectra were recorded by acquiring fragmentation mass spectra while continuously scanning the IR wavelength. The IR-PD signal was evaluated as  $\Sigma_i F_i / (\Sigma_i F_i + \Sigma_i P_i)$ , where  $F_i$  and  $P_i$  indicate fragment and the parent ion signals, respectively. An experimental IR-PD spectrum is then obtained from a plot of the fragmentation efficiency as a function of laser frequency.

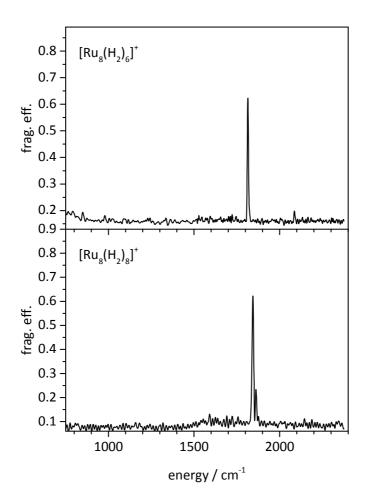
Linear (harmonic) IR absorption spectra were calculated at the PBEO<sup>[4]</sup> level of theory using the cc-pVTZ basis sets<sup>[5]</sup> (H, N), and the Stuttgart RSC 1997<sup>[6]</sup> effective core potential (Ru), respectively, as implemented in the Gaussian 09 program package<sup>[7]</sup>. SCF convergence is tedious and can be achieved only at the expense of relaxed SCF convergence criteria of  $10^{-5}$  (as compared to  $10^{-8}$  in DFT calculations on light main group elements). The calculated spectra were scaled for anharmonicities by 0.9656 above 2150 cm<sup>-1</sup> (N<sub>2</sub> stretching region, scaling factor obtained by scaling the matching calculated spectrum of  $[Ru_8(N_2)_4]^+$  to the experiment) and by 0.923 below 2150 cm<sup>-1</sup> (Ru-H stretching region, scaling factor obtained by scaling the band of the calculated energetic minimum of  $[Ru_8(H_2)_1]^+$  to the experimental band of  $[Ru_8(H_2)_2]^+$ ) and simulated using Lorentz profiles with fwhm = 5 cm<sup>-1</sup> above 2150 cm<sup>-1</sup> and of fwhm = 3 cm<sup>-1</sup> below 2150 cm<sup>-1</sup>.

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**Fig. S1** Mass spectra recorded in the  $Ru_8^+$  cluster mass range using a Ru laser vaporization target with natural isotope ratio (top) and a monoisotopic  $^{102}Ru$  foil (bottom).



**Fig. S2** IR-PD spectra of the  $[Ru_8(H_2)_6]^+$  and  $[Ru_8(H_2)_8]^+$  species from 750 cm<sup>-1</sup> to 2375 cm<sup>-1</sup>. These extended range overview spectra verify that no further spectral features are present in this region.

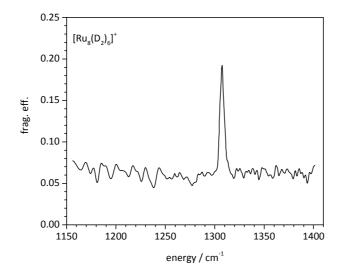
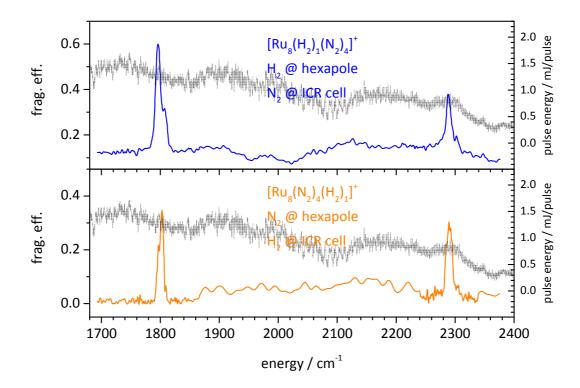
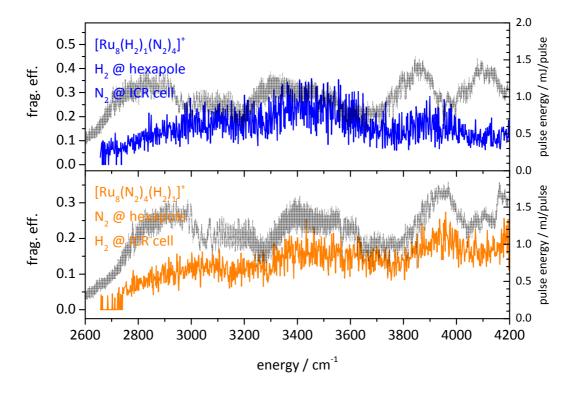


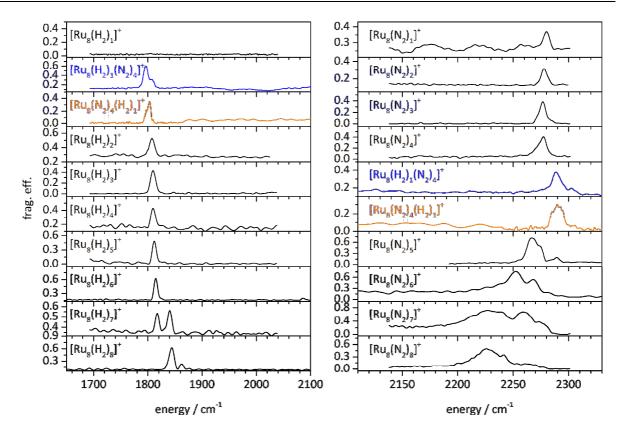
Fig. S3 IR-PD spectrum of  $[Ru_8(D_2)_6]^+$ .



**Fig. S4** IR-PD spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species from 1700 cm<sup>-1</sup> to 2375 cm<sup>-1</sup> including the laser power (gray).



**Fig. S5** IR-PD spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species from 2650 cm<sup>-1</sup> to 4200 cm<sup>-1</sup> including the laser power (gray).

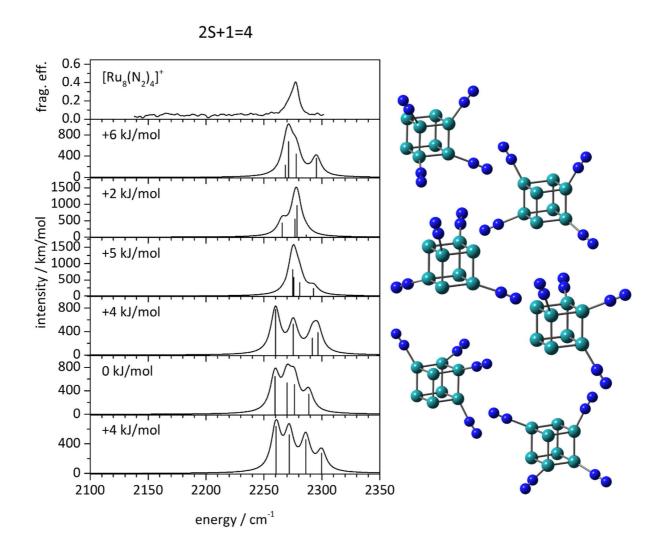


**Fig. S6** IR-PD spectra of  $[Ru_8(H_2)_k]^+$  k = 1 - 8 (left) and  $[Ru_8(N_2)_m]^+$  m = 1 - 8 (right). Both stacks include the spectra of the  $[Ru_8(H_2)_1(N_2)_4]^+$  (blue) and  $[Ru_8(N_2)_4(H_2)_1]^+$  (orange) species for comparison.

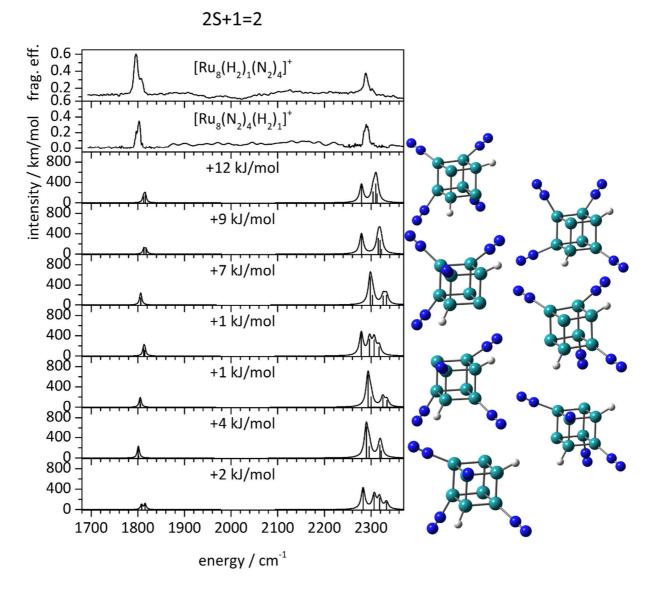
Comparison of the spectra of coadsorbed species with those of  $[Ru_8(H_2)_k]$  (Fig. S6, left): Both coadsorbed species are in line with the blue shift observed with increasing I. Therefore,  $N_2$ s has a minor influence on the overall position of the bands compared to the other  $[Ru_8(H_2)_k]^+$  species. Yet, there are subtle differences between both coadsorbed species, which we have addressed in the main text.

Comparison of the spectra of coadsorbed species with those of  $[Ru_8(N_2)_m]^+$  (Fig. S6, right): Note that the overall number of coadsorbates is equal to the intermediate adsorption limit of  $Ru_8^+$  with  $N_2$  ( $m = m_{coad} + k_{coad} = 5$ ). The vibrational pattern of both coadsorbed species show similarities to  $[Ru_8(N_2)_5]^+$ . Yet the pattern of both coadsorbed species is blue shifted. These findings could indicate that the adsorbed  $H_2$  has a similar (steric) effect to that of an additional  $N_2$  / i.e. adsorption requires a similar spatial reorganization of the other  $N_2$ 's resulting in a similar pattern. Furthermore, the  $H_2$  alters the electronic properties of the cluster, causing a blue shift. The Ru-H bond needs electron density and therefore there is less electron density available on the cluster core. The less electron density available the less

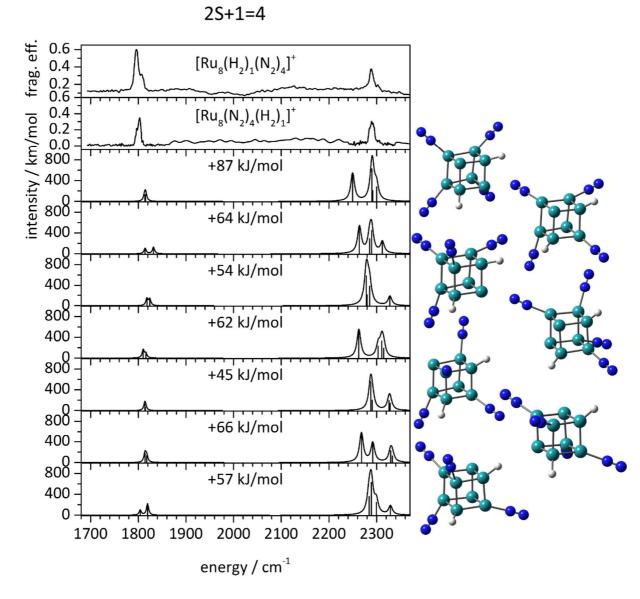
can be shifted into the anti-bonding orbitals of the adsorbed  $N_2s$  resulting in a less pronounced red shift with respect to the free  $N_2$  vibration.



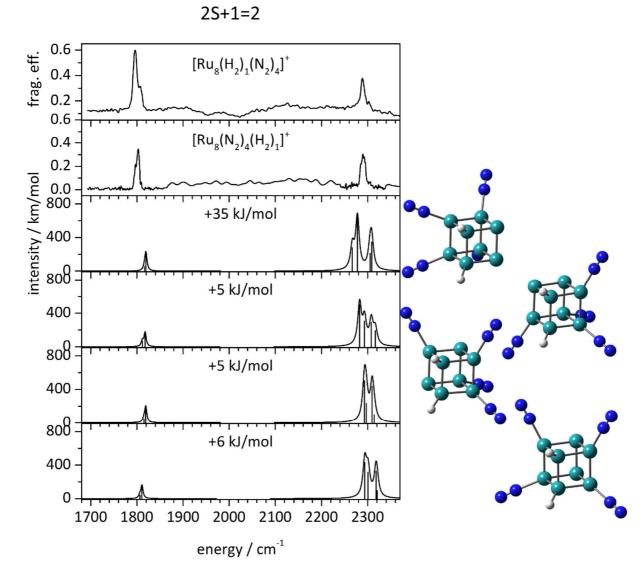
**Fig. S7.** IR-PD spectrum of  $[Ru_8(N_2)_4]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  core Only the quartet spin states are shown as the doublet spin states converged to a quartet during geometry optimization. Calculations were performed at the PBE0 level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656.



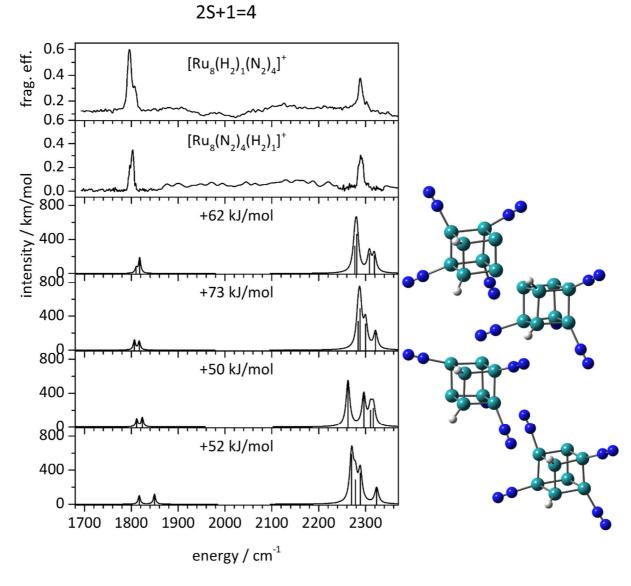
**Fig. S8.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of spectra for different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two (face) diagonal Ru atoms in doublet spin states. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



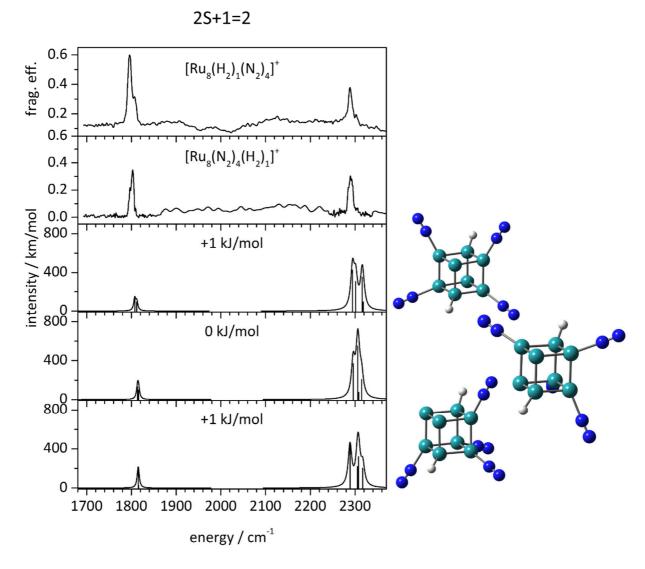
**Fig. S9.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two (face) diagonal Ru atoms in quartet spin states. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



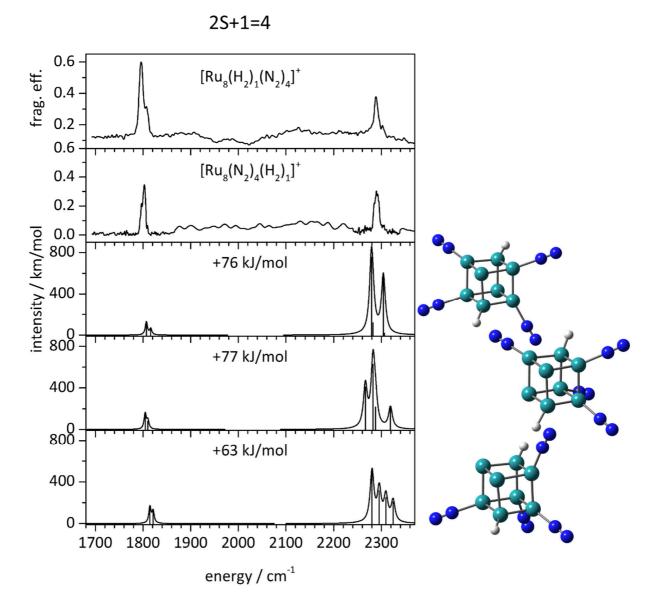
**Fig. S10.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two neighboring Ru atoms in doublet spin states. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



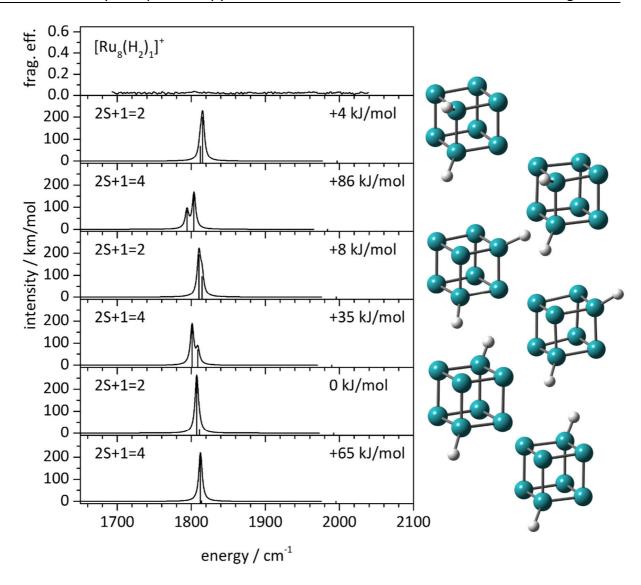
**Fig. S11.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two neighboring Ru atoms in quartet spin states. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



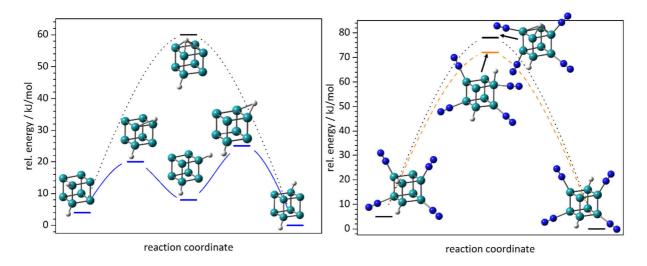
**Fig. S12.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two opposing Ru atoms (space diagonal/distal) in doublet spin states. Calculations were performed at the PBE0 level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



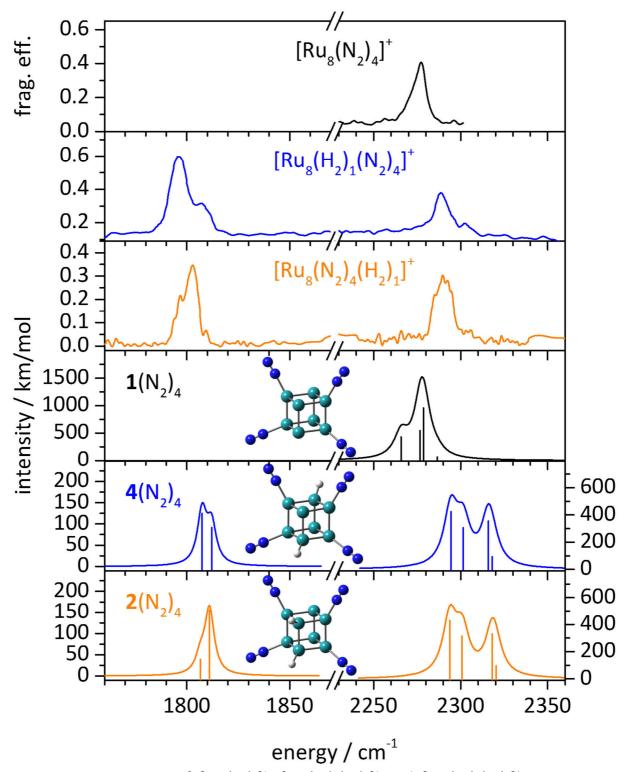
**Fig. S13.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations of different  $N_2$  adsorption sites on a cubic  $Ru_8^+$  with the H atoms on two opposing Ru atoms (space diagonal/distal) in quartet spin states. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



**Fig. S14.** IR-PD spectrum of  $[Ru_8(H_2)_1]^+$  in comparison with DFT calculations of different H adsorption sites on cubic  $Ru_8^+$  cluster in doublet and quartet spin states. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.923.



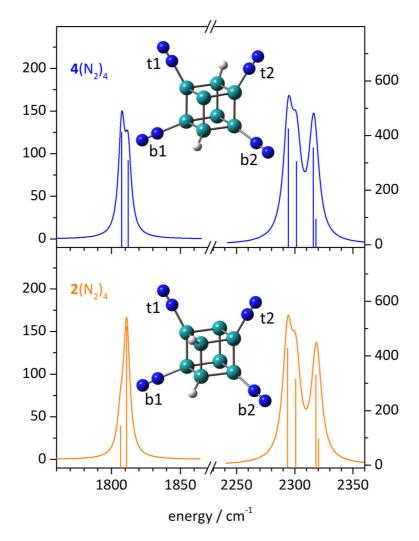
**Fig. S15.** Alternative calculated reaction paths for the formation of 4 from 2 (left) and for the formation of 4(N2)4 from 2(N2)4 (right). All displayed structures are either fully optimized local minimum structures or transition states with either none or exactly one imaginary frequency, respectively.



**Fig. S16.** IR-PD spectra of  $[Ru_8(N_2)_4]^+$ ,  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations. Calculations were performed at the PBEO level of theory using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.

# Discussion of DFT Predicted Splittings and Intensities of N<sub>2</sub> Stretching Bands as Compared to the Experimental IR-PD Spectra:

DFT predictions reveal four  $N_2$  stretching bands which originate from combined motions of the four  $N_2$  chromophores t1, t2, b1, and b2 as labeled in Fig. S17 and as assigned in Table S1 and S2. Within the experimental band width of about 5 cm<sup>-1</sup> the asymmetric/symmetric combination of the (t1,t2) couple is degenerate, and the according combination of the (b1,b2) couple as well. The experimental splitting of 13 cm<sup>-1</sup> between (t1,t2) and (b1,b2) bands comes close to the splitting of 18 cm<sup>-1</sup> predicted by DFT.



**Fig. S17.** Calculated spectra of  $4(N_2)_4$  and  $2(N_2)_4$  and their respective structure. The structures include the naming of the adsorbed  $N_2$ s.

**Table S1.** Calculated IR frequencies and assignment of the  $4(N_2)_4$ .

freq.	freq.	intensity	
unscaled	scaled /	/	assignment
/ cm <sup>-1</sup>	cm <sup>-1</sup>	km/mol	
			(t1,t2)
2376	2294	424	asymmetric
			combination
			(t1,t2)
2383	2301	305	symmetric
			combination
			(b1,b2)
2398	2316	355	asymmetric
			combination
			(b1,b2)
2401	2318	94	symmetric
			combination

**Table S2.** Calculated IR frequencies and assignment of the  $2(N_2)_4$ .

freq.	freq.	intensity	
unscaled	scaled /	/	assignment
/ cm <sup>-1</sup>	cm <sup>-1</sup>	km/mol	
			(t1,t2)
2375	2294	429	asymmetric
			combination
			(t1,t2)
2383	2301	314	symmetric
			combination
			(b1,b2)
2401	2318	330	asymmetric
			combination
			(b1,b2)
2403	2320	96	symmetric
			combination

As often discussed before<sup>[1]</sup> IR-PD intensities may deviate from DFT predicted IR absorption intensities particularly when vibrational state densities are sparse – leading to "dark bands" in IR-PD spectra . In the present case these state densities seem high at first glance. Note however, that given the mass mismatch of Ru and N<sub>2</sub>, distant N<sub>2</sub> oscillators may be decoupled from motions of the Ru<sub>8</sub> core, from the RuH oscillators, and possibly also from each other. The stability of all of the predicted N<sub>2</sub> band positions against H-migration ( $2N_2$ )

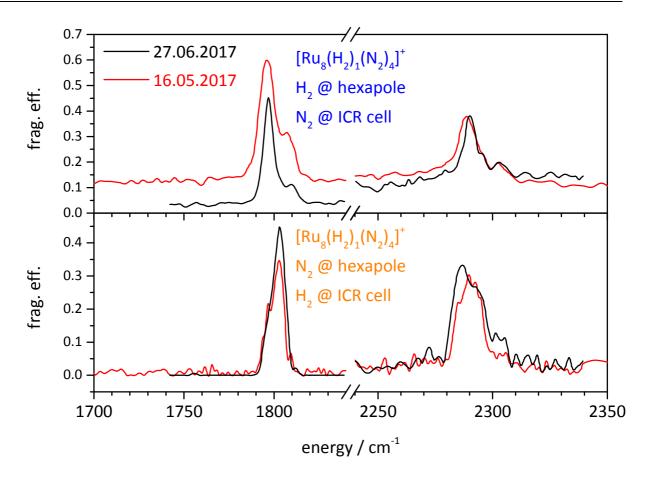
versus **4**N<sub>2</sub> isomers) proves decoupling from the RuH oscillators. The 7 cm<sup>-1</sup> splitting within the asymmetric/symmetric N<sub>2</sub> stretching combinations of the (t1,t2)-pairs in both isomers strongly suggests the <u>coupling</u> of these N<sub>2</sub> oscillators <u>into global modes</u>, while the negligible splitting of (b1,b2) bands (2 cm<sup>-1</sup>) suggests the <u>decoupling</u> of these N<sub>2</sub> oscillators <u>towards</u> <u>local modes</u>. The differences in the coupling of (t1,t2) pairs versus (b1,b2) pairs likely originate from the small versus large tilt and/or the concomitant proximity versus distance amongst these N<sub>2</sub> pairs, respectively.

It is thus likely possible to excite the b1 and b2 chromophores independently, and their excitation may sustain long enough such that their vibrational ground states stay depleted and another  $|0> \rightarrow |1>$  excitation of each chromophore cannot occur within the limited duration of the IR photon pulse (6 ns) in the experiment. In such a case only one IR absorption takes place – fragmentation likely not: the considerable heat of fragmentation  $\Delta_{\text{frag}}H(\text{Ru-N}_2)$  of ~50 kJ/mol would require at least a two photon excitation and an effective coupling of excited oscillators to the heat bath of the entire complex, and thereby to the Ru-N<sub>2</sub> fragmentation coordinate. In effect, the \* labelled strong IR absorption bands (by DFT) correspond to significantly diminished experimental IR-PD bands, this difference originating from a lack of efficient internal vibrational redistribution (IVR).

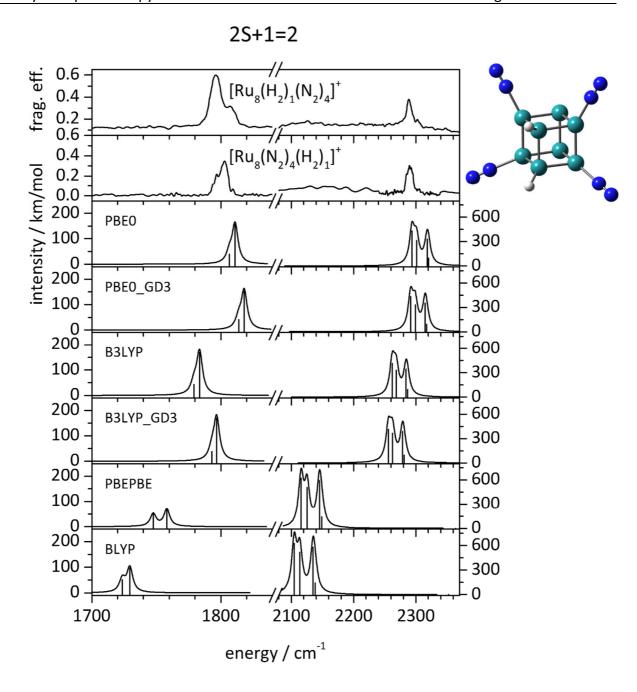
A 2c-IR2PD scheme with two independent IR colors in the same spectral range in combination with cryo tandem ion trapping would allow us to better explore these intensity issues. The corresponding large scale instrumental modification is in progress.

#### **References:**

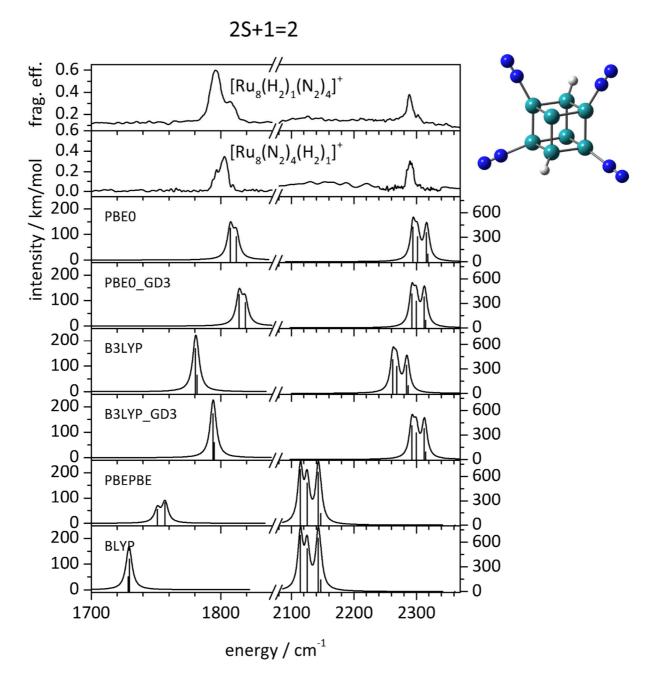
[1] a)T. Pankewitz, A. Lagutschenkov, G. Niedner-Schatteburg, S. S. Xantheas, Y. T. Lee, *J. Chem. Phys.* **2007**, *126*, 074307; b)Y. Nosenko, F. Menges, C. Riehn, G. Niedner-Schatteburg, V. E. Bondybey, *Phys. Chem. Chem. Phys* **2013**, *15*, 8171-8178.



**Fig. S18.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  recorded on two separate measurement days. Note the reproducibility even of minor features.



**Fig. S19.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations with different functionals (PBEO, PBEO including the Grimme correction for dispersion (GD3), B3LYP, B3LYP including the Grimme correction for dispersion (GD3), PBEPBE, and BLYP). Calculations were performed using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.



**Fig. S20.** IR-PD spectra of  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  in comparison with DFT calculations with different functionals (PBEO, PBEO including the Grimme correction for dispersion (GD3), B3LYP, B3LYP including the Grimme correction for dispersion (GD3), PBEPBE, and BLYP). Calculations were performed using cc-pVTZ (N) and Stuttgart RSC 1997 effective core potential (Ru) basis sets. The calculated harmonic vibrations were scaled by 0.9656 above 2150 cm<sup>-1</sup> and by 0.923 below 2150 cm<sup>-1</sup>.

# 9 Cryo IR Spectroscopy of [Hemin] + Complexes in Isolation

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#### 9.1 Preamble

The following chapter is a reprint of a publication in the journal "The Journal of Physical Chemistry A".

The experiments were performed by myself. The data evaluation was done by myself. The computations were done by myself. The initial manuscript was written by myself and revised with the help of G. Niedner-Schatteburg and J. Lang.

# Full Reference:

Cryo IR Spectroscopy of [Hemin]+ Complexes in Isolation

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## 9.2 Reprint

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Isolation

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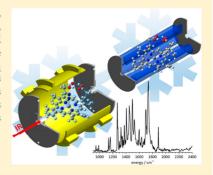
# Cryo IR Spectroscopy of [Hemin] Complexes in Isolation

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Supporting Information

ABSTRACT: We present cryo IR spectra of isolated [Hemin] adducts with CO,  $N_2$ , and  $O_2$  ([Hemin(CO)<sub>1</sub>]<sup>+</sup>, [Hemin(CO)<sub>2</sub>]<sup>+</sup>, [Hemin( $^{14}N_2$ )]<sup>+</sup>, [Hemin( $^{15}N_2$ )]<sup>+</sup>, and  $[Hemin(O_2)]^+$ ). Well resolved bands allow for the elucidation of structure and spin multiplicity in conjunction with density functional (DFT) calculations. There is a quartet spin state for the N<sub>2</sub> and CO adducts and a sextet spin state for the O<sub>2</sub> adduct, where the O2 retains its triplet state. The double CO adsorption induces significant changes in the vibrational patterns of the IR spectra, which we take as strong evidence for a spin quenching into a doublet. Our study characterizes [Hemin]<sup>+</sup>, which is the Fe<sup>3+</sup> oxidation product of heme that is of ubiquitous presence in hemeproteins.



#### **■ INTRODUCTION**

Porphyrins and porphyrin iron complexes, such as heme, are of utmost importance in biochemistry. Hemeproteins maintain the respirational  $O_2$  transport of vertebrates  $^{3,4}$  and many other redox catalytic processes. 5,6 Since the first discovery and interpretation of the binding of O2 and CO to hemoglobin, 7 it is well-known that the active center in heme is a Fe<sup>2+</sup> ion. There are remarkable changes in the magnetic susceptibilities upon the coordination of O2 to hemoglobin, resulting in a diamagnetic species.7 This has been interpreted in terms of a Fe2+ (high spin quintet) and an isolated O2" (triplet), which change upon coordination to an antiferromagnetically coupled Fe3+ (low spin doublet) and an O2- (doublet). 8,9 Thus, the oxidation state of this metal atom is critical for the formation of this bond, as O2 does not bind to the complex under physiological conditions if iron is initially in the Fe3+ state.10 Nevertheless, it is of interest to elucidate the coordination of  $Fe^{3+}$ -heme ([Hemin] $^+$ , Scheme 1 right) with biorelevant molecules, as e.g. the reduction of denatured  $Fe^{3+}$  cytochrome has proven instrumental in the investigation of hemeprotein folding.1

Hence, there are not only reaction studies of isolated Fe<sup>2+</sup> porphyrins<sup>12</sup> but also several studies of the interactions of [Hemin]+ with different molecules under isolated conditions which elucidated the kinetics and thermodynamics of these reactions. 13-19 Ion mobility studies of [Hemin]<sup>+</sup> elucidated structural features in the gas phase in combination with DFT calculations<sup>20</sup> and its solution phase behavior by FTIR spectroscopy.<sup>21</sup> Void of solvent effects [Hemin]<sup>+</sup> was the subject of spectroscopic characterization in the Soret band and Q-band region. <sup>22,23</sup> The interaction of [Hemin]<sup>+</sup> with NO has been extensively studied, using both visible 24 and IR 25, spectroscopy in the gas phase.

As already mentioned  $[Hemin]^+$  does not bind  $O_2$  at room temperature due to its oxidation state (III) of the central iron

Scheme 1. Molecular Structure of Hemin (Fe<sup>III</sup>C<sub>34</sub>H<sub>32</sub>N<sub>4</sub>O<sub>4</sub>Cl, Left) and [Hemin]<sup>+</sup>  $([Fe^{III}C_{34}H_{32}N_4O_4]^+, Right)$ 

atom. However, Ferrand et al. recently described the first ever observation of  $[{\rm Hemin}]^{\scriptscriptstyle +}\text{-}{\rm N}_2$  and  $[{\rm Hemin}]^{\scriptscriptstyle +}\text{-}{\rm O}_2$  complexes in a cryogenic ion trap and characterized them using electronic spectroscopy and determined the bond formation enthalpy of [Hemin] + O2 by means of temperature dependent measure ments. 27,28

Our approach aims at the creation of [Hemin]<sup>+</sup> adducts with various biorelevant molecules in a cryogenic ion trap and characterization of structures and spin states by InfraRed Photon Dissociation (IR-PD) spectroscopy in conjunction with DFT modeling.

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7191

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#### The Journal of Physical Chemistry A

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#### EXPERIMENTAL AND COMPUTATIONAL METHODS

We utilized a customized Fourier Transform Ion Cyclotron Resonance (FT-ICR) mass spectrometer (Apex Ultra, Bruker Daltonics)<sup>29</sup> for generation of the species of interest and the subsequent InfraRed Photon Dissociation (IR-PD) spectroscopy using a laservison OPO/OPA system. This mass spectrometer is equipped with an Electrospray Ionization (ESI) source (Apollo 2, Bruker) and a home-built laser vaporization cluster ion source (Figure 1).

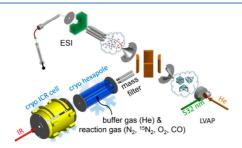


Figure 1. Schematic drawing of our tandem cryo trap FT-ICR MS. The ions are generated via Electro Spray Ionization (ESI) or Laser VAPorization (LVAP). The ions enter the cryo hexapole after passing a mass filter. The hexapole is held at a constant temperature between 11 and 300 K. Reaction gas and buffer gas can be introduced into this ion trap. The ions are transferred into the cryo ICR cell after a variable reaction time. In the cryo ICR cell the ions are isolated, irradiated with an IR laser, and detected.

Hemin (Scheme 1 left) was purchased from Sigma-Aldrich and used without further purification. Sample solutions of the Hemin complex in methanol at the concentration of  $1\times 10^{-4}$  mol/L were used. We detect the [Hemin]+ ([FeC $_{34}$ H $_{32}$ N $_{4}$ O $_{4}$ ]+, Scheme 1 right). We mass selected the [Hemin]+ and guided it into the cryo hexapole. Reaction gas (CO,  $^{14}$ N $_{2}$ ,  $^{15}$ N $_{2}$ , and O $_{2}$ ) was continuously introduced in the ion trap. The temperature was adjusted to the respective reaction gas (30 K for CO, 28 K for  $^{14}$ N $_{2}$  and  $^{15}$ N $_{2}$ , 45 K for O $_{2}$ ). The ions are guided into the FT-ICR cell.  $^{30}$  This cell is cooled to a temperature of about 10 K. The ICR cell is used for trapping, isolation, and detection of the ions. It is coupled to a tunable pulsed IR laser ( $\Delta \tilde{\nu}=0.9$  cm $^{-1}$ ,  $\Delta t=7$  ns).

Optimized minimum energy structures and linear IR absorption spectra were calculated at the B3LYP<sup>31,32</sup> level of theory using 6-31+G\* basis sets (C, H, N, O)<sup>33</sup> and Stuttgart RSC 1997<sup>34</sup> effective core potential (Fe) basis sets, respectively, as implemented in the Gaussian 09 program package.<sup>35</sup> We included the Grimme correction for dispersion (GD3).<sup>36</sup> We ran the calculations with several different basis sets and a different functional which did not provide for a significant improvement agreement (cf. Figures \$13–\$15). We scaled the calculated harmonic vibrational frequencies by a factor of 0.97 and broadened using the Lorentz profile (fwhm = 5 cm<sup>-1</sup>). For a more detailed description of the experimental and computational methods refer to the Supporting Information.

#### ■ RESULTS AND DISCUSSION

We stored the generated [Hemin]<sup>+</sup> ions in our cryogenically cooled hexapole ion trap. Addition of reaction gas results in the formation of [Hemin]<sup>+</sup> adsorbate complexes (cf. Figure 2). The temperature was individually adjusted for each reaction gas to

prevent condensation of the respective molecules (CO = 30 K,  $N_2 = 28$  K,  $O_2 = 45$  K).

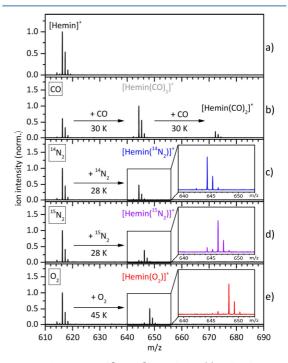


Figure 2. Mass spectra of  $[Hemin]^+$  in isolation (a) and with various reaction gases (b-e). The temperature of the ion trap was adjusted to yield a sufficient amount of reaction product. Insets illustrate mass shifts due to adsorption.

Without any reaction gas, a single species arises in the mass spectrum at 616 m/z (most abundant isotope peak, cf. Figure 2a), which is assigned to the [Hemin]<sup>+</sup> species. We safely exclude the observation of dicationic dimers as the isotopic pattern shows a 1 m/z spacing exclusively. Reaction with CO yields two additional mass peaks which are shifted by 28 m/z and 56 m/z. (cf. Figure 2b). We assign these peaks to the singly adsorbed CO species ([Hemin(CO)<sub>1</sub>]<sup>+</sup>). Nitrogen reaction gases, <sup>14</sup>N<sub>2</sub> and <sup>15</sup>N<sub>2</sub>, lead to mass peaks at 644 m/z and 646 m/z (cf. Figure 2b and 2c) corresponding to [Hemin( $^{14}$ N<sub>2</sub>)]<sup>+</sup> and [Hemin( $^{15}$ N<sub>2</sub>)]<sup>+</sup>, respectively. The reaction gas O<sub>2</sub> gives rise to a new peak in the mass spectrum shifted by 32 m/z, which is assigned to the [Hemin( $^{0}$ )]<sup>+</sup> complex.

We applied our IR-PD scheme in the range of 900 to 2400 cm<sup>-1</sup> to the generated [Hemin]<sup>+</sup> adducts to gather further insights into structure, binding motifs, and/or spin states supported by DFT calculations. We recorded numerous well resolved bands in this region (Figure 3). In addition, we checked the region from 2800 to 3800 cm<sup>-1</sup> where we found extremely broad bands (cf. Figure S2) which we tentatively assign to low lying electronic transitions. Free OH oscillators seem absent; the cold complexes likely involve double hydrogen bonding of the twin carboxyclic residues which yields broad and structureless spectral features to the red of the free OH stretches.

There are remarkable similarities between the singly adsorbed species of CO,  $N_2$ , and  $O_2$  ([Hemin(CO)<sub>1</sub>]<sup>+</sup>,

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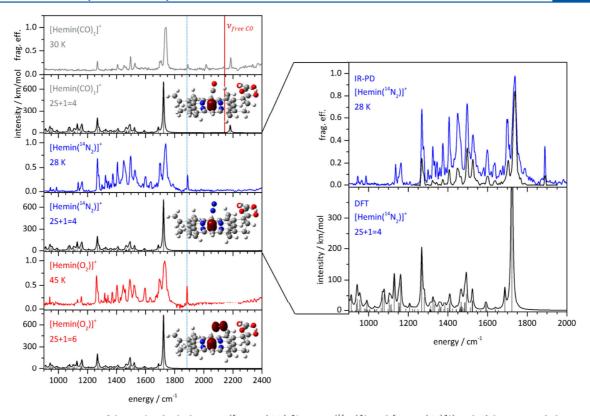


Figure 3. IR-PD spectra of the singly adsorbed species ( $[Hemin(CO)_1]^+$ ,  $Hemin(^{14}N_2)]^+$ , and  $[Hemin(O_2)]^+$ ) and of the computed absorption spectra of the most stable isomers. The presented structures include the isosurfaces of the spin density. The red line is to indicate the stretching frequency of free CO (2143 cm<sup>-1</sup>). The blue dotted line is to highlight the well resolved band which is not present in the solid state and which is only visible in the gas phase (cf. Figure S3). The zoom in on the right includes the experimental spectrum measured with attenuated laser power in black (cf. Figure S4).

 $[Hemin(^{14}N_2)]^+$ ,  $[Hemin(^{15}N_2)]^+$ , and  $[Hemin(O_2)]^+$ ). All spectra show two strong bands around 1740 and 1700 cm $^{-1}$ , which correspond to the symmetric and asymmetric stretching modes of the carboxyl groups, and all spectra show almost identical vibrational patterns. This leads us to the conclusion that in all these cases the adsorbed molecules induce indentical changes in the complex or do not alter the structure of the  $[Hemin]^+$  at all.

All single adsorbate species show a narrow band at around 1890 cm<sup>-1</sup> (cf. Figure 3, blue dotted line). This band is neither observed in the solid state spectrum of Hemin (Figure S3)<sup>3</sup> nor reproduced by the calculations. Its stability against ligand variation (CO,  $N_2$ ,  $O_2$ ) and against isotopic variation ( $^{14}N_2$  vs <sup>15</sup>N<sub>2</sub>) proves that the vibrations of this band are located entirely on the [Hemin]+. We further exclude that this band originates from another isomer as we did not find any structures with vibrations in this spectral region. We further exclude the observation of any hot band as we investigate cryo cooled species which diminishes the probability of hot bands.<sup>38</sup> Overtones in this spectral region are also unlikely as the calculations do not predict vibrational transitions of high IR intensity around  $950\ \mathrm{cm}^{-1}$ . Hence, we assign this band to a combination mode similar to what has been observed before above 1800 cm<sup>-1</sup>.<sup>39</sup> Our tentative assignment is a combination of the asymmetric carboxyl stretch ([Hemin(14N2)]+: IR-PD: 1736 cm<sup>-1</sup>, DFT scaled: 1723 cm<sup>-1</sup>) with an alkyl carboxyl arm

twist ([Hemin( $^{14}N_2$ )]\*: DFT scaled: 160 cm $^{-1}$ ). In view of the prevailing double H-bond such a coupling is self-evident when in isolation. Stacking of the hemin units and competing intermolecular H-bonds may have significant effects on vibrational modes in solid state.  $^{40,41}$  Therefore, such a combination band is expected to vanish in an according solid state IR spectrum, and normal-mode analysis derived DFT predictions merely report its components.

To gain further insight we performed DFT calculations of different H-bonding isomers, of cis and trans binding sites, and of low, medium, and high spin states (2S+1=2 (doublet), 2S+1=4 (quartet), and <math>2S+1=6 (sextet)) for all complexes (Figures S6–S8, S16). In all cases the most stable isomer forms two H-bonds with its two carboxyl groups, and the adsorbate arranges itself on the iron center and in cis configuration to the adjacent alkyl carboxyl moieties, which is both on the same side of the porphyrin plane (Figure S5). The calculations predict a stabilization of the cis isomer by about 3 kJ/mol (cf. Figure S9), and we will focus on this cis adsorption in the following.

First we will discuss the DFT modeling of the [Hemin- $(^{14}N_2)$ ]<sup>+</sup> complex as representative for the singly adsorbed species (Figure 3), and all singly adsorbed species exhibit similar features. Minor differences in the spectra of the other adsorbates will be discussed later when appropriate. The DFT calculations of  $[Hemin(^4N_2)]^+$  predict that the most stable isomers are in the quartet spin state, and the other possible spin

states are less stable. We exclude the other spin states which do not correlate well with our recorded IR-PD spectrum (doublets in Figure S6) or which are more than 30 kJ/mol less stable (sextets in Figure S6). An intermediate (quartet) spin state has been predicted for the naked [Hemin]<sup>+</sup> before.<sup>42</sup>

The close-up of the calculated quartet spectrum (Figure 3 right) shows the excellent agreement with the experimental spectrum. The vibrational pattern is adequately reproduced with minor exceptions. We observe no IR-PD bands in the region from 1020 to 1120 cm<sup>-1</sup> which is due to the decrease of laser power in this region (cf. Figure S4), which then fails to induce fragmentation. Note that the calculations do not predict any combination bands in principle, while we have discussed a tentative assignment of the 1890 cm<sup>-1</sup> IR-PD band to a combination of calculated normal modes above.

The N-N stretching mode of the adsorbed 14N2 is not visible in the recorded spectrum. The calculations predict the N-N stretching frequency around 2390 cm<sup>-1</sup> (unscaled: 2463.48 cm<sup>-1</sup>) and by a meager IR intensity of only 2.3 km/ mol. The predicted low IR intensity (cf. Table S1) makes it nearly impossible to detect this stretching mode. Note that the calculated IR frequency corresponds to a blue shift of 60 cm<sup>-1</sup> with respect to the (IR inactive) mode of the free 14N2 (2330 cm<sup>-1</sup>).<sup>43</sup> This finding is in line with the observed blue shift of the CO mode in the [Hemin(CO)<sub>1</sub>]<sup>+</sup> complex and with our previous results of a blue shift of the N2 mode upon the adsorption on Fe<sup>3+</sup> in a "nonclassical" N<sub>2</sub>-Fe complex. 44 IR-PD spectra of the isotopologue complexes,  $[Hemin(^{14}N_2)]^{+}$ and [Hemin(15N2)]+, reveal no isotopic shifts of any observed band (cf. Figure S10), all of which thus locate at the [Hemin]+ itself.

The experimental IR-PD spectrum of the  $[Hemin(CO)_1]^+$ complex is significantly weaker than that of [Hemin(N2)]+ apart from the carboxyl band at 1740 cm<sup>-1</sup>, the applied laser intensities kept identical. The calculated IR intensities of these species are similar (cf. Figure 3). The observed intensity differences thus relate to a higher fragmentation enthalpy in the CO complex. A CO ligand at an Fe<sup>3+</sup> ion, as well established by coordination chemisty, allows for optimal  $\sigma$  donor and  $\pi^*$ acceptor overlap with appropriate d orbitals at Fe3+, whereas an  $N_2$  ligand provides for lower lying  $\sigma$  orbitals and higher  $\pi^*$ orbitals which results in a weaker overlap with the Fe3+ d orbitals. 45 The DFT modeling of [Hemin(CO)<sub>1</sub>]<sup>+</sup> predicts a quartet spin state (cf. Figure 3 and Figure S7 for other spin states) as well. Again, we exclude the other spin states, which do not correlate well with our recorded IR-PD spectrum (doublets in Figure S7) or which are about 30 kJ/mol less stable (doublets and sextets in Figure S7). The calculated spectrum looks very similar to the one of  $[Hemin(N_2)]^+$  - in line with the recorded IR-PD spectra - except for subtle differences. The experimental IR-PD spectrum of [Hemin-(CO)<sub>1</sub>]<sup>+</sup> reveals two additional bands: One band is located at 2016 cm<sup>-1</sup> below the free CO stretch (2143 cm<sup>-1</sup>, Figure 3 red line);<sup>43</sup> the other band is located at 2185 cm<sup>-1</sup> above. We assign the 2185 cm<sup>-1</sup> band to the bound CO stretch mode and 42 cm<sup>-1</sup> to the blue of the free CO mode. Similar observations were made in nonclassical Ag+-CO complexes46,47 and in recently characterized Fe3+-N2 complexes44 - our current DFT modeling lending further support (cf. Figure 3). In contrast, the 2016 cm<sup>-1</sup> band is not reproduced by DFT. Once more excluding a hot band, we deduce another combination band which needs to involve the CO ligand. Close inspection of the normal modes as predicted by our DFT modeling allows us to suggest a tentative assignment in terms of a combination of the asymmetric carboxyl stretch ([Hemin(CO)\_1]^+: IR-PD: 1737 cm^{-1}, DFT scaled: 1723 cm^{-1}) with the  $\nu_{40}$  and/or  $\nu_{41}$  modes (DFT scaled: 276 and 275 cm<sup>-1</sup>) that describe a combined porphyrin ring bending and CO wagging. The corresponding modes of the [Hemin( $^{14}N_2$ )]<sup>+</sup> complex reveal significantly less motion of the adsorbed  $N_2$ . This would explain why such a combination band only contributes to the IR-PD spectrum of the [Hemin(CO)\_1]<sup>+</sup> complex.

The experimental IR-PD spectrum of  $[Hemin(O_2)]^+$  is almost identical to that of  $[Hemin(N_2)]^+$ . Our DFT modeling reveals a most stable sextet spin state, and the according linear IR spectrum agrees well with experiment (cf. Figure S8). The  $[Hemin(O_2)]^+$  comprises 3 unpaired e<sup>-</sup> at the Fe<sup>3+</sup> and 2 unpaired e<sup>-</sup> on the  $O_2$ , yielding in total a sextet spin state of 5 unpaired e<sup>-</sup>. The isosurfaces of the spin densities on the iron center of the  $[Hemin(O_2)]^+$  (sextet), the  $[Hemin(^{14}N_2)]^+$  (quartet), and the  $[Hemin(CO)_1]^+$  (quartet) are almost identical (cf. insets to Figure 3). In contrast the less stable  $[Hemin(^{14}N_2)]^+$  sextet state would provide for more Fe spin density. Merely the  $[Hemin(O_2)]^+$  (sextet) provides spin density on the adsorbed molecule.

The recorded IR-PD spectrum of [Hemin(CO)<sub>2</sub>]<sup>+</sup> deviates significantly from all spectra of complexes with a single adsorbate molecule, and it reveals an astonishing loss of complexity (Figure 4) that could hint at strongly bound adsorbates resulting in nonlinear multiple photon fragmentation. The spectrum reveals only four bands. Two of these (at 1704 and 1739 cm<sup>-1</sup>) are observed in the previously discussed

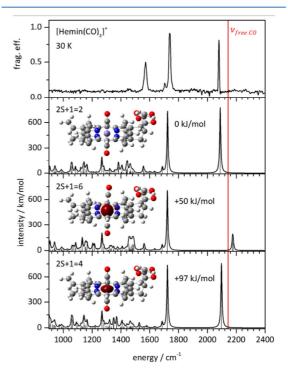


Figure 4. IR-PD spectrum of the  $[Hemin(CO)_2]^+$  complex (top) and calculated spectra of doublet, quartet, and sextet spin states (below). The presented structures (insets) include isosurface plots of the spin density. The red line is to indicate the stretching frequency of free CO (2143 cm<sup>-1</sup>).

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spectra as well; the other two (at 1570 and 2080 cm<sup>-1</sup>) are new. The 2080 cm<sup>-1</sup> band corresponds to the asymmetric stretching mode of both coupled CO ligands, the symmetric combination lacking IR intensity. In contrast to the [Hemin-(CO)<sub>1</sub>]<sup>+</sup> complex, this CO mode is red-shifted by more than 60 cm<sup>-1</sup>. We thus observe the transition from a nonclassical CO complex ([Hemin(CO)<sub>1</sub>]<sup>+</sup>, blue shift of the CO mode) to a classical CO complex ([Hemin(CO)<sub>2</sub>]<sup>+</sup>, red shift of the CO mode) upon adsorption of the second CO. This finding is corroborated by our DFT calculations. The nonclassical blue shift is mainly caused by electrostatic interactions<sup>48</sup> between the positive Fe center (+2.02 by natural population analysis 49) and the adsorbed CO. The Fe-CO interaction in the [Hemin- $(CO)_2$  doublet state (+0.18 at the Fe center) bears much less electrostatic character, and it is dominated by the classical  $\pi$ back-donation, which induces the observed red shift of the CO stretch. Note the Fe coordination in the porphyrin plane of the [Hemin(CO)<sub>2</sub>]<sup>+</sup> doublet and the slightly out of plane coordination in the  $[Hemin(CO)_1]^+$  quartet species (cf. structural data in the supplement). Albeit with too low an intensity, DFT predicts correctly the position of the experimental 1570 cm<sup>-1</sup> band in [Hemin(CO)<sub>2</sub>]<sup>+</sup> - a band which is highly delocalized over several porphyrin C-C bonds.

We are confident to predict a spin quenching into a doublet upon the adsorption of a second CO. This quenching is endorsed by the enhanced stability of the doublet - by at least 50 kJ/mol as of our DFT modeling. Crystal field theory would also predict a low spin ground state, as this theory classifies CO as one of the strongest ligands in the spectrochemical series, and it induces a large crystal field splitting in favor of low spin complexes.4

#### CONCLUSIONS

We present the well-resolved gas phase IR spectra of [Hemin] complexes in isolation. We have identified a negligible spectral effect of single adsorbed molecules - all of these complexes containing a  $Fe^{3+}$  in the quartet spin state. The  $[Hemin(O_2)]^+$ complex is in an overall sextet spin state as the O2 retains its triplet state upon adsorption. We identify multiple combination bands. We find a nonclassical ( $[Hemin(CO)_1]^+$ ) and a classical ([Hemin(CO)<sub>2</sub>]<sup>+</sup>) carbonyl complex, which we assign to quartet and to quenched doublet spin states. This study provides for spectroscopic characterization Fe<sup>3+</sup> heme oxidation products and complexes which are ubiquitously present in hemeproteins and which are in use for the experimental quantification of hemeprotein folding dynamics.

#### ASSOCIATED CONTENT

### S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpca.7b08604.

> Detailed experimental and computational methods; gas phase IR spectrum of [Hemin(<sup>14</sup>N<sub>2</sub>)]<sup>+</sup> with an extended spectral range; comparison of gas phase and solid state IR spectra; IR-PD spectra and structures by additional DFT calculations; xyz files of geometry optimized [Hemin]<sup>+</sup> complexes (PDF)

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The authors declare no competing financial interest.

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#### DEDICATION

This work is dedicated to Manfred Kappes in recognition of his seminal contributions to the field of porphyrin chemistry.

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# 9.3 Supporting Information

#### **Table of Content:**

#### **Detailed Experimental and Computational Methods**

Figure S1. Schematic drawing of our tandem cryo trap FT-ICR MS.

**Scheme S1.** Molecular structure of Hemin ( $Fe^{III}C_{34}H_{32}N_4O_4CI$ ) and [Hemin]<sup>+</sup> ([ $Fe^{III}C_{34}H_{32}N_4O_4$ ]<sup>+</sup>).

Figure S2. IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in the region from 2800 to 3800 cm<sup>-1</sup>.

**Figure S3.** Comparison of the gas phase IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  and a solid state IR spectrum of Hemin recorded via attenuated total reflection FTIR spectroscopy.

**Figure S4.** IR-PD spectra of  $[Hemin(^{14}N_2)]^+$  recorded with different laser powers.

Figure S5. Illustration of different possible adsorption sites for the reaction gases.

**Figure S6.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations of different adsorption sites (trans and cis) and spin states (doublet, quartet and sextet).

**Figure S7.** IR-PD spectrum of  $[Hemin(CO)_1]^+$  in comparison with DFT calculations of different adsorption sites (trans and cis) and spin states (doublet, quartet and sextet).

**Figure S8.** IR-PD spectrum of  $[Hemin(O_2)]^+$  in comparison with DFT calculations of different adsorption sites (trans and cis) and spin states (quartet and sextet).

**Table S1.** Calculated IR frequencies and intensities of the  $^{14}N_2$  in [Hemin( $^{14}N_2$ )]<sup>+</sup>.

**Figure S9.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations of the energetically most favored isomers (cis quartet and trans quartet).

**Figure S10.** IR-PD spectra of the [Hemin]<sup>+</sup> adsorbate complexes.

**Figure S11.** Isosurfaces of the spin density of the  $[Hemin(O_2)]^+$  cis quartet isomer with the  $[Hemin(^{14}N_2)]^+$  cis quartet and sextet.

**Figure S12.** Isosurfaces of the spin density of the  $[Hemin(CO)_2]^+$  doublet with a isovalue of the spin density of 0.02 (default) and an decreased value of 0.002 in comparison with the spin densities of the  $[Hemin(CO)_1]^+$  doublet and  $[Hemin(^{14}N_2)]^+$  doublet, both default isovalues.

**Figure S13.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations with different basis sets (6-311++G\*\* and 6-31+G\*) of the cis quartet isomer.

**Figure S14.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations with different basis sets (cc-pVDZ and 6-31+G\*) of the cis quartet isomer.

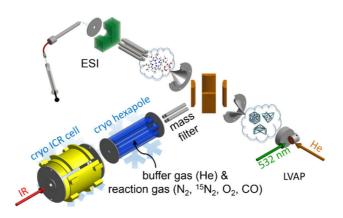
**Figure S15.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations with different functionals (PBE0 and B3LYP) of the cis quartet isomer.

**Figure S16.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations of different isomers containing two, one or no hydrogen bonds (HBB).

XYZ-Files of Geometry Optimized [Hemin]\* Complexes

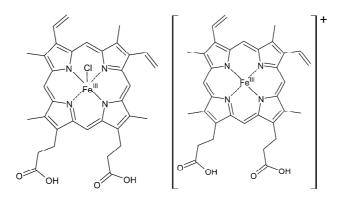
#### **Detailed Experimental and Computational Methods:**

We utilized a customized Fourier Transform Ion Cyclotron Resonance (FT-ICR) mass spectrometer (Apex Ultra, Bruker Daltonics)<sup>1</sup> for generation of the species of interest and the subsequent InfraRed Photon Dissociation (IR-PD) spectroscopy. This mass spectrometer is equipped with an Electrospray Ionization (ESI) source (Apollo 2, Bruker) and a home-built laser vaporization cluster ion source <sup>2 3</sup> (Figure S1).



**Figure S1.** Schematic drawing of our tandem cryo trap FT-ICR MS. The ions can be generated via Electro Spray Ionization (ESI) or Laser VAPorization (LVAP). The ions enter the cryo hexapole after passing several ion optics and a quadrupole mass filter. The hexapole is held at a constant temperature between 11 and 300 K. Reaction gas and buffer gas can be introduced in this ion trap. The manipulated ions are transferred into the cryo ICR cell after a variable reaction time. In the cryo ICR cell the ions are isolated, irradiated with an IR laser and detected.

Hemin (FeC<sub>34</sub>H<sub>32</sub>N<sub>4</sub>O<sub>4</sub>Cl, Scheme S1 left) was purchased from Sigma Aldrich and used without further purification. Sample solutions of the Hemin complex in methanol at the concentration of  $1 \times 10^{-4}$  mol/l were continuously infused into the ESI source by a syringe pump at a flow rate of 2  $\mu$ L min<sup>-1</sup>. The ion source was set to positive electrospray ionization mode. Nitrogen was used as drying gas with a flow rate of 3.0 L min<sup>-1</sup> at 200°C. The solutions were electro-sprayed at a nebulizer flow of 1.5 L min<sup>-1</sup> and the spray shield was held at 3.6 kV. We detect the [Hemin]<sup>+</sup> (FeC<sub>34</sub>H<sub>32</sub>N<sub>4</sub>O<sub>4</sub>, Scheme S1 right).



**Scheme S1** Molecular structure of Hemin ( $Fe^{III}C_{34}H_{32}N_4O_4CI$ , left) and [Hemin]<sup>+</sup> ([ $Fe^{III}C_{34}H_{32}N_4O_4$ ]<sup>+</sup>) generated via electro spray ionization (right).

We mass selected the [Hemin] $^+$  by our quadrupole mass filter and guided it into the hexapole ion trap which was held at a constant temperature. The ion trap is cooled by a closed cycle Helium cryostat. Reaction gas (CO,  $^{14}N_2$ ,  $^{15}N_2$  and  $O_2$ ) was continuously introduced in the ion trap. The pressure of about  $1.7 \times 10^{-7}$  mbar is increased up to  $5.0 \times 10^{-7}$  mbar to yield sufficient amounts of adsorbate complexes. The temperature was adjusted to the respective reaction gas (30 K for CO, 28 K for  $^{14}N_2$  and  $^{15}N_2$ , 45 K for  $O_2$ ). The pressure is further increased up to  $3.0 \times 10^{-6}$  mbar to accomplish efficient trapping and cooling of the ions. Both gases were introduced continuously. The manipulated ions are guided by electrostatic lenses into the FT-ICR cell of the so-called "infinity" type.<sup>4</sup> This cell is cooled to a temperature of about 10 K with a closed cycle Helium cryostat to prevent heating of the clusters by black body radiation. The ICR cell is used for trapping, isolation and detection of the ions. It is coupled to a tunable pulsed IR laser ( $\Delta \widetilde{v} = 0.9 \text{ cm}^{-1}$ ,  $\Delta t = 7 \text{ ns}$ . Note, that the modest mass difference between CO and  $N_2$  of about 0.01 amu can be accurately resolved with our experimental setup.

The IR laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/OPA, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd<sup>3+</sup>:YAG laser (Continuum, Powerlite DLS 8000). In this work we used the difference frequency (DF) between the OPA signal and idler waves generated in a AgGaSe<sub>2</sub> crystal (0.1 – 1.2 mJ per pulse). We recorded IR-PD spectra in the range of  $900 - 2400 \, \text{cm}^{-1}$ . Each trapped and isolated package of ions is irradiated by 10 laser pulses to yield a sufficient amount of fragment ions. The IR-PD spectra were recorded as ion chromatograms while continuously scanning the IR frequency (typically < 0.2 cm<sup>-1</sup>/s). The IR-PD signal was evaluated as  $\Sigma F_i/(\Sigma_i F_i + \Sigma P_i)$ , where  $F_i$  and  $P_i$  indicate the

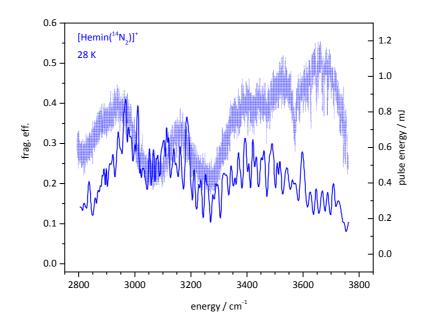
fragment and the parent ion signals, respectively. An experimental IR-PD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency.

Optimized minimum energy structures and linear IR absorption spectra were calculated at the B3LYP  $^{5}$   $^{6}$  level of theory using 6-31+G\* basis sets (C, H, N, O) $^{7}$ , and Stuttgart RSC 1997 $^{8}$  effective core potential (Fe) basis sets, respectively, as implemented in the Gaussian 09 program package. $^{9}$  We included the Grimme correction for dispersion (GD3). $^{10}$  Standard convergence criteria were applied. We checked several spin states (dublet, quartet, sextet). We checked different basis sets and a different functional which did not provide for a significant improvement/better agreements (cf. Figure S12 – S14). Full geometry optimization of all nuclear coordinates yields multiple local minimum structures. The lowest energy structure is assumed to represent the most stable isomer. We scaled the calculated harmonic vibrational frequencies by a factor of 0.97 and broadened using the lorentz profile (FWHM = 5 cm $^{-1}$ ).

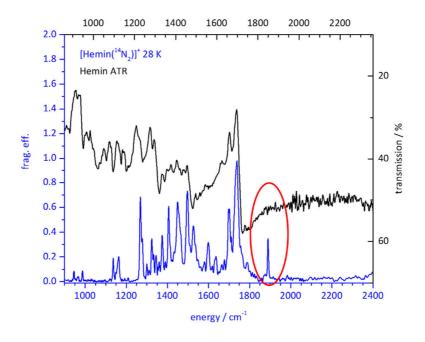
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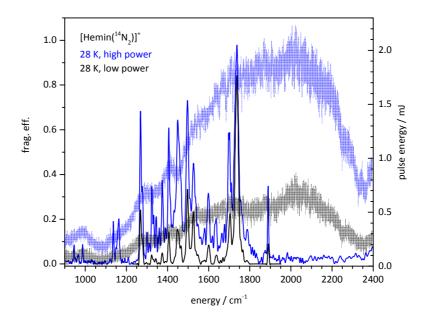
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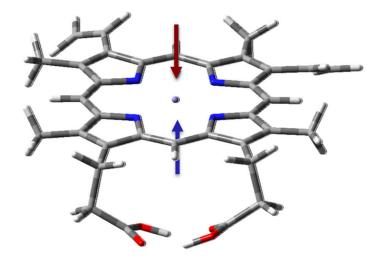
**Figure S2.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in the region from 2800 to 3800 cm<sup>-1</sup> including the power curve of the laser.



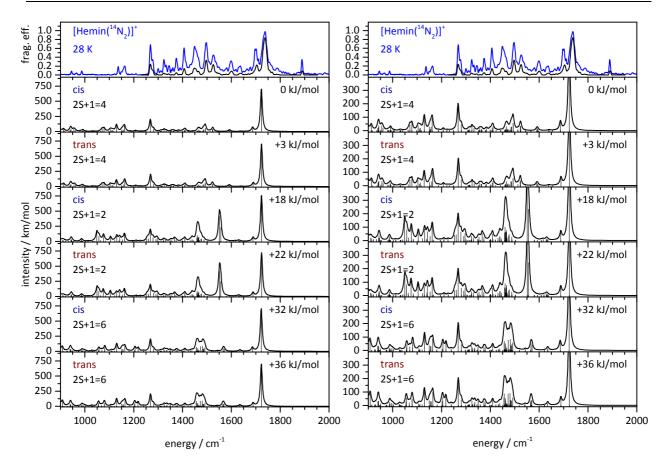
**Figure S3.** Comparison of the gas phase IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  and a solid state IR spectrum of Hemin recorded via attenuated total reflection IR spectroscopy. Note that the solid state spectrum is shifted by 40 cm<sup>-1</sup> to align the most abundant bands. In general many similarities between both spectra are observed. Yet the gas phase spectrum shows much better resolved bands. The red circle is to highlight the band at about 1890 cm<sup>-1</sup> which is present in the gas phase but not in solid state.



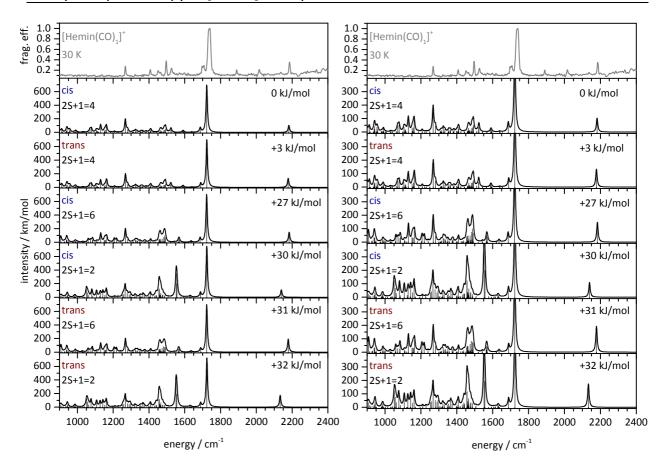
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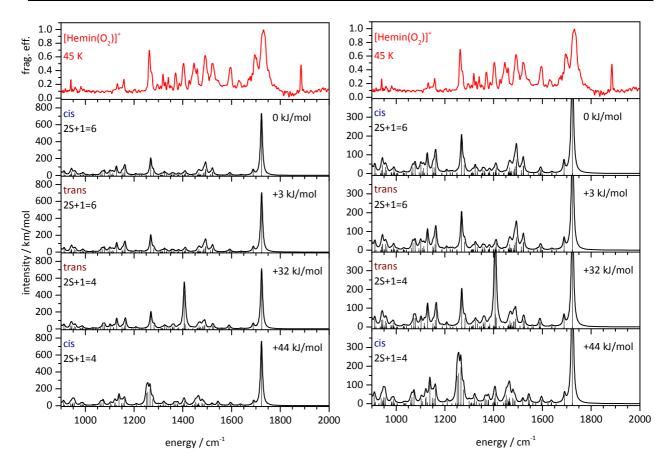
**Figure S5.** Illustration of different possible adsorption sites for the reaction gases. The molecules can attach either on the trans (red arrow) or on the cis (blue arrow) of the [Hemin]<sup>+</sup>. We will apply this nomenclature in the following calculated spectra.



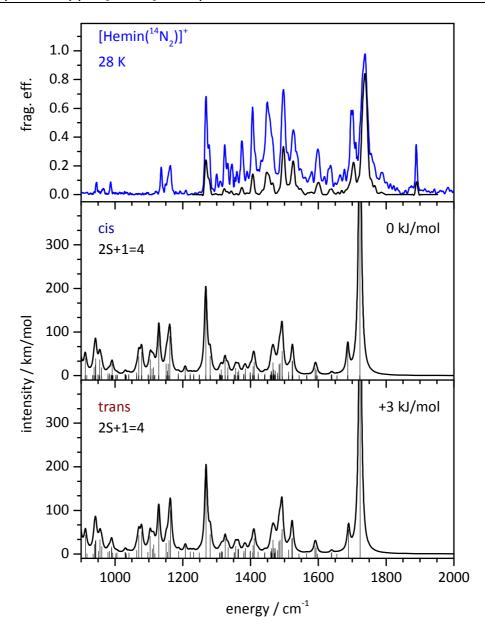
**Figure S6.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations of different adsorption sites (trans and cis, cf. Figure 4) and spin states (doublet, quartet and sextet). The black IR-PD spectrum was measured with attenuated laser power (cf. Figure S3). Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97.



**Figure S7.** IR-PD spectrum of [Hemin(CO)<sub>1</sub>]<sup>+</sup> in comparison with DFT calculations of different adsorption sites (trans and cis) and spin states (doublet, quartet and sextet). Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97.



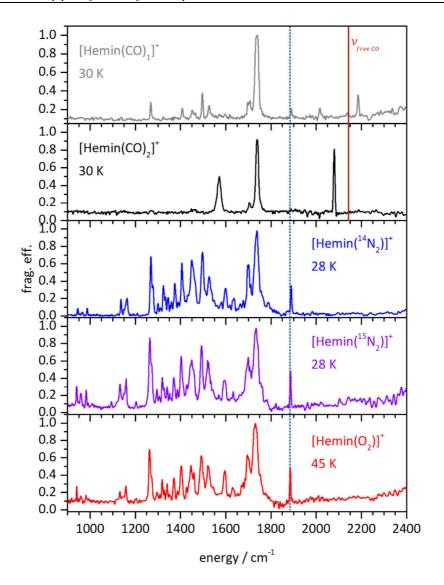
**Figure S8.** IR-PD spectrum of  $[Hemin(O_2)]^+$  in comparison with DFT calculations of different adsorption sites (trans and cis) and spin states (quartet and sextet). Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97. The calculated spectra with a doublet spin state are not shown as they converged to a quartet spin state during the optimization process.



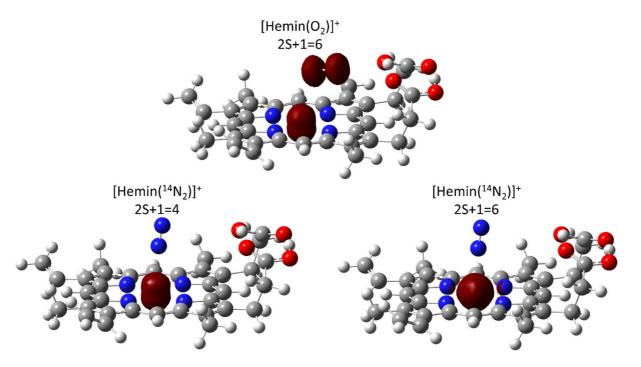
**Figure S9.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations of the energetically most favored isomers (cis quartet and trans quartet). The black IR-PD spectrum was measured with attenuated laser power (cf. Figure S3). Both calculated spectra look almost identical. However, the cis isomer is 3 kJ/mol lower in energy. Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97. The calculated spectra with a doublet spin state are not shown as they converged to a quartet spin state during the optimization process.

**Table S1.** Calculated IR frequencies and intensities of the  $^{14}N_2$  in [Hemin( $^{14}N_2$ )]<sup>+</sup>.

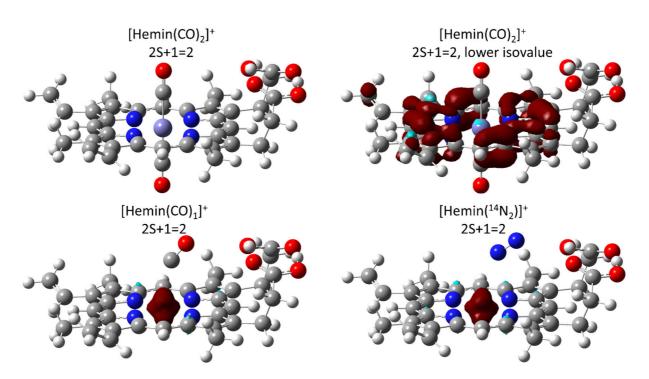
[Hemin( <sup>14</sup> N <sub>2</sub> )]	IR frequency	IR frequency	IR
<sup>+</sup> isomer	(unscaled)	(scaled by 0.97)	intensity /
	/ cm <sup>-1</sup>	/ cm <sup>-1</sup>	km/mol
cis quartet	2463.48	2389.57	2.3
trans quartet	2462.78	2388.89	1.7
cis doublet	2453.69	2380.08	0.9
trans doublet	2449.93	2376.43	0.4
cis sextet	2461.52	2387.68	0.9
trans sextet	2460.41	2386.59	0.5



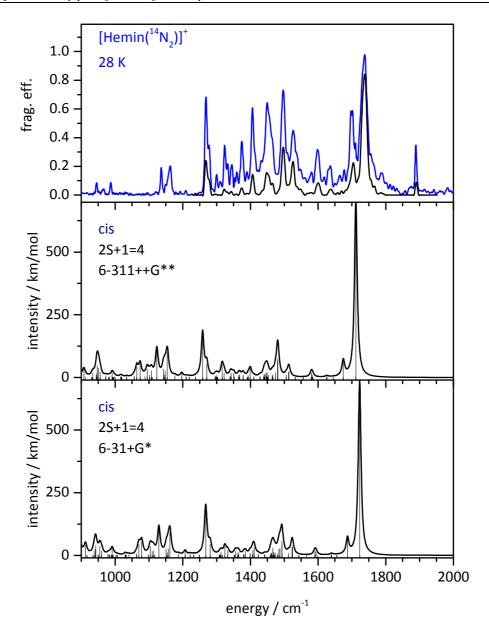
**Figure S10.** IR-PD spectra of the [Hemin]<sup>+</sup> adsorbate complexes. The red line is to indicate the stretching frequency of free CO (2143 cm<sup>-1</sup>). The blue dotted line is to highlight the well resolved band which is not present in the solid state / is only visible in the gas phase (*cf.* Figure S3).



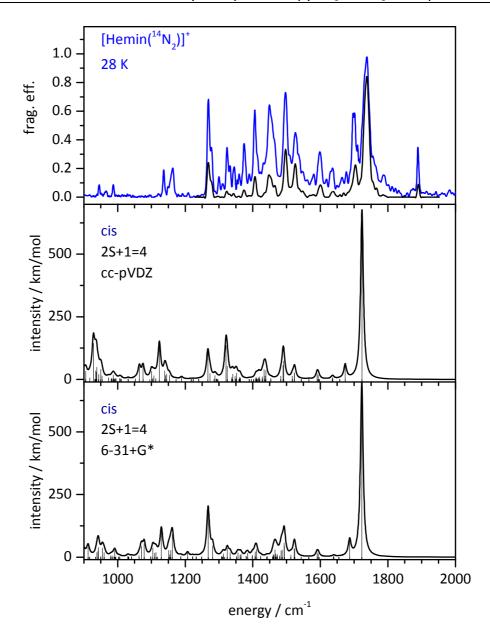
**Figure S11.** Isosurfaces of the spin density of the  $[Hemin(O_2)]^+$  cis quartet isomer (top) with the  $[Hemin(^{14}N_2)]^+$  cis quartet (bottom left) and sextet.



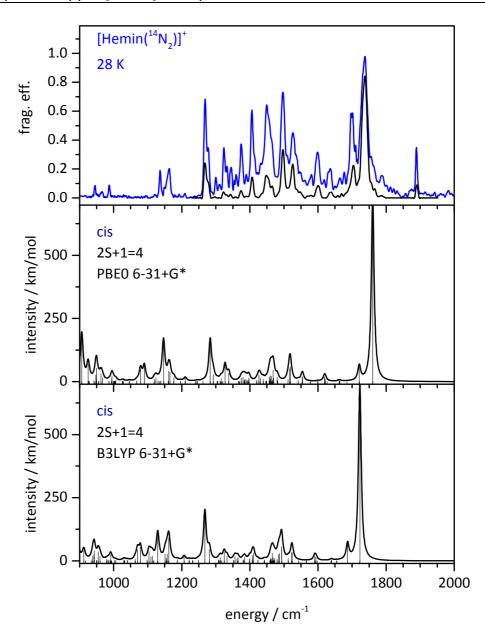
**Figure S12.** Isosurfaces of the spin density of the  $[Hemin(CO)_2]^+$  doublet with a isovalue of the spin density of 0.02 (default) (top left) and an decreased value of 0.002 (top right) in comparison with the spin densities of the  $[Hemin(CO)_1]^+$  doublet (bottom left) and  $[Hemin(^{14}N_2)]^+$  doublet (bottom right), both default isovalues.



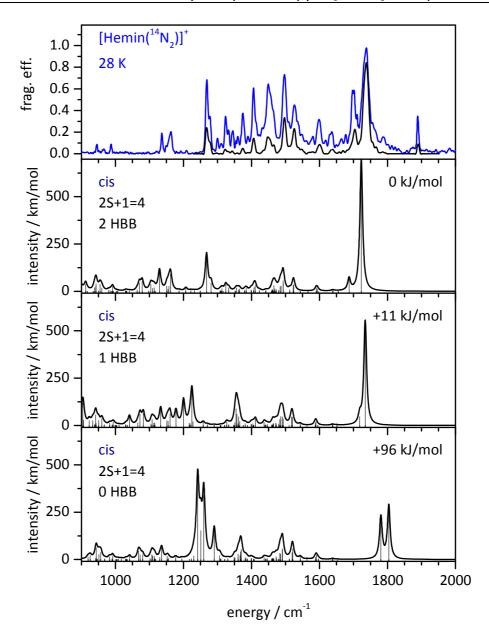
**Figure S13.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations with different basis sets of the cis quartet isomer. Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-311++G\*\* and 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97. The increased basis set does not provide for great improvements in the calculated spectrum.



**Figure S14.** IR-PD spectrum of  $[Hemin(^{14}N_2)]^+$  in comparison with DFT calculations with different basis sets of the cis quartet isomer. Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using cc-pVDZ and 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97. The different basis set does not provide for improvements in the calculated spectrum.



**Figure S15.** IR-PD spectrum of [Hemin(<sup>14</sup>N<sub>2</sub>)]<sup>+</sup> in comparison with DFT calculations with different functionals of the cis quartet isomer. Calculations were performed at the PBEO and B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97. The different basis set does not provide for significant improvements in the calculated spectrum.



**Figure S16.** IR-PD spectrum of [Hemin(<sup>14</sup>N<sub>2</sub>)]<sup>+</sup> in comparison with DFT calculations of different isomers containing two (top), one (middle) or no (bottom) hydrogen bonds (HBB). Calculations were performed at the B3LYP level of theory including the Grimme dispersion correction (GD3) using 6-31+G\* (C, H, N, O) and Stuttgart RSC 1997 effective core potential (Fe) basis sets. The calculated harmonic vibrations were scaled by a factor of 0.97. The spectra of the isomers containing one or no HBB do not provide for a better agreement with the experiment and are higher in energy.

## XYZ-Files of Geometry Optimized [Hemin]\* Complexes (B3LYP, 6-31+G\*, GD3):

[Hemin(CO)<sub>1</sub>]<sup>+</sup> (2S+1=4)

Fe	-0.86292	-0.07635	0.08023
С	1.60435	-3.52014	0.50683
С	-4.33032	2.37759	-0.42442
С	-3.37827	3.36686	-0.25298
С	2.56570	-2.54901	0.66417
С	0.34908	-2.83936	0.35432
С	-3.63982	1.12246	-0.30416
С	-2.12301	2.69037	-0.01973
С	1.88562	-1.27782	0.61730
С	-0.85368	-3.49446	0.17402
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С	-2.07575	-2.87044	0.00264
С	-3.62094	-1.32633	-0.25913
С	0.28504	2.70534	0.42412
С	1.85254	1.17424	0.68246
С	-3.31885	-3.57097	-0.16523
С	-4.29285	-2.60383	-0.33971
С	1.51393	3.41086	0.65453
С	2.49417	2.45903	0.81535
С	4.04197	-2.79968	0.77607
С	3.95245	2.74559	1.02981
С	4.68978	3.15779	-0.28249
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С	4.95002	1.91966	-1.10294
С	4.93435	-1.79672	-1.33879
С	1.78202	-5.00776	0.47094
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С	-5.73230	-2.76936	-0.52666
С	-6.32606	-3.79021	-1.16742
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H	4.20840	-3.67173	1.41757
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Η	2.67831	5.19985	0.94561

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Н	6.21384	0.49424	-1.28624
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С	-4.40128	5.50827	-1.03364
Н	-5.04584	5.02704	-1.76331
Н	-4.46915	6.58969	-0.96085
N	0.06050	-0.05051	-3.30524
N	-0.30349	-0.05657	-2.26332

$[Hemin(O_2)]^+$ (2S+1=6)
---------------------------

Fe	-0.90549	-0.09299	0.16265
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C	0.32231	-2.85302	0.26733
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С	1.64109	4.87497	0.65173
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Н	5.63735	3.62511	0.08290
Н	4.09344	3.87125	-0.77889
Н	4.42958	1.85600	1.52654
Н	3.99729	3.52488	1.80701
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Н	4.06654	-3.72850	-1.32611
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Н	1.43849	5.30589	-0.33723
Н	2.65185	5.17504	0.93945

Н	0.94494	5.33894	1.36068
Н	-6.07384	2.49139	-1.74686
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Н	-6.14470	3.50574	-0.30111
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[Hemin(CO)<sub>1</sub>]<sup>+</sup> (2S+1=2)

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C	-2.13160	2.71411	-0.01388
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[Hemin(CO)<sub>1</sub>]<sup>+</sup> (2S+1=4)

Fe	-0.88582	-0.07537	0.20650
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C	2.61179	-2.61606	0.68333
C	0.37900	-2.89408	0.37506
C	-3.67817	1.17410	-0.28215
C	-2.15833	2.74724	-0.01402
C	1.91371	-1.32640	0.63782
C	-0.84715	-3.50133	0.03762
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C	-0.92829	3.34922	0.19217
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C	-2.12379	-0.03032	-0.02436
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C	0.33764	2.73408	0.41395
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C	-3.37268	-3.61756	-0.23201
C	-3.37208 -4.35221	-3.01730	-0.23201
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C	2.56704	2.51724	0.78726
C	4.09051	-2.85682	0.78726
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C	4.77082	3.17592	-0.33039
C	4.77896	-3.11760	-0.58298
C	5.01112	1.91612	-1.12229
C	4.97957	-1.81094	-1.30606
C	1.83228	-5.07159	0.47056
C	-3.52820	-5.10721	-0.21523
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Н	4.59809	-2.02843	1.30191
Н	4.26400	-3.73842	1.42086
Н	-6.42360	-2.03300	-0.21633
Н	-2.91138	5.44911	0.36554
Н	1.45480	5.37556	-0.36005

Н	2.73505	5.26968	0.85136
Н	1.05022	5.41542	1.36059
Н	-6.12196	2.59742	-1.68642
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Н	-6.21095	3.54364	-0.19662
Н	-5.80696	-4.64717	-1.71905
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Н	-3.40156	-5.54300	-1.21545
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Н	1.58785	-5.46514	-0.52470
Н	2.86198	-5.36315	0.69387
Н	1.18177	-5.58105	1.19197
Н	6.26659	0.47365	-1.26777
Н	4.00494	-0.35631	-2.07897
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[Hemin(CO)<sub>1</sub>]<sup>+</sup> (2S+1=6)

Fe	-0.88705	-0.07111	0.20009
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C	1.91582	-1.29584	0.65437
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C	-4.27705	-0.10336	-0.40044
C	-0.92058	3.35854	0.20930
C	2.51781	-0.04180	0.77930
C	-2.09535	-2.89945	-0.02242
С	-3.65347	-1.34392	-0.28285
С	0.31070	2.74312	0.42736
С	1.88878	1.20157	0.69265
С	-3.33551	-3.59956	-0.23204
С	-4.31655	-2.62822	-0.40456
С	1.55158	3.44780	0.62586
С	2.53485	2.49242	0.79108
С	4.07038	-2.82955	0.79871
С	3.99595	2.78225	0.97781
С	4.71687	3.15935	-0.35443
С	4.73846	-3.12411	-0.58297
С	4.96751	1.89882	-1.14292
С	4.95097	-1.82748	-1.32056
С	1.81564	-5.03329	0.47497
С	-3.51087	-5.08603	-0.21624
С	-5.74841	-2.79634	-0.62404
С	-6.34182	-3.83490	-1.23887
С	-5.83326	2.58461	-0.63977
С	1.70283	4.93827	0.62108
С	-3.57301	4.85518	-0.25350
Н	5.66994	3.64365	-0.12755
Н	4.09196	3.83826	-0.94004
Н	4.51750	1.94172	1.44828
Н	4.10693	3.62636	1.66743
Н	5.71642	-3.58423	-0.42599
Н	4.10581	-3.80091	-1.16481
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Н	1.45777	5.35837	-0.36303

Н	2.72470	5.24216	0.86222
Н	1.03591	5.41131	1.35223
Н	-6.07054	2.68241	-1.70774
Н	-6.40752	1.73777	-0.25247
Н	-6.19363	3.49059	-0.14158
Н	-5.78266	-4.65785	-1.67262
Н	-7.42167	-3.87000	-1.34824
Н	-2.74771	-5.58054	0.39195
Н	-3.45297	-5.51095	-1.22741
Н	-4.49082	-5.35293	0.19312
Н	1.56289	-5.42876	-0.51737
Н	2.84835	-5.32046	0.68923
Н	1.17346	-5.54475	1.20236
Н	6.22970	0.46004	-1.26560
Н	3.99090	-0.38727	-2.13004
Н	-0.82979	-4.58692	0.15441
Н	-5.33924	-0.11242	-0.61164
Н	-0.91638	4.44220	0.19093
Н	3.59054	-0.03215	0.93491
N	0.56105	-1.49817	0.45644
N	0.53168	1.37917	0.47362
N	-2.30487	-1.53076	-0.05501
N	-2.32957	1.36361	-0.04533
0	6.02531	-1.23336	-1.30063
0	3.85072	-1.34653	-1.89553
0	4.11083	1.35736	-1.84190
0	6.17006	1.39032	-0.90962
С	-4.49573	5.54350	-0.94872
Н	-5.20516	5.06425	-1.61603
Н	-4.54305	6.62658	-0.88546
С	-1.30329	-0.09116	2.57553
0	-1.47713	-0.10081	3.69417
С	-0.35188	-0.06100	-2.14705
0	-0.02249	-0.05952	-3.22968

## 10 Summary and Outlook

This thesis presents research studies on the fundamental interplay of diatomic molecules with transition metal compounds under cryogenic conditions. The FRITZ setup offers a multitude of opportunities to study isolated ions: The ions can either be generated by an ElectroSpray Ionization (ESI) source or a Laser VAPorization (LVAP) cluster ion source. The setup facilitates kinetic investigations of the ions with different reaction gases under well-defined isothermal conditions. Moreover it enables cryo InfraRed (Multiple) Photon Dissociation (IR-(M)PD) spectroscopy in combination with tunable OPO/OPA laser systems. In conjunction with density functional theory (DFT) modelling, the IR(M)-PD spectra allow for an assignment of geometric minimum structures. Furthermore DFT modelling helps to identify possible reaction pathways. Altogether the presented methods allow to gain fundamental insights into molecular structures and reactivity of the investigated systems.

**Cobalt:** We recorded the IR-PD spectra of the  $N_2$  adsorption on  $\mathbf{Co_n}^+$  clusters in the size range of n=8-17. The spectra exhibit remarkable cluster size dependent features and reveal a head-on  $\mu_1$  coordination of the  $N_2$  molecules to the cluster. Preliminary DFT calculations suggest an icosahedral  $Co_{13}^+$  cluster, yet they fail to predict all observed spectral features. Therefore it is mandatory to spend effort on the theoretical modelling of these systems to gain further insights into the binding motifs of the  $N_2$  and of conceivable activation routes.

**Nickel:** We elucidated the stepwise  $N_2$  adsorption on size selected  $Ni_n^+$  (n = 5 – 20) clusters by recording their reaction kinetics and IR-PD spectra at 26 K. We found consecutive adsorption steps by single exponent decays. In all presented cases the stepwise  $N_2$  uptake reaches a strict adsorption limit  $m_{max}$  that scales with cluster size. The IR-PD spectra of all species reveal a head-on  $\mu_1$  coordination of the  $N_2$ . We investigated the cases of  $Ni_9^+$  and  $Ni_{13}^+$  in more detail. They show remarkable differences in adsorption limits, kinetics and IR-PD spectra. The  $N_2$  adsorption to the  $Ni_9^+$  cluster occurs at individual rates with retardation at an additional adsorption limit. In contrast, the  $N_2$  adsorption to the  $Ni_{13}^+$  cluster takes place at all equal rates. A corresponding trend is observed in the IR-PD spectra: the IR-PD spectra of the  $[Ni_{13}(N_2)_m]^+$  species reveal a single dominant band that does not shift upon increasing  $N_2$  adsorption. On the other hand the IR-PD spectra of  $[Ni_9(N_2)_m]^+$  show significant

shifts of peak positions and splittings by increase of the  $N_2$  coverage. The experimental findings in conjunction with DFT calculations suggest a smooth icosahedral  $Ni_{13}$ <sup>+</sup> cluster, where all surface atoms are equal. In the case of  $Ni_9$ <sup>+</sup> we found a rough cluster surface that possibly isomerizes upon  $N_2$  adsorption.

By recording  $N_2$  adsorption kinetics of the other cationic Ni clusters and the IR-PD spectra of intermittent  $(n,m_x)$  and saturated  $(n,m_{max})$  species together with modeled DFT spectra we were able to identify four classes of structure related surface adsorption behavior. The isothermal cryo kinetics on their own allow for a pre-classification into three groups: The clusters  $Ni_6^+$ ,  $Ni_{13}^+$ , and  $Ni_{19}^+$  are highly symmetrical clusters with all smooth surfaces. We observe all even reaction kinetics that point towards all equally coordinated Ni atoms. The clusters  $Ni_5^+$ , and  $Ni_{7-12}^+$  are small clusters with rough surfaces. They show a large variety of stepwise adsorption kinetics that are characteristic for rough cluster morphologies. We likely see a transition from octahedral to icosahedral cluster structures. The clusters  $Ni_{14-18}^+$ , and  $Ni_{20}^+$  are large clusters with rough and smooth surface areas. They show initially even kinetics, intermittent limits and some long time kinetics. These indicate partly smooth cluster surfaces with some low coordinated Ni surface atoms that can be explained by a stepwise Ni cluster growth from icosahedron to bi-icosahedron.

In combination with the IR-PD spectroscopy and the DFT modelling, we were able to refine this pre-classification into the final four classes of structure related surface adsorption behavior:

Class (1) of <u>highly symmetrical clusters with all smooth surfaces</u> comprises  $Ni_6^+$ ,  $Ni_{13}^+$ , and  $Ni_{19}^+$ , and their  $N_2$  adsorbate complexes up to saturated (n,m<sub>max</sub>). The IR-PD spectra of the adsorption limits of these clusters are rather simple hinting at an exceptional high symmetry (octahedron, icosahedron, bi-icosahedron). These clusters provide for a smooth cluster core surface of equally coordinated Ni surface atoms.

Class (2) of some <u>highly symmetrical clusters minus one</u> Ni atom comprises  $Ni_{12}^+$ , and  $Ni_{18}^+$ , and their  $N_2$  adsorbate complexes up to saturated (n,m<sub>max</sub>). The IR-PD spectra suggest that the naked clusters, and those with few  $N_2$  adsorbates, have relaxed into structures with a rather smooth surface. But upon increased  $N_2$  load they reorganize into partially rough surfaces.

Class (3) of small clusters with rough surfaces comprises  $Ni_5^+$ , and  $Ni_7^+$  through  $Ni_{11}^+$ , and their  $N_2$  adsorbate complexes up to saturated ( $n_{small}$ ,  $m_{max}$ ). The IR-PD spectra hint at rough surfaces with one or multiple low coordinated Ni surface atoms. Surface reorganization upon  $N_2$  uptake, namely from rough to rough surface by Ni atom migration are conceivable.

Class (4) of <u>large clusters with rough and smooth surface areas</u> comprises Ni<sub>14</sub><sup>+</sup> through Ni<sub>17</sub><sup>+</sup>, and Ni<sub>20</sub><sup>+</sup>, and their N<sub>2</sub> adsorbate complexes up to saturated (n<sub>large</sub>,m<sub>max</sub>). The IR-PD spectra reveal evidence for smooth surfaces with minor defects such as capping Ni atoms on an icosahedral surface. We see evidence for a stepwise cluster growth on one hemisphere of the initial icosahedron, leaving the other hemisphere largely unaffected.

**Iron:** We recorded the cryo  $N_2$  adsorption kinetics of size selected  $Fe_{n^+}$  (n = 8 – 20) clusters. We found consecutive adsorption steps by single exponentially decays and by a biexponential decay in the case of Fe<sub>18</sub><sup>+</sup>. We see remarkable cluster size dependent adsorption limits that do not allow for a conclusive classification like in the case of the Ni clusters, as of now. We observe the complete unreactivity of Fe<sub>17</sub><sup>+</sup> towards N<sub>2</sub> under our experimental conditions. To gain further insight, we recorded the IR-PD spectra of the  $[Fe_n(N_2)_1]^+$  and  $[Fe_n(N_2)_2]^+$  species in the N-N stretching region and assigned the observed bands to the  $\mu_1$  head-on adsorption of  $N_2$  on the cluster. We do not observe a systematic variation of the band positions following a simple charge dilution model as observed for N<sub>2</sub> Ni clusters. The spectra of  $[Fe_{18}(N_2)_1]^+$  and  $[Fe_{18}(N_2)_2]^+$  revealed a far redshifted band that we tentatively assign to a slightly tilted N2 on the cluster - a possible precursor for the N2 activation. Preliminary DFT modelling provide for a first understanding of the miraculous unreactivity of  $Fe_{17}^+$  cluster towards  $N_2$ . The calculations found an electronic configuration that should not show a high reactivity towards N2. Furthermore it identified two isomers for the Fe<sub>18</sub><sup>+</sup> cluster one with a similar electronic configuration as the Fe<sub>17</sub><sup>+</sup> cluster explaining the inertness and one reactive isomer.

**Ruthenium:** We presented the IR-PD spectra of the  $N_2$ ,  $H_2$ , and the coadsorption to a  $Ru_8^+$  cluster. The spectra reveal the dissociation of  $H_2$  in all complexes of  $[Ru_8(H_2)_I]^+$  with I > 1 and we postulate a adsorbate induced reorganization after exceeding the intermittent adsorption limit at I = 6. In the case of  $[Ru_8(N_2)_m]^+$  we identified a head-on  $\mu_1$  adsorption of the  $N_2$  adsorbates and an intermittent adsorption limit at m = 5. Again we speculate about a reorganization beyond this intermittent adsorption limit. We recorded the IR-PD spectra of

the  $[Ru_8(H_2)_1(N_2)_4]^+$  and  $[Ru_8(N_2)_4(H_2)_1]^+$  species and observed spectral differences depending of the sequence of the reaction gases. DFT modelling helped to interpret this spectral differences in terms of the positioning of the hydrogen atoms on the cluster. The positions of the hydrogen atoms on the cluster depend on their migration possibility which is significantly hindered if the cluster is preloaded with  $N_2$  as confirmed by DFT modelling of migration pathways.

**Hemin:** The well-resolved gas phase IR-PD spectra of [Hemin]<sup>+</sup> complexes identified a negligible spectral effect of single adsorbed molecules. In conjunction with DFT modelling we identified that all single adsorbed species contain a  $Fe^{3+}$  in a quartet spin state. In the case of [Hemin( $O_2$ )]<sup>+</sup> we found an overall sextet spin state as the  $O_2$  retains its triplet state upon adsorption. Furthermore the IR-PD spectra revealed multiple combination bands. A non-classical ([Hemin(CO)<sub>1</sub>]<sup>+</sup>, blue shifted CO stretching mode) and a classical ([Hemin(CO)<sub>2</sub>]<sup>+</sup>, red shifted CO stretching mode) carbonyl complex was observed that is accompanied by a quartet and a quenched doublet spin state.

These studies shall help to elucidate crucial elementary steps in catalysis and bio-relevant processes and can be seen as demonstration of the versatile applicability of the utilized methods. Further they shall benchmark current theoretical modelling and help in designing new approaches with predicting power.

Subsequent studies shall further establish the presented coadsorption technique and expand it to other TM clusters or complexes. Furthermore experiments with a two laser scheme would be of great interest. Such experiment could provide for the opportunity to study activated processes of which the activation barrier could be overcome by a laser.

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## 11 Zusammenfassung und Ausblick

Diese Arbeit präsentiert Forschungsstudien zum grundlegenden Zusammenspiel von zweiatomigen Molekülen mit Übergangsmetallverbindungen unter kryogenen Bedingungen. Das FRITZ-Setup bietet eine Vielzahl von Möglichkeiten, isolierte Ionen zu untersuchen: Die Ionen können entweder durch eine ElektroSpray-Ionisation (ESI) Quelle oder eine Laserverdampfungsquelle (LVAP) erzeugt werden. Der Aufbau ermöglicht kinetische Untersuchungen der Ionen mit unterschiedlichen Reaktionsgasen unter wohldefinierten isothermen Bedingungen. Darüber hinaus ermöglicht es die kryo InfraRote (Multi) Photonen Dissoziationsspektroskopie (IR-(M)PD) in Kombination mit durchstimmbaren OPO / OPA Lasersystemen. In Verbindung mit Dichtefunktionaltheorie (DFT) Berechnungen ermöglichen die IR(M)-PD-Spektren eine Zuordnung von Minimumstrukturen. Darüber hinaus hilft die DFT-Modellierung, mögliche Reaktionswege zu identifizieren. Insgesamt lassen sich mit Hilfe der vorgestellten Methoden grundlegende Einblicke in die molekulare Struktur und die Reaktivität der untersuchten Systeme gewinnen.

Kobalt: Wir haben die IR-PD-Spektren der  $N_2$ -Adsorption auf  $\text{Co}_n^+$ -Clustern im Größenbereich von n=8-17 aufgezeichnet. Die Spektren zeigen bemerkenswerte Clustergrößenabhängigkeiten und zeigen eine *head-on*  $\mu_1$ -Koordination der  $N_2$ -Moleküle an den Cluster. Vorläufige DFT-Berechnungen deuten auf einen ikosaedrischen  $\text{Co}_{13}^+$ -Cluster hin, doch können sie nicht alle beobachteten Spektralmerkmale reproduzieren. Daher ist es zwingend erforderlich, die theoretische Modellierung dieser Systeme zu verbessern, um weitere Einblicke in die Bindungsmotive der  $N_2$  Moleküle und die möglichen Aktivierungswege zu gewinnen.

**Nickel:** Wir haben die schrittweise  $N_2$ -Adsorption auf ausgewählten  $Ni_n^+$  (n = 5 – 20) Clustern durch Aufzeichnen ihrer Reaktionskinetiken und IR-PD-Spektren bei 26 K untersucht. Wir fanden aufeinanderfolgende Adsorptionsschritte durch einzelne exponentielle Zerfälle. In allen gezeigten Fällen erreicht die schrittweise  $N_2$ -Aufnahme eine strenge Adsorptionsgrenze  $m_{max}$ , die mit der Clustergröße skaliert. Die IR-PD-Spektren aller Spezies zeigen eine head-on  $\mu_1$ -Koordination der  $N_2$  Moleküle. Wir untersuchten die Fälle von  $Ni_9^+$  und  $Ni_{13}^+$  genauer und fanden bemerkenswerte Unterschiede in den Adsorptionsgrenzen, Kinetiken und IR-PD-Spektren. Die  $N_2$ -Adsorption an den  $Ni_9^+$ -Cluster

erfolgt mit individuellen Geschwindigkeitskonstanten und zeigt eine zusätzliche Zwischenadsorptionsgrenze. Im Gegensatz dazu findet die N<sub>2</sub>-Adsorption an den Ni<sub>13</sub><sup>+</sup>-Cluster mit gleichen Geschwindigkeitskonstanten statt. In den IR-PD-Spektren wird ein entsprechender Trend beobachtet: Die IR-PD-Spektren der [Ni<sub>13</sub>(N<sub>2</sub>)<sub>m</sub>]<sup>+</sup> Spezies zeigen eine einzige dominante Bande, die sich mit zunehmender N<sub>2</sub>-Adsorption nicht verschiebt. Auf der anderen Seite zeigen die IR-PD-Spektren der [Ni<sub>9</sub>(N<sub>2</sub>)<sub>m</sub>]<sup>+</sup> Spezies signifikante Verschiebungen der Bandenpositionen und Aufspaltungen durch Erhöhung der N<sub>2</sub>-Bedeckung. Die experimentellen Befunde in Verbindung mit DFT-Berechnungen deuten auf einen glatten ikosaedrischen Ni<sub>13</sub><sup>+</sup>-Cluster hin, bei dem alle Oberflächenatome gleich sind. Im Falle von Ni<sub>9</sub><sup>+</sup> fanden wir eine raue Clusteroberfläche, die möglicherweise bei N<sub>2</sub>-Adsorption isomerisiert.

Durch die Aufzeichnung der N2-Adsorptionskinetiken der anderen kationischen Ni-Cluster und der IR-PD-Spektren von intermittierenden (n,mx) und gesättigten (n,mmax) Spezies zusammen mit DFT-Rechnungen konnten wir vier Klassen des strukturbezogenen Oberflächenadsorptionsverhaltens identifizieren. Die isothermen Kryokinetiken allein ermöglichen eine Vorklassifizierung in drei Gruppen: Die Cluster Ni<sub>6</sub>+, Ni<sub>13</sub>+ und Ni<sub>19</sub>+ sind hochsymmetrische Cluster mit glatten Oberflächen. Wir beobachten gleichmäßige Reaktionskinetiken, die auf gleich koordinierte Ni-Atome hinweisen Die Cluster Ni5+ und Ni<sub>7-12</sub><sup>+</sup> sind kleine Cluster mit rauen Oberflächen. Sie zeigen Adsorptionsschritte mit unterschiedlichen Geschwindigkeitskonstanten, die für raue Clustermorphologien charakteristisch sind. Wir sehen wahrscheinlich einen Übergang von oktaedrischen zu ikosaedrischen Clusterstrukturen in diesem Größenbereich. Die Cluster Ni<sub>14-18</sub><sup>+</sup> und Ni<sub>20</sub><sup>+</sup> sind große Cluster mit rauen und glatten Oberflächenanteilen. Sie zeigen zunächst gleichmäßige Kinetiken, Zwischenadsorptionsgrenzen und manche Langzeitkinetiken. Diese Cluster zeigen teilweise glatte Clusteroberflächen mit einigen niedrigkoordinierten Ni-Oberflächenatomen, die durch ein schrittweises Ni-Clusterwachstum von einem Ikosaeder zu einem Bi-Ikosaeder erklärt werden können.

In Kombination mit der IR-PD-Spektroskopie und der DFT-Modellierung konnten wir diese Vorklassifizierung in die vier Klassen des strukturbezogenen Oberflächenadsorptionsverhaltens verfeinern:

Klasse (1) <u>hochsymmetrischer Cluster mit glatten Oberflächen</u> besteht aus Ni<sub>6</sub><sup>+</sup>, Ni<sub>13</sub><sup>+</sup> und Ni<sub>19</sub><sup>+</sup> und ihren N<sub>2</sub>-Adsorbatkomplexen bis zur Sättigung (n,m<sub>max</sub>): Die IR-PD-Spektren der Adsorptionsgrenzen dieser Cluster sind einfach und weisen auf eine außergewöhnliche hohe Symmetrie (Oktaeder, Ikosaeder, Bi-Ikosaeder) hin. Diese Cluster besitzen eine glatte Clusteroberfläche mit gleich koordinierten Ni-Oberflächenatomen.

Klasse (2) <u>hochsymmetrischer Cluster abzüglich eines Ni-Atoms</u> besteht aus Ni<sub>12</sub><sup>+</sup> und Ni<sub>18</sub><sup>+</sup> und deren N<sub>2</sub>-Adsorbatkomplexen bis zur Sättigung (n,m<sub>max</sub>): Die IR-PD-Spektren deuten darauf hin, dass die nackten Cluster und diejenigen mit wenigen adsorbierten N<sub>2</sub> Molekülen, ein Struktur mit einer ziemlich glatten Oberfläche besitzen. Bei erhöhter N<sub>2</sub>-Beladung reorganisieren sie sich in teilweise raue Oberflächen.

Klasse (3) <u>kleiner Cluster mit rauen Oberflächen</u> besteht aus Ni<sub>5</sub><sup>+</sup> und Ni<sub>7</sub><sup>+</sup> bis Ni<sub>11</sub><sup>+</sup> und deren N<sub>2</sub>-Adsorbatkomplexe bis zur Sättigung (n<sub>klein</sub>,m<sub>max</sub>): Die IR-PD-Spektren deuten auf raue Oberflächen mit einem oder mehreren niedrig koordinierten Ni-Oberflächenatomen hin. Eine Oberflächenreorganisation mit steigender N<sub>2</sub>-Adsorption, nämlich von einer rauen zu einer anderen rauen Oberfläche, ist denkbar.

Klasse (4) großer Cluster mit rauen und glatten Oberflächenanteilen besteht aus Ni<sub>14</sub><sup>+</sup> bis Ni<sub>17</sub><sup>+</sup> und Ni<sub>20</sub><sup>+</sup> und deren N<sub>2</sub>-Adsorbatkomplexe bis zur Sättigung (n<sub>groß</sub>,m<sub>max</sub>): Die IR-PD-Spektren zeigen Hinweise auf glatte Oberflächen mit geringfügigen Defekten wie überkappende Ni-Atome auf einer ikosaedrischen Oberfläche. Wir sehen Hinweise für ein schrittweises Clusterwachstum auf einer Halbkugel des anfänglichen Ikosaeders, wobei die andere Hemisphäre weitgehend unberührt bleibt.

**Eisen:** Wir haben die Kryo-N<sub>2</sub>-Adsorptionskinetiken von größenselektierter  $Fe_n^+$  (n = 8 – 20) Cluster aufgezeichnet. Wir fanden aufeinanderfolgende Adsorptionsschritte durch einzelne exponentielle Zerfälle und durch einen biexponentiellen Zerfall im Falle von  $Fe_{18}^+$ . Wir sehen bemerkenswerte clustergrößenabhängige Adsorptionsgrenzen, die eine abschließende Klassifizierung, wie es im Fall der Ni-Cluster möglich war, bis jetzt nicht zulassen. Wir beobachten die vollständige Unreaktivität von  $Fe_{17}^+$  gegenüber  $N_2$  unter unseren experimentellen Bedingungen. Um weitere Einblicke zu gewinnen, haben wir die IR-PD-Spektren der  $[Fe_n(N_2)_1]^+$  und  $[Fe_n(N_2)_2]^+$  Spezies im N-N-Streckschwingungsbereich aufgezeichnet und konnten die beobachteten Banden der *head-on* μ<sub>1</sub> Koordination von N<sub>2</sub>

auf dem Cluster zuordnen. Die Bandenpositionen zeigen keine systematische Veränderung mit der Clustergröße, welche mit einem einfachen Model, wie dem *charge dilution model*, beschrieben werden können. Die Spektren von [Fe<sub>18</sub>(N<sub>2</sub>)<sub>1</sub>]<sup>+</sup> und [Fe<sub>18</sub>(N<sub>2</sub>)<sub>2</sub>]<sup>+</sup> zeigten eine weit rotverschobene Bande, die wir vorläufig einem leicht gekippten N<sub>2</sub> auf dem Cluster zuordnen, was ein möglicher Vorläufer für eine N<sub>2</sub>-Aktivierung sein könnte. Vorläufige DFT-Modellierung sorgt für ein erstes Verständnis der verwunderlichen Unreaktivität des Fe<sub>17</sub><sup>+</sup>-Clusters gegenüber N<sub>2</sub>. Die Berechnungen fanden eine elektronische Konfiguration welche keine zeigt hohe Reaktivität gegenüber N<sub>2</sub> zeigt. Darüber hinaus identifizierten wir zwei Isomere für den Fe<sub>18</sub><sup>+</sup>-Cluster, eins mit einer ähnlichen elektronischen Konfiguration wie der Fe<sub>17</sub><sup>+</sup>-Cluster, der die Inertheit erklärt, und ein reaktives Isomer erklärt.

**Ruthenium:** Wir präsentierten die IR-PD-Spektren der  $N_2$ ,  $H_2$  und der Koadsorption auf einem  $Ru_8^+$ -Cluster. Die Spektren zeigen die Dissoziation von  $H_2$  in allen Komplexen von  $[Ru_8(H_2)_1]^+$  mit I>1 und wir postulieren eine adsorbatinduzierte Reorganisation nach Überschreiten der Zwischenadsorptionsgrenze bei I=6. Im Fall von  $[Ru_8(N_2)m]^+$  identifizierten wir eine head-on  $\mu_1$ -Koordiantion der  $N_2$ -Adsorbate auf dem Cluster und eine Zwischenadsorptionsgrenze bei m=5. Dies könnte wieder auf eine Reorganisation jenseits dieser Zwischenadsorptionsgrenze hin deuten. Wir nahmen die IR-PD-Spektren der  $[Ru_8(H_2)_1(N_2)_4]^+$  und  $[Ru_8(N_2)_4(H_2)_1]^+$  Spezies auf und beobachteten Unterschiede in den Spektren in Abhängigkeit von der Sequenz der Reaktionsgase. DFT-Modellierung half diese Unterschiede über die Positionierung der Wasserstoffatome auf dem Cluster erklären. Die Positionen der Wasserstoffatome auf dem Cluster hängen von ihrer Migrationsmöglichkeit ab, die erheblich behindert wird, wenn der Cluster bereits mit  $N_2$  bedeckt ist. Dies wird auch durch DFT-Modellierungen von Migrationswegen bestätigt.

**Hemin:** Die gutaufgelösten Gasphasen-IR-PD-Spektren von [Hemin] $^+$ -Komplexen zeigten einen vernachlässigbaren Effekt einzelner adsorbierter Moleküle. In Verbindung mit DFT-Modellierung haben wir festgestellt, dass alle einzelnen adsorbierten Spezies ein Fe $^{3+}$  in einem Quartett-Spin-Zustand enthalten. Im Fall von [Hemin( $O_2$ )] $^+$  fanden wir insgesamt einen Sextett-Spin-Zustand, da das  $O_2$  seinen Triplett-Zustand bei der Adsorption beibehält. Darüber hinaus zeigten die IR-PD-Spektren mehrere Kombinationsschwingungen. Ein nicht-klassischer ([Hemin(CO) $_1$ ] $^+$ , blau verschobene CO-Streckschwingung) und ein

klassischer ([Hemin(CO)<sub>2</sub>]<sup>+</sup>, rotverschobene CO-Streckschwingung) Carbonylkomplex wurde beobachtet, welche in einem Quartett- bzw. einem Doublett-Spin-Zustand vorliegen.

Diese Studien sollen dazu beitragen, entscheidende Elementarschritte in der Katalyse und bio-relevanten Prozessen aufzuklären und können als Beweis für die vielseitige Anwendbarkeit der eingesetzten Methoden angesehen werden. Darüber hinaus sollen sie helfen aktuelle theoretische Modelle zu testen und bei der Entwicklung neuer Ansätze helfen.

Zukünftige Untersuchungen könnten die vorgestellt Koadsorptionstechnik weiter anwenden und auf andere TM-Cluster oder -Komplexe erweitern. Darüber hinaus wären Experimente mit zwei Laser von großem Interesse. Solche Experimente könnte die Möglichkeit bieten, aktivierte Prozesse zu untersuchen, deren Aktivierungsbarriere durch einen Laser überwunden werden kann.

### 12 Appendix: Further Joint Publications

#### **Content**

- 12.1 Vibrational fingerprints of a tetranuclear cobalt carbonyl cluster within an ion trap
- 12.2 Vibrational Blue Shift of coordinated  $N_2$  in  $[Fe_3O(OAc)_6(N_2)_n]^+$ : "Non Classical" Dinitrogen Complexes
- 12.3 Infrared spectroscopic investigation of structures and  $N_2$  adsorption induced relaxations of isolated Rhodium clusters

## 12.1 Vibrational fingerprints of a tetranuclear cobalt carbonyl cluster within a cryo tandem ion trap

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#### 12.1.1 Preamble

The following chapter is a reprint of a publication in the "Journal of Molecular Spectroscopy".

The experiments were performed by the experimental team consisting of J. Mohrbach and myself. The data evaluation was done by J.Mohrbach and myself. J. Lang performed the computations. The initial manuscript was written by J. Mohrbach and was revised with the help of G. Niedner-Schatteburg, P. Braunstein, J. Lang and and myself.

#### Full Reference:

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J. Mohrbach, J. Lang, **S. Dillinger**, M. H. Prosenc, P. Braunstein and G. Niedner-Schatteburg, *Journal of Molecular Spectroscopy*, **2017**, 332, 103-108.

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#### 12.1.2 Reprint

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#### Vibrational fingerprints of a tetranuclear cobalt carbonyl cluster within a cryo tandem ion trap



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#### ABSTRACT

We present well resolved ( $\Delta \tilde{v} = 3 \text{ cm}^{-1}$ ) Infrared Multiple Photon Dissociation (IR-MPD) spectra of  $[Co_4(CO)_n(dppa-H^*)]^-$  (n = 3–10, dppa = NH(PPh<sub>2</sub>)<sub>2</sub>) in the carbonyl stretching range when isolating these species at low temperatures (26 K and below). We utilize IR-MPD in conjunction with DFT calculations to investigate the influence of CO ligands on structure and spin multiplicity of the Co4 core. The recorded spectra reveal multiple bands of CO stretching vibrations which shift by reduction of CO coverage. This indicates a corresponding change of coordination motifs, and we find a conclusive interpretation of all recorded IR features in the case of the saturated n = 10 compound, likely in a singlet state as in solution. We tentatively postulate a singlet/triplet to quintet spin-flip upon removal of the first one or two CO ligands (from n = 10 to n = 9, 8) as well as a conceivable isomerization at a particular CO coverage (n = 6). © 2016 Elsevier Inc. All rights reserved.

#### 1. Introduction

High resolution mass spectrometry is currently known mostly for its favourable application in analytics, often associated with keywords like genomics, proteomics, metabolomics or petroleomics [1-3]. Other than that, high resolution MS is convenient for the spectroscopic investigation of small to medium sized species (m/z < 5000 amu/e and/or up to 200 atoms) when these form individual ions in vacuum. Direct absorption spectroscopy would fail at the number densities of typical ion experiments which do not provide for sufficient optical density. It is of help to invoke instead more elaborate schemes of indirect detection of photonic absorptions, such as so-called consequence or action spectroscopy [4-6], IR-MPD is one of these advanced techniques [7,8]. It bears the intrinsic risk of power broadening, either by the use of free electron lasers or of table top Optical Parametric Oscillator/ Amplifier (OPO/OPA) systems [9-13]. Contemporary IR-action spectroscopy achieves significant advances by so called tagging or messenger techniques where weakly bound, largely inert species (small rare gases and/or H2) serve to provide for a preferred fragmentation channel, sometimes driven by single photon absorption [14-16]. Somewhat stronger bound messengers (Ar, Kr, Xe,

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http://dx.doi.org/10.1016/j.jms.2016.11.008 0022-2852/@ 2016 Elsevier Inc. All rights reserved. CO, N<sub>2</sub>) often preserve the structures of the hosting species, while their influence onto spectral features allows for additional insights.

Notably, most of the current IR-MPD and messenger/tagging spectroscopic studies take place through single pulse illumination of mass selected ion packages "on the fly" prior to or within Reflectron-Time-Of-Flight (ReTOF) mass spectrometer setups. Only recently, experiments started to combine the advantages of ion trapping and IR-MPD/tagging experiments.

Carbon monoxide is one of the most widely studied ligands in surface and cluster science. Transition Metal (TM) carbonyls are of major significance in organometallic and inorganic chemistry, and they provide classic examples of metal-ligand bonding [17-21]. TM cluster carbonyls act as versatile catalysts [18,22], they serve as model systems for the study of chemisorption on highly curved surfaces [23], and as precursors for the production of nanoparticles [24].

The CO stretching vibration probes the metal-ligand bonding in a sensitive way: its frequency indicates subtle details of the hosts electronic structure, and it helps to elucidate its binding site and the geometric binding arrangement [25-28]. Shifts in CO frequencies are often used for the study of binding sites on TM surfaces, and the CO molecule may be viewed as a classic probe molecule in surface science [23,29]. Vibrational CO shifts are usually discussed in terms of the Dewar-Chatt-Duncanson model, that explains coordinative complexation to single TM centers [30,31], or in terms of the Blyholder model, when interpreting surface

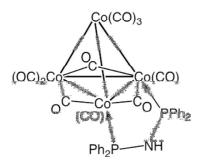
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coordination [32]. Both models describe CO coordination in terms of a donor-acceptor-synergism as follows: The carbonyls C-atom donates electron density from the doubly occupied carbon lone pair  $\sigma$ -orbital along the M–C–O axis into empty TM d orbitals; the coordinating TM center(s) donate(s) back electron density from its (their) occupied d-levels (or d-bands) into one of the antibonding  $\pi^*$  orbitals of the CO. Combined effects of  $\sigma$  donation and  $\pi$ back-bonding most often lead to a weakening of the CO bond and consequently to a lowering or red-shifting of the carbonyl stretch frequency. Most TM carbonyls follow this "classical" behaviour, although examples are known for "non-classical" metal carbonyl complexes, their main feature being a blueshift in CO stretch which is now understood in terms of an interplay between  $\pi$ -back donation and electrostatic effects [33-41]. The study of in situ generated TM clusters helped to elucidate the influence of net charge and oxidation state (+/0/-) onto the single molecule CO adsorption in terms of a charge dilution model [42]. Thermochemical insights - such as Co-CO binding enthalpies and more - arose from a sophisticated combination of ionization and dissociation experiments of atomic cobalt ion carbonyl complexes with ab initio calculations [43,44]. The IR-MPD studies of such complexes revealed an induced spin quenching (triplet to singlet) upon an increase of CO coordination (n = 4-5) [36].

The obvious progress in the elucidation of carbonyl complex structure and bonding has brought with it several nomenclatures for the description of the prevailing coordination motifs; Bonding/coordination of a single CO molecule via its C-terminus to a single metal or TM center - irrespective of the metal coordination to its neighbours if any - has been termed as: terminal, end on, on top, atop, s1,  $\mu_1$ ,  $\mu^1$ , and  $\eta_1$  – this listing not claiming completeness. For reason of simplicity, we will exclusively utilize the term "terminal" CO and the label CO<sub>term</sub> in the following. Bonding/coordination of a single CO molecule via its C-terminus to two metal or TM centers has been addressed by: bridging, s2,  $\mu_2$ ,  $\mu^2$ , "in between". We will exclusively utilize the term  $\mu_2$ -CO. The third likely coordination motif of CO involves three metal or TM centers in bonding/coordination. This motif has been labeled as: capping, on hollow, s3,  $\mu_3$ , and  $\mu^3$ . We likely do not see this motif in our present study. When appropriate we will discuss it in terms of  $\mu_3$ -CO.

In our current ion trapping spectroscopic study, we investigate the tetranuclear cobalt carbonyl complex [Co<sub>4</sub>(CO)<sub>10</sub>dppa] [45] which is stabilized by the well-established diphosphine short-bite bridging ligand  $\mu_2$ -dppa (dppa = NH(PPh<sub>2</sub>)<sub>2</sub>) [46]. IR spectra of the complex (from KBr plates and in solution) reveal seven bands in the 1930–2070 cm $^{-1}$  range and three bands in 1770–1860 cm $^{-1}$  range, arising from terminal and  $\mu_2$ -CO ligands. This is consistent with a C<sub>4</sub> molecular symmetry.

The complex (Scheme 1) finds application in the preparation of TM phosphides, which is generally not easy and otherwise requires



**Scheme 1.** Molecular structure of the neutral tetranuclear cluster  $[Co_4(CO)_{10}dppa]$  (dppa = NH(PPh<sub>2</sub>)<sub>2</sub>). The complex consists of a Co<sub>4</sub> core, three  $\mu_2$ -CO and seven terminal CO ligands. The dppa ligand bridges two basal cobalt atoms.

the direct combination of elements at high temperature, the reaction of toxic phosphide with metal or metal hydride, or metal organic chemical vapor deposition techniques. Instead, pure cobalt phosphide nanoparticles can be obtained from  $[\text{Co}_4(\text{CO})_{10}\text{dppa}]$  by anchoring and thermal treatment [47]. The  $[\text{Co}_4(\text{CO})_{10}\text{dppa}]$  complex moreover may serve as a model system for the stepwise regioselective elimination of CO ligands from a metal cluster. The dppa ligand in  $[\text{Co}_4(\text{CO})_{10}\text{dppa}]$  stabilizes the  $\text{Co}_4$  core and orients further cluster functionalization by selective CO substitution. A second dppa ligand or a N-functionalized dppa ligand would bridge that metal—metal bond which is opposite to that spanned by the first dppa ligand [47]. The regioselective CO elimination would allow e.g. for the specific anchoring of this metal complex within a nanoporous membrane [48].

In this study, we strive for fundamental insight into the stepwise CO elimination from anionic derivatives of the  $[\text{CO}_4(\text{CO})_{10}\text{dppa}]$  complex by Collision Induced Dissociation (CID) and by IR-MPD investigations, in conjunction with Density Functional Theory (DFT) to model the anions geometries, spin couplings and force constants. The  $\text{Co}_4$  core within these anions might be viewed as a highly curved nano-sized metal surface. Upon adoption of this analogy, it seems appropriate to view the stepwise detachment of CO ligands from the complex as a change in CO surface coverage.

#### 2. Experimental and computational methods

We utilized a customized Fourier Transform Ion Cyclotron Resonance (FT-ICR) mass spectrometer (Apex Ultra, Bruker Daltonics) equipped with an Electrospray Ionization (ESI) source (Apollo 2, Bruker) and a home-built laser vaporization cluster ion source [49,50] (Fig. 1).

The molecular precursor complex [Co<sub>4</sub>(CO)<sub>10</sub>dppa] was synthesized from [Co<sub>4</sub>(CO)<sub>12</sub>] and characterized by its IR (from KBr plates and in solution) and <sup>1</sup>H/<sup>31</sup>P NMR spectra [45]. Sample solutions of the  $[Co_4(CO)_{10}dppa]$  complex in acetonitrile at the concentration of  $1 \times 10^{-4}$  mol/l were used without further purification and continuously infused into the ESI source by a syringe pump at a flow rate of 2 μL min<sup>-1</sup>. The ion source was set to negative electrospray ionization mode. Nitrogen was used as drying gas with a flow rate of 3.0 L min<sup>-1</sup> at 200 °C. The solutions were electro-sprayed at a nebulizer flow of  $1.5 \, \mathrm{L} \, \mathrm{min}^{-1}$  and the spray shield was held at  $3.6 \, \mathrm{kV}$ . We obtained the deprotonated complex [Co<sub>4</sub>(CO)<sub>10</sub>(dppa-H<sup>+</sup>)]<sup>-</sup>. abbreviated [Co<sub>4</sub>(CO)<sub>10</sub>L]<sup>-</sup> in the following. In the ESI source, the ions may undergo Collision Induced Dissociation (CID) on demand. The successive elimination of up to seven CO ligands is achieved by stepwise increase of the skimmer voltage (at the first ion funnel) from -25 to -95 V (in source CID). Further increase of the funnel voltage did not enhance the elimination beyond seven CO ligands. We mass selected individual members from the resulting series of fragments  $[Co_4(CO)_nL]^-$  (n = 3-9) and guided those into a 26 K hexapole ion trap. The ion trap is cooled by a closed cycle helium cryostat and held at 26 K for the measurements. Buffer (He) gas was introduced continuously. The pressure is increased from  $1.7 \times 10^{-7}$  mbar up to  $3.0 \times 10^{-6}$  mbar to accomplish efficient trapping and cooling of the ions. The cryo cooled ions are guided by electrostatic lenses into the FT-ICR cell of the so-called "infinity" type [51]. This cell is cooled to a temperature of about 10 K with a closed cycle helium cryostat to prevent heating of the clusters by black body radiation. The ICR cell is used for trapping, isolation and detection of the ions. It is coupled to a tuneable pulsed IR laser  $(\Delta \widetilde{v} = 0.9 \text{ cm}^{-1}, \Delta t = 7 \text{ ns})$  to record IR-MPD spectra of isolated  $[Co_4(CO)_nL]^-$  (n = 3–10) complexes. Fragmentation of the isolated species (CO elimination) indicates the absorption by a resonant vibrational frequency (Fig. 2a). The laser power was attenuated

J. Mohrbach et al./Journal of Molecular Spectroscopy 332 (2017) 103-108

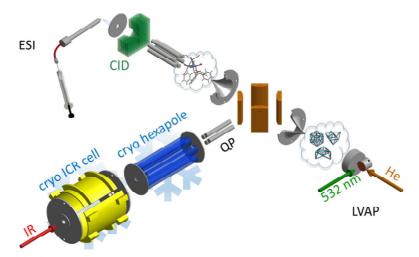


Fig. 1. Schematic drawing of our dual source tandem cryo trap FT-ICR MS setup. lons are generated either in a Laser VAPorization (LVAP) source or in an Electro Spray Ionization (ESI) source. Either type of ions are injected into the first ion trap (cryo hexapole) via a switchable 90° ion bender and a quadrupole mass filter (QP). Buffer and reaction gases are introduced either pulsed or continuously. The cryo cooled ions and their reaction products are guided into the cryo ICK-cell, where they are isolated, irradiated by IR laser pulses and detected.

for n = 6–10 in the range from 1850 cm $^{-1}$  to 2050 cm $^{-1}$  to prevent excessive fragmentation. In the case of  $[\text{Co}_4(\text{CO})_n\text{L}]^-$  (n = 4–10) the only observed fragmentation channel was the loss of CO. In the case of  $[\text{Co}_4(\text{CO})_3\text{L}]^-$  the only observed fragment (395 m/z) may be assigned to  $[\text{Co}_4(\text{CO})_3\text{NP}_2]^-$  due to the loss of all four phenyl groups from the dppa ligand. Addition of  $N_2$  gas in the cryo hexapole results in  $N_2$  adsorption to coordinatively unsaturated  $[\text{Co}_4(\text{CO})_n\text{L}]^-$  (n = 6, 8) complexes. These  $N_2$  complexes are isolated in the ICR cell and illuminated by infrared laser pulses. Here, the photo induced loss of  $N_2$  indicates a resonant excitation of the complex [Fig. 2b]. Note, that the modest mass difference between CO and  $N_2$  of about 0.01 amu can be accurately resolved with our experimental setup.

The IR laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system pumped by a pulsed 10 Hz injection seeded Nd³\*:YAG laser (Continuum, Powerlite DLS 8000). In this work we used the difference frequency (DF) between the OPA signal and idler waves generated in a AgGaSe2 crystal (0.1–1.2 mJ per pulse). We recorded IR-MPD spectra in the range of 1500–2300 cm $^{-1}$ . Each trapped and isolated package of ions is irradiated by 15 laser pulses to yield a sufficient amount of fragment ions. The IR spectra were recorded as mass chromatograms while continuously scanning the IR frequency (typically <0.2 cm $^{-1}/s$ ). The IR-MPD signal was evaluated as  $\Sigma_i F_i/(\Sigma_i F_i + \Sigma_i P_i)$ , where  $F_i$  and  $P_i$  indicate the fragment and the parent ion signals, respectively. An experimental IR-MPD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency.

Optimized minimum energy structures and linear IR absorption spectra were calculated at the B3LYP [52,53] and PBE0 [54] level of theory using 6-31++G\*\* basis sets (C, H, N, O, P), and Stuttgart RSC 1997 [55] effective core potential (Co) basis sets, respectively, as implemented in the Gaussian 09 program package [56]. Standard convergence criteria were applied. Full geometry optimization of all nuclear coordinates yields multiple local minimum structures. The lowest energy structure is assumed to represent the most stable isomer. We present singlet as well as quintet spin states. We scaled the calculated harmonic vibrational frequencies by a factor of 0.954 in order to match the calculated highest frequency CO vibration band in  $[\text{Co}_4(\text{CO})_{10}\text{L}]^-$  (unscaled at 2143 cm $^{-1}$ ) to our experimental value of 2044 cm $^{-1}$ .

#### 3. Results and discussion

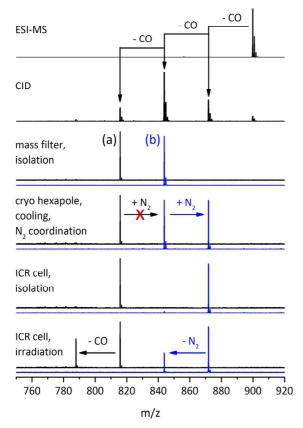
#### 3.1. IR-MPD spectra

The IR-MPD spectra of the investigated  $[\text{Co}_4(\text{CO})_n\text{L}]^-$  (n = 3–10) complexes (Fig. 3) exhibit several strong bands in the range 1880–2050 cm<sup>-1</sup>, which we assign to the stretching vibrational modes of terminal CO ligands, and weaker bands around 1810 cm<sup>-1</sup> which we assign to the stretching vibration modes of  $\mu_2$ -CO ligands.

There are multiple bands for the terminal CO ligands for all n = 3-10 investigated. All bands are significantly redshifted by 97–247 cm<sup>-1</sup> with respect to the free CO vibration at 2143 cm<sup>-1</sup> Judging by the band with highest frequency (indicated by the red line in Fig. 2), all redshifts increase by  $\sim$ 90 cm<sup>-1</sup> upon decarbonylation (seven fold CO-elimination). The observed redshifts are indicative for a reduction of force constants and weakening of the CO bonds. The likely associated bond lengthening arises through electron density transfer from the tetranuclear cobalt core into antibonding  $2\pi^*$  CO acceptor orbitals. As seen before [57] the extent of such electron density shift/charge transfer/ electronic transfer does depend on CO coverage. The stepwise decrease of CO coverage reduces the total electron back donation from the Co<sub>4</sub> core to the antibonding CO  $\pi^*$  orbitals, or it enables a higher donation per CO ligand. We assume a combination of both. If so, these arguments fall in line with the observed redshifts of COtern vibrations which increase by decarbonylation/CO elimination.

A conclusive interpretation of all observed  $CO_{term}$  bands is not possible at current stage of investigation. DFT modelling helps in the case of n=10 (see subsequent section), and not beyond. Some obvious findings deserve attention: The spectra of  $[Co_4(CO)_nL]^-$  (n=10, 9, 8, 7, 5, 4, 3) reveal 4, 4, 3, 2, 2, 2 and 2 distinct  $CO_{term}$  bands. The level of distinct absorption motifs reduces in parallel to a reduction of the CO coverage. The n=6 case is unique: We find up to 6 partially resolved  $CO_{term}$  features. This indicates either a highly specific Co-CO coordination per each CO. or it hints towards an isomeric variation that does not occur in any of the other cases. Isomeric variance may originate from  $Co_4$  core isomers, from variation of CO coordination, or even from a coexistence of complexes in different spin multiplets (singlet/triplet/quintet/...). Naked





**Fig. 2.** Mass spectra illustrating the experimental methods. The ESI source delivers  $[\text{Co}_4(\text{CO})_{10}\text{L}]^-$  (899 m/z). Subsequent CID yields a series of under-coordinated  $[\text{Co}_4(\text{CO})_{n}\text{L}]^-$  (n = 3–9) complexes via successive decarbonylation. After isolation of one of these, the ions of choice are trapped and cryo cooled within the RF hexapole ion trap (a and b). Optional  $N_2$  gas intake may result in the adsorption of one  $N_2$  molecule to distinct complexes, n = 6, 8 only (blue spectra, b). Either type of ions (with or without  $N_2$  attached) are guided into a 10 K ICR cell, isolated, irradiated by IR laser pulses, and analyzed for their fragments. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

cobalt clusters are known for their very high spin states [58,59], and an adsorbate induced partial quenching is likely [60]. Further elucidation of such effects remains to be carried out through DFT modelling in the future.

The low energy part of the spectra reveals features typical of  $\mu_2$ –CO coordination. Such fingerprints prevail at n = 5–10 and vanish at n = 3, 4. Other than in the CO<sub>term</sub> bands, there is no systematic redshift variation as a function of coverage for the  $\mu_2$ –CO bands. The magnitude of the  $\mu_2$ –CO redshift is large ( $\sim\!340~\text{cm}^{-1}$ ) and in line with numerous previous observations [61,26,19]. In remarkable contrast to the up to six distinct CO<sub>term</sub> bands, there are just two distinct  $\mu_2$ –CO bands at 1790–1810 and 1810–1830 cm $^{-1}$ , respectively. These two bands likely correspond to two species,  $\mu_2^a$  (<1810 cm $^{-1}$ ) and  $\mu_2^b$  (>1810 cm $^{-1}$ ). The  $\mu_2^a$  species occurs only at highest coverages n = 8–10, and the  $\mu_2^b$  species occurs only at high coverages n = 5–10. At this point the successful DFT modelling of the n = 10 case enables a convincing assignment of these two species (see below).

Our investigation did not find evidence for any IR-MPD bands in the range of 1500–1700 cm $^{-1}$  (n = 6–10), which allow us to rule out  $\mu_3$ –CO coordination in [Co<sub>4</sub>(CO)<sub>n</sub>L] $^-$ .

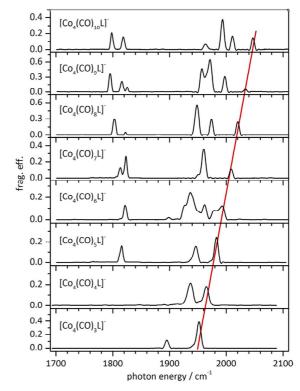


Fig. 3. IR-MPD spectra of  $[Co_4(CO)_nL]^-$  (n-3-10) complexes at 26 K. The red line serves to guide the eye, emphasizing the redshift of the terminal carbonyl stretches with decarbonylation. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

An interpretation solely in terms of electrostatics and electron density shifts falls short of all prevailing effects. Surely there are coverage dependent steric effects which reflect surface "crowding" by CO adsorbates, and which likely cause concomitant terminal –  $\mu_2$  –  $\mu_3$  – rearrangements. In parallel, there will be a change in the order of individual  $\Delta_{\rm surface}H(\mu_x\text{-CO})$  values. Small coverages may go with  $\Delta_{\rm surface}H(CO_{\rm term}) > \Delta_{\rm surface}H(\mu_2\text{-CO}) \sim \Delta_{\rm surface}H(\mu_3\text{-CO})$  in preference of terminal CO; high coverages may go with  $\Delta_{\rm surface}H(\mu_3\text{-CO}) > \Delta_{\rm surface}H(CO_{\rm term})$  in preference of  $\mu_{2,3}\text{-CO}$ . Indeed, our spectra show that  $CO_{\rm term}$  prevails at all levels of "coverage" while  $\mu_2\text{-CO}$  fingerprints diminish upon reduction of CO coverage.

#### 3.2. DFT modelling

We have conducted DFT modelling of the  $[\text{Co}_4(\text{CO})_{10}\text{L}]^-$  complex, and we obtained its linear IR absorption spectra in singlet and quintet (+6 k]/mol) spin states. The calculation of force constants in triplet state did not converge, irrespective of the chosen exchange-correlation functional (B3LYP and PBEO). Geometry optimized calculations of the singlet and triplet state at the somewhat more approximate BP86 level have identified a singlet ground state and a high energy (+46 k]/mol) triplet state. While we take this finding with a grain of caution, it shall suffice to rule out triplet contributions at the current stage of investigation.

In the following we compare two computed IR spectra (in singlet and quintet state by PBEO) to the experimental IR-MPD spectrum in the CO stretching frequency range (Fig. 4). A B3LYP singlet spectrum is virtually identical to that one by PBEO

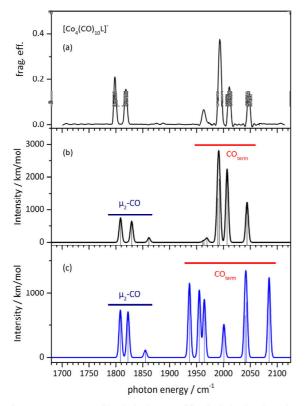


Fig. 4. IR-MPD spectrum of [Co<sub>4</sub>(CO)<sub>10</sub>L]<sup>-</sup> at 26 K (a) and calculated IR absorption spectra of geometry optimized singlet (b) and quintet (+6 kJ/mol) (c) spin state. The calculations were performed at the Stuttgart 1997 ECP (Co) and PBE0/6-31 · I G\*\* (II, C, N, O, P) level of theory. The frequencies are scaled with 0.954, and calculated lines were broadened with Gaussians (FWHM = 3 cm<sup>-1</sup>). The lowest triplet state (+46 kJ/mol) is discussed in the text. For the vector characters of all 10 singlet vibrations refer to Fig. S2 in the supplement.

(cf. Supplement Fig. S1). The DFT computations reveal seven CO<sub>term</sub> bands and three  $\mu_2$ -CO bands, some of which are almost degenerate. Upon folding in the experimental resolution of 3 cm<sup>-1</sup>, the DFT modelling predicts four observable CO<sub>term</sub> bands and three observable  $\mu_2\text{-CO}$  bands in the case of a singlet spin state – in agreement with the experimental IR MPD findings. We provide an illustrative visualization of the calculated displacement vectors of these modes in Fig. S2 of the supplement. We assign the two  $\mu_2$ -CO species mentioned above to the one CO ligand that bridges the same cobalt atoms as the dppa ligand ( $\mu_2^a$ ), and to the two CO ligands that bridge one dppa bound Cobalt atom and the third basal Cobalt atom  $(\mu_2^b)$ . We clearly rule out contributions from the calculated quintet state, which would predict six CO<sub>term</sub> bands across a wider range of frequencies in undisputable disagreement with the

Unfortunately, our current attempts of further DFT modelling (n < 10) have not yet led to converging data and to verifiable conclusions. We are tentatively assuming, that decarbonylation will allow for higher spin states, partially co-existing.

#### 4. Conclusions and outlook

It became possible to record well resolved IR spectra of  $[Co_4(CO)_{10}L]^-$  (n = 3–10) in the range of  $CO_{term}$  and  $\mu_2$ -CO vibrations when isolating these species at low temperatures (26 K and below). We find several bands which shift upon reduction of CO coverage, indicating a variation of coordination motifs. In conjunction with DFT modelling, we find a conclusive interpretation of all recorded IR features in the case of the saturated n = 10 compound, likely in a singlet state similar to solution. We currently fail to achieve such conclusive interpretation in all other cases. We currently speculate about spin switching into higher multiplet states (from singlet into triplet/quintet or even higher) upon stepwise decarbonylation, and about a conceivable isomerization at a particular CO coverage (n = 6). The CO coverage dependent redshift of the highest  $CO_{term}$  is indicative of the charge dependent  $2\pi^*(CO)$ back donation and of its concomitant bond weakening. In contrast, the  $\mu_2\text{-CO}$  bands modulate in appearance and intensity upon change of the CO coverage - without shifting significantly.

We are in the process of recording further spectra of Co<sub>4</sub> species with a mixed N<sub>2</sub>-CO coverage, and we are enforcing further DFT analysis by all means. Once achieved, the then calculated  $\Delta_{\text{surface}}$ -H(CO) and  $\Delta_{surface}H(N_2)$  values will allow for an elucidation of additional steric effects ("crowding"). We anticipate these effects to modulate the electronics in the known acceptor-donor type Co-CO and Co-N2 bindings. Such steric effects most likely cause  $\text{CO}_{\text{term}}$  to  $\mu_2\text{-CO}$  to  $\mu_3\text{-CO}$  rearrangements, and it will be fascinating to compare such findings to the data of CO and N2 adsorption on flat surfaces.

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#### Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.jms.2016.11.008.

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## 12.2 Vibrational Blue Shift of coordinated N₂ in [Fe₃O(OAc)<sub>6</sub>(N₂)<sub>n</sub>]\*: "Non Classical" Dinitrogen Complexes

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#### 12.2.1 Preamble

The following chapter is a reprint of a publication in the journal "Chemical Communications".

The experiments were performed by the experimental team consisting of J. Mohrbach, J. Lang and myself. The data evaluation was done by J. Lang, J.Mohrbach and myself. J. Lang performed the computations. The initial manuscript was written by J. Lang and was revised with the help of G. Niedner-Schatteburg, J. Mohrbach and myself.

#### Full Reference:

Vibrational blue shift of coordinated  $N_2$  in  $[Fe_3O(OAc)_6(N_2)_n]^+$ : "non-classical" dinitrogen complexes

J. Lang, J. Mohrbach, **S. Dillinger**, J. M. Hewer, and G. Niedner-Schatteburg, *Chemical Communications*, **2017**, 53, 420-423.

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#### 12.2.2 Reprint

#### **Reprint License**

### Vibrational blue shift of coordinated $N_2$ in $[Fe_3O(OAc)_6(N_2)_n]^+$ : "non-classical" dinitrogen complexes

J. Lang, J. Mohrbach, S. Dillinger, J. M. Hewer and G. Niedner-Schatteburg, *Chem. Commun.*, 2017, **53**, 420 **DOI**: 10.1039/C6CC07481B

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# Vibrational blue shift of coordinated $N_2$ in $[Fe_3O(OAc)_6(N_2)_n]^+$ : "non-classical" dinitrogen complexes†

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We present "non-classical" dinitrogen Fe(III) oxo acetate complexes in vacuo utilizing Infrared Photodissociation (IR-PD) at cryo temperatures. The IR-PD spectra reveal a blue shift of the  $N_2$  stretching vibration frequencies in the complexes. Density Functional Theory (DFT) calculations confirm the experiments and indicate strengthened N–N bonds due to pronounced  $\sigma$  bonding and a lack of  $\pi$  back donation.

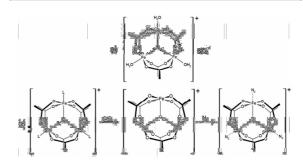
The discovery of transition metal dinitrogen complexes in 1965<sup>1</sup> launched the ever growing research field of N2 coordination chemistry.2,3 One of the main goals is the conversion of N2 to ammonia under mild conditions4 by homogenous catalysis.5,6 The basic idea is to weaken N-N bonds upon coordination to enable subsequent functionalization. Precursors to Na activation manifest by elongated N-N distances and by red shifting of stretching frequencies<sup>7,8</sup> with respect to the vibration of the free N2 molecule. Such bond activation and vibrational red shifts have been interpreted before, e.g. in terms of the Dewar-Chatt-Duncanson (DCD) model,9 and the Blyholder surface coordination (BSC)<sup>10</sup> model. Theoretical as well as spectroscopic investigations confirmed these interpretations.11 However, FT-IR studies on N2 coordinated to Lewis acid centers in zeolites12 and alumina13 revealed blue shifted vibrational  $N_2$  stretching frequencies (up to 24 cm<sup>-1</sup>). This indicates N-N bond strengthening rather than weakening - much beyond these established models. A similar effect has been observed in the case of isoelectronic carbon monoxide: so called "non-classical" metal carbonyl complexes 14,15 exhibit blue shifted vibrational CO stretching frequencies (up to 138 cm <sup>1</sup>). <sup>16</sup> Gas phase studies of isolated non-classical metal carbonyl clusters 17,18 proved the intrinsic molecular origin of this effect. The cause for the CO blue shift was a topic of debate19 and is now understood in terms of an interplay between  $\pi$  back donation and electrostatic effects.<sup>20</sup> It is paramount to characterize the geometrical and electronic structures of such complexes in

Fachbereich Chemie and Forschungszentrum OPTIMAS. Technische Universität Kaiserslautern, 67663 Kaiserslautern, Germany. E-mail: jlang@chemie.uni-kl.de † Electronic supplementary information (ESI) available. See DOI: 10.1039/c6cc07481b order to acquire a fundamental insight into the prevailing interactions leading to such non-classical behaviour.

The combination of Electrospray Ionization Mass Spectrometry<sup>21</sup> (ESI-MS) and infrared (IR) laser spectroscopy is suitable for the characterization of isolated coordination complexes with defined stoichiometry. Infrared (Multiple) Photon Dissociation (IR-(M)PD) provides direct access to structural and vibrational information, both under cryogenic conditions<sup>22,23</sup> and at room temperature.<sup>24</sup> The experimental results and dedicated *ab initio* calculations are combined in order to obtain detailed insight into the geometrical structure and intrinsic properties of the isolated molecular ions.

In this work we investigate isolated dinitrogen complexes  $[Fe_3O(OAe)_6(N_2)_n]^+$  (n=1–3,  $OAc=CH_3CO_2^-$ , cf. Scheme 1), which exhibit a significant blue shift (17 cm<sup>-1</sup>) of  $N_2$  vibrations in the complex with respect to the free  $N_2$  molecule. We examine the  $N_2$  coordination in detail to rationalize the blue shift and N–N bond strengthening. This is, to the best of our knowledge, the first report on the "non-classical" behaviour of isolated  $N_2$  complexes.

We utilize a customized Fourier Transform-Ion Cyclotron Resonance (FT-ICR)-mass spectrometer (Apex Ultra, Bruker Daltonics) equipped with an ESI ion source (Apollo 2, Bruker).



Scheme 1 (a) Molecular structure of the precursor [Fe $_3$ O(OAc) $_6$ (H $_2$ O) $_3$ I(ClO $_4$ ) salt. In solution the water molecules exchange with solvent molecules (L – e.g. acetonitrile). (b) ESI-MS reveals the formation of [Fe $_3$ O(OAc) $_6$ (L) $_0$ l $^+$  (n = 0, 1, 2, 3). Collision induced dissociation (CID) of L yields the under-coordinated [Fe $_3$ O(OAc) $_6$ l $^+$  complex, which binds 1–3 N $_2$  molecules at 26 K.

420 | Chem. Commun., 201/, 53, 420-423

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Communication ChemComm

We coupled the ICR cell with a KTP/KTA optical parametric oscillator/amplifier (OPO/A) IR laser system (LaserVision). Optimized minimum energy structures and linear IR absorption spectra were calculated at the B3LYP25 level of theory using ec-pVTZ basis sets<sup>26</sup> and Stuttgart RSC 1997<sup>27</sup> effective core potential basis sets (Gaussian 09<sup>28</sup>). We present calculations with 15 unpaired alpha electrons yielding a spin multiplicity of 16 with other multiplicities (2–18) found to be significantly less stable (cf. Fig. S12, ESI†). We scale the calculated frequencies with two different scaling factors: one scaling factor (0.951) is specifically designed to elucidate  $N_2$  stretching bands in  $[Fe_3O(OAc)_6(N_2)_n]^+$ . It scales the calculated  $N_2$  stretching frequencies such that a calculated free N2 stretching vibration frequency matches the experimental  $\mathrm{value}^{29}$  of 2330  $\mathrm{cm}^{-1}$ . This approach conveniently reveals any effects of Fe-N2 coordination on N2 stretching frequencies. A second unspecific scaling factor (0.986) is applied for all other bands below 1800 cm<sup>-1</sup>. It scales the calculated asymmetric carboxylate stretching vibration frequencies of  ${\rm [Fe_3O(OAc)_6(N_2)_1]^+}$ to match our own experimental value of 1587 cm<sup>-1</sup>. Unscaled spectra are provided in the ESI† (cf. Fig. S4).

Upon spraying the sample solution and recording mass spectra in the ICR-cell, we observe a series of isotopic peaks matching convincingly with simulated isotopic patterns (ef. Fig. S1, ESI†). We assign those peaks to  $[Fe_3O(OAc)_6(L)_n]^+$  ( $L=H_2O$ , acetonitrile, acetic acid; n=0,1,2,3). Elimination of L by Collision Induced Dissociation (CID) and subsequent coordination of  $N_2$  in the hexapole at cryo temperatures (26 K) leads to the formation of  $[Fe_3O(OAc)_6(N_2)_n]^+$  (ef. Scheme 1b and Fig. S2, ESI†). Note that we observe  $n_{\max}=3$ , thus "titrating" the three available Fe

coordination sites. The calculated Gibbs energies at various temperatures reveal  $N_2$  binding energies of 11, 9, and 8 kJ  $\mathrm{mol}^{-1}$  for the first, second, and third  $N_2$  (26 K; BSSE corrected,  $\mathit{cf.}$  Fig. S3, ESI†). The  $N_2$  binding Gibbs energies diminish with increasing temperature, vanishing above 80 K.

We recorded IR-PD spectra of cryocooled  $[Fe_3O(OAc)_6(N_2)_n]^{\dagger}$  (n=1,2,3), Fig. 1, black traces) and conducted DFT simulations to obtain their linear IR absorption spectra (Fig. 1, green traces). The DFT calculations reveal optimized minimum structures as depicted in the insets of Fig. 1. We observe several bands between 1300 cm  $^{-1}$  and 1500 cm  $^{-1}$ , coinciding with the predicted CH<sub>3</sub> bending modes of the acetate ligands. While the calculated band frequencies around 1423 cm  $^{-1}$  and 1467 cm  $^{-1}$  agree well with the IR-PD spectrum, the calculated intensities differ significantly. Switching the DFT functional from B3LYP to PBE0 yields a much better match of IR intensities but significant deviations of calculated and observed vibrational frequencies (cf. Fig. S5, ESI $^{\dagger}$ ). Neither of these empirical functionals predicts both entities correctly. In the following we utilize the B3LYP results for further discussion.

The amount of  $N_2$  coordination ( $n=1,\,2,\,3$ ) has no significant influence on the frequency and intensity of the  ${\rm CH_3}$  bending bands (neither in the IR-PD experiments nor in the DFT calculations). This finding likely originates from the spatial separation of the affected methyl groups from the Fe- $N_2$  coordination sites.

We find a strong IR-PD band at 1587, 1590, and 1591 cm<sup>-1</sup> in the cases of n = 1, 2, 3. We assign this band to carboxylic CO stretching bands of the six coordinated acetate ligands. The n = 1 CO stretching band is red shifted by  $\approx 3-4$  cm<sup>-1</sup> with

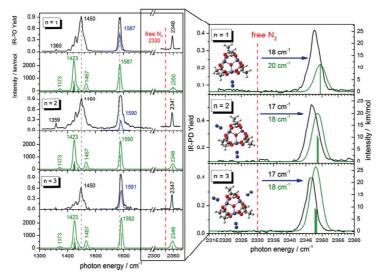


Fig. 1 Left: IR-PD spectra of  $[Fe_3O(OAc)_6(N_2)_n]^+$  (n=1,2,3) at 26 K (black and blue curves) and calculated IR absorption spectra of optimized  $[Fe_3O(OAc)_6(N_2)_n]^+$  (n=1-3) (green curves) in the range of 1300-2400 cm<sup>-1</sup>. The blue IR-PD spectrum shows the CO stretching band (recorded with highly attenuated laser power to avoid saturation effects). The calculations were performed at the B3LYP/cc-pVTZ (H,C,N,O) and Stuttgart 1997 ECP (Fe) level of theory. The multiplicity is 16 and frequencies are scaled with 0.951 (0.986) above 2300 cm<sup>-1</sup> (below 2300 cm<sup>-1</sup>). Calculated stick spectra were convoluted with a Gaussian envelope of FWHM = 7 cm<sup>-1</sup>. Right: A zoom into the  $N_2$  stretching vibration region. Calculated lines were convoluted with a Gaussian envelope of FWHM = 3.5 cm<sup>-1</sup>. Insets show associated geometry optimized structures.

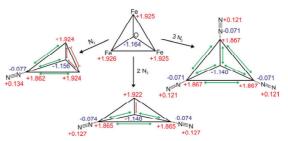
ChemComm Communication

respect to the n=2, 3 bands, and with respect to the corresponding band of the free acetate anion<sup>30</sup> at 1591 cm<sup>-1</sup>. The DFT calculated asymmetric carboxylate stretching frequencies – scaled to match the experiment at n=1 – reproduce well the reduced redshift of the experiments on n=2, 3. The coordinated carboxylate groups thus sense  $N_2$  coordination through their common Fe centers. We provide an illustrative visualization of the calculated displacement vectors of these modes in Fig. S6 of the ESI.† Note that the n=1 and 2 coordinations lift the sixfold degeneracy of these asymmetric stretching bands of the six coordinated acetate ligands. The recorded bands (slightly broadened to FWHM  $\approx 7$  cm<sup>-1</sup>) may well contain the predicted splittings of  $\approx 0.5$  cm<sup>-1</sup> – yet unresolved (*cf.* Fig. S7, ESI†).

We observe sharp bands (FWHM  $\approx 3.5~{\rm cm}^{-1}$ ) above 2300 cm<sup>-1</sup> revealing the IR active  $N_2$  stretching vibrations of [Fe<sub>3</sub>O(OAc)<sub>6</sub>(N<sub>2</sub>)<sub>n</sub>]<sup>+</sup> (cf. the displacement vector visualization of these modes in Fig. S8, ESI<sup>+</sup>). Isotope labeling of the  $N_2$  molecule confirms our assignment of these IR-PD bands to the  $N_2$  stretching frequencies. We observe a red shift of the  $^{15}N^{-15}N$  vibration band relative to the  $^{14}N^{-14}N$  vibration band (79 cm  $^{1}$ ; cf. Fig. S17, ESI<sup>+</sup>) and the same blue shift with respect to the free  $N_2$  in both isotopomers.

In all cases (n=1, 2, 3) the solitary  $^{14}\rm{N}_2$  stretching bands of the experimental IR-PD spectra shift to the blue (17–18 cm<sup>-1</sup>) with respect to the (IR inactive) stretching frequency of the free  $^{14}\rm{N}_2$  molecule (2330 cm<sup>-1</sup>). These blue shifts indicate N-N bond strengthening upon coordination. Our DFT calculations predict this blue shift remarkably well (18–20 cm<sup>-1</sup>). Multiple coordinated N<sub>2</sub> molecules have almost identical stretching frequencies. There seems to be no coupling between the N<sub>2</sub> molecules adsorbing at distinct, equivalent Fe sites. In contrast to the carboxylate asymmetric stretching bands the N<sub>2</sub> stretching bands shift slightly to lower frequencies with increasing n (IR-PD spectrum: 1 cm<sup>-1</sup>; DFT: 1.5 cm<sup>-1</sup>). However, in all three cases (n=1–3) the N<sub>2</sub> stretching band is blue shifted with respect to the free N<sub>2</sub>.

The DFT calculations reveal subtle distortions of the triangular Fe<sub>3</sub>O-core upon coordination of N<sub>2</sub> (cf. Scheme 2 and Table S2, ESI†). Each N2 molecule binds end on to the respective Fe atom. In general, the coordination of N2 enlarges Fe-Fe distances and Fe-O<sub>central</sub> bond lengths (by 0.03 Å resp. 0.01 Å) of those bonds which involve the N2 coordinating Fe-center. All the other Fe-Fe distances and Fe-Ocentral bond lengths shorten by approx. 0.03 Å or 0.01 Å. Natural Population Analysis (NPA) of  $[Fe_3O(OAc)_6(N_2)_n]^+$ (n = 0, 1, 2, 3) reveals the dependence upon n of local charge densities within the triangular Fe<sub>3</sub>O-core (cf. Scheme 2). The Fe centers gain 0.058-0.064 e electron density per coordinating N2. The non-coordinated N atoms donate 0.121-0.134 e electron density in about equal parts to the coordinating N and Fc atoms. Free, non-polar N<sub>2</sub> molecules thus polarize and oxidize significantly upon Fe coordination, both magnitudes decreasing with n – as does the blue shift of the N2 stretching bands. Note that the N-N bond length contracts slightly upon coordination (-0.002 Å). The high positive charge on the Fe centers (1.862-1.922 e) diminishes their electron donating capability and thus inhibits the  $\pi$  back donation. Such charge effects seem to increase the "non-classical" effect in metal carbonyl complexes.31



Scheme 2 Calculated geometries and natural charge distributions of the Fe<sub>3</sub>O-core and the coordinated N<sub>2</sub> in [Fe<sub>3</sub>O(OAc)<sub>6</sub>(N<sub>2</sub>)<sub>n</sub>]<sup>+</sup> (n=0,1,2,3).

Non covalent interaction (cf. Fig. 2 for n=1 and Fig. S11 for n=2, 3, ESI†) analysis reveals a rather strong attractive, but non covalent interaction between the Fe atoms and the coordinated N atoms at rather long Fe-N coordination distances of 2.451–2.501 Å (n=1-3). At such distances the  $\pi$  back donation is doomed to weakness due to scant  $\pi$  orbital overlap. Instead, it stands to reason that  $\sigma$ -donation of the N<sub>2</sub> molecule constitutes the driving force of attraction in the Fe-N<sub>2</sub> coordination in [Fe<sub>3</sub>O(OAc)<sub>c</sub>(N<sub>2</sub>)<sub>n</sub>]<sup>+</sup>. Besides the obvious attractive Fe-N interaction, we identify repulsive interactions between the carboxylate O atoms and the coordinated N atoms.

Simple considerations as e.g. by the DCD and BSC models do not explain the observed blue shift of the N2 stretching vibrations upon coordination. To rationalize this effect in a fairly perspicuous way we refer to the molecular orbital (MO) diagram of N2 (cf. Scheme S1, ESI†): all bonding MOs are populated. When ruling out short range π back donation into empty anti-bonding MOs (inhibited by the net positive charge of the coordinating complex), there is a way to increase the formal N-N bond order (and thus strengthen the N-N bond and increase the stretching frequency): this is a depopulation of the antibonding  $4\sigma^*$  orbital into appropriate Fe centered acceptor orbitals. We have elucidated the prevailing coordination and bonding by inspection of computed molecular orbitals in  $[Fe_3O(OAc)_6(N_2)_n]^{\dagger}$ . Indeed, we found that the  $4\sigma^*$  MO of the N<sub>2</sub> unit overlaps efficiently with MOs located at the Fe centers and at the carboxylate oxygen atoms of the  $[Fe_3O(OAc)_6]^+$  subunit (cf. Fig. 3 for n = 1 and

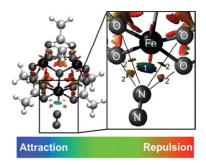


Fig. 2 NCI plot of geometry optimized  $[Fe_3O(OAc)_G(N_2)_1]^+$  (cf. Fig. S10 for n=2, 3, ESI†). The NCI plot reveals attractive interaction between the Fe center and the coordinated N atom (1) and repulsive interaction between of the O atoms and the N atom (2).

422 | Chem. Commun., 2017, 53, 420-423

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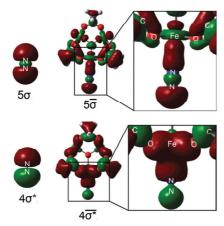


Fig. 3 Left:  $4\sigma^*/5\sigma$  molecular orbitals of free N<sub>2</sub>. Right: Selected molecular orbitals of  $[Fe_3O(OAc)_6(N_2)_1]^+$  involving the former  $4\sigma^{\star}(N_2)$  and  $5\sigma(N_2)$ orbitals.  $4\sigma^{\star}(N_2)$  electron density delocalizes into the whole complex, thus strengthening the N-N bond.

Fig. S13 for n = 2, 3, ESI†). The electrons of the anti-bonding  $4\sigma^*(N_2)$  orbital delocalize into a  $4\bar{\sigma}^*$  MO of the whole complex, whereby the  $4\sigma^*(N_2)$  orbital polarizes towards the Fe center. Note that the node plane of  $4\sigma^*(N_2)$  (between the N atoms) shifts somewhat towards the Fe center. The depletion of the anti-bonding electron density along the N-N bond increases the net bond order of  $N_2$  and blue shifts the  $N_2$  stretching vibration. The bonding  $5\sigma(N_2)$  contributes to a  $5\bar{\sigma}$  MO of the complex. This is expected to result in N-N bond weakening counteracting the effect of  $4\sigma^*(N_2)$  delocalization. However,  $5\sigma(N_2)$  receives a partial  $4\sigma^*(N_2)$  character to form the  $5\bar{\sigma}$  MO via hybridization. The strengthening effect of  $4\sigma^*(N_2)$  electron density delocalization thus seems to be the critical factor for the "non-classical" behavior of  $[Fe_3O(OAc)_6(N_2)_n]^+$ . The involvement of the  $4\sigma^*(N_2)$ orbital in the M-N<sub>2</sub> bonding scheme as well as  $4\sigma^*/5\sigma$  hybridization has been suggested in the context of X-ray absorption studies of N2 adsorbed on metal surfaces. 32 Inspection of all other delocalized MOs in the [Fe<sub>3</sub>O(OAc)<sub>6</sub>(N<sub>2</sub>)<sub>1</sub>]<sup>+</sup> complex reveals a total lack of  $\pi$  back donation from the  $[Fe_3O(OAc)_6]^+$  unit to empty  $\pi^*(N_2)$  orbitals. Considering the high charge on the Fe center and the long Fe-N2 distance (see above) this seems reasonable. The N2 coordination and thus the "non-classical" behavior of  $[Fe_3O(OAc)_6(N_2)_n]^+$  originate from  $\sigma$  bonding effects.

Our fundamental insight into the class of "non-classical" N<sub>2</sub> Fe complexes is remarkable in view of the industrial use of bulk iron for N2 activation and hydrogenation. It might help to advance a general understanding of dinitrogen chemistry beyond established coordination models.

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## 12.3 Infrared Spectroscopic Investigations of Structures and N<sub>2</sub> Adsorption Induced Relaxations of Isolated Rhodium Clusters

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#### 12.3.1 Preamble

The experiments were performed by an experimental team consisting of M. P. Klein, A. A. Ehrhard, J. Mohrbach, and myself. The data evaluation was done by M. P. Klein. The computations were done by M. P. Klein. The initial manuscript was written by M. P. Klein and revised with the help of G. Niedner-Schatteburg and myself.

This manuscript has been **accepted** for publication in Topics in Catalysis.

#### **Abstract**

This paper presents a combined IR photo dissociation (IR-PD) spectroscopic and DFT computational study of cold Rhodium cluster  $N_2$  adsorbate complexes,  $[Rh_i(N_2)_m]^+ = (i,m)$ , in the ranges of i=6,...,15 and m=1,...,16. DFT modelling of naked Rhodium clusters  $Rh_i^+$ , i=6,7,9 reveals high spin states (10tet, 13tet, and 17tet) of octahedral structures (i=6,7), and a tricapped trigonal prism (i=9). The IR spectra of single  $N_2$  adsorptions red shift in line with the established charge dilution model, and they reveal evidence for structural and/or spin isomers in cases of larger clusters (i,1),  $i \ge 12$ . The IR spectra of cluster adsorbate complexes at or close to  $N_2$  saturation indicate strong vibrational couplings and likely isomorphism. Together, the IR-PD and DFT spectra of the  $[Rh_7(N_2)_{12}]^+ = (7,12)$  complex reveal spin quenching into a triplet state – as compared to the 13tet state of naked  $Rh_7^+$ . This study is the starting point for systematic investigations of Rhodium cluster  $N_2$  adsorbates and of Rhodium Iron hetero cluster  $N_2$  adsorbates, which are work in progress.

#### Introduction

The study of the physical properties of isolated clusters and of their molecular adsorption kinetics, structures, and vibrational dynamics may enhance our understanding of elementary steps in the early parts of heterogeneous catalytic cycles which are most often based on transition metal compounds. Despite its high price, Rhodium catalysts find widespread applications in many industrial catalytic processes such as cyclopropanation [1,2], hydroformylation [3], and the manufacturing of acetic acid [4]. Nitrogen containing compounds form by Rhodium catalysis in processes such as hydrocyanation, hydroamination, and ammonia oxidation. Rhodium catalysts achieve deoxygenation [5,6] and hydrogenation [7] of nitrogen compounds. Rhodium finds wide spread practical use in car exhaust catalytic converters, where nitrogen oxides are reduced to N<sub>2</sub> [8], and it finds some use in stationary power plant exhaust cleaning [9].

In many cases the active catalyst is nanostructured, and the initial adsorption steps from gas phase are rate limiting – whether recognized or overlooked. It is obviously beneficial to study fundamental processes such as molecular adsorption and desorption, and to characterize suitable model systems such as size selected Rhodium clusters, and their adsorbates and products.

There are numerous studies on Rh gas phase clusters and complexes. Isolated Rh(I) atoms hexacoordinate a first layer of Ar atoms [10]. Numerous diatomic molecules ( $D_2$ ,  $O_2$ , CO, and NO) were found to stick readily to Rhodium clusters by room temperature single collisions – except for  $N_2$ , which requires precooling of the clusters (by Iq.  $N_2$ ) [11,12]. An early study found an anticorrelation between  $D_2$  and  $N_2$  reactivity and the Fermi energy of the neutral Rh<sub>n</sub> clusters [13].

CO: Mineva et al. found a strong effect of the spin state (and local electronics/NBOs) on vibrational frequencies of  $Rh_x(CO)_y$ , and a weaker effect of cluster size x [14]. CO proved instrumental to unravel size and charge dependencies in adsorption to Rh cluster cations and anions alike, convincingly concluding in a charge dilution model [15-19]. Subsequent studies identified CO on top and on bridge throughout all Rh clusters studied except for Rh<sub>4</sub> and Rh<sub>11</sub> where CO on hollow site was observed instead [20]. For larger clusters, Tian et al. found a transition to layered structures in Rh clusters at n = 11 to 12 and three types of CO adsorption, not scalable with size: atop, bridge, and face [21]. Non hybrid DFT functional modelling of  $Rh_i(CO)_1^{+/0/-}$  complexes found trigonal prismatic and cubic structural motifs of the cluster core [22] and end on bridging  $\mu_2/\mu_3$  adsorption motifs of CO on the charged clusters up to i = 6 and a end on  $\mu_1$  motif for the larger clusters [23].

 $NO_x$ : Biexponential kinetics of the initial adsorption step in the  $Rh_6^+ + NO$  system suggested the co-existence of stable isomers [24] that quench upon collisional cooling, while the co-existing isomers of  $Rh_{11}^+$  and  $Rh_{12}^+$  persist [25,26]. DFT modelling elucidated the likely structures of the reactive  $[Rh_6,NO]^+$  system [27] and of the  $[Rh_{3,4,6,13},NO]^{+/-}$  systems [28] in detail. NO adsorption was found with Rhodium clusters of any size, while NO reduction takes clusters  $Rh_n^+$ , n > 5 and elevated temperatures (T > 850 K) [29]. A series of studies identified nitrogen-bound NO and  $N_2O$  on various  $Rh_n^+$  clusters and IR driven or collisional decomposition [30-34]. The Tantalum alloying of Rhodium clusters was found to control NO reduction [35]. Relativistic ZORA level calculations found the  $N_2O$  reduction on  $Rh_6^-$  and  $Rh_6^+$  sensitive to the cluster charge, rather than to its geometry [36]. The adsorption of a second NO was identified as rate limiting step in CO oxidation on  $Rh_7^+$  by DFT modelling [37], other calculations modelling NO reduction by CO on  $Rh_4^+$  [38].

**Hydrocarbon reactions:** Rhodium cluster Argon complexes modulate CH<sub>4</sub> activation by the level of Ar coating [10,39]. Benzene adsorption and activation compete on Rh<sub>n</sub><sup>+</sup> clusters for

n < 19, the larger ones becoming inert [40] and cluster charge having unexpectedly little effect on the dehydrogenation reactivity [41]. Partial dehydrogenation of small alkanes by  $Rh_n^+$ , n < 30 indicated a bi-icosahedral shape of  $Rh_{19}^+$  [42], supported by lack of reactivity with ethane at n = 17 – 19, 21 [43]. Azidoacetonitrile (N<sub>3</sub>CH<sub>2</sub>CN) was found to readily releases N<sub>2</sub> + H<sub>2</sub> upon contact to  $Rh_n^+$  generating either interstitial carbides and nitrides, or yielding surface nitriles [44].

**Electronics and magnetism:** Rhodium cluster geometries by model potentials and magnetism by tight binding have reached limits in simulating magnetic moments of Rhodium clusters [45]. A more recent relativistic modelling study spent high effort on an appropriate description of the electronics of such Rh<sub>n</sub> clusters in order to determine the magnetic moments by their spin and orbital contributions. It suffered, however, from little effort on the nuclear coordinates merely assuming fcc lattices of spherical shape without geometric relaxation [46]. DFT modelling of single and multiple charges was found to exert strong influence on the structure and magnetism of Rhodium clusters [47]. Choice of a large basis sets in DFT modelling was found crucial before in order to obtain converged predictions on structures of Rh<sub>n</sub>,  $n \le 6$  [48]. Simultaneously performed magnetic and electric deflection measurements of Rhodium clusters (Rh<sub>n</sub>,  $6 \le n \le 40$ ) reveal ferromagnetism and ferroelectricity at low temperatures neither of which property exists in the bulk metal [49]. Nevertheless, our knowledge of the electronic and magnetic properties of small Rhodium clusters is still limited, and the different structural and spin isomers are very close in energy [50].

A significant advance on the structural elucidation of  $Rh_n^+$  clusters arose through free electron laser and Argon tagging enabled vibrational spectroscopy in conjunction with corresponding DFT modelling (PBE(1)/LanL2DZ) of the obtained spectra [51,26]. The following was found: octahedral structure motifs for the  $Rh_6^+$  and  $Rh_8^+$  cluster, a pentagonal bipyramidal structure for the  $Rh_7^+$  cluster, a trigonal prismatic structure for the  $Rh_9^+$  cluster, and icosahedral structural motif for larger clusters up to  $Rh_{12}^+$ . This as well as another DFT study [52] left some room for improvement in terms of the usage of more advanced basis sets. These investigations are the first to demonstrate the importance of hybrid functionals for the investigation of Rhodium clusters.

Basin hopping DFT calculations predicted various properties of  $Rh_xS_y^{+/0/-}$  (x = 1-9; y = 0,1) clusters and emphasized the importance of hybrid\_GGA functionals (e.g. PBE0) while questioning the appropriate percentage of exact Hartree–Fock exchange, and concluding on ambiguities in current spin state determination [53].

**Prior N<sub>2</sub> vibrations:** FT-IR studies of laser ablated Rh atoms in N<sub>2</sub> doped Ar matrix revealed numerous bands in the 600 to 2200 cm<sup>-1</sup> range which were attributed to Rh(N<sub>2</sub>)<sub>1-4</sub> and other small Rh<sub>x</sub>N<sub>y</sub> products [54]. Investigation of an isolated Rh(N<sub>2</sub>)<sub>4</sub><sup>+</sup> complex by IRMPD spectroscopy obtained an N<sub>2</sub> stretch at 2297 cm<sup>-1</sup> band [55] which is much less red shifted than in the neutral complexes and close to the free N<sub>2</sub> stretch at 2330 cm<sup>-1</sup> [56]. This is likely due to less back bonding by cationic Rh.

**Our study:** We present an IR spectroscopic study of Dinitrogen on cold Rhodium cluster adsorbate complexes with support by some DFT calculations in three cases,  $Rh_i^+$  (i = 6,7, and 9), and we chose the experimental and calculated IR spectra of the  $[Rh_7(N_2)_{12}]^+$  cluster adsorbate complex for an analysis in more detail. Considering conceivable Rh cluster isomerization barriers on the order of  $N_2$  heats of adsorption we expect considerable structural relaxation in the cluster adsorbate complexes as of relevance in the context of catalytically active clusters and nanoparticles in operando.

#### **Experimental and Computational Methods**

The experiments were performed by a customized 7 Tesla Fourier Transform-Ion Cyclotron Resonance (FT-ICR)-mass spectrometer (Apex Ultra, Bruker Daltonics). We produced the cluster ions in a home-built laser vaporization source as described before [57-59]. The second harmonic of a Nd:YAG laser is focused on a rotating Rhodium-target (99.8 %, Alfa Aesar, 0.1 mm thick). The resulting plasma is entrained in a helium gas pulse (40  $\mu$ s, 10-15 bar), which was generated by a homemade piezoelectric valve [60], synchronized to the evaporating laser at 20 Hz. The pressure of the laser vaporization chamber rises from roughly  $3.5 \times 10^{-7}$  to  $1.6 \times 10^{-4}$  mbar during He pulsing. The plasma contains atoms and ions and while they cool down, they aggregate to clusters in the subsequent jet expansion through a 50 mm long channel (2 mm diameter) into vacuum (3 × 10<sup>-7</sup> mbar). The cluster beam is skimmed and the cluster ions are accelerated and guided through a 90° static quadrupole ion bender. The required cluster ions are selected by an RF quadruple mass filter

and stored into a cryogenic hexapole ion trap at 26 K which is held at  $3.0 \times 10^{-6}$  mbar He buffer gas pressure. We achieve Nitrogen attachment by constantly adding  $3.0-3.8\times 10^{-7}$  mbar  $N_2$ . Then we use He to increase the pressure in the ion trap to roughly  $4.0\times 10^{-6}$  mbar. Within ion storage times of up to 2 sec all Rhodium clusters reach adsorbate saturation, and we randomly checked for much longer times no further attachment being observed. Subsequently, all stored ions are gently extracted with as little potential gradient as possible, accelerated, steered and decelerated into the ICR-cell by a high definition electrostatic ion lense setup [61,62]. Transfer and trapping efficiency is about 10%. The ICR-cell of the infinity-type [63] is cooled to 10 K by a closed cycle He cryostat [64,65] in order to prevent activation of the clusters by black body radiation [66,67]. In order to record IR-PD spectra of  $[Rh_i(N_2)_m]^+$  cluster adsorbate complexes by their sizes (i,m) we isolate the parent cluster ion of interest by RF exciting and removing all other ions from the cell.

For the acquisition of the IR-PD spectra the FT-ICR cell is coupled to a tunable IR laser  $(\delta n = 0.9 \text{ cm}^{-1}, \delta t = 7 \text{ ns}, E_{puls} = 0.4 - 1.3 \text{ mJ})$ . This laser is a KTP/KTA optical parametric oscillator/amplifier (OPO/A, LaserVision) system which is pumped by a pulsed 10 Hz injection seeded Nd:YAG laser (PL8000, Continuum). The difference frequency (DF) between the OPA signal and idler waves is generated in an AgGaSe2 crystal. This generates IR radiation in the range of 1000 - 2400 cm<sup>-1</sup>. Each trapped and isolated package of ions is irradiated by multiple laser pulses (up to 7) in order to accumulate fragment ions. The IR-PD spectra were recorded as ion chromatograms while continuously scanning the IR wavelength. The IR-PD signal was evaluated as  $\Sigma_i F_i / (\Sigma_i F_i + \Sigma_i P_i)$ , where  $F_i$  and  $P_i$  indicate fragment and the parent ion signals, respectively. An experimental IR-PD spectrum arises from a plot of the fragmentation efficiency as a function of laser frequency. We employed the IR-PD spectroscopy in the 2140 – 2310 cm<sup>-1</sup> range on the  $[Rh_i(N_2)_m]^+$  species (i = 6 – 15). In this range we expected the N2 stretching frequencies of the species. For all complexes the loss of the N<sub>2</sub> was the only observed fragmentation channel. Note, that IR-PD spectra of (i,m) species with high m likely originate from single photon absorption, whereas those of low m likely originate from two or even multiple photon absorption – rendering the latter IRMPD spectra.

Density functional modelling was performed by the Gaussian 09 package [68] and with the ECCE interface [69]. We chose the PBEO/ECP(Rh); cc-PVTZ(N) level of theory [70] as proven

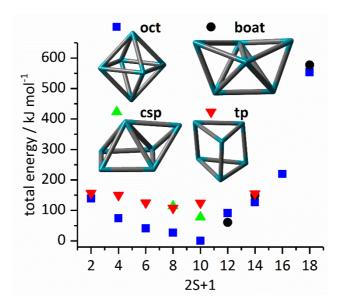
suitable before [57,71,72]. We utilize Stuttgart RSC 1997 ECP(Rh) basis sets of double zeta quality throughout [73] which are of significantly higher quality than the often applied basis set LanL2DZ. We did not correct for dispersion effects which we expect small. We do not cover spin orbit coupling which might alter some of our findings. We achieved convergence of our calculation only at the expense of a relaxed convergence criterion of only  $10^{-6}$ , which his well understood in terms of the exceedingly high electronic state density of transition metal clusters [39]. We chose an anharmonic linear scaling factor of 0.9385 to match the calculated free N<sub>2</sub> stretching frequency (2483 cm<sup>-1</sup>) to the Raman band of free N<sub>2</sub> at 2330 cm<sup>-1</sup> [56]. We checked the results for spin contamination, and we observed a small rise of the S<sup>2</sup> value merely in cases of the lowest multiplicities and negligible spin state mixing otherwise. We regard our theoretical approach as well gauged by considering our single N<sub>2</sub> adsorption enthalpies of about 50 kJ/mol (lower limit) in comparison to 56 kJ/mol on stepped Rh surfaces as obtained before [74,75].

#### **Results and Discussion**

#### DFT modelling of naked Rh<sub>i</sub><sup>+</sup> clusters (i = 6, 7, and 9)

In order to lay ground for the characterization of  $N_2$  adsorption to the Rh clusters we set off with DFT modelling of the naked Rh clusters in the first place. We focused on  $Rh_i^+$  (i = 6,7, and 9) in order to identify most stable structures and competing isomers. In particular, we strived to elucidate the prevailing spin states and their interplay with nuclear geometries, and we have investigated  $Rh_7^+$  in depth. We checked the obtained minimum structures for imaginary vibrational frequencies and found all reported isomers to be true minimum structures.

 $Rh_6^+$ : In the case of the  $Rh_6^+$  cluster we started from the three suggested geometries of Harding et al.: a boat like structure (boat), a capped square prism (csp), and an octahedron (oct). We found it appropriate to consider a trigonal prism (tp) as well. Upon investigating these structures, we utilized an extended range of spin multiplicity 2S+1=2-18. We found the  $Rh_6^+$  clusters to isomerize significantly upon optimization, in remarkable contrast to the cases of  $Rh_7^+$  and  $Rh_9^+$  clusters, and we provide for a comprehensive overview over the found evolution of starting structures towards optimized structures in the supplementary material (Table S2).



**Fig. 1** Total energies of four possible  $Rh_6^+$  cluster structures as a function of the spin multiplicity 2S+1 in relation to the obtained minimum (oct 10tet). In addition a capped square prism (csp), a trigonal prism (tp), and an octahedron (oct) were considered as starting geometries. The calculated minimum structures for each structural motif are shown as insets. Remarkably, the starting structures are subject to major isomerization. The calculated minimum structure is a distorted octahedron with a 10tet spin state.

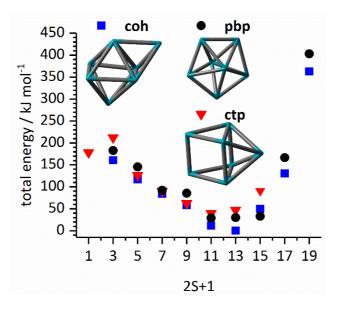
The 10tet spin state in octahedral geometry (oct) is the optimum of  $Rh_6^+$  at the level of our DFT calculation (Fig. 1). At all lower spin states down to the doublet the octet minimum structure persists with slight distortions. Increasing total spin beyond 10tet is possible at the expense of reorganization towards a boat like structure. The stability gain by turning two fourfold coordinated Rh atoms into fivefold coordinated ones overcompensates the loss by turning two other fourfold coordinated Rh atoms into threefold coordination. Note, that spin quenching from 10tet to 8tet affords 27 kJ/mol while an isomerization of octet into capped square pyramid (csp) amounts 78 kJ/mol.

The dominance of octahedral cluster structures is in accordance with a previous study that assigned a distorted octahedron with a 10tet electronic state to their experimental spectra of a naked  $Rh_6^+$  cluster [51]. Our present investigation extends that work by covering spins and geometries beyond the predicted optimum. Note, that spin-orbit coupling effects in Rh are on the order of 18 kJ/mol and thus may mediate dynamic spin quenching.

Rh<sub>7</sub><sup>+</sup>: We find a clearly limited variation of spin couplings, while there is a considerable flexibility in nuclear rearrangement: The meridonal square in octahedral geometry (coh) may open into a fivefold ring and convert into pentagonal bipyramid (pbp). In most stable 13tet

spin state this isomerization would take at least ~ 47 kJ/mol, with a possible barrier adding on top. Spin quenching of coh 13tet into 11tet would take only 12 kJ/mol and subsequent isomerizations to a pbp structure are facile.

We optimized possible geometries and spin states of the  $Rh_7^+$  cluster, and we found most stable capped octahedron (coh) in 13tet and 11tet spin states which differ by  $^\sim$  12 kJ/mol (Fig. 2). Interestingly, the next stable pentagonal bipyramid structure is almost degenerate in 11tet, 13tet and 15tet states, yet less stable than coh by at least 29 kJ/mol. The pbp structure thus allows for considerable spin variation, while the coh is in favour of a sole spin state. The capped trigonal prism (ctp) is slightly less stable than pbp and considerably less stable than coh. Not that all of this may change through spin orbit coupling effects and / or through antiferromagnetic couplings – both of which are much beyond our current DFT approach.



**Fig. 2** Total energies of  $Rh_7^+$  cluster structures as a function of the spin multiplicity 2S+1 relative to the most stable capped 13tet of an octahedron (coh). Other structural motifs are capped trigonal prisms (ctp) and pentagonal bipyramids (pbp) as depicted by the insets.

Harding et al. assigned  $Rh_7^+$  a distorted pbp structure [51], and Hang et al. calculated most stable  $Rh_7^0$  as a distorted pentagonal pyramid and a capped octahedron mere 3.8 kJ/mol less stable [52]. On the other hand, electric deflection studies support the dominance of a capped octahedral structure [76].

We found it appropriate to gauge our computational findings in the case of  $Rh_7^+$  coh 13tet against other studies and species, and we took the Rh-Rh distances as elucidating indicators (see Table 1). Our found coh structure is distorted from  $C_{3v}$  symmetry of a perfect capped octahedron, and the interatomic distances deviate. All of the next neighbor distances in  $Rh_7^+$  are considerably longer than in the dimer and somewhat shorter than the solid state values indicating a bond between the next neighbors. The calculated distances in the neutral pbp structure are in the same range.

**Table 1** Calculated atomic distances (in Å) for nearest neighbors for the coh Rh<sub>7</sub>+ clusters in comparison with crystal structures, experimental data and calculations on a neutral 14tet pbp structure.

	dimer	Rh <sub>7</sub>	Rh <sub>7</sub> <sup>+</sup>	bulk	
Calc.	2.21 <sup>a</sup>	2.61ª	2.446 - 2.665 <sup>tw</sup>		
	2.27 <sup>b1</sup>	2.58 <sup>b2</sup>			
		2.37- 2.60 <sup>b3</sup>			
Exp.	2.3855 <sup>c</sup>			2.69 <sup>d</sup>	

a Ref. [77] VASP PW91 GGA / LDA;  $Rh_7^+$ : neutral pbp 14tet

tw this work: PBEO, coh 13tet cation

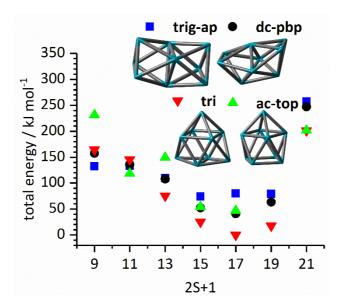
 $Rh_9^+$ : In order to model the  $Rh_9^+$  cluster we have chosen four structural archetypes for optimization: tricapped trigonal prism (tri), capped trigonal antiprismatic structure (trig-ap), double-capped pentagonal bipyramid (dc-pbp), and capped square antiprism (ac-top), and we checked for spin multiplicities 2S+1=9, ..., 21 (Fig. 3). We find most stable a slightly distorted tricapped trigonal prism (tri, 17tet,  $D_{3h}$ ), and this motif stays most stable upon total spin variation from 13tet up to 19tet. A previous study concluded in a 15tet tricapped trigonal prism with likely contributions of a dc-pbp like structure to a recorded far IR spectrum [51]. Note, that the neutral  $Rh_9$  cluster seems to persist in a distorted tri structure type geometry in nearly degenerate 12tet and 16tet spin states [52].

b [78] TZVP, ECP28MWB; b1: neutral dimer quintet b2: neutral pentagonal bipyramid, 14tet;

b3: neutral capped trigonal prism, 12tet

c [79] crystal structure of [Rh<sub>2</sub>(O<sub>2</sub>CCH<sub>3</sub>)<sub>4</sub>(H<sub>2</sub>O)<sub>2</sub>]

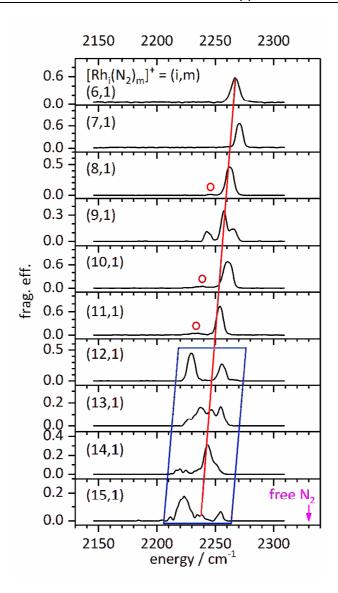
d [80] distance of next neighbors in fcc crystal, lattice parameter a = 3.8032 Å



**Fig. 3** Total energies of Rh<sub>9</sub><sup>+</sup> cluster structures by their spin multiplicity 2S+1 relative to the most stable 17tet of an tricapped trigonal prism (tri). Other structural motifs are trigonal antiprisms (trig-ap), double-capped pentagonal bipyramids (dc-pbp), and capped anticubes (ac-top) as depicted by the insets.

#### IR-PD spectra of single N2 adsorbates on Rhodium cluster cations

We have recorded IR-PD spectra of  $[Rh_i(N_2)_m]^+$  cluster adsorbate complexes. Even upon single  $N_2$  adsorption we found a multitude of bands (Fig. 4) which are red shifted by  $55-120~cm^{-1}$  with respect to the free  $N_2$  stretching vibration at 2330 cm<sup>-1</sup> [56]. For ease of reference we will utilize in the following the abbreviating nomenclature  $[Rh_i(N_2)_m]^+ = (i,m)$ . The obtained band positions range from 2210 to 2275 cm<sup>-1</sup> which is a range similar to the range of observed vibrations of  $N_2$  adsorbates on cobalt clusters [57] as well as to those of nickel and iron clusters [71,81,82]. As for these and Ruthenium clusters [57,71,83], we expect a  $\mu_1$  binding motif of  $N_2$ . There are some fluctuations of the exact band positions around the overall trend of red shift with cluster size. There are some additional strong bands (i = 9, 12, 13, 15) and some additional weak side bands (i = 8, 10 und 11) which are  $20~cm^{-1}$  to the red (marked by red circles). This is reminiscent of similar effects in the previously reported cobalt cluster spectra [57], all of which are non-scalable cluster size effects.



**Fig. 4** IR-PD spectra of Rhodium cluster cation single  $N_2$  adsorbate complexes  $[Rh_i(N_2)_1]^+$ , i=6-15 as recorded after 26 Kelvin He buffer gas cooling. The red line and the tilted blue box serve to guide the eye indicating the red shift with cluster size i. The red circles mark possible hot bands – or possible isomers (see text for further discussion).

The spectra of (6,1), (7,1), (8,1) (10,1) and (11,1) are dominated by a single strong peak which we assign to the stretching vibration of an  $N_2$  adsorbate end on to the cluster surface. In these cases, we conclude in the absence of geometric isomers of the Rh cluster core, in the dominance of single spin isomers, and in the equivalence of all occupied  $N_2$  binding sites. The additional "red circle" bands might relate to hot bands, which would arise through deexcitation of some soft Rh core vibrations – yet somewhat unlikely in view of the isothermal cryo conditions of our experiment. Other interpretations are conceivable, such as highly coordinated, semi-internal adsorption sites or even some low spin isomers. The latter come

into mind when relating the most stable  $Rh_6^+$  structures of Fig. 1 to the previously found convincing evidence of isomeric reactivity in NO attachment to  $Rh_6^+$  [24]. It is puzzling, however, that the present (6,1) IR-PD spectra of single  $N_2$  attachment do <u>not</u> reveal any evidence of geometric or electronic isomers. It takes further experiments and calculations to resolve this puzzle.

In remarkable contrast the spectra of (9,1), (12,1), (13,1) (14,1) and (15,1) reveal two, three or multiple adsorption bands within the same range. This is clear indication for some kind of isomeric co-existence. Three types of isomers come to our minds: Within the cluster adsorbate complexes there might be structural isomers of the Rh cluster core. There might be coexisting complexes in different spin states. There might be adsorption site isomers. Yet conceivable, we do not find evidence for changes in coordination geometry ( $\mu_1$ ,  $\mu_2$  or  $\mu_3$ ) which would likely lead to much larger jumps of band positions much beyond what we observe. Note, that there is in none of the recorded cases any change of spectral features by the applied laser power (cf. Fig. S2 in the supplement).

The general red shift with respect to the free  $N_2$  vibration is conceivable in terms of the Blyholder model [84]. This model has been developed for the explanation of the IR spectra of CO adsorbed to metal surfaces and may be applied to the isoelectronic  $N_2$  molecule. The synergism of  $\sigma$ -donor und  $\pi$ -acceptor properties of  $N_2$ , similar to the Dewar-Chatt-Duncanson model [85,86], leads to a weakening of the N-N bond and a red shift of the N-N stretching frequency.

There are previous studies on spectral shifts of CO adsorbates on Rhodium clusters which have been interpreted in terms of a charge dilution model [17]. We have utilized this model before in order to explain  $N_2$  red shifts when adsorbed to Cobalt and Nickel clusters [57,71]: An increasing dilution of the positive charge by cluster size leads to a higher electron density at each surface site and thus to an increase of the  $\pi$  backdonation into an antibonding  $\pi^*$  adsorbate orbital which causes red shift of the stretching vibration.

The structure of the Rh cluster core and the occurrence of non-equivalent adsorption sites influence the  $N_2$  vibrations. The Rh surface atoms differ by number and arrangement of next neighbors. *Rough* cluster surfaces originate from a multitude of different adsorption sites, *smooth* cluster surfaces from equivalent ones. The occurrence of internal, inaccessible non

surface atoms sets in at cluster sizes of 13 metal atoms in case of icosahedral Rh structures. Note, that in particular the Rhodium clusters are known for stiff bonds (little bond length variation) in favor of close packing and at the expense of structural ambiguity and co-existing isomers [87]. It thus seems likely that  $N_2$  adsorption may induce cluster surface reorganization to some extent. Our own calculations reveal a strong preference of  $N_2$  adsorbates in an end on  $\mu_1$  arrangement (on top). Any of our attempts with  $\mu_2$  (bridge) starting geometries swiftly relaxed  $\mu_2$ -> $\mu_1$ . Any adsorption site thus coincides with a single Rh surface atom.

In the light of all of the above we analyze each of the recorded (i,1) IR-PD spectra in the following: The recorded single band of the (6,1) spectrum reveals a single type of adsorption sites as e.g. by an Rh<sub>6</sub><sup>+</sup> octahedron, in line with present and previous structure calculations [51]. The single  $N_2$  band in the (7,1) spectrum is less red shifted indicating less  $\pi$  back donation as e.g. by the low coordinated Rh capping atom in our calculated octahedron Rh<sub>7</sub><sup>+</sup> structure, which has merely three next neighbors. This is in contrast to the previous pentagonal bipyramid Rh<sub>7</sub><sup>+</sup> structure [51] which would not provide for such a low coordinated Rh site. It is conceivable that adsorbates induce structural relaxation. If indeed so, this would imply that  $\pi$  back donation of  $N_2$  makes the difference with respect to Ar which lacks this option/effect - polarizabilities of both being almost identical. Further computations might focus onto this issue. The single strong IR-PD band of (8,1) may originate from either of two conceivable Rh cluster core geometries: a bicapped octahedron or an almost as stable bicapped trigonal prism (+17 kJ/mol) [26,51] with likely swift isomerization into each other, possibly adsorption induced. Marked by a red circle in Fig. 4, this weak band to the red may originate from an N<sub>2</sub> at a higher coordinated Rhodium site. It is the (9,1) cluster N<sub>2</sub> adsorbate complex which reveals a triplet of IR-PD bands which comes as somewhat of a surprise. This work and previous computations [51] agree on Rh<sub>9</sub><sup>+</sup> in a tricapped trigonal prism geometry [88]. As such structure comes with four- and fivefold coordinated Rh atoms, it provides for two adsorption sites and accordingly, it would explain two N<sub>2</sub> vibrational bands. We take the observation of three N<sub>2</sub> vibrational bands as likely evidence for an adsorption induced relaxation and symmetry reduction of the Rh<sub>9</sub><sup>+</sup> cluster core in (9,1). In contrast to previous studies on the  $Rh_i^+$  i = 6 – 8 clusters [24,25] we do not find any evidence for the existence of multiple isomers in the IR-PD spectra of the correspondent  $[Rh_i(N_2)_m]^+$ , i = 6 - 8, m = 1, Rhodium cluster single  $N_2$  adsorbate complexes.

The single strong IR-PD band of (10,1) and (11,1) indicates a homogeneous cluster adsorbate ensemble void of  $N_2$  binding site isomers and void of Rh cluster core structural isomers. Due to the reduced red shift of the observed  $N_2$  vibrational bands we tentatively assign these bands to  $\mu_1$  adsorbates on top of low coordinated adsorption sites some of which occur in all suggested structures of  $Rh_{10,11}$ -/o/+ clusters [51,52]. Initial  $N_2$  adsorption at higher coordinated sites stabilize into the above low coordinated sites via high adsorbate mobility on the surface of the Rh cluster cores. Once more marked by red circles in Fig. 4, these weak bands to the red may originate from  $N_2$  at higher coordinated Rhodium sites.

The two widely split, strong IR-PD bands of (12,1) indicate a transition in the structure of the Rh cluster core and a loss of adsorbate mobility. Note, that the coexistence of cluster adsorbate isomers seems rather unlikely with regard to the considerable heat of  $N_2$  adsorption (56 kJ/mol [74]) which is likely sufficient for annealing of the cluster structure. The predicted layered  $Rh_{12}^+$  structure would provide for two highly coordinated central Rh atoms and ten peripheral low coordinated Rh atoms. We tentatively assign the observed two bands to these adsorption sites, the higher coordinated Rh sites causing the larger red shifts in their  $N_2$  adsorbates. Harding et al. assigned a structure consisting of two centered pentagonal layers [51]. Thus, we can assume the existence of two different adsorption sites reflected in the IR-PD spectra of (12,1).

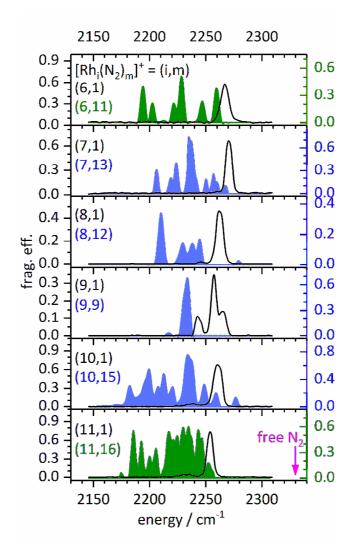
The multitude of partially resolved IR bands of (13,1), (14,1), and (15,1) ask questions which hardly obtain answers without input from computations. These are not available as of now. We tentatively conclude in the occurrence of several adsorption sites and in reduced  $N_2$  adsorbate mobility. Further insights may arise from future modelling.

#### IR-PD spectra of multiple N2 adsorbates on Rhodium cluster cations

The Rhodium clusters  $Rh_i^+$  may adsorb  $N_2$  up to a maximum adsorption limit, which is clearly related to the actual cluster structure. It is conceivable, that the  $[Rh_i(N_2)_m]^+$  reorganize upon stepwise adsorption of further  $N_2$ , and we find clear evidence in at least one case.

In the course of the present study, we recorded IR spectra of the  $[Rh_i(N_2)_m]^+$  i = 6 – 11 cluster adsorbate complexes at or close to  $N_2$  saturation (Fig. 5) in order to augment/complement the IR spectra of single  $N_2$  adsorption discussed before.

All spectra of multiple  $N_2$  adsorptions reveal higher red shifts than those of single  $N_2$  adsorptions. Note, that the Blyholder model would predict the opposite, a reduction of red shift upon  $N_2$  saturation: The more  $N_2$  molecules compete for the electrons of the cluster core, the less electron density is transferred into the  $\pi^*$  orbital of each  $N_2$  molecule. Also, the Rh cluster size dependent charge dilution diminishes upon  $N_2$  saturation, while it is clearly in effect upon attachment of the first  $N_2$  adsorbate.



**Fig. 5** IR-PD spectra of single (black line) and multiple dinitrogen adsorption on Rhodium clusters at (blue) or close to (green) saturation. The blue areas represent  $N_2$  saturation (at 26 Kelvin), the green areas represent spectra of one  $N_2$  adsorbate less in cases of experimental constraints.

The second finding in all but one of the N<sub>2</sub> saturated IR-PD spectra is a significant *increase* in spectral complexity by multitudes of bands spreading out across up to 110 cm<sup>-1</sup>, which is not observed upon single adsorptions. The sole exception of (9,9) is to be discussed in the following, where we will discuss the spectral features of each cluster adsorbate complex in detail.

[Rh<sub>6</sub>(N<sub>2</sub>)<sub>11</sub>]<sup>+</sup>, [Rh<sub>7</sub>(N<sub>2</sub>)<sub>13</sub>]<sup>+</sup>: The IR-PD spectra reveal seven partially resolved bands in the range of 2194 – 2268 cm<sup>-1</sup>. This multitude of the bands likely indicates the presence of diverse adsorption sites, which is in noteworthy difference to the DFT predictions of naked Rh<sub>6</sub><sup>+</sup> clusters, and to the IR-PD spectra of single N<sub>2</sub> adsorption, (6,1) and (7,1), see Fig. 4. We take this as a strong indication for N<sub>2</sub> adsorbate induced relaxation of the Rh cluster core geometries, in conjunction with possible spin state quenching. As evidenced before in the case of [Ni<sub>9</sub>(N<sub>2</sub>)<sub>2</sub>]<sup>+</sup> there are symmetric and asymmetric coupling of stretching modes in adjacent N<sub>2</sub> adsorbates with splittings on the order of 10 cm<sup>-1</sup> [71]. We expect such couplings within saturated N<sub>2</sub> layers on Rh cluster surfaces as well. It is hard to conceive, however, that these might make up for all of the observed spread of 70 cm<sup>-1</sup>.

[Rh<sub>8</sub>(N<sub>2</sub>)<sub>12</sub>]<sup>+</sup>: With four bands in the range of 2210 - 2245 cm<sup>-1</sup> the spectrum of the (8,12) cluster adsorbate complex reveals less bands than the spectrum of the smaller clusters, likely indicating a high symmetry of the cluster core with less diverse adsorption sites and less or weaker N<sub>2</sub> – N<sub>2</sub> couplings. Other than at i = 6, 7 there is an additional weak band at 2279 cm<sup>-1</sup> which we tentatively assign to a stretch – wag combination band.

[Rh<sub>9</sub>(N<sub>2</sub>)<sub>9</sub>]\*: Remarkably, N<sub>2</sub> saturation arises at mere 1:1 stoichiometry in (9,9) while all other studied Rh<sub>i</sub>(N<sub>2</sub>)<sub>m</sub>\* clusters saturate at significantly higher N<sub>2</sub> loads close to a 1:2 ratio. In the (9,9) cluster adsorbate complex, [Rh<sub>9</sub>(N<sub>2</sub>)<sub>9</sub>]\*, the small increase in red shift comes with a *reduction* of complexity: Three bands in (9,1) seem to collapse into a single intense band at 2234 cm<sup>-1</sup> in (9,9): While single N<sub>2</sub> adsorption reduces symmetry, stoichiometric N<sub>2</sub> saturation seems to recover it. We have assigned a distorted tricapped trigonal prism to the Rh<sub>9</sub> core geometry in (9,1), cf. above, and it may regain full D<sub>3h</sub> symmetry in (9,9). This implies two types of Rh surface atoms in slightly diverse coordination geometries. If this assumed structure actually prevailed, our spectra would tell that all of the Rh-N<sub>2</sub> pairs are equivalent. Nevertheless, strong electronic Rh-Rh couplings would mediate a coupling between the Rh-N<sub>2</sub> pairs. The weak IR-PD feature at 2217 cm<sup>-1</sup> may arise from a hot band.

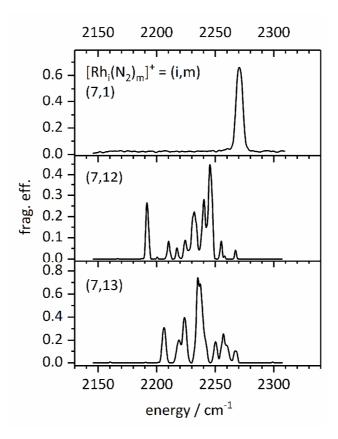
[Rh<sub>10</sub>(N<sub>2</sub>)<sub>15</sub>]<sup>+</sup> and [Rh<sub>11</sub>(N<sub>2</sub>)<sub>16</sub>]<sup>+</sup>: Similar to the (6,11), (7,13), and the (8,12) cluster adsorbate complexes, the multiple adsorptions of N<sub>2</sub> to the Rh<sub>10</sub><sup>+</sup> and Rh<sub>11</sub><sup>+</sup> clusters reveal a multitude of bands at 2175 - 2277 cm<sup>-1</sup>, lacking assignments as of now. In principle, they may arise from diverse adsorption sites, from co-existing cluster core or spin isomers, and from vibrational couplings of adjacent N<sub>2</sub> oscillators, likely in conjunction with Rh<sub>i</sub> cluster core relaxation upon N<sub>2</sub> adsorption and saturation. As in the spectrum of (8,12) the weak band of (10,15) at 2277 cm<sup>-1</sup> may be a stretch-wag combination.

Finally, we review together all of the discussed spectral features upon  $N_2$  saturation (Fig. 5) and upon single  $N_2$  attachment (Fig. 4). This allows to sort the  $[Rh_i(N_2)_m]^+$  cluster adsorbate complexes into three classes: We label the i=6-8, 10, 11 species as *small complexes*, and the i=12-15 species as *large complexes* – the latter demarcation based on the spectral complexity of  $[Rh_i(N_2)_1]^+$  i=12-15 complexes (Fig. 4). The sole i=9 complex makes up for its own class of *smooth surface complexes*, *high symmetry complexes*, *exceptional complexes*.

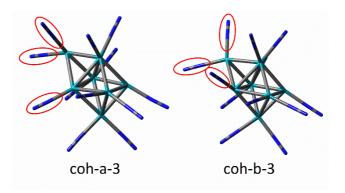
#### Modelling of the vibrational spectrum of the [Rh<sub>7</sub>(N<sub>2</sub>)<sub>12</sub>]<sup>+</sup> cluster adsorbate complex

Up to here, we have presented spectra of single N<sub>2</sub> adsorption and of N<sub>2</sub> saturation. We chose the (7,m) complexes for DFT modelling, and we supported this by an additional IR-PD spectrum of (7,12) (Fig. 6). This spectrum reveals a band pattern similar to that of (7,13), with an extra most red shifted strong band at 2192 cm<sup>-1</sup>. These two findings allow for two interesting conclusions: (1) Spectral complexity does not require a completely saturated N<sub>2</sub> adsorption but sets in earlier. Future studies on IR-PD spectra of intermediate N<sub>2</sub> adsorption levels shall elucidate this aspect further. (2) Even the addition of a single, 13<sup>th</sup> N<sub>2</sub> does change spectral patterns considerably, indicating strong vibrational and electronic couplings.

With these findings in mind, we spent effort to optimize (7,12) geometries, utilizing as starting geometries the three aforementioned  $Rh_7^+$  cluster core structures with spin multiplicities 2S + 1 = 1, ..., 17 and checking for conceivable  $N_2$  adsorbate distributions. Calculating linear IR absorptions of converged (7,12) structures (Fig. 7 and supplement Fig. S6, Fig. S8, and Fig. S10) and folding with apparatus functions of  $5 \text{ cm}^{-1}$  yielded IR spectra to compare with the recorded IR-PD spectrum (Fig. 8, further spectra in supplement Fig. S7 and Fig. S9)



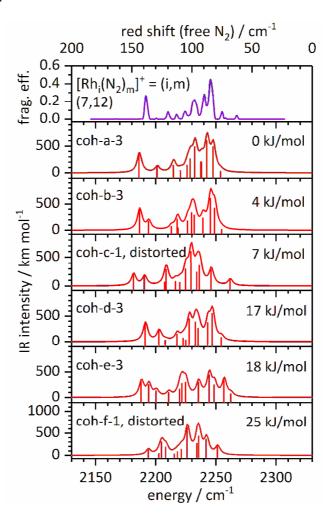
**Fig. 6** IR-PD spectra of the  $[Rh_7(N_2)_m]^+$  cluster for m=1,12, and 13. With an increasing number of  $N_2$  adsorbates there is a spreading out of bands which shift to the red.



**Fig. 7** Two most stable structures of the (7,12) [Rh<sub>7</sub>(N<sub>2</sub>)<sub>12</sub>]<sup>+</sup> cluster adsorbate complexes. Both cluster Rh<sub>7</sub> cores are capped octahedral structures of similar shape; the [Rh<sub>7</sub>(N<sub>2</sub>)<sub>12</sub>]<sup>+</sup> complexes distinguish by the orientations of two adjacent N<sub>2</sub> adsorbates highlighted by red circles.

The calculated spectra of the four lowest energy calculated structures (coh-a-3 and coh-b-3, see supplement) show qualitative agreement to the measured IR-PD spectrum. All of these structures are capped octahedral with either a  $Rh_7$  core distortion or with some torsional polymorphism in the  $N_2$  adsorbate arrangements. All but two Rh atoms accept two

 $N_2$  adsorbates, the remaining two Rh atoms just one  $N_2$  each. The 12  $N_2$  adsorbates have quenched the 13tet spin state of the naked  $Rh_7^+$  cluster into a mere triplet state of the (7,12) cluster adsorbate complex.



**Fig. 8** Experimental IR-PD spectrum of the  $[Rh_7(N_2)_{12}]^+$  cluster and calculated linear absorption spectra of some isomers (scaled by 0.9385). The calculated spectra represent the six lowest energy structures and the line spectra were convoluted with a 5 cm<sup>-1</sup> FWHM Gaussian. The three letters indicate the arrangement of the metal atoms in the calculated structures followed by a code for the arrangement of the  $N_2$  molecules and the spin multiplicity. Remarkably all structures have a singlet or triplet multiplicity. The first two calculated spectra satisfactorily resemble the experimental spectrum. The coh-a-3 structure started as a capped trigonal prism and self-optimized into a capped octahedron. The auxiliary axis (on top) indicates the red shift with respect to the IR inactive free  $N_2$  vibration.

**Table 2** Calculated vibrational modes of the coh-a-3 cluster in triplet state. The  $N_2$  stretching frequencies are given unscaled and scaled by 0.9385. The Rh atom numbering is provided by Fig. S6 in the supplement. In the case of the stretching vibrations, the position of the oscillators on the cluster is given. The coh-b-3 cluster shows very similar bands.

mode	type / site	frequency / cm <sup>-1</sup>	scaled frequency / cm <sup>-1</sup>	IR intensity / km mol <sup>-1</sup>
	N <sub>2</sub> wagging modes			
1-23	wagging	36 - 91		0.0055 - 0.15
	Rh skeleton modes			
24-39	cluster core vibrations	101 - 238		0.050 - 2.19
	N₂ bending modes			
40-75	N <sub>2</sub> Bending	247 - 439		0.64 - 18
	Single N <sub>2</sub> stretching modes			
76	@ Rh2	2329	2186	375
77	@ Rh6	2345	2201	115
	Coupled N <sub>2</sub> stretching modes			
78,79	(asym. coupling @ Rh4) with (asym. @ Rh1) and (asym. @ Rh3)	2360	2215	137, 75
80	asym. @ Rh7	2366	2220	36
81	asym. @ Rh5	2372	2226	138
82	(asym. coupling @ Rh4) with (asym. @ Rh1) and (asym. @ Rh3)	2375	2229	259
83	sym. @ Rh4	2379	2233	485
84	asym. coupling of (sym. @ Rh5) and (sym. @ Rh7)	2384	2237	199
85	sym. @ Rh3	2389	2242	597
86	sym. coupling of (sym. @ Rh5) and (sym. @ Rh7)	2395	2248	483
87	all N <sub>2</sub> in phase	2402	2254	26

Inspection of all the calculated vibrational mode characteristics reveals categories as listed in Table 2:  $N_2$  wagging modes (36 - 91 cm<sup>-1</sup>, unscaled), Rh cluster core modes (101 – 238 cm<sup>-1</sup>),  $N_2$  bending modes (247 – 439 cm<sup>-1</sup>), and the  $N_2$  stretching modes (2186 – 2254 cm<sup>-1</sup>, scaled). A closer look on the calculated vibrational modes of the ctp-c-3 cluster supports our assumption that the band at 2267 cm<sup>-1</sup> is an  $N_2$  stretch wag combination band.

Based on our prior and present investigation of  $[Ni_n(N_2)_m]^+$  complexes [71,72] we expect strong couplings amongst geminal  $N_2$  adsorbates on the same Rh site or on neighboring Rh

sites, and no couplings amongst the two lone  $N_2$  adsorbates at the Rh2 and Rh6 sites. Indeed, we assign the two most red shifted bands to the uncoupled lone  $N_2$  molecules. These oscillators do not compete for electron density with another geminal  $N_2$  molecule and they thus achieve higher back donation into their antibonding  $\pi^*$  orbitals, which leads to the observed larger red shifts.

The strong N<sub>2</sub> couplings render an assignment of the stretching bands difficult; yet, there are some recognizable characteristics (listed in Table 3). In particular, we identify symmetric and antisymmetric couplings of geminal and vicinal N<sub>2</sub> oscillators. The single stiffest N<sub>2</sub> stretching mode is delocalized over all N<sub>2</sub> oscillators and an all in phase motion (at 2254 cm<sup>-1</sup>). By an according analysis, we obtain a similar picture of all the vibrational modes in the coh-b-3 cluster adsorbate complex.

## **Summary and Conclusions**

We have presented the calculated minimum structures of  $Rh_i^+$  (i = 6, 7, and 9) clusters and  $[Rh_7(N_2)_{12}]^+$  cluster adsorbate complexes, in conjunction with the recorded IR-PD spectra of  $[Rh_i(N_2)_m]^+$  clusters adsorbate complexes (i,m) with a single  $N_2$  (i = 6 – 15, m = 1) and with multiple  $N_2$  adsorbates at or close to saturation (i = 6 – 11). In particular, we have compared recorded and calculated spectra of  $[Rh_7(N_2)_{12}]^+$ , and we assign capped octahedral structures of the  $Rh_7$  cores in all (7,m) species. We explain observed red shifts of the various  $N_2$  stretching modes by electronic interactions between adsorbate molecules and cluster cores. For sure, high loads of  $N_2$  adsorbates close to saturation quench high spin states of naked clusters significantly towards much lower multiplicities. Earlier investigations confimed that assumed isomerization of the cluster cores as well as the spin quenching may be understood as a result of multiple non-reactive collisions [25]. Our cryogenic hexapole ion trap likely provides for effective thermalization, and we may see an enhancement of such effects. Experiments where reactions takes place in the ICR cell with a much lower pressure reveal a variety of isomers [24,25].

The present study is meant to provide first insights into  $N_2$  adsorbate localization at Rhodium cluster surfaces and to elucidate adsorbate induced cluster core relaxation, as evidenced in the case of (6,11) and (7,13).

While the current study is a first step in a larger framework, which is work in progress: It is subject of work in progress to systematically vary the number of  $N_2$  adsorbates, and to study the  $N_2$  stretch couplings and the concomitant stepwise spin quenching in the  $[Rh_i(N_2)_m]^+$  complexes. Also, work in progress are: the  $N_2$  saturation studies of  $[Rh_i(N_2)_m]^+$ , i > 11, the calculation of  $N_2$  adsorbate tilting barriers amongst complex structures of Fig. 7 and their spin state dependencies, the  $N_2$  adsorption kinetics of pure Rh cations and of Fe Rh alloys, and the cryo spectroscopy of the latter.

We emphasize the fundamental value of our above findings for the understanding of the dynamic metal surface adsorbate interplay as of relevance in heterogeneous catalysis with nano-structured surfaces, such as e.g. the annealing of pre-catalyst deposits on substrate surfaces. Able members of the DFT modelling community are invited to join and augment our own efforts.

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## **List of Publications**

- 1. Infrared spectroscopy of  $N_2$  adsorption on size selected cobalt cluster cations in isolation
  - **S. Dillinger**, J. Mohrbach, J. Hewer, M. Gaffga, and G. Niedner-Schatteburg, *Physical Chemistry Chemical Physics*, **2015**, 17, 10358-10362. http://dx.doi.org/10.1039/C5CP00047E
- Cryo Kinetics and Spectroscopy of Cationic Nickel Clusters: Rough and Smooth Surfaces
  - J. Mohrbach, **S. Dillinger**, and G. Niedner-Schatteburg, *The Journal of Physical Chemistry C*, **2017**, 121, 20, 10907-10918.

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- 3. Vibrational fingerprints of a tetranuclear cobalt carbonyl cluster within a cryo tandem ion trap
  - J. Mohrbach, J. Lang, **S. Dillinger**, M. H. Prosenc, P. Braunstein, and G. Niedner-Schatteburg, *Journal of Molecular Spectroscopy*, **2017**, 332, 103-108. http://dx.doi.org/10.1016/j.jms.2016.11.008
- 4. Vibrational blue shift of coordinated  $N_2$  in  $[Fe_3O(OAc)_6(N_2)_n]^+$ : "non-classical" dinitrogen complexes
  - J. Lang, J. Mohrbach, **S. Dillinger**, J. M. Hewer, and G. Niedner-Schatteburg, *Chemical Communications*, **2017**, 53, 420-423.

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- 5. Cryo IR Spectroscopy of [Hemin]\* Complexes in Isolation
  - **S. Dillinger**, J. Lang, and G. Niedner-Schatteburg, *The Journal of Physical Chemistry A*, **2017**, 121, 38, 7191-7196.

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- 6. Probing Cluster Surface Morphology by Cryo Kinetics of N<sub>2</sub> on Cationic Nickel Clusters
  - J. Mohrbach, **S.Dillinger**, and G. Niedner-Schatteburg, *The Journal of Chemical Physics*, **accepted**.
- 7. Probing Cluster Surface Morphology by Cryo Spectroscopy of  $N_2$  on Cationic Nickel Clusters
  - **S. Dillinger**, J. Mohrbach, and G. Niedner-Schatteburg, *The Journal of Chemical Physics*, **accepted**.
- 8. Infrared spectroscopic investigations of structures and N<sub>2</sub> adsorption induced relaxations of isolated Rhodium clusters
   M. P. Klein, A. A. Ehrhard, J. Mohrbach, S. Dillinger, and G. Niedner-Schatteburg, Topics in Catalysis, accepted.
- Cryo IR Spetroscopy of N<sub>2</sub> and H<sub>2</sub> on Ru<sub>8</sub><sup>+</sup>: The Effect of N<sub>2</sub> on the H-Migration
   S. Dillinger, M. P. Klein, A. Steiner, D. C. McDonald II, M. A. Duncan, M. M. Kappes, and G. Niedner-Schatteburg, prepared for submission.
- 10. Cryo IR Spectroscopy and Cryo Kinetics of N<sub>2</sub> on Cationic Iron Clusters
   S. Dillinger, J. Mohrbach, M. H. Prosenc, and G. Niedner-Schatteburg, prepared for submission.

## **Contribution to Conferences**

#### **Oral Presentations**

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Annika Steiner, Gereon Niedner-Schatteburg, "Spectroscopy & Kinetics on Metal Cluster Surfaces: The Fe/N<sub>2</sub>, Co/N<sub>2</sub> and Ni/N<sub>2</sub> systems", Frühjahrstagung der Deutschen Physikalischen Gesellschaft, Hannover, 29.02.-04.03.2016.

<u>Sebastian Dillinger</u>, "Spektroskopische Untersuchungen von Stickstoff Adsorbaten auf kationischen Cobalt Clustern bei tiefen Temperaturen", Steinhofer Preis 2015, 18.03.2016.

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Johannes Lang, Pierre Braunstein and Gereon Niedner-Schatteburg, "Cryo IR spectroscopy of a tetranuclear cobalt carbonyl complex in isolation", Frühjahrstagung der Deutschen Physikalischen Gesellschaft 2017, Mainz, 06.-10.03.2017.

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, and Gereon Niedner-Schatteburg, "IR Spectroscopy of Isolated Transition Metal Clusters and Complexes at Cryo Temperatures", 2. Promovierendenseminar des Fachbereichs Chemie, Kaiserslautern, 27.03.2017.

#### **Poster Presentations**

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Maximilian Gaffga, Joachim Hewer, Gereon Niedner-Schatteburg, "Cryo spectroscopy of size selected cobalt clusters", Frühjahrstagung der Deutschen Physikalischen Gesellschaft, Heidelberg, 23-27.03.2015.

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Maximilian Gaffga, Joachim Hewer, Gereon Niedner-Schatteburg, "Cryo spectroscopy of adsorbed N<sub>2</sub> on size selected transition metal clusters", 594. Wilhelm and Else Heraeus-Seminar "Spectroscopy and applications of cold molecular ions", Bad Honnef, 15.-18.06.2015.

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Maximilian Gaffga, Joachim Hewer, Gereon Niedner-Schatteburg, "Cryo spectroscopy and kinetics of adsorbed nitrogen on size selected transition metal clusters", 3MET Workshop, Bad Bergzabern, 20.-22.07.2015.

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Annika Steiner, Gereon Niedner-Schatteburg, "Spectroscopic investigations on nitrogen adsorbed on transition metal cluster cations in isolation", GRS: Molecular and ionic clusters, Ventura, CA, USA, 16.-17.01.2016.

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J.M. Hewer, M. Tombers, J.Lang, <u>S. Dillinger</u>, M.H. Prosenc, G. Niedner-Schatteburg, P. Roesky, C. Bülow, T. Lau, "X-ray Magnetic Circular Dichroism, of Heteronuclear Complexes in Isolation", 3rd International Conference on Bimetallic Complexes, Kaiserslautern, 05.-07.10.2016.

<u>Sebastian Dillinger</u>, Jennifer Mohrbach, Gereon Niedner-Schatteburg, "Spectroscopy and Kinetics of  $N_2$  on Transition Metal Cluster Surfaces", 116. Bunsentagung, Kaiserslautern, 25.-27.05.2017.

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Hiermit bestätige ich, Sebastian Dillinger, dass die vorliegende Arbeit mit dem Titel "Cryo Spectroscopy and Kinetics of Isolated Transition Metal Clusters and Complexes" gemäß der Promotionsordnung des Fachbereichs Chemie der Technischen Universität Kaiserslautern selbstständig und mit keinen anderen als den hier angegebenen Quellen und Hilfsmitteln erstellt wurde.

Kaiserslautern, im September 2017

Sebastian Dillinger