

## Article

# Toward Decentralised Sanitary Sewage Collection Systems: A Multiobjective Approach for Cost-Effective and Resilient Designs

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**Abstract:** In recent years, the concept of a centralized drainage system that connect an entire city to one single treatment plant is increasingly being questioned in terms of the costs, reliability, and environmental impacts. This study introduces an optimization approach based on decentralization in order to develop a cost-effective and sustainable sewage collection system. For this purpose, a new algorithm based on the growing spanning tree algorithm is developed for decentralized layout generation and treatment plant allocation. The trade-off between construction and operation costs, resilience, and the degree of centralization is a multiobjective problem that consists of two subproblems: the layout of the networks and the hydraulic design. The innovative characteristics of the proposed framework are that layout and hydraulic designs are solved simultaneously, three objectives are optimized together, and the entire problem solving process is self-adaptive. The model is then applied to a real case study. The results show that finding an optimum degree of centralization could reduce not only the network's costs by 17.3%, but could also increase its structural resilience significantly compared to fully centralized networks.

**Keywords:** decentralization; resilience; multiobjective optimization; sewage collection systems



**Citation:** Zahediasl, A.; E. Bakhshipour, A.; Dittmer, U.; Haghghi, A. Toward Decentralised Sanitary Sewage Collection Systems: A Multiobjective Approach for Cost-Effective and Resilient Designs. *Water* **2021**, *13*, 1886. <https://doi.org/10.3390/w13141886>

Academic Editors: Robert Sitzenfrei, Kegong Diao and David Butler

Received: 1 June 2021  
Accepted: 5 July 2021  
Published: 7 July 2021

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## 1. Introduction

Sanitary sewage collection systems, or simply speaking sewer networks, are essential parts of any modern city; they directly influence public health and are vital for environmental protection. Sewer networks have traditionally been designed and developed in the form of centralized networks to collect and transfer wastewater from homes and factories, preferably by gravity, to a centralized wastewater treatment plant located far enough from urban areas [1,2]. Increasing population growth and industrial and agricultural developments have added to the demand for water in societies. In addition to the pollution and scarcity of available water resources, the increasing water demands have introduced significant challenges. This issue is particularly critical in arid and semi-arid countries suffering from freshwater scarcity; hence, the need for the sustainable management and protection of water resources is striking.

The expansion, reconstruction, and rapid growth of cities and industries have resulted in fundamental challenges for developing and upgrading urban water systems. Due to rapid urbanization and increased costs on one hand and reduced budgets on the other hand, the construction, operation, maintenance, and rehabilitation of centralized sewer networks present severe challenges and obstacles. Additional concerns relate to the reliability and lack of resilience of centralized systems. In flat areas, particularly with high-level groundwater, poor geotechnical conditions for civil engineering activities, and with highly populated and fully urbanized cities, it is expensive or even unfeasible to install sewer

lines of sufficiently large diameter and depth. As a result, in such areas sewers are often designed and constructed with minimum slopes. Although the minimum slope approach generally leads to a cost-effective sewer system, it causes operational problems such as increased sedimentation rates and risk of clogging due to a lack of proper flow velocity in sewers, which is required for self-cleaning. To compensate for a lack of natural ground slope, centralized networks serving large and flat areas mostly require pumping stations, which bring about additional costs, consume energy, and make the system fragile.

Recently, decentralized systems have been introduced to overcome the drawbacks mentioned above. Such approaches involve treating and reusing wastewater near the source using independent units [3–6]. In decentralized systems, it is expected that increasing the number of treatment plants will reduce operating costs by reducing the diameter of the sewers and the excavation volumes, as well as the number of pumping and lift stations. Furthermore, independent units (subnetworks and treatment packages) dramatically enhance the reliability and resilience of a sewer system. Decentralized urban water systems have recently received more attention in developing countries lacking reliable systems and financial resources to afford the high construction, operation, maintenance, and rehabilitation costs of centralized systems [2,7–14].

It is also worth mentioning that advances in the design and operation of modern wastewater treatment processes such as sequencing batch reactors (SBRs), which are efficient, small in size, and suitable for urban environments, make these promising for use in decentralized urban sewer networks. Such systems have much more potential for recycling and reusing treated wastewater (e.g., for irrigation of urban green spaces) and are more efficient and cost-effective than centralized systems. Additionally, recent developments in network design and optimization algorithms have facilitated the design of decentralized sewer systems.

A literature review showed that despite many innovative methods being used for designing centralized wastewater collection networks [15–28], only a few studies have proposed decentralized sewer networks [2,25,29]. Overall, systematically generating feasible decentralized layouts is a bottleneck in optimizing decentralized sewer networks [28]. Recently, several investigations have been published regarding decentralization aspects for stormwater networks. The layout generator algorithms [9,30], single-objective optimization algorithm [9], multiobjective optimization algorithms [12,31–36], and multicriteria decision-making algorithms [11,37,38] are some of the main approaches investigated so far for decentralized stormwater systems.

In general, the design of a sewer network needs to solve two mathematically different subproblems: (1) generate feasible layouts employing algorithms from the graph theory; (2) measure the network's components by solving the hydraulic governing equations concerning a set of design criteria. The latter includes calculating the sewer diameters and installation depths (or sewer slopes) and the pumping capacities. The subproblems are nonlinear and discrete, with many complex constraints from the hydraulics principles, technical criteria, and regional limitations [16]. It is possible to simplify the sewer network design by separately solving the subproblems mentioned above. For this purpose, a near-optimum layout is first derived using engineering judgments or a simplified objective function and optimization. Then, for the layout at hand, the network is hydraulically designed [39]; however, for the above subproblems, the layout and the hydraulic designs are highly interrelated. The layout can significantly affect the network hydraulic design characteristics and vice versa. This issue is particularly significant in flat and wide areas in which the layout alternatives are numerous. Additionally, the inter-relationship between the subproblems is much more critical when designing a decentralized sewer network.

Consequently, the global optimum design of a sewer network can only be achieved when these two subproblems are solved implicitly and simultaneously. In this respect, the current study introduces a multiobjective optimization framework for decentralized sewer system design. The layout and hydraulic design subproblems are optimized implicitly using a coupled design package. The objective functions include the construction and oper-

ational costs of the network and the wastewater treatment plant(s), the structural resilience, and the degree of centralization. For this purpose, a systematic network layout generator and hydraulic simulation–design model are developed to produce all feasible network configurations with a vast degrees of centralization. The multiobjective optimization is used to drive the optimum trade-off between the aforementioned objective functions.

## 2. Materials and Methods

As mentioned earlier, in order to optimize a sewer collection network, the layout and hydraulic design subproblems must be solved simultaneously. Mathematically, a sewer network optimization problem can be expressed as follows:

$$d_{\text{opt}} = \arg \max_{d \in D} \min \{ \langle f_1 \rangle, \langle f_2 \rangle, \langle f_3 \rangle \} \quad (1)$$

where  $f_i$  represents the objective functions,  $f_1$  represents the annualized cost of construction and operation,  $f_2$  represents the structural resilience, and  $f_3$  represents the degree of network centralization. Additionally,  $d$  is the vector of decision variables, including the layout parameters; the number and locations of treatment plants; the depth of roots (outlets); and the hydraulic characteristics of the network, consisting of the sewer diameters and slopes and the locations of pumping (lift) stations. Additionally,  $d_{\text{opt}}$  is an optimal solution and  $D$  is the feasible space of the problem. The above objective functions are optimized subject to a train of physical and technical constraints on the layout and hydraulic designs. For centralized sanitary sewer networks, the constraints and design criteria have usually been well explained in the previous investigations, especially in [16,39], which are adopted in this study. Subject to the constraints, the following objective functions are optimized together using the Borg multiobjective evolutionary algorithm (MOEA) [40].

### 2.1. Cost Function ( $f_1$ )

A sewer network cost function consists of the construction and operational costs of sewers ( $\Phi$ ), manholes ( $\Psi$ ), pressurized transmission pipelines ( $\Omega$ ), pumping and lift stations ( $Y$ ), and wastewater treatment plants ( $\Gamma$ ). Accordingly, the total cost function of a sewer network design to be used as an objective function is defined as follows:

$$C = \sum \Phi(H, D, L) + \sum \Psi(H, D) + \sum \Omega(D, L) + \sum Y(Q, HP) + \sum \Gamma(Q) \quad (2)$$

In which  $H$  is the buried sewer depth or manhole installation depth,  $D$  is the sewer diameter,  $L$  is the sewer length, and  $Q$  is the pumping or treatment flow rate. For each particular case study, the above functions are estimated and derived through nonlinear regressions.

To establish the objective function  $f_1$ , the annual cash flow method is applied to the estimated cost  $C$  as the following:

$$f_1 = \frac{Cr(1+r)^T}{(1+r)^T - 1} + \frac{Cr(1-\alpha)}{(1+r)^T - 1} + C\beta \quad (3)$$

where  $r$  is the interest rate;  $T$  is the design period, which can be different for each component of the design cost function;  $\alpha$  is a parameter used to calculate the profit from selling the design, which can be different for each component during its design period;  $\beta$  is a parameter used to estimate the annual operation cost.

### 2.2. Structural Resilience Analysis ( $f_2$ )

Structural resilience as an objective function is the network's ability to minimize the frequency and magnitude of structural failures, such as clogging and sewer cracking or pump failure [41]. The maximum structural resilience is obtained when clogging in the sewers has the least effect on the upstream parts [42]. To quantify the clogging consequence in sewer networks, Bakhshipour et al. (2021) [12] proposed a criterion, Equation (4),

which uses layout design properties. The principle is that the lower the proportion of the population affected by sewer clogging, the more resilient the network will be.

$$Res_{structural} = \frac{\sum_{i=1}^{NP_{Res_{str,i}<90\%}} Res_{str,i}<90\%}{NP_{Res_{str,i}<90\%}} Res_{str,i} = 100(1 - \frac{A_{cum,i}}{A_{total}}) \quad (4)$$

where  $A_{cum,i}$  is the area connected to  $pipe_i$  and  $A_{total}$  is the total area covered by the network. To account for the effects of DC (degree of centralization) on Equation (4), Bakhshipour et al., 2020 proposed Equation (5) to quantify the structural resilience:

$$f_2 = SRI = \begin{cases} \frac{NP_{Res_{str,i}>90\%}}{NP} \left( \frac{\sum_{i=1}^{NP_{Res_{str,i}<90\%}} Res_{str,i}<90\%}{NP_{Res_{str,i}<90\%}} \right) (\%) \\ 100\% \text{ if } Res_{str,i}<90\% = 0 \end{cases} \quad (5)$$

in which  $Res_{str,i}>90\%$  is the number of sewers with structural resilience of more than 90% and  $NP_{Res_{str,i}<90\%}$  is the number of sewers with structural resilience of less than 90%. SRI is zero when all sewers are connected to more than 10% percent of the total area ( $NP_{Res_{str,i}>90\%} = 0$ ) and 100% if each root (outlet) is connected to up to 10% of the total area ( $NP_{Res_{str,i}<90\%} = 0$ ). More details on this index are found in the given references.

### 2.3. Degree of Centralization ( $f_3$ )

Despite the increasing attention being paid to decentralized urban water management, no explicit definitions have been proposed to measure the degree of centralization (DC) [2]. The available definitions are mostly limited to fully centralized or fully decentralized systems; however, a wide range of solutions with different DCs is feasible. Eggimann et al. (2015) [2] adopted a weighted DC by considering a continuum of possible facility sizes for wastewater management infrastructure. Following that idea, the present study introduces a weighted index to measure the DC based on the network's layout and the sewer pipes' accumulative flow (Equation (6)). The main idea behind this formulation is that "the more unbalanced the distribution of sewers and flow rates between the subgraphs, the more centralized the network will be".

$$f_3 = DC = 100 \times \left( 1 - \frac{\bar{Q}}{Q_w} \frac{N_{so} - 1}{N_{co} - 1} \right) \quad (6)$$

where  $N_{so}$  is the number of selected locations (sites) of the treatment plants,  $N_{co}$  is the number of candidate locations of the treatment plants, and  $\bar{Q}$  and  $Q_w$  are calculated as follows:

$$\bar{Q} = \frac{\sum_{i=1}^{N_{so}} Q_i}{N_{so}} \quad (7)$$

$$Q_w = \sum_{i=1}^{N_{so}} w_i Q_i, \quad w_i = \frac{NP_i}{NP} \quad (8)$$

where  $Q_i$  is the flow received at each treatment plant,  $NP_i$  is the number of sewers in each subgraph, and  $NP$  is the total number of sewers in the network. Equation (6) considers both distributions of infrastructure (sewers and pumping facilities) and flow in different parts of the network by applying the coefficient  $\frac{\bar{Q}}{Q_w}$ . The more balanced the distribution of wastewater flows between the subgraphs, the closer the  $\frac{\bar{Q}}{Q_w}$  coefficient is to 1 and the lower the DC the network will have.

Based on Equation (6), the DC is 1 when the system is fully centralized and merely one treatment plant is selected from the candidate list. In contrast, the DC is almost zero when the system is fully decentralized, meaning that all candidate treatment plants are chosen. In decentralized cases, the network has two or more roots (treatment plant); according to

the above formula, the DC is a function of both the number of roots (treatment plants) and the distribution of sewers and wastewater flows. In other words, for the same number of treatment plants, the flow distribution between the subgraphs governs the DC value.

#### 2.4. Subproblem 1: Layout Generator Algorithm

To generate a decentralized sewer layout, first the network's base graph is drawn. In a base graph, all sewer possibilities are included so that manholes (vertices) and sewers (edges) constitute a connected cyclic graph. With respect to the street alignment, topology, barriers, watercourses, outlets, and existing sewers in the city, an undirected base graph can be drawn for the network [43]. To design feasible sewer layouts, an algorithm is developed to partition the base graph into several sub-base graphs and to extract spanning trees for each. The proposed algorithm used to generate decentralized layouts is described through the pseudocode in Figure 1. The base graph partitioning algorithm consisting of three modules is described below by solving a simple example.

### Graph partitioning algorithm

*Draw the base graph*

*Initialize the Adjacency Matrix (A-Matrix) and B-Matrix*

*Introduce a list of Root (outlet) Candidates (RC)*

*Select a combination of RCs*

*Determine the Depth of Root (DR) for each root*

**For**  $i = 1:R$

*Find the assigned zone for root  $i$  based on  $DR_i$*

*Update the P-matrix*

**End for**

*Identify the nodes absent in the classified group and assign the group*

*Using B-matrix, identify sewers and loops of each group and the cut sewers, then separate the base graph*

*Generate the spanning tree of each Separation*

*Adding cut sewers to the final groups*

**Figure 1.** Pseudocode of the decentralized layout generation algorithm.

Figure 2a illustrates the base graph of a wastewater network. This graph is mathematically represented and introduced to the model by an adjacency matrix (A-Matrix, Figure 2c) and an information matrix (B-Matrix, Figure 2b) containing sewers and connections data. Let  $N$  be the number of nodes (manholes),  $M$  be the number of edges (sewers), and  $NL$  be the number of loops in the base graph. The B-Matrix involves  $M$  rows and  $NL+3$  columns. Column 1 contains the sewer names from 1 to  $M$ , while columns 2 to  $NL+1$  are sewer-in-loop indicators determining whether a sewer is in a loop (value 1) or not (value 0). Columns  $NL+2$  and  $NL+3$  include the sewer ends without any order, since the base graph is undirected. The adjacency matrix is an  $N \times N$  with binary elements; element  $(i, j)$  is 1 if nodes  $i$  and  $j$  are connected and zero if they are not connected.





subgraphs must remain connected; thus, the strategy selected for this purpose is based on the development of subgraphs through the upstream nodes. For example, to assign the nodes of set N to subgraphs, subgraph 1 develops through nodes 1 and 7; subgraph 2 develops through nodes 11, 17, and 23; and subgraph 3 develops through nodes 14 and 20. Using the adjacency matrix, the A-Matrix, the nodes connected to the upstream nodes of these subgraphs are identified and considered new upstream nodes of the corresponding group. It should be noted that set N needs to be updated at each node assignment step. These steps continue until the all nodes of set N are assigned to the subgraphs.

### 2.4.2. Base Graph Partitioning

Once the assigned zone for each root is determined, the base subgraphs are extracted through the following steps:

- The sewers of each subgraph are identified. For each sewer in the B-Matrix, the upstream and downstream nodes are identified. If the nodes are in the same group, the corresponding sewer belongs to that group; otherwise, it is a cut sewer;
- The loops of each subgraph are identified. For each loop in the B-Matrix, the corresponding sewers are placed. If there are cut sewers among the sewers of a loop, the loop is excluded; otherwise, the sewers of the loop indicate to which subgraph the loop belongs.

After the sewers and loops of each base subgraph are identified (Figure 4), their corresponding B-Matrices are created.

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
SG1	1	1	0	0	0	0	0	0	0	1	8																			
SG1	2	1	0	0	0	0	0	0	0	1	2																			
SG1	3	0	0	0	0	0	0	0	0	2	3																			
SG1	4	0	0	0	0	0	0	0	0	3	4																			
SG1	5	1	0	0	0	0	0	0	0	2	7																			
cut	6	1	1	0	0	0	0	0	0	5	9																			
SG1	7	1	1	0	0	0	0	0	0	5	7																			
cut	8	0	0	1	0	0	0	0	0	7	6																			
cut	9	0	1	1	0	0	0	0	0	7	11																			
SG2	10	1	0	1	0	0	0	0	0	6	12																			
cut	11	0	0	0	1	0	0	0	0	8	9																			
SG2	12	0	1	0	0	1	0	0	0	9	10																			
SG2	13	0	1	0	0	0	1	0	0	10	11																			
SG2	14	0	0	1	0	0	0	0	1	11	12																			
SG2	15	0	0	0	0	0	0	0	0	12	13																			
cut	16	0	0	0	1	0	0	0	0	8	14																			
cut	17	0	0	0	1	1	0	0	0	9	15																			
SG2	18	0	0	0	0	1	1	0	0	10	16																			
SG2	19	0	0	0	0	0	1	1	0	11	17																			
SG2	20	0	0	0	0	0	0	1	1	12	18																			
SG3	21	0	0	0	1	0	0	0	0	14	19																			
SG3	22	0	0	0	1	1	0	0	0	15	20																			
cut	23	0	0	0	0	1	1	0	0	16	21																			
SG2	24	0	0	0	0	0	1	1	0	17	22																			
SG2	25	0	0	0	0	0	0	1	1	18	23																			
SG3	26	0	0	0	0	0	0	0	0	24	19																			
SG3	27	0	0	0	1	0	0	0	0	19	20																			
SG3	28	0	0	0	0	1	0	0	0	20	21																			
cut	29	0	0	0	0	0	1	0	0	21	22																			
SG2	30	0	0	0	0	0	0	1	0	22	23																			

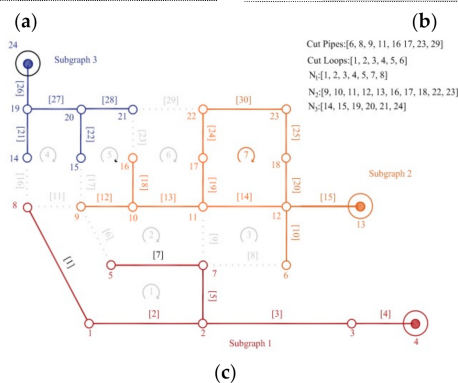


Figure 4. Pipe identification process: (a) identifying the pipes for each subgraph; (b) identifying the loops for each subgraph; (c) partitioning of the base graph.



### 2.4.3. Generating the Spanning Trees of Base Subgraphs

A feasible layout for a decentralized sewer network is a forest of  $nr$  spanning trees with  $nr$  roots each, including a treatment plant. The present study adopts the algorithm of growing spanning trees (Walters and Smith 1995) to extract the tree-like sewer network from the undirected looped base graphs. Through this approach, a sewer of each loop is cut rather than excluded. In the growing spanning tree method, each tree begins to grow from a root defining three growing vectors:

- (i) Vector M, consisting of the edges (sewers) of the growing tree;
- (ii) Vector N, consisting of the vertices (nodes or manholes) of the growing tree;
- (iii) Vector AM, consisting of the base graph edges adjacent to the growing tree. Each of these edges may be considered a decision variable in order to construct another growing tree branch.

According to the above definitions, the spanning tree growth algorithm applied to the base graph includes the following steps:

1. The root node, root  $r$ , is identified;
2. The vector N is initialized with a single member  $N = [r]$ . No edge has yet been selected, meaning  $M = []$ ;
3. The AM vector is updated in each stage; in the beginning, only edges connected to the root are included, i.e.,  $AM = (\text{the edges connected to the root node})$ ;
4. An edge from vector AM is chosen through optimization. For this purpose, a decision variable  $x_i$  ( $i = 1 : n - 1$ ) in the range of  $(0, 1)$  is defined and  $n$  is the total number of vertices in the base graph. Let  $H$  be the current number of adjacent edges; the chosen edge number  $na_i$  from variable  $x_i$  is as follows, where  $na_i$  represents the sewer "a" in the network;

$$na_i = \text{round}(1 + x_i \times (H - 1)) \quad (10)$$

5. The edge vector is updated,  $N = M + [a]$ ;
6. The selection of edge "a" adds a new vertex "b" to the growing tree; therefore, it is required to update the vertex vector,  $N = N + [b]$ ;
7. All edges except "a" connected to vertex "b" are denoted as "ab"(i);
8. Vector AM is updated by excluding edge "a" and adding edges "ab"(i). AM then contains all choices for the next edge of the growing tree. Before going to the next step, the following query should be applied to each new member of AM to make it feasible: Is "ab"(i) already in AM or are both end nodes of ab(i) present in N? If yes, then edge ab(i) is removed from AM, since its selection causes a loop in the growing tree;  $AM = AM - ab(i)$ ; if no, vector AM is acceptable;
9. The algorithm goes back to step 5 and the tree continues growing until vector AM becomes empty. After this, a branch of the growing tree is generated, including  $s$  vertices of the base graph and  $s - 1$  edges according to variables  $x_1$  to  $x_{s-1}$ ;
10. The vertices of the base graph that are not present in the vertex vector N are identified. The number of these vertices is  $n - s - 1$ ;
11. A vertex absent in N is selected and placed in N and vector AM is updated accordingly. Then, the algorithm goes to step 5 and a new edge is identified using Equation (10);
12. This process continues until all absent vertices are placed in N. The algorithm eventually generates a root-ending spanning tree based on the decision variables  $x_i$  ( $i = 1, n - 1$ ); however, there are a number of excluded sewers—as many as the number of loops  $c$  in the base graph. These sewers must be included in the final design of the sewer network. To meet this constraint, the following modification is applied;
13. Let  $m$  be the number of edges in the base graph. The number of edges in the grown spanning tree at the end of the previous step is  $m - c$ . The excluded edges are identified as  $ac_i$  ( $i = 1 \dots, c$ ) and added to vector M;
14. Since the excluded edges are returned to the spanning tree, they should be cut to avoid loops. For this purpose, one of the ends of the edge  $ac_i$  is selected to be cut. This

introduces another decision variable to the problem. A binary variable  $y_i$  ( $i = 1, \dots, c$ ) is used for this purpose. When  $y_i = 0$ , the edge is cut from its upstream, otherwise it is cut from the downstream. As an  $ac_i$  is cut, a new vertex appears at the truncation end, which is named  $n + i$ . The process continues until all  $ac$  elements are cut.

Eventually, all excluded edges are added to the tree, while  $c$  new vertices (vertex  $n + 1$  to  $n + c$ ) are created in the network. Then, a feasible wastewater collection network is at hand, whose edges can be easily directed to the root node  $r$  as shown in Figure 5.

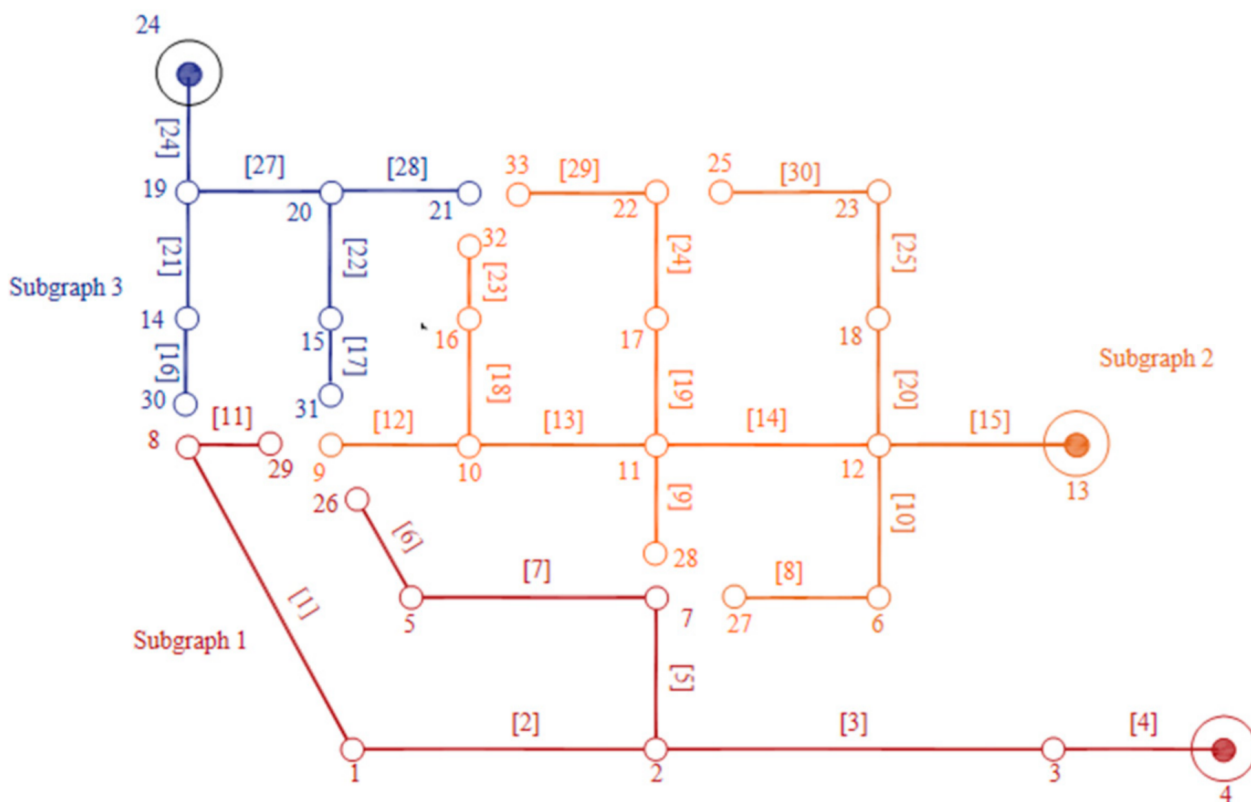


Figure 5. Generated decentralized network.

The proposed algorithm is applied to all partitions of the base graph to extract the base graph’s spanning forest (decentralized layout). Figure 6 explains this algorithm schematically.

### 2.5. Solving Subproblem 2: Hydraulic Design of the Network

After generating layouts, the second subproblem is the hydraulic design (sizing) of the sewer diameters ( $d$ ), slopes ( $s$ ), and pump stations ( $p$ ) for each layout directed to a root. This subproblem includes many complex constraints, which are nonlinear and discrete, and should be satisfied ordinarily. Handling such constraints introduces intractable challenges to the design of sewer systems. For this purpose, a hydraulic design algorithm proposed by Haghghi and Bakhshipour (2012) [15] is adopted in this study. Employing this approach, the entire design criteria and constraints of wastewater collection networks are systematically satisfied through a self-adaptive step-by-step hydraulic design algorithm; therefore, there is no need to impose any penalty function on the objective functions used to handle the constraints. This capability is a significant relief for the optimization process and dramatically reduces blind searches to approach feasible decision spaces.

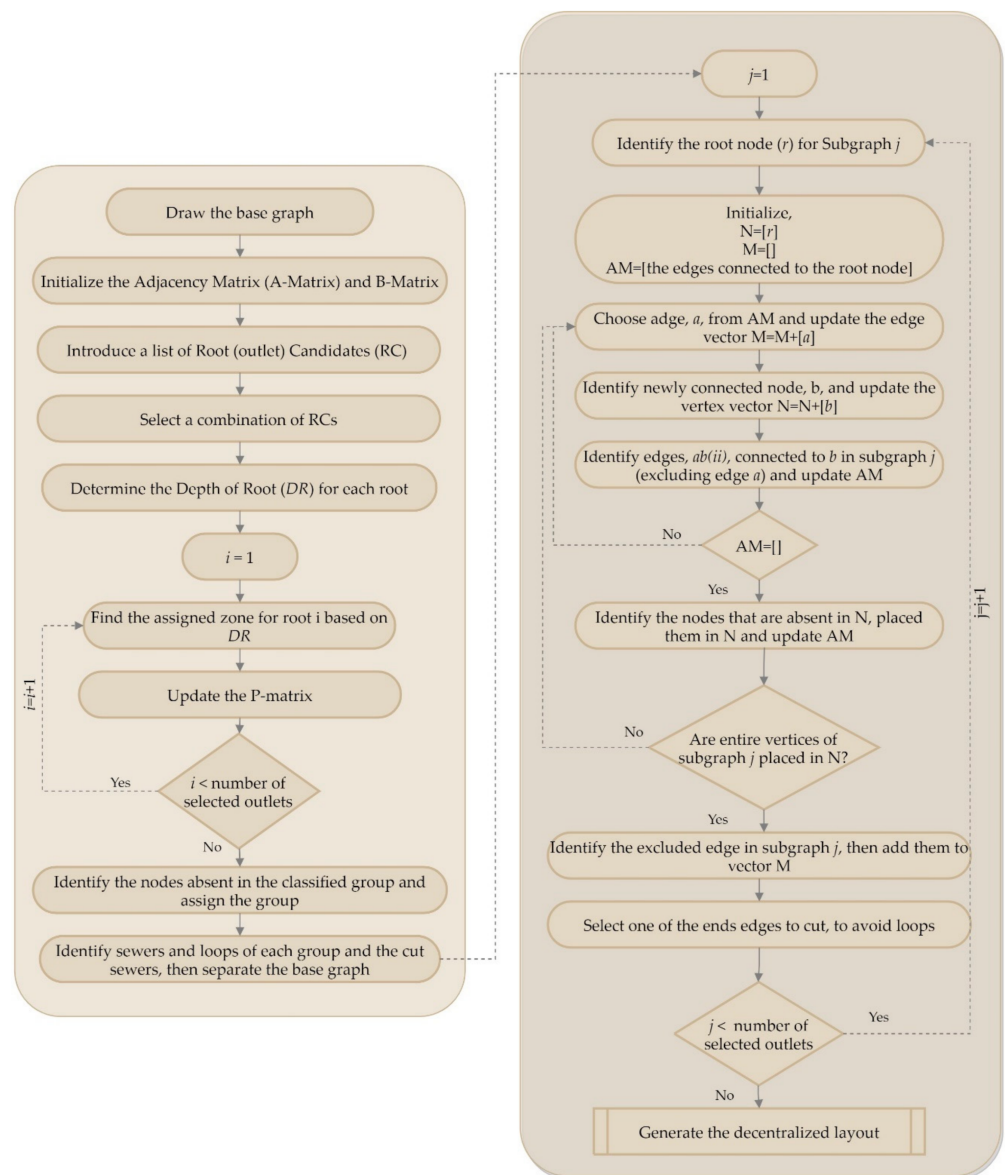


Figure 6. Flowchart of the proposed algorithm for generating the spanning trees.

### 3. Case Study

As a case study to investigate the proposed algorithms, the sewage collection network of Hendijan city, located in the southwest of Iran (Figure 7), with about 700 hectares area and a population of 50,000, was designed. Some natural features of this city have made it a suitable candidate for developing a decentralized sewer network. The city crosses a large river dividing it into northern and southern parts, has high-level groundwater due to its proximity to the Persian Gulf, is fully flat in topography, and has poor geotechnical conditions, which are the main challenges for designing a fully centralized sewer network. In such a case, a feasibility study for decentralized systems is necessary.

The base graph (Figure 8) of Hendijan city’s sewer network consists of 208 sewers, 64 loops, and 8 candidate locations for treatment plant installation (i.e., network roots). Table 1 represents the hydraulic and other technical criteria and the constraints on the network design, while Table 2 shows the problem’s decision variables. Due to lack of space and other regional limitations, only the treatment plant at candidate location T.P. 8 can treat 100% of the produced wastewater in this area. The treatment plant at location T.P. 3 can treat up to 75%, while the plants at the other candidate locations can treat 50% of the total wastewater at most.



Figure 7. The case study (Google maps).

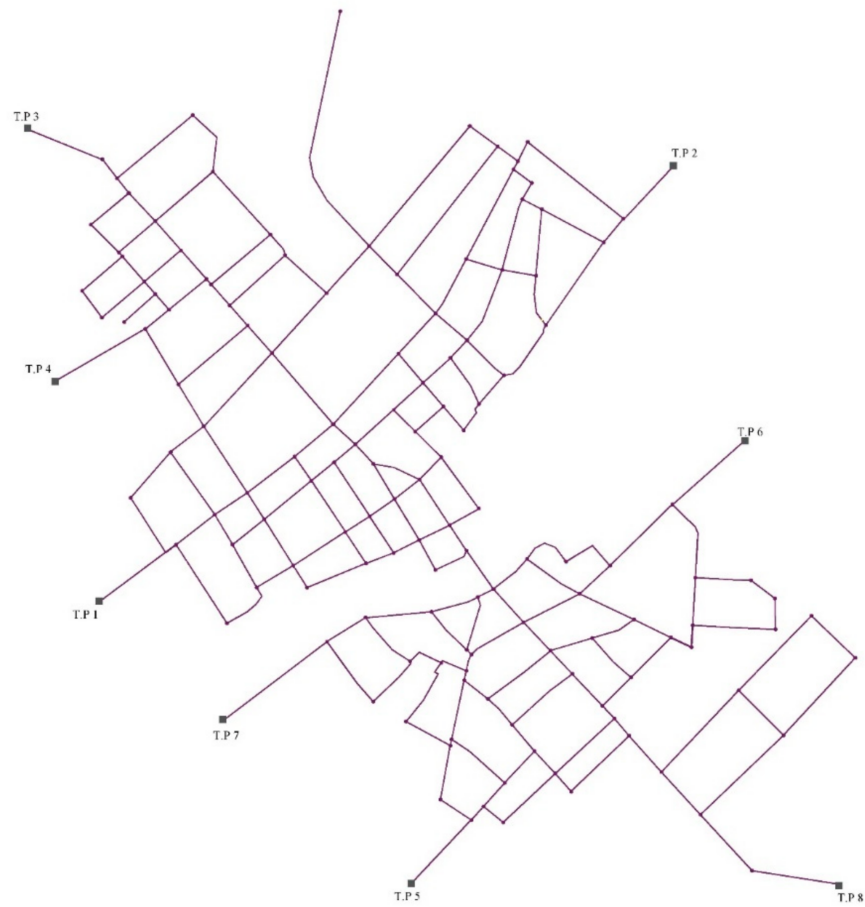


Figure 8. The base graph of the case study.

**Table 1.** Design constraints for the case study.

Item Name	Item Value
Maximum velocity $V_{max}$	5 m/s
Minimum velocity $V_{min}$	0.6 m/s
Minimum Slope $S_{min}$	0.003 (if $Q < 15$ l/s)
Maximum Slope $S_{max}$	0.5
Maximum proportional water depth $(h/D)_{max}$	0.75
Minimum cover depth $C_{min}$	1.2 m

**Table 2.** Optimization variables of the case study.

Variable	Type	Number	Note
$\alpha$	Real	8	Input parameter of the graph partitioning algorithm (according to the number of candidate outlets)
$x$	Real	145	Input parameter of growing spanning tree algorithm (according to the number of vertices in the base graph)
$y$	Binary	64	Input parameter of growing spanning tree algorithm (according to the number of loops in the base graph)
$d$	Real	208	Sewer diameter
$s$	Real	208	Sewer slope
$p$	Binary	208	Locations of lift pump stations

To set up the optimization model, the number and locations of the roots (treatment plants) and layout and hydraulic design parameters are considered the decision variables. Then, to drive the optimum trade-off between the objective functions, the construction and operation costs (Table 3), the network structural resilience (Equation (5)), and the degree of centralization (Equation (6)), the Borg multiobjective solver is applied.

**Table 3.** The cost function of the case study.

Description	Construction Cost			Operation Cost (Million Rial/Years)
	D (mm)	Pipe (Million Rial/m)	Manhole (Million Rial)	
Network	200	10.5 H – 6.75	59 H + 108.82	0.15 × Construction Cost
	250	11.5 H – 5.5	67.4 H + 117.32	
	350	13.14 H – 2.3	80.06 H + 124.42	
	400	15.71 H – 0.60	91.32 H + 132.92	
	500	11.2 H + 1.15	105.7 H + 150.7	
	630	12.2 H + 4.71	112.78 H + 160.1	
	800	12.93 H + 11.58	19.8 H + 169.72	
	1000	13.95 H + 24.36	125.5 H + 211.12	
Pressurized pipeline (Million Rial/m)	$70D^2 - 3.237D + 1.7721$			0.15 × Construction Cost
Pump Station	$9238.68 + 24.2576Q^{0.9484} - 7719.421Hp^{-0.3162} + 0.0928Q^{0.0003}Hp^{6.175}$			$\frac{gQht}{1000\eta} \Psi$
Treatment Plant	$14,021Q^{0.95}$			$1332Q^{0.5196}$

According to the described framework, the optimization generates and optimizes the layouts and hydraulic design parameters simultaneously. In contrast, all physical constraints and design criteria for both subproblems (layout and hydraulic designs) are

systematically satisfied in the algorithms. The process continues until the best Pareto front is achieved (Figure 9).

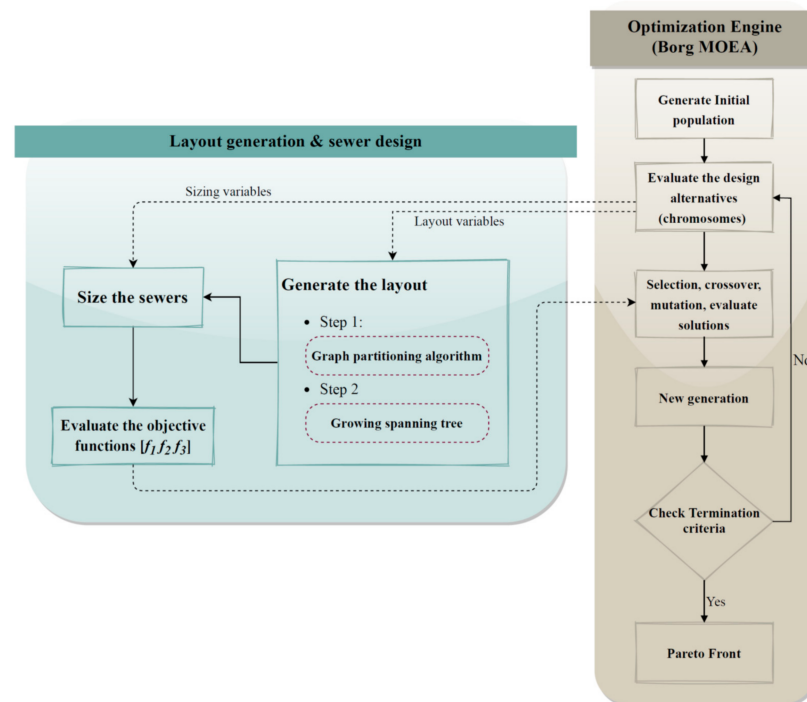


Figure 9. Proposed framework for optimization case study.

It is also worth mentioning that for this case study, initially the costs of a wide range of sewer diameter sizes with different buried depths were estimated to derive the cost functions of sewers and manholes through mathematical regression (Table 3). Similarly, the costs for a wide range of pumping stations and wastewater treatment plants (the sequential batch reactor process) with different flow rates and pumping heads were estimated to attain the corresponding cost functions in Table 3. Although the derived cost functions may have uncertainty and errors, they help state the problem mathematically and facilitate automated optimization. In addition, since they are used in optimization to compare the design alternatives, the errors mentioned above would not play a significant role in the comparative nature of optimization.

#### 4. Results and Discussion

After optimization, it was found that on average, 200,000 (de)centralized layouts were generated and hydraulically designed and evaluated during a multiobjective run. The computational time for solving the case study was estimated at around 15 h using a personal laptop with an Intel(R) Core i7-7700HQ CPU, 2.8 GHz quad-core CPU, and 16 GB random access memory (RAM).

Certain optimum solutions with a different number of treatment plants were chosen from the obtained Pareto front for presentation in Figure 10 (8 optimum designs in total). Three designs among them were considered for further investigation through the following scenarios:

- **Scenario 1:** The fully centralized design with only one treatment plant (Figure 11a);
- **Scenario 2:** A design with 5 treatment plants (Figure 11b);
- **Scenario 3:** The most decentralized design found via the optimization with 8 treatment plants (Figure 11c).

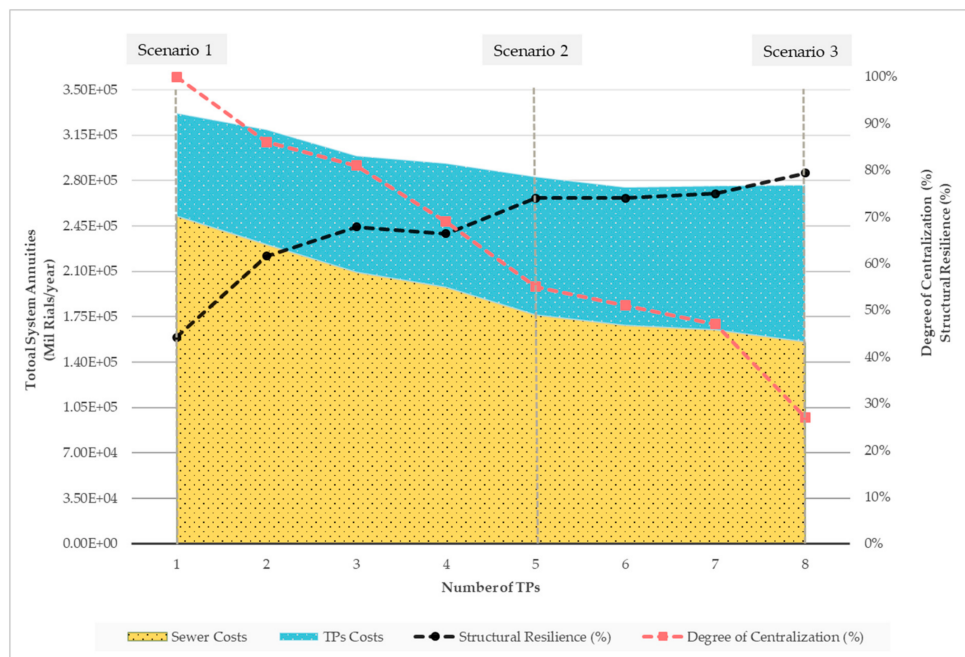


Figure 10. Selected solutions from the Pareto front for different numbers of treatment plants.

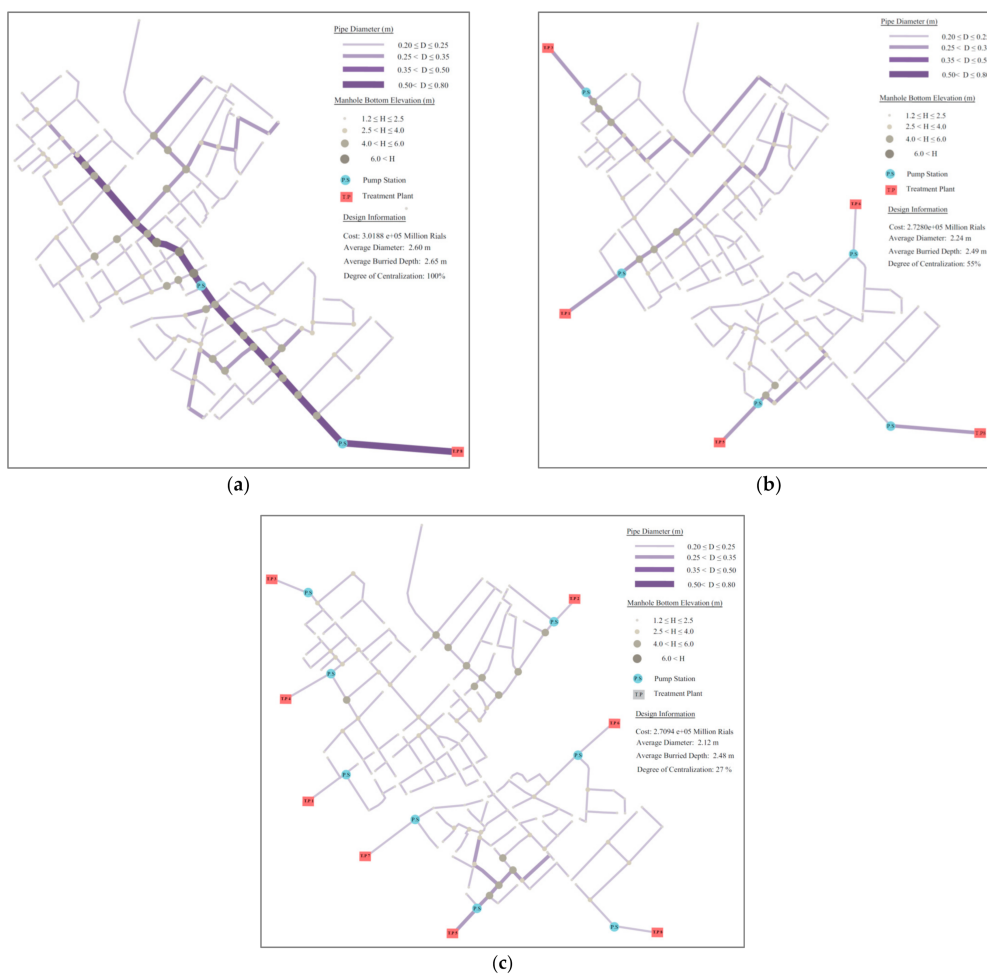


Figure 11. Various design scenarios: (a) scenario 1, optimal fully centralized design (DC = 100%); (b) scenario 2, design with 5 treatment plants (DC = 55%); (c) scenario 3, the most decentralized design (DC = 27%).

The last scenario cannot be called a fully decentralized design, since by definition DC is a function of both the number of network roots and the sewage flow distribution between the network's sublayouts. The minimum DC found for this case study is about 27%.

As expected, solving the case study revealed that most of the entire budget for constructing and operating a centralized sewage collection network is spent on the sewers. In scenario 1, around 24% of the total cost is spent on a single wastewater treatment plant (scenario 1). At the same time, in the most decentralized design with 8 treatment plants (scenario 3), the portion of total cost spent on treatment plants increases to about 44%. In other words, as the number of treatment plants increases, toward the more decentralized network, the cost of the sewer system decreases because of the reductions in excavation depths and sewer diameter sizes and the need for pumping energy. Conversely, the total costs for construction and operation of multiple small treatment facilities increase. Indeed, economies of scale are essential issues to consider when designing a decentralized urban water system. Multiobjective optimization is a helpful tool to investigate this importance, as applied to the case study.

Figure 10 shows that the total annuities of the system do not change significantly for DCs above 55%, corresponding to design alternatives with more than 5 treatment plants (scenario 2). In these cases, the other considerations and criteria might be used to differentiate the optimum designs. Table 4 provides a comprehensive comparison between scenarios 1 to 3.

**Table 4.** Comparison between scenarios 1 and 3.

Scenario	Total System Annuities (Million Rials/Year)	Sewer Networks (Million Rials/Year)	Treatment Facilities (Million Rials/Year)	Average Buried Depth (m)	Average Sewer Diameters (m)	DC (%)	Structural Resilience (%)
1	$3.31 \times 10^5$	$2.52 \times 10^5$	$0.795 \times 10^5$	2.65	0.26	100	44
2	$2.82 \times 10^5$	$1.76 \times 10^5$	$1.07 \times 10^5$	2.49	0.22	55	74
3	$2.76 \times 10^5$	$1.56 \times 10^5$	$1.21 \times 10^5$	2.48	0.21	27	79

As shown in Figure 10, decentralization strikingly increases the structural resilience by distributing the risk of system failure between multiple small subsystems. The structural resilience is increased from 44% in the fully centralized design in scenario 1 to 79% in the most decentralized system in scenario 3. According to Figure 10, the structural resilience of the optimum design in scenario 2 with DC = 55% is 74%, which is very close to the most decentralized system in scenario 3. In other words, it is concluded that the decentralization benefits in terms of the both the system costs and structural resilience do not improve dramatically for  $DC \leq 55\%$ . Obviously, this finding is case-dependent, and different results are expected for different case studies.

## 5. Summary and Conclusions

Wastewater management systems rely heavily on network-based infrastructure, traditionally designed and constructed with a high degree of centralization, conveying the whole collected sewage flow to a large treatment plant very far from urban areas. In general, centralized sewer networks are expensive in terms of construction, operation, maintenance, and upgrades. They also cause serious concerns regarding long-term sustainability, resilience, and adaptiveness to upcoming challenges such as rapid urbanization. The challenges associated with centralized sewer networks are much more significant in flat areas and when water recycling and reuse are of interest.

Recent studies have proposed a shift from centralized systems to decentralized ones to overcome the challenges mentioned above. The superiority of decentralized sewer systems over centralized systems is case-dependent, although they are generally found to be more cost-effective and resilient if their network configuration and degree of centralization are optimized. This requires sophisticated graph-based algorithms for layout design and multiobjective optimization.



The current study introduced a new algorithm to generate all feasible layouts of a decentralized sewer network, resulting in a wide range of degrees of centralization. The layout generator algorithm was coupled to a self-adaptive hydraulic design model to measure the network components hydraulically. A multiobjective optimization problem was introduced to find the optimum trade-off between the cost, resilience, and degree of centralization for the network at hand. The optimization problem is quite self-adaptive, without any penalty function. It optimizes the network's layout and hydraulic components implicitly.

The proposed model was applied to a coastal city in Iran. The city is flat with a large river running through it, the groundwater level is high, and the geotechnical conditions are unfavorable, making the installation of deep and large sewers expensive. These issues made the case study a proper candidate for investigating the advantages of decentralized sewer networks. The results demonstrated the good performance of the proposed algorithms in generating decentralized layouts, with a wide range of degrees of centralization and with the algorithms finding near-optimum solutions. As expected, the decentralization increases the structural resilience by distributing the risk of system failure to multiple small subsystems. By deriving the optimal trade-offs between the system's costs, structural resilience, and degree of centralization, one can decide on the best design depending on further considerations and limitations.

For this purpose, developing a multicriteria decision-making framework to be used as a post-processing model is suggested for further investigations in the future. This model would facilitate the stakeholders in picking the best solution from the derived optimum Pareto front.

**Author Contributions:** Conceptualization, A.Z. and A.H.; methodology, A.Z., A.E.B. and A.H.; programming, A.Z.; writing—original draft preparation, A.E.B. and A.H.; writing—review and editing, U.D. and A.H.; supervision, U.D., A.E.B. and A.H. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Alexander von Humboldt Foundation and Shahid Chamran University of Ahvaz (grant number: Scu.c99.436).

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

**Conflicts of Interest:** The authors declare no conflict of interest.

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