

**RESEARCH ARTICLE**

# On the Alperin–McKay conjecture for 2-blocks of maximal defect

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DFG, Grant/Award Number: BR 6142/1-1**Abstract**

In this paper, we show that the Alperin–McKay conjecture holds for 2-blocks of maximal defect. A major step in the proof is the verification that principal 2-block of groups of Lie type in odd characteristic is AM-good for the prime 2.

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## 1 | INTRODUCTION

In the representation theory of finite groups some of the most important conjectures predict a very strong relationship between the representations of a finite group  $G$  and certain representations of its  $\ell$ -local subgroups, where  $\ell$  is a prime dividing the order of  $G$ . One of these conjectures is the Alperin–McKay conjecture. For an  $\ell$ -block  $b$  of  $G$  we denote by  $\text{Irr}_0(G, b)$  its set of height zero characters.

**Conjecture 1.1** (Alperin–McKay). *Let  $b$  be an  $\ell$ -block of  $G$  with defect group  $Q$  and  $B$  its Brauer correspondent in  $N_G(Q)$ . Then*

$$|\text{Irr}_0(G, b)| = |\text{Irr}_0(N_G(Q), B)|.$$

In this article we show that the Alperin–McKay conjecture holds for 2-blocks of maximal defect.

**Theorem 1.2.** *Let  $b$  be a 2-block of a finite group  $G$  whose defect group is a Sylow 2-subgroup  $Q$  and  $B$  its Brauer correspondent in  $N_G(Q)$ . Then*

$$|\text{Irr}_0(G, b)| = |\text{Irr}_0(N_G(Q), B)|.$$

Späth [27, Theorem C] showed that the Alperin–McKay conjecture holds for the prime  $\ell$  if all blocks of all finite quasi-simple groups are AM-good for the prime  $\ell$ . It is therefore possible to approach the Alperin–McKay conjecture through the classification of finite simple groups. Thanks to the work of several authors this has been verified for all finite simple groups except in the case where  $G$  is a group of Lie type defined over a field of characteristic  $p \neq \ell$ . Hence, we will focus on the case where  $G$  is a group of Lie type defined over a field of odd characteristic. In their seminal paper, Malle–Späth [20] showed that in this case  $G$  is McKay-good for the prime 2. For this they constructed a bijection  $\text{Irr}_{2'}(G) \rightarrow \text{Irr}_{2'}(M)$  between the set of irreducible odd degree characters of  $G$  and the corresponding set of characters of a well-chosen subgroup  $M$  of  $G$  containing  $N_G(Q)$ , for  $Q$  a Sylow 2-subgroup of  $G$ . Based on their bijection we are able to construct an explicit bijection between the height zero characters in the principal blocks of  $G$  and  $N_G(Q)$  and show the following.

**Theorem 1.3.** *Let  $G$  be a quasi-simple group of Lie type defined over a field of odd characteristic. Then the principal 2-block of  $G$  is AM-good for the prime 2.*

In a previous article, the second author has reduced the verification to quasi-isolated blocks of  $G$  [24] and then subsequently for groups of type  $A$  to unipotent blocks [25]. Which, in turn, combined with results from [4], lead to a complete verification in type  $A$  for primes greater than three. One major hurdle that arises when making use of this reduction, in its current form, is that the possibility to choose a suitable subgroup  $M$  as done in Malle–Späth no longer holds. As a consequence of the bijection explicitly constructed to prove Theorem 1.3 and the classification of quasi-isolated elements we obtain the following.

**Corollary 1.4.** *Let  $G$  be a quasi-simple group of classical Lie type. Then every 2-block of  $G$  is AM-good for the prime 2.*

Unfortunately, if  $G$  is a group of Lie type with exceptional root system, there are many quasi-isolated 2-blocks. However, one can show that the principal 2-block is the unique quasi-isolated 2-block of maximal defect.

**Corollary 1.5.** *Let  $G$  be a quasi-simple group of exceptional Lie type. Then every 2-block of maximal defect of  $G$  is AM-good for the prime 2.*

Using this we are able to verify that blocks of maximal defect of finite quasi-simple groups are AM-good for the prime 2, which is then enough to establish Theorem 1.2.

## Structure of the paper

In Section 2 we derive some fundamental results on the structure of normalisers of Sylow 2-subgroups of groups of Lie type. This will be used in Section 3 to provide a description of the

height zero characters in the principal block of this normaliser. In the same section we moreover give a parametrisation of the height zero characters of the principal block of  $G$  in terms of the 1-Harish–Chandra series. In Section 4 and Section 5 we study the action of group automorphisms of  $G$  on our parametrisation of characters. This will be used in Section 6 to prove that the principal block is AM-good for the prime 2. In Section 7 we deal with the remaining finite simple groups, and in Section 8 we prove our main results.

## 2 | SYLOW 2-SUBGROUPS

### 2.1 | Weyl groups

It is well known that the Sylow 2-subgroups of the symmetric group are self-normalising. That is for  $P \in \text{Syl}_2(\mathfrak{S}_n)$ , we have that  $N_{\mathfrak{S}_n}(P) = P$ . It turns out for all Weyl groups that the Sylow 2-subgroups will be self-normalising. In the following we denote by  $C_n$  the cyclic group of order  $n$  and to discuss the Weyl group in type  $C$  on  $n$  nodes we will use  $W(B_n)$  to avoid confusion in notation.

**Lemma 2.1.** *Let  $W$  be a Weyl group. Then every Sylow 2-subgroup of  $W$  is self-normalising.*

*Proof.* Since any Weyl group is a direct product of irreducible Weyl groups, we can assume that  $W$  is irreducible. The case  $W(A_n) \cong \mathfrak{S}_{n+1}$  is well known, which moreover implies the case  $W(B_n) \cong C_2 \wr \mathfrak{S}_n$ . The group  $W(D_n)$  also follows from the symmetric group as it arises as a normal subgroup of index 2 in  $W(B_n)$  isomorphic to  $C_2^{n-1} \rtimes \mathfrak{S}_n$  (which can be constructed as the quotient of  $W(B_n)$  by the kernel of the homomorphism  $C_2^n \rightarrow C_2$  which maps  $(g_1, \dots, g_n)$  to the product  $g_1 \dots g_n$ ). This only leaves the exceptional cases. The result is immediate for  $W(G_2) \cong \text{Dih}_{12}$ . For the remaining cases the description of these groups provided in [11, Section 2.12] will be taken.

Observe that  $W(F_4)$  arises as the semidirect product of  $W(D_4)$  with the automorphism group of the Dynkin diagram of type  $D_4$ . The group  $W(D_4) \cong (C_2)^3 \rtimes \mathfrak{S}_4$  is generated by signed permutations  $g_1 = (1, 2)(-1, -2)$ ,  $g_2 = (2, 3)(-2, -3)$ ,  $g_3 = (3, 4)(-3, -4)$  and  $g_4 = (3, -4)(-3, 4)$ . Set  $\gamma_1$  to be the automorphism of order 2 fixing both  $g_1, g_2$  and interchanging  $g_3$  and  $g_4$ , while  $\gamma_2$  denotes the automorphism of order 3 which fixes  $g_2$  and permutes  $g_1, g_3$  and  $g_4$  cyclically. Then  $W(F_4) \cong W(D_4) \rtimes \langle \gamma_1, \gamma_2 \rangle$ . The group  $W(D_4)$  has three Sylow 2-subgroups one of which must be fixed by  $\gamma_1$ . Moreover only one Sylow 2-subgroup of  $W(D_4)$  contains  $g_2$ , and thus, all three subgroups are fixed by  $\gamma_2$ . In particular,  $W(D_4)$  has a Sylow 2-subgroup  $Q$  which is fixed by both automorphisms  $\gamma_1$  and  $\gamma_2$ . Set  $P := \langle Q, \gamma_1 \rangle$  which is a Sylow 2-subgroup of  $W(F_4)$ . As  $N_{W(F_4)}(Q) = \langle Q, \gamma_1, \gamma_2 \rangle$  and  $P^{\gamma_2} = \langle Q, \gamma_1^{\gamma_2} \rangle \neq P$ , it follows that  $N_{W(F_4)}(P) = P$ .

The group  $W(E_6)$  contains a subgroup  $W^+(E_6) \cong \text{SU}_4(2)$  of index two. In  $\text{SU}_4(2)$  the normaliser of a Sylow 2-subgroup  $Q$  is a Borel subgroup  $B$ , but  $B = Q$  as  $q = 2$ . Hence  $W^+(E_6)$  and thus  $W(E_6)$  has self-normalising Sylow 2-subgroups. The same argument proves the case of  $W(E_7) \cong C_2 \times \text{Sp}_6(2)$ . While for  $W(E_8)$  the index two subgroup  $W^+(E_8)$  surjects onto  $\Omega_8^+(2)$  with kernel  $Z(W(E_8))$  of order 2. Thus for  $G$  the universal cover of  $\Omega_8^+(2)$  with  $Z(G) \cong C_2 \times C_2$ , the same argument as used in  $E_6$  shows that  $G$  and consequently also the groups  $\Omega_8^+(2)$ ,  $W^+(E_8)$  and  $W(E_8)$  have self-normalising Sylow 2-subgroups.  $\square$

## 2.2 | Normalisers of Sylow 2-subgroups

Let  $H$  be a finite group and  $Q$  be a Sylow 2-subgroup of  $H$ . In this section we consider when  $N_H(Q) = C_H(Q)Q$ , for  $H$  a group of Lie type. The following remark will be helpful in answering this question.

*Remark 2.2.* Let  $H$  and  $Q$  be as above. By Schur–Zassenhaus, we have  $N_H(Q) = Q \rtimes K$  for some subgroup  $K$  of  $N_H(Q)$ . In particular,  $N_H(Q) = C_H(Q)Q$  if and only if  $K \triangleleft N_H(Q)$ .

For any central subgroup  $Z \leq Z(H)$  let  $\bar{U}$  denote the image of any subgroup  $U$  of  $H$  in the quotient  $H/Z$ . Observe that  $K$  is the unique Hall  $2'$ -subgroup of  $KZ$  and thus a characteristic subgroup of  $KZ$ . In particular,  $N_H(K) = N_H(KZ)$  and similarly  $N_H(Q) = N_H(QZ)$ . Thus  $\bar{K}$  is a complement to  $\bar{Q}$  in  $N_{\bar{H}}(\bar{Q}) = N_H(QZ)/Z$ . As  $KZ \triangleleft N_H(Q)$  if and only if  $\bar{K} \triangleleft N_{\bar{H}}(\bar{Q})$ , it follows that  $N_H(Q) = C_H(Q)Q$  if and only if  $N_{\bar{H}}(\bar{Q}) = C_{\bar{H}}(\bar{Q})\bar{Q}$ .

We use the following theorem by Malle [17, Theorem 5.19] which is based on work by Aschbacher.

**Theorem 2.3.** *Let  $G$  be a simple algebraic group and  $F : G \rightarrow G$  a Frobenius endomorphism defining an  $\mathbb{F}_q$ -structure on  $G$ . Let  $d$  be the order of  $q$  modulo 4 and  $S$  a Sylow  $d$ -torus of  $(G, F)$ . Assume that  $G^F$  is not isomorphic to  $Sp_{2n}(q)$  with  $n \geq 1$  and  $q \equiv 3, 5 \pmod{8}$ . Then there exists a Sylow 2-subgroup  $Q$  of  $G^F$  with  $N_{G^F}(Q) \leq N_{G^F}(S)$ .*

We can now answer the question posed at the beginning of this section. Note that a similar result for the classical matrix groups was obtained in [15, Theorem 1].

**Corollary 2.4.** *Keep the assumption of Theorem 2.3 and let  $Q$  be a Sylow 2-subgroup of  $G := G^F$ . Then  $N_G(Q) = C_G(Q)Q$ . Moreover, for  $G \hookrightarrow \tilde{G}$  a regular embedding and  $\tilde{Q}$  a Sylow 2-subgroup of  $\tilde{G} := \tilde{G}^F$  with  $Q = \tilde{Q} \cap G$ , then  $N_{\tilde{G}}(Q) = N_{\tilde{G}}(\tilde{Q}) = C_{\tilde{G}}(\tilde{Q})\tilde{Q}$ .*

*Proof.* As in Remark 2.2, take  $K$  a complement to  $Q$  in  $N_G(Q)$ . According to Theorem 2.3,  $K \leq N_G(\mathbf{T})$ , where  $\mathbf{T} = C_G(\mathbf{S})$  is a maximal torus of  $G$ , see [14, Lemma 3.17]. In particular,  $K$  normalises  $Q\mathbf{T}^F$ . As  $\mathbf{S}$  is  $d$ -split with  $d \in \{1, 2\}$ , the group  $W$ , where  $W := N_{G^F}(\mathbf{T})/\mathbf{T}^F$ , is again isomorphic to a Weyl group (use [5, page 121] and [21, Corollary B.23]). Hence  $Q\mathbf{T}^F/\mathbf{T}^F$ , which is a Sylow 2-subgroup of  $W$ , is self-normalising in  $W$  by Lemma 2.1. Thus  $K \leq Q\mathbf{T}^F = \mathbf{T}_{2'}^F \rtimes Q$ . As  $K$  is a  $2'$ -group, then  $K \leq \mathbf{T}_{2'}^F$ , and so  $[K, Q] \leq Q \cap \mathbf{T}_{2'}^F = 1$ . In other words  $K \leq C_H(Q)$ . This proves the first statement.

Next observe that  $\tilde{G}^F/Z(\tilde{G})^F \cong G_{\text{ad}}^F$  and the assumption of Theorem 2.3 is always satisfied for  $G_{\text{ad}}^F$ . Thus by applying Remark 2.2 it follows that  $N_{\tilde{G}}(\tilde{Q}) = C_{\tilde{G}}(\tilde{Q})\tilde{Q}$ . Therefore it remains to show that  $N_{\tilde{G}}(Q) = N_{\tilde{G}}(\tilde{Q})$ . As any two Sylow 2-subgroups above  $Q$  must be conjugate by an element of  $N_{\tilde{G}}(Q)$ , it suffices to consider a fixed  $\tilde{Q} \in \text{Syl}_2(\tilde{G})$  lying above  $Q$ .

For groups of type  $A$  this follows from [15, Theorem 1]. In the remaining cases  $\tilde{G}/GZ(\tilde{G})$  is either a 2- or a  $2'$ -group. Note that if  $\tilde{G}/GZ(\tilde{G})$  is a  $2'$ -group, then  $\tilde{Q} = QZ(\tilde{G})_2$  is the unique Sylow 2-subgroup of  $\tilde{G}$  containing  $Q$  and so  $N_{\tilde{G}}(\tilde{Q}) = N_{\tilde{G}}(Q)$ . Thus assume that  $\tilde{G}/GZ(\tilde{G})$  is a 2-group. For  $\tilde{\mathbf{T}} := \mathbf{T}Z(\tilde{G})$  a maximal torus of  $\tilde{G}$ , we have that  $\tilde{Q} := \tilde{\mathbf{T}}_2^F Q$  is a Sylow 2-subgroup of  $\tilde{G} = HZ(\tilde{G})\tilde{Q}$  and  $[K, \tilde{\mathbf{T}}^F] = 1$ . Thus  $N_{\tilde{G}}(Q) = N_H(Q)Z(\tilde{G})\tilde{Q} = KZ(\tilde{G})\tilde{Q} \leq C_{\tilde{G}}(\tilde{Q})\tilde{Q}$ .  $\square$

### 2.3 | Groups of Lie type

The following section is used to introduce the setup which will be in place for the remainder of this article. Let  $\mathbf{G}$  be a simple algebraic group of simply connected type defined over an algebraic closure of  $\mathbb{F}_p$  for some odd prime  $p$ . We adopt the notation of [20, Section 2.2]. In particular,  $F_0 : \mathbf{G} \rightarrow \mathbf{G}$  denotes a field endomorphism inducing an  $\mathbb{F}_p$ -structure on  $\mathbf{G}$  and for every symmetry of the Dynkin diagram associated to  $\mathbf{G}$ , we have a graph automorphism  $\gamma : \mathbf{G} \rightarrow \mathbf{G}$ . We consider a Frobenius endomorphism  $F := F_0^m \gamma$  with  $\gamma$  a (possibly trivial) graph automorphism of  $\mathbf{G}$  such that  $F$  defines an  $\mathbb{F}_q$ -structure on  $\mathbf{G}$ , where  $q = p^m$ . In addition, we let  $\mathbf{G} \hookrightarrow \tilde{\mathbf{G}}$  be the regular embedding constructed in [20, Section 2.2].

We will also assume until Section 7 that  $\mathbf{G}^F$  is not of type  $C_n(q)$ ,  $n \geq 1$ , or  ${}^3D_4(q)$  whenever  $q \not\equiv 1 \pmod 8$ .

Denote by  $d$  the order of  $q$  modulo 4. We let  $\mathbf{T}$  be a maximally split torus of  $(\mathbf{G}, F)$  with corresponding Weyl group  $\mathbf{W}$ . We set  $\mathbf{V} := \langle n_\alpha(1) \mid \alpha \in \Phi \rangle \subset N_{\mathbf{G}}(\mathbf{T})$ , and  $\mathbf{H} := \mathbf{V} \cap \mathbf{T}$ . We define  $v := 1$  if  $d = 1$  and  $v := \tilde{w}_0$  if  $d = 2$ , where  $\tilde{w}_0$  is the canonical representative in  $\mathbf{V}$  of the longest element  $w_0 \in \mathbf{W}$  as defined in [20, Section 3.1]. We recall [20, Notation 3.3].

**Notation 2.5.** As before let  $F := F_0^m \gamma$  be a fixed Frobenius endomorphism of  $\mathbf{G}$ . Let  $E_1$  be the subgroup of  $\text{Aut}(\mathbf{G})$  generated by the graph automorphisms which commute with  $\gamma$ . Set  $e := \text{o}(\gamma)\text{exp}(E_1)\text{o}(v)$ . Let  $E := C_{em} \rtimes E_1$  act on  $\tilde{\mathbf{G}}_0^{F^{2em}}$  such that the first summand  $C_{2em}$  of  $E$  acts by  $\langle F_0 \rangle$  and the second by the group generated by graph automorphisms. Note that this action is faithful. Let  $\hat{F}_0, \hat{\gamma}, \hat{F} \in E$  be the elements that act on  $\tilde{\mathbf{G}}_0^{F^{2em}}$  by  $F_0, \gamma$  and  $F$ , respectively.

**Lemma 2.6.** *The torus  $\mathbf{T}$  contains a Sylow  $d$ -torus  $\mathbf{S}$  of  $(\mathbf{G}, vF)$ . Moreover,  $\mathbf{T} = C_{\mathbf{G}}(\mathbf{S})$  and  $N = TV$ , where  $N := N_{\mathbf{G}}(\mathbf{S})^{vF}$ ,  $T := \mathbf{T}^{vF}$  and  $V := \mathbf{V}^{vF}$ .*

*Proof.* See [20, Lemma 3.2] and [7, Section 5.1]. □

Note that  $E$  stabilises  $N, T, V$  and hence also  $H := \mathbf{H}^{vF}$  and  $W := \mathbf{W}^{vF}$ . In what follows both the groups  $\mathbf{G}^F$  and  $\mathbf{G}^{vF}$  will be considered. Therefore, in addition to the notation in Malle–Späth [20] the objects from  $G_0 := \mathbf{G}^F$  will be denoted with a subscript 0, for example,  $T_0 := \mathbf{T}^F$ ,  $N_0 := N_{G_0}(\mathbf{S})$  and  $W_0 := \mathbf{W}^F$ . The following lemma provides a tool to pass between the groups  $G_0 = \mathbf{G}^F$  and  $G := \mathbf{G}^{vF}$  and compare them.

**Lemma 2.7.** *Let  $g \in \mathbf{G}$  such that  $gF(g)^{-1} = v$ . Then the map*

$$\iota : \tilde{\mathbf{G}}_q^{F^{2e}} \rtimes E \rightarrow \tilde{\mathbf{G}}_q^{F^{2e}} \rtimes E, x \mapsto x^{g^{-1}}$$

*is an isomorphism which maps  $\mathbf{G}^F \rtimes E$  onto  $\mathbf{G}^{vF} \rtimes E$ .*

*Proof.* See the proof of [7, Proposition 5.3]. □

Since the image of  $\hat{F}$  under  $\iota$  is  $v\hat{F}$ , we obtain an isomorphism  $(\mathbf{G}^F \rtimes E)/\langle \hat{F} \rangle \cong (\mathbf{G}^{vF} \rtimes E)/\langle v\hat{F} \rangle$ . From Theorem 2.3 we are now able to explicitly construct a Sylow 2-subgroup of  $G := \mathbf{G}^{vF}$ . Firstly, we let  $T_2$  and  $V_2$  be a Sylow 2-subgroup of  $T$  and  $V$ , respectively. We define  $P := T_2V_2$  which forms

a Sylow 2-subgroup of  $G$  and conclude that  $Q := \iota^{-1}(P)$  is a Sylow 2-subgroup of  $G_0$ . In the next section, we show that  $P$  can be chosen to be  $E$ -stable.

## 2.4 | Automorphisms

**Lemma 2.8.** *Let  $W$  be a Weyl group of irreducible type. If  $W$  is of type  $A_n$  ( $n \geq 2$ ),  $D_n$  ( $n$  odd) or  $E_6$ , then the longest element  $w_0 \in W$  acts as the (unique) non-trivial graph automorphism of order 2 on  $W$ . In the remaining cases,  $w_0 \in Z(W)$ .*

*Proof.* Follows from remarks following [21, Corollary B.23]. □

For  $\mathbf{W}$  and  $\mathbf{V}$  as in Section 2.3, it is an obvious question whether the action of the representative  $\tilde{w}_0$  of  $w_0$  in  $\mathbf{V}$  can be described in a similar way. The next lemma gives a positive answer to this.

**Lemma 2.9.** *Whenever  $w_0 \in Z(\mathbf{W})$  then we have  $\tilde{w}_0 \in Z(\mathbf{V})$ . In the remaining cases we have  $C_{\mathbf{V}}(\tilde{w}_0\gamma_0) = V$ , where  $\gamma_0$  is the graph automorphism which acts as  $w_0$  on  $\mathbf{W}$ .*

*Proof.* This follows from the citations given in the proof of [20, Lemma 3.2]. □

**Lemma 2.10.** *There exists an  $E$ -stable Sylow 2-subgroup  $W_2$  of  $\mathbf{W}^{w_0F}$  with  $w_0 \in Z(W_2)$ . Moreover,  $W_2$  is a Sylow 2-subgroup of  $\mathbf{W}^F$ .*

*Proof.* Let us first assume that  $\mathbf{W}$  is not of type  $D_{2n}$ . Using the formulas given on the bottom of [5, page 121] together with the well-known order formulas for Weyl groups, we deduce that  $|\mathbf{W} : \mathbf{W}^\sigma|$  is odd for any graph automorphism  $\sigma$ . Moreover,  $w_0$  is fixed by  $\sigma$  so we can choose  $W_2$  to be a Sylow 2-subgroup of  $\mathbf{W}^\sigma$  with  $w_0 \in Z(W_2)$  by Lemma 2.8.

In type  $D_{2n}$  with  $2n > 4$ , the element  $w_0$  corresponds to a central element of  $\mathbf{W}$  and so  $\mathbf{W}^{w_0F} = \mathbf{W}^F$ . If  $2n > 4$ , it can be assumed that  $F$  is a field automorphism; otherwise,  $E$  acts trivially on  $\mathbf{W}^F$ . It therefore suffices to find a  $\sigma$ -stable Sylow 2-subgroup of  $\mathbf{W}$  for  $\sigma$  the graph automorphism. However,  $\sigma$  has order 2,  $\mathbf{W}$  has an odd number of Sylow 2-subgroups and so by the orbit-stabiliser theorem one must be fixed by  $\sigma$ .

This leaves the case when  $\mathbf{W}$  is of type  $D_4$ . As before, it can be assumed that  $F$  is a field automorphism; otherwise, the group  $E$  acts trivially on  $\mathbf{W}^F$ . In this case  $\mathbf{W}^F = \mathbf{W}$  and it was shown in the proof of Lemma 2.1 that  $W(D_4)$  has a Sylow 2-subgroup which is  $E$ -stable. □

Let  $V_2$  be the preimage of the Sylow 2-subgroup  $W_2$  from Lemma 2.10 under the natural projection map  $V \rightarrow W$ .

**Corollary 2.11.** *The Sylow 2-subgroup  $P := T_2V_2$  of  $G$  is  $E$ -stable.*

*Proof.* The group  $\mathbf{H}$  is a normal subgroup of  $\mathbf{V}$  with 2-power order and so  $H \subseteq V_2$ . Since  $V/H \cong W$  and the image of  $V_2$  in  $W$  is  $E$ -stable, it follows that  $V_2$  is  $E$ -stable. □

As a consequence of this the Sylow 2-subgroup  $Q = \iota^{-1}(P)$  of  $G_0$  is  $D$ -stable, where  $D := \iota^{-1}(E)/\langle \hat{F} \rangle$ .

### 3 | PARAMETRISATIONS OF CHARACTERS

#### 3.1 | Duality and character bijections of tori

We recall how duality can be used to provide bijections between certain characters of tori. For  $(\mathbf{G}, \mathbf{T}, F)$  from Section 2.3 take  $(\mathbf{G}^*, \mathbf{T}^*, F^*)$  to be a triple in duality as in [8, Definition 13.10]. Denote by  $W_2^*$  and  $w_0^*$  the image of  $W_2$ , respectively,  $w_0$  under the isomorphism  $\mathbf{W} \rightarrow \mathbf{W}^*$  induced by duality. In the following we let  $v^*$  be a fixed preimage in  $N_{\mathbf{G}^*}(\mathbf{T}^*)$  of  $w_0^*$  whenever  $d = 2$ , otherwise  $v^* := 1$ . Moreover, we will denote the images of  $v$  and  $v^*$  in  $\mathbf{W}$ , respectively,  $\mathbf{W}^*$  by the same symbol.

**Proposition 3.1.** *Let  $W_2$  be as in Lemma 2.10. Then there exists a bijection*

$$\alpha : \text{Irr}(\mathbf{T}^F)^{W_2} \rightarrow \text{Irr}(\mathbf{T}^{vF})^{W_2}.$$

Moreover, if  $\sigma : \mathbf{G} \rightarrow \mathbf{G}$  is a bijective morphism with  $\sigma(\mathbf{T}) = \mathbf{T}$  commuting with  $F$  such that  $\sigma(v) = v$ , then this bijection is equivariant with respect to  $\sigma$ .

*Proof.* By duality we obtain a  $\mathbf{W}^F$ -equivariant isomorphism  $\text{Irr}(\mathbf{T}^F) \rightarrow (\mathbf{T}^*)^{F^*}$ . Let  $\sigma$  be a bijective morphism of  $\mathbf{G}$  which stabilises  $\mathbf{T}$ . Then there exists a unique bijective morphism (up to  $(\mathbf{T}^*)^{F^*}$ -multiplication)  $\sigma^* : \mathbf{G}^* \rightarrow \mathbf{G}^*$  commuting with  $F^*$  and in duality with  $\sigma$  such that this bijection is  $(\sigma, \sigma^*)$ -equivariant. Then we obtain a bijection  $\beta_0 : \text{Irr}(\mathbf{T}^F)^{W_2} \rightarrow ((\mathbf{T}^*)^{F^*})^{W_2^*}$ .

The triple  $(\mathbf{G}, \mathbf{T}, vF)$  is in duality with  $(\mathbf{G}^*, \mathbf{T}^*, F^*v^*)$ . Thus we similarly obtain a  $(\sigma, \sigma^*)$ -equivariant bijection  $\text{Irr}(\mathbf{T}^{vF}) \rightarrow (\mathbf{T}^*)^{F^*v^*}$ . Furthermore, since  $W_2 \subset C_{\mathbf{W}}(w_0)$ , this induces a bijection  $\beta : \text{Irr}(\mathbf{T}^{vF})^{W_2} \rightarrow ((\mathbf{T}^*)^{F^*v^*})^{W_2^*}$ . However,  $v^* \in W_2^*$  and so  $((\mathbf{T}^*)^{F^*})^{W_2^*} = ((\mathbf{T}^*)^{F^*v^*})^{W_2^*}$ . In particular, we obtain a bijection

$$\alpha := \beta^{-1} \circ \beta_0 : \text{Irr}(\mathbf{T}^F)^{W_2} \rightarrow \text{Irr}(\mathbf{T}^{vF})^{W_2},$$

which is  $\sigma$ -equivariant as both  $\beta$  and  $\beta_0$  are  $(\sigma, \sigma^*)$ -equivariant. □

*Remark 3.2.* By [5, Equation (15.2)] duality induces bijections  $Z(\tilde{\mathbf{G}}^*)^{F^*} \rightarrow \text{Irr}(\tilde{\mathbf{T}}^F/\mathbf{T}^F)$  and  $Z(\tilde{\mathbf{G}}^*)^{F^*v^*} \rightarrow \text{Irr}(\tilde{\mathbf{T}}^{vF}/\mathbf{T}^{vF})$ . In particular, if  $\theta_0 \in \text{Irr}(\tilde{\mathbf{T}}^F/\mathbf{T}^F)$  is the character corresponding to  $z \in Z(\tilde{\mathbf{G}}^*)^{F^*}$ , then  $\theta := \theta_0 \circ \iota \in \text{Irr}(\tilde{\mathbf{T}}^{vF}/\mathbf{T}^{vF})$  is the character corresponding to the same central element  $z \in Z(\tilde{\mathbf{G}}^*)^{F^*}$ . Thus, we will denote the characters  $\theta$  and  $\theta_0$  by the same symbol  $\hat{z}$ .

In the following we will employ the notation introduced in Section 2.3 with respect to the dual group  $\mathbf{G}^*$ . Moreover, for  $s \in \mathbf{T}^*$  we denote by  $\mathbf{W}^\circ(s)$  the Weyl group of  $C_{\mathbf{G}^*}^\circ(s)$  with respect to the maximal torus  $\mathbf{T}^*$  and  $\mathbf{W}(s) := C_{\mathbf{W}^*}(s)$ .

**Proposition 3.3.** *For  $s \in (T_2^*)^{W_2^*}$  we have  $v^* \in W^\circ(s)$ , unless possibly if  $s$  is a semisimple element with rational centraliser  $(D_d(q)^2 D_{n-d}(q))_2$ , for some  $2 \leq d \leq n-1$ ,  $d \neq n/2$ , inside  ${}^2D_n(q)$  for even  $n$ .*

*Proof.* It can be assumed that  $q \equiv 3 \pmod{4}$ ; otherwise,  $v^*$  is trivial. In particular,  $v^*$  is our fixed preimage of  $w_0^*$  in  $N_{\mathbf{G}^*}(\mathbf{T}^*)$  and  $s$  centralises a Sylow 2-subgroup of  $G^*$ .

We have  $w_0^* \in W(s)$ , so  $w_0^* \in W^\circ(s)$  whenever  $\mathbf{C} := C_{G^*}(s)$  is connected. We can therefore assume that  $\mathbf{C}$  is disconnected. Let us first suppose that  $\mathbf{G}$  is not of type  $A_n$ . By the proof of [20, Theorem 8.7], using that  $s$  centralises a Sylow 2-subgroup of  $G^*$ , the centraliser  $\mathbf{C}^\circ$  contains a maximally split torus  $\mathbf{S}$  of  $(G^*, F^*v^*)$ . As  $\mathbf{T}^* \subset \mathbf{C}^\circ$  there exists  $x \in \mathbf{C}^\circ$  such that  $\mathbf{S} = {}^x\mathbf{T}^*$ . Let  $h \in \mathbf{G}^*$  such that  $F^*(v^*) = hF^*(h^{-1})$ . In particular,  $h^{-1}\mathbf{S}$  is a maximally split torus of  $(G^*, F^*)$ .

Assume first that  $F$  is untwisted, that is,  $F^*$  induces the identity on  $\mathbf{W}^*$ . Since  $\mathbf{T}^*$  is also a maximal 1-split torus of  $(G^*, F^*)$ , we have  $(h^{-1}x)^{-1}F^*(h^{-1}x) = x^{-1}hF^*(h^{-1})F^*(x) \in \mathbf{T}^*$ , see [8, Application 3.23]. Since  $x \in \mathbf{C}^\circ$ ,  $F^*(\mathbf{C}^\circ) = \mathbf{C}^\circ$  and the image of  $hF^*(h^{-1})$  in  $\mathbf{W}^*$  is  $w_0^*$ , we find that  $w_0^* \in W^\circ(s)$ .

Assume now that  $F^*$  is twisted, that is, that  $G \cong {}^2D_n(q)$ . According to [20, Lemma 8.6] and the proof of [20, Theorem 8.7] the centralizer  $\mathbf{C}$  has rational form  $(D_d(q){}^2D_{n-d}(q)).2$  for some  $2 \leq d \leq n-1, d \neq n/2$ . By comparing the order of the centraliser with the order of  $G^*$ , we see that the centraliser of  $s$  can only contain a Sylow 2-torus of the ambient group if  $n$  is even.

Finally, if  $\mathbf{G}^F$  is of type  $A_n(\varepsilon q)$ ,  $n > 1$ , we use the proof of [19, Theorem 3.4]. As  $s$  centralises a Sylow 2-subgroup of  $G^*$ , it follows by the arguments given there (together with the information in [10, Table 4.5.1]) that  $n+1$  is necessarily a power of 2 and  $\mathbf{C}$  is of rational type  $(A_{\frac{n-1}{2}}(\varepsilon q) \times A_{\frac{n-1}{2}}(\varepsilon q)).2$  or  $A_{\frac{n-1}{2}}(q^2).2$ . A calculation shows that  $\mathbf{C}$  can only contain a Sylow 2-subgroup of  $G^*$  when  $\mathbf{C}$  has rational type  $(A_{\frac{n-1}{2}}(\varepsilon q) \times A_{\frac{n-1}{2}}(\varepsilon q)).2$ . In this case, any element in  $W(s) \setminus W^\circ(s)$  permutes the two components of the centraliser. In particular, it cannot centralise a Sylow 2-subgroup of  $W(s)$ . Therefore, we must necessarily have  $w_0^* \in W^\circ(s)$ .  $\square$

The previous proposition provides a way to compare the characters of  $\tilde{\mathbf{T}}^F$  lying over a  $W_2$ -stable character of  $\mathbf{T}^F$  with the analogous situation arising from  $\tilde{\mathbf{T}}^{vF}$ . The following result will be used in Section 5.

**Proposition 3.4.** *Let  $\alpha$  be the bijection as in Proposition 3.1. Then there exists a bijection*

$$\tilde{\alpha} : \text{Irr}(\tilde{\mathbf{T}}^F \mid \text{Irr}(\mathbf{T}^F)^{W_2}) \rightarrow \text{Irr}(\tilde{\mathbf{T}}^{vF} \mid \text{Irr}(\mathbf{T}^{vF})^{W_2})$$

such that  $\alpha \circ \text{Res}_{\mathbf{T}^F}^{\tilde{\mathbf{T}}^F} = \text{Res}_{\tilde{\mathbf{T}}^{vF}}^{\tilde{\mathbf{T}}^{vF}} \circ \tilde{\alpha}$  and if  $\hat{z} \in \text{Irr}(\tilde{\mathbf{T}}^F/\mathbf{T}^F)$ , then  $\tilde{\alpha}(\hat{z}) = \hat{z}$ .

Assume now additionally  $\tilde{\lambda} \in \text{Irr}(\tilde{\mathbf{T}}^F \mid \text{Irr}(\mathbf{T}^F)^{W_2})$  is  $v^*$ -stable. Let  $\sigma : \tilde{\mathbf{G}} \rightarrow \tilde{\mathbf{G}}$  be a bijective morphism commuting with  $F$  such that  $\sigma|_{\mathbf{G}}$  is as in Lemma 3.1, then  $\sigma\tilde{\lambda} = \tilde{\lambda}\hat{z}$  for some  $z \in Z(\tilde{\mathbf{G}})^{F^*}$  and then we have  $\sigma\tilde{\alpha}(\tilde{\lambda}) = \tilde{\alpha}(\tilde{\lambda})\hat{z}$ .

*Proof.* By duality we have a bijection  $\text{Irr}(\tilde{\mathbf{T}}^F) \rightarrow (\tilde{\mathbf{T}}^*)^{F^*}$ . Let  $\tilde{s} \in (\tilde{\mathbf{T}}^*)^{F^*}$  be a semisimple element corresponding to a character  $\tilde{\lambda} \in \text{Irr}(\tilde{\mathbf{T}}^F \mid \text{Irr}(\mathbf{T}^F)^{W_2})$  under this bijection. The map  $i : \mathbf{G} \rightarrow \tilde{\mathbf{G}}$  induces by duality a surjective map  $i^* : \tilde{\mathbf{G}}^* \rightarrow \mathbf{G}^*$  and the image  $s := i^*(\tilde{s})$  of  $\tilde{s}$  lies in  $((\mathbf{T}^*)^{F^*})^{W_2} = ((\mathbf{T}^*)^{F^*v^*})^{W_2}$ . We deduce that the Lang image under  $F^*v^*$  of  $\tilde{s}$  is in  $Z(\tilde{\mathbf{G}})$ . In particular, we find  $z \in Z(\tilde{\mathbf{G}})$  such that  $\beta(\tilde{s}) := \tilde{s}z$  is  $F^*v^*$ -stable. We shall assume that  $\beta(\tilde{s})$  is chosen such that  $\beta(\tilde{s}z) = \beta(\tilde{s})z$  for every  $z \in Z(\tilde{\mathbf{G}})^{F^*} = Z(\tilde{\mathbf{G}}^*)^{F^*v^*}$ .

If  $\tilde{\lambda}$  is  $v^*$ -stable or equivalently  $v^* \in W^\circ(s)$ , then  $\beta(\tilde{s})$  can be constructed more easily. For this note that the map  $i^*$  yields an isomorphism  $W^\circ(\tilde{s}) \cong W^\circ(s)$  and so we deduce that  $v^*\tilde{s} = \tilde{s}$ . In particular,  $\tilde{s}$  is  $F^*v^*$ -stable and therefore in this case we can set  $\beta(\tilde{s}) := \tilde{s}$ .

Let  $\tilde{\alpha}(\tilde{\lambda}) \in \text{Irr}(\tilde{\mathbf{T}}^{\nu F})$  denote the character corresponding to  $\beta(\tilde{s})$  under the bijection  $\text{Irr}(\tilde{\mathbf{T}}^{\nu F}) \rightarrow (\tilde{\mathbf{T}}^*)^{F^* \nu^*}$ . One then checks easily that the so-obtained map

$$\tilde{\alpha} : \text{Irr}(\tilde{\mathbf{T}}^F \mid \text{Irr}(\mathbf{T}^F)^{W_2}) \rightarrow \text{Irr}(\tilde{\mathbf{T}}^{\nu F} \mid \text{Irr}(\mathbf{T}^{\nu F})^{W_2})$$

is a well-defined bijection which has all the required properties. □

### 3.2 | Local characters

Recall that  $P$  denotes the Sylow 2-subgroup of  $G = \mathbf{G}^{\nu F}$  constructed in Corollary 2.11 and  $Q = \iota^{-1}(P)$  its preimage under  $\iota$ , which is a Sylow 2-subgroup of  $G_0 = \mathbf{G}^F$ . In this section we make use of the explicit description of  $P$  to provide a description of the odd degree characters in the principal 2-block of  $N_{G_0}(Q)$ . For a finite group  $H$  we denote by  $\text{Irr}_{2'}(H)$  its set of irreducible characters of odd degree.

**Proposition 3.5.** *For  $P = T_2 V_2$  as in Corollary 2.11, there is a bijection*

$$\text{Irr}_{2'}(P) \rightarrow \text{Irr}(T_2)^{W_2} \times \text{Irr}_{2'}(W_2).$$

*Proof.* Any character of  $\text{Irr}_{2'}(P)$  (that is any linear character of  $P$ ) covers a  $P$ -invariant character of the normal subgroup  $T_2$  of  $P$ . Since  $P/T_2 \cong V_2/H \cong W_2$ , the statement follows from [20, Corollary 3.13] and Gallagher’s theorem. □

Recall as in Section 3.1 that  $W_2^*$  denotes the image of  $W_2$  and  $T^* := (\mathbf{T}^*)^{F^* \nu^*}$  corresponding to  $T := (\mathbf{T})^{\nu F}$  under duality.

**Proposition 3.6.** *Let  $B$  be the principal 2-block of  $N_{G_0}(Q)$ . Then for  $Z := (T_2^*)^{W_2^*}$ , there is a bijection  $\text{Irr}_0(B) \rightarrow Z \times \text{Irr}_{2'}(W_2)$ .*

*Proof.* By Corollary 2.4 we have  $N_{G_0}(Q) = C_{G_0}(Q)Q$  and thus by [22, Theorem 9.12] restriction defines a bijection  $\text{Irr}_0(B) \rightarrow \text{Irr}_{2'}(Q)$ . As in the proof of Proposition 3.1, duality provides a bijection  $\text{Irr}(T)^{W_2} \rightarrow (T^*)^{W_2^*}$ , which yields a bijection

$$\text{Irr}(T_2)^{W_2} \rightarrow (T_2^*)^{W_2^*}.$$

The result thus follows from Proposition 3.5 using that  $P \cong Q$ . □

*Remark 3.7.* Let  $P^*$  be a Sylow 2-subgroup of  $G^*$ . As for  $G$ , it can be obtained as an extension of  $T_2^*$  by  $W_2^*$ . Therefore,  $Z := (T_2^*)^{W_2^*}$  is a central subgroup of  $P^*$ . We believe that  $Z$  should coincide in most cases with  $Z(P^*)$ . For instance, if  $G^*$  is of type  $A$ , then this is the case by [5, Lemma 13.17(ii)].

### 3.3 | Global characters

This section focuses on the height zero characters of the principal block for  $G_0 = \mathbf{G}^F$  as in Section 2.3. Firstly we count these characters by counting those in  $\mathbf{G}^{\nu F}$  using Malle’s parametrisation of  $2'$ -degree characters.

**Lemma 3.8.** *The principal 2-block of  $G = \mathbf{G}^{vF}$  contains  $|Z| \times |\text{Irr}_{2'}(W_2)|$  height zero characters, where  $W_2$  and  $Z$  are taken from Lemma 2.10 and Proposition 3.6, respectively.*

*Proof.* The odd degree characters of  $G$  have been parametrised by Malle [17, Proposition 7.3]. However, by the proof of [9, Theorem A], the principal 2-block is the unique unipotent block of maximal defect. Therefore using Malle’s explicit parametrisation, it follows that the height zero characters of the principal block of  $G$  are in bijection with pairs  $(s, \phi)$ , where  $s \in Z$  and  $\phi \in \text{Irr}_{2'}(W(s))$ , where  $W(s) := C_W(s)$ . As  $s \in Z$ , then  $W_2 \leq C_W(s)$  and thus by the main result of [20] we have a McKay-bijection  $\text{Irr}_{2'}(W(s)) \rightarrow \text{Irr}_{2'}(W_2)$ . □

**Corollary 3.9.** *Recall that  $\mathbf{G}$  is simple simply connected and  $F$  is a Frobenius map with  $\mathbf{G}^F \not\cong \{\text{Sp}_{2n}(q), {}^3D_4(q)\}$  whenever  $q \not\equiv 1 \pmod 8$ . Then the Alperin–McKay conjecture holds for the principal 2-block of  $\mathbf{G}^F$ .*

*Proof.* This follows from Proposition 3.6 and Lemma 3.8. □

Define

$$\mathcal{P}_0 := \{(\lambda_0, \eta_0) \mid \lambda_0 \in \text{Irr}(T_0) \text{ and } \eta_0 \in \text{Irr}_{2'}(W_0(\lambda_0))\},$$

where  $W_0(\lambda_0) := (N_0)_{\lambda_0}/T_0$ . From the proof of [20, Theorem 6.3] there is a surjective map onto the principal Harish–Chandra series

$$\begin{aligned} \Pi_0 : \mathcal{P}_0 &\rightarrow \bigcup_{\lambda_0 \in \text{Irr}(T_0)} \mathcal{E}(G_0, (T_0, \lambda_0)) \\ (\lambda_0, \eta_0) &\mapsto R_{T_0}^{G_0}(\lambda_0)_{\eta_0}, \end{aligned}$$

which becomes injective on  $W_0$ -orbits.

The main aim is to find a suitable subset of  $\mathcal{P}_0$  to parametrise the height zero characters of the principal block  $b$  of  $G_0 = \mathbf{G}^F$ . If  $R_{T_0}^{G_0}(\lambda_0)_{\eta_0}$  has  $2'$ -degree, then by [20, Lemma 8.9] it follows that  $2 \nmid |W_0 : W_0(\lambda_0)|$ . In other words,  $W_0(\lambda_0)$  contains a Sylow 2-subgroup of  $W_0$ . Furthermore, the principal block of  $G_0$  is a subset of  $\mathcal{E}_2(G_0, 1)$ , see [5, Theorem 9.12(a)]. However for  $s_0 \in T_0^*$  in duality with  $\lambda_0 \in \text{Irr}(T_0)$ , it follows that  $s_0$  has 2-power order if and only if  $\lambda_0$  has 2-power order. Therefore if  $\chi \in \text{Irr}(b)$  lies in  $\mathcal{E}(G_0, (T_0, \lambda_0))$ , then  $\lambda_0$  must have 2-power order. Via the decomposition  $T_0 = (T_0)_2 \times (T_0)_{2'}$ , the 2-power order characters coincide with the set  $\text{Irr}((T_0)_2)$ , which can be viewed as the characters of  $T_0$  with  $(T_0)_{2'}$  in their kernel. Thus for  $W_2$  the fixed Sylow 2-subgroup of  $W$  from Lemma 2.10 defines

$$(\mathcal{P}_0)_2 := \{(\lambda_0, \eta_0) \in \mathcal{P}_0 \mid \lambda_0 \in \text{Irr}((T_0)_2)^{W_2}\}$$

and set  $\Pi_{\text{glo}}$  to be the restriction of  $\Pi_0$  to  $(\mathcal{P}_0)_2$ .

**Theorem 3.10.** *Let  $b$  be the principal 2-block of  $G_0$ . Then the map  $\Pi_{\text{glo}}$  yields a bijection*

$$\Pi_{\text{glo}} : (\mathcal{P}_0)_2 \rightarrow \text{Irr}(b).$$

*Proof.* Every character of  $\text{Irr}_0(b)$  lies in the principal Harish-Chandra series by [19, Theorem 3.3]. That is,  $\text{Irr}_0(b) \subset \Pi_0(\mathcal{P}_0)$ . If  $\chi = R_{T_0}^{G_0}(\lambda_0)_{\eta_0} \in \text{Irr}_0(b)$ , then as in the paragraph above, it follows that there is some  $W_0$ -conjugate  $(\lambda'_0, \eta'_0)$  of  $(\lambda_0, \eta_0)$  with  $\chi = R_{T_0}^{G_0}(\lambda'_0)_{\eta'_0}$  and  $\lambda'_0 \in \text{Irr}((T_0)_2)^{W_2}$ ; in other words  $(\lambda'_0, \eta'_0) \in (\mathcal{P}_0)_2$ . Moreover, as  $W_2$  is self-normalising in  $W_0$  (Lemma 2.1), it follows that  $\lambda'_0$  is the unique character in its  $W_0$ -orbit with  $W_2 \subseteq W_0(\lambda'_0)$ . Hence  $\text{Irr}_0(b) \subseteq \Pi_{\text{glo}}((\mathcal{P}_0)_2)$  and each  $\chi \in \text{Irr}_0(b)$  has a unique preimage in  $(\mathcal{P}_0)_2$  under  $\Pi_{\text{glo}}$ .

It remains to show that  $\Pi_{\text{glo}}$  is indeed a bijection as stated in the theorem. By Lemma 3.8, it suffices to show that  $|(\mathcal{P}_0)_2| = |Z| \times |\text{Irr}_{2'}(W_2)|$ . From the proof of Proposition 3.1, there is a bijection

$$\text{Irr}((T_0)_2)^{W_2} \rightarrow (T_0^*)_2^{W_2^*} = (T_2^*)^{W_2^*} =: Z.$$

Furthermore, for each  $\lambda_0 \in (\mathcal{P}_0)_2$ , there is a McKay-bijection  $\text{Irr}_{2'}(W_0(\lambda_0)) \rightarrow \text{Irr}_{2'}(W_2)$  by the main result of [20]. Thus  $|(\mathcal{P}_0)_2| = |Z| |\text{Irr}_{2'}(W_2)|$ . □

**Example 3.11.** Consider  $G = \text{SL}_2(q)$  and assume that  $q \equiv 3 \pmod{4}$ . Recall that this case was excluded in Section 2.3. The principal 2-block  $b$  of  $G$  has four height zero characters. There are four characters in the principal 1-Harish-Chandra series corresponding to characters in  $(\mathcal{P}_0)_2$ , but only two of them are of  $2'$ -degree. On the other hand, all four  $2'$ -degree characters of  $b$  lie in the principal 2-Harish-Chandra series.

*Remark 3.12.* Assume that  $\mathbf{G}$  is not of type  $A_n, D_{2n+1}, n > 1$ , or  $E_6$  so that the longest element  $w_0 \in \mathbf{W}$  acts by inversion on the torus  $\mathbf{T}$ . It follows from the remarks after [20, Lemma 8.5] that all characters of  $2'$ -degree lie in the union of Lusztig series  $\mathcal{E}(G_0, s)$  with  $s$  of 2-power order. By the proof of [9, Theorem A], the principal 2-block is the unique unipotent block of maximal defect. Hence, in these cases the Alperin–McKay conjecture for the principal 2-block is tantamount to the McKay conjecture for the prime 2.

## 4 | ACTION OF AUTOMORPHISMS

One of the key steps in the proof of Theorem 3.10 was the existence of a McKay-bijection  $\text{Irr}_{2'}(W_0(\lambda)) \rightarrow \text{Irr}_{2'}(W_2)$ . We will now construct such a bijection with suitable equivariance properties. For this we need the following lemma, whose proof follows [18, Lemma 2.1].

**Lemma 4.1.** *Let  $H$  be a finite group and  $A \subset \text{Aut}(H)$  a cyclic group of automorphisms stabilizing the normaliser  $M$  of a Sylow 2-subgroup of  $H$ . Then there exists an  $A$ -equivariant McKay bijection  $\text{Irr}_{2'}(H) \rightarrow \text{Irr}_{2'}(M)$ .*

*Proof.* According to the main result of [20] there exists a McKay bijection. We only need to show that it can be chosen to be  $A$ -equivariant. For  $i \mid r := |A|$  let  $a_i$  (respectively,  $b_i$ ) be the number of  $\theta \in \text{Irr}_{2'}(H)$  (respectively,  $\theta \in \text{Irr}_{2'}(M)$ ) with  $|A_\theta| = i$ . As  $A$  is cyclic, it suffices to show that  $a_i = b_i$  for all  $i \mid r$ . Let  $q$  be a prime dividing  $r$  and set  $s := r/q$ . By induction on  $r$  we can assume that  $a_i = b_i$  for all  $i \notin \{r, s\}$  and

$$a_s + a_r = b_s + b_r.$$

Let us first assume that  $2 \nmid r$ . By Clifford theory we have  $|\text{Irr}_{2'}(HA)| = \sum_{i|r} a_i i^2/r$  and similarly  $|\text{Irr}_{2'}(MA)| = \sum_{i|r} b_i i^2/r$ . Since the McKay-conjecture holds for  $HA$ , we have  $|\text{Irr}_{2'}(HA)| = |\text{Irr}_{2'}(MA)|$  and so

$$a_s s^2/r + a_r r = b_s s^2/r + b_r r.$$

We therefore have two homogeneous linear equations in the variables  $a_s - b_s$  and  $a_r - b_r$ . As the associated coefficient matrix is invertible, we deduce that  $a_s = b_s$  and  $a_r = b_r$ . Let us now suppose that  $r$  is a power of 2. In that case, we obtain  $|\text{Irr}_{2'}(HA)| = a_r r = |\text{Irr}_{2'}(MA)| = b_r r$ . We again deduce that  $a_s = b_s$  and  $a_r = b_r$ . The general case follows now by using the decomposition  $A = A_2 \times A_{2'}$  and coprime arguments. □

*Remark 4.2.* We note that the existence of an automorphism-equivariant McKay-bijection should also follow from a similar statement as [23, Theorem B]. As we only need the result in the case of a cyclic automorphism group, we have decided not to pursue this.

Take  $T_0 = \mathbf{T}^F$  and  $N_0 = N_{G_0}(\mathbf{S})$  as in Section 2.3. For  $\tilde{\lambda}_0 \in \text{Irr}(\tilde{T}_0)$  denote  $W_0(\tilde{\lambda}_0) := (N_0)_{\tilde{\lambda}_0}/T_0$ . Note that if  $\tilde{\lambda}_0 \in \text{Irr}(\tilde{T}_0 \mid \lambda_0)$  for some  $\lambda_0 \in \text{Irr}(T_0)$ , then the factor group  $W_0(\lambda_0)/W_0(\tilde{\lambda}_0)$  is an abelian group by the proof of [20, Proposition 3.16].

**Lemma 4.3.** *Let  $\lambda_0 \in \text{Irr}((T_0)_2)^{W_2}$  and let  $\tilde{\lambda}_0 \in \text{Irr}((\tilde{T}_0)_2 \mid \lambda_0)$ . Then there exists an  $E_{\lambda_0}$ -equivariant bijection*

$$f_{\lambda_0} : \text{Irr}_{2'}(W_0(\lambda_0)) \rightarrow \text{Irr}_{2'}(W_2)$$

such that  $f_{\lambda_0}(\eta_0 \mu_0) = f_{\lambda_0}(\eta_0) \text{Res}_{W_2}^{W_0(\lambda_0)}(\mu_0)$  for every character  $\eta_0 \in \text{Irr}_{2'}(W_0(\lambda_0))$  and  $\mu_0 \in \text{Irr}(W_0(\lambda_0)/W_0(\tilde{\lambda}_0))$ .

*Proof.* The group

$$W_0(\lambda_0)/W_0(\tilde{\lambda}_0) = \{w \in W_0 \mid w \tilde{\lambda}_0 = \tilde{\lambda}_0 \otimes \nu_0 \text{ for some } \nu_0 \in \text{Irr}(\tilde{T}_0/T_0)\}/W_0(\tilde{\lambda}_0)$$

is always a 2-group since  $\tilde{\lambda}_0$  has 2-power order and  $W_0$  acts trivially on  $\tilde{T}_0/T_0$ . As  $\eta_0$  is a character of  $2'$ -degree and the quotient is a 2-group, it follows that  $\eta_0$  restricts irreducibly to  $W_0(\tilde{\lambda}_0)$  [12, Chapter 6]. By Gallagher’s theorem, the group  $\text{Irr}(W_0(\lambda_0)/W_0(\tilde{\lambda}_0))$  acts fixed point freely on the orbit of  $\eta_0 \in \text{Irr}(W_0(\lambda_0))$ . On the other hand, every character of  $\text{Irr}_{2'}(W_2)$  is linear and thus restricts irreducibly to  $W_2(\tilde{\lambda}_0) := W_2 \cap W(\tilde{\lambda}_0)$ .

Let us first assume that  $G_0$  is not of type  $D_{2n}(q)$ . Denote by  $E_0$  the stabiliser of  $\lambda_0$  in  $E$ . Observe that  $E$  acts by inner automorphisms on  $W_0$  and centralises  $W_2$  by Lemma 2.8. In particular, every character of  $W_0(\lambda_0)$  and  $W_2$  is  $E_0$ -stable in this case. Since the Sylow 2-subgroup  $W_2$  is self-normalising in  $W_0$ , there exists a McKay bijection  $\text{Irr}_{2'}(W_0(\lambda_0)) \rightarrow \text{Irr}_{2'}(W_2)$ . By the previous discussion it is now easy to construct a bijection  $f_{\lambda_0} : \text{Irr}_{2'}(W_0(\lambda_0)) \rightarrow \text{Irr}_{2'}(W_2)$  with the required properties.

Let us now assume that  $G_0$  is of type  $D_{2n}(q)$ . We use the notation of the proof of [20, Theorem 3.17]. Let  $\Phi(\tilde{\lambda}_0)$  be the root system associated to the Weyl group  $W_0(\tilde{\lambda}_0)$ . There exists an  $E_0$ -stable base  $\Delta_0$  of  $\Phi(\tilde{\lambda}_0)$ . Denote  $A_0 := \text{Stab}_{W_0}(\Delta_0)$  which is  $E_0$ -stable as  $\Delta_0$  is. By the proof of

[20, Theorem 3.17],  $W_0(\lambda_0) = W_0(\tilde{\lambda}_0) \rtimes A_0$ . Moreover,  $A_0$  is a 2-group as already observed above. Let  $\eta_0 \in \text{Irr}(W_0(\tilde{\lambda}_0))$  which extends to a character of 2'-degree of  $W_0(\lambda_0)$  and set  $\delta_0 := \det(\eta_0)$ . By [12, Lemma 6.24] there exists a unique extension  $\hat{\eta}_0 \in \text{Irr}(W_0(\lambda_0))$ , such that  $\det(\hat{\eta}_0) = \hat{\delta}_0$ , where  $\hat{\delta}_0$  is the unique extension of  $\delta_0$  with  $A_0$  in its kernel.

Similarly, we have  $W_2 = W_2(\tilde{\lambda}_0) \rtimes A_0$ . Thus, any character  $\eta_0 \in \text{Irr}(W_2(\tilde{\lambda}_0))$  covered by a linear character of  $W_2$  has a unique extension  $\hat{\eta}_0 \in \text{Irr}(W_2)$  with  $A_0$  in its kernel.

Let us now first assume that  $G_0$  is not of type  $D_4(q)$ . Note that  $E/C_E(W_0)$  is cyclic, and thus, by Lemma 4.1 there exists an  $E_0$ -equivariant McKay-bijection  $g_{\lambda_0}$  from  $\text{Irr}_{2'}(W_0(\lambda_0))$  to  $\text{Irr}_{2'}(W_2)$ . This induces an  $E_0$ -equivariant bijection

$$\begin{aligned} f_0 : \text{Irr}(W_0(\tilde{\lambda}_0) \mid \text{Irr}_{2'}(W_0(\lambda_0))) &\rightarrow \text{Irr}(W_2(\tilde{\lambda}_0) \mid \text{Irr}_{2'}(W_2)) \\ \eta_0 &\mapsto \text{Res}_{W_2(\tilde{\lambda}_0)}^{W_2}(g_{\lambda_0}(\hat{\eta}_0)). \end{aligned}$$

We then define  $f_{\lambda_0} : \text{Irr}_{2'}(W_0(\lambda_0)) \rightarrow \text{Irr}_{2'}(W_2)$  by mapping the character  $\hat{\eta}_0$  to  $\widehat{f_0(\eta_0)}$  and extending this map  $\text{Irr}(W_0(\lambda_0)/W_0(\tilde{\lambda}_0))$ -equivariantly. As  $f_0$  is  $E_0$ -equivariant and  $A_0$  is  $E_0$ -stable, so is  $f_{\lambda_0}$ .

Finally, if  $G_0$  is of type  $D_4(q)$ , then  $W_2$  has index 3 in  $W_0$ . Hence,  $W_0(\lambda_0) = W_2$  or  $W_0(\lambda_0) = W_0$ . In the former case, we set  $f_{\lambda_0}$  to be the identity map and in the latter case it is easy to explicitly construct a bijection  $f_{\lambda_0}$  with the required properties. □

We are also interested in the action of automorphisms on local characters. To compute this action we use the following explicit parametrisation of characters. Recall that  $T := C_G(\mathbf{S})^{\nu F} = \mathbf{T}^{\nu F}$  and  $N := N_G(\mathbf{S})^{\nu F}$ .

**Proposition 4.4.** *Let  $\Lambda$  be the extension map from [20, Corollary 3.13] with respect to  $T \triangleleft N$ . Then the map*

$$\begin{aligned} \Pi : \mathcal{P} = \{(\lambda, \eta) \mid \lambda \in \text{Irr}(T), \eta \in \text{Irr}(W(\lambda))\} &\rightarrow \text{Irr}(N) \\ (\lambda, \eta) &\mapsto \text{Ind}_{N_\lambda}^N(\Lambda(\lambda)\eta) \end{aligned}$$

is surjective and satisfies

- (1)  $\Pi(\lambda, \eta) = \Pi(\lambda', \eta')$  if and only if there exists some  $n \in N$  such that  ${}^n\lambda = \lambda'$  and  ${}^n\eta = \eta'$ .
- (2)  ${}^\sigma\Pi(\lambda, \eta) = \Pi({}^\sigma\lambda, {}^\sigma\eta)$  for all  $\sigma \in E$ .
- (3) Let  $t \in \tilde{T}$ ,  $\tilde{\lambda} \in \text{Irr}(\langle T, t \rangle \mid \lambda)$  and  $\nu_t \in \text{Irr}(N_{\tilde{\lambda}}/N_{\tilde{\lambda}})$  be the faithful linear character given by  ${}^t\Lambda(\lambda) = \Lambda(\lambda)\nu_t$ . Then we have  ${}^t\Pi(\lambda, \eta) = \Pi(\lambda, \eta\nu_t)$ .

*Proof.* See [20, Proposition 3.15]. □

Recall that  $P$  is a Sylow 2-subgroup of  $N$  whose image in  $N/T$  is  $W_2$ . We denote

$$\mathcal{P}_2 = \{(\lambda, \eta) \mid \lambda \in \text{Irr}(T_2)^{W_2}, \eta \in \text{Irr}_{2'}(W_2)\}.$$

As in the proof of Proposition 3.5 we obtain that the map

$$\begin{aligned} \Pi_{\text{loc}} : \mathcal{P}_2 &\rightarrow \text{Irr}_{2'}(P) \\ (\lambda, \eta) &\mapsto \text{Res}_P^{N_\lambda}(\Lambda(\lambda)\eta), \end{aligned}$$

is a bijection.

In the following we will compare the parametrisations arising in the two groups  $G_0 := \mathbf{G}^F$  and  $G := \mathbf{G}^{\nu F}$ . For this, denote by  $\Lambda_0$  the extension map from [20, Corollary 3.13] with respect to  $T_0 \triangleleft N_0$ . To understand the action of automorphisms, recall that  $D := \iota^{-1}(E)/\langle \hat{F} \rangle$ , see the remarks after Corollary 2.11 and  $\tilde{\mathbf{G}} = \tilde{\mathbf{T}}\mathbf{G}$  is the regular embedding as in Section 2.3. Thus for  $\tilde{t} \in \tilde{\mathbf{T}}^F$ , write  $\tilde{t} = tz$  with  $t \in \mathbf{T}$  and  $z \in Z(\tilde{\mathbf{G}})$ . Then for  $g$  from Lemma 2.7 the element  ${}^g\tilde{t} \in \tilde{\mathbf{T}}^{\nu F}$  and we have a decomposition  ${}^g\tilde{t} = {}^g t {}^g z = {}^g tz$ .

**Proposition 4.5.** *Let  $\lambda_0 \in \text{Irr}(T_0)^{W_2}$  and set  $\lambda = \alpha(\lambda_0) \in \text{Irr}(T)^{W_2}$ , for  $\alpha$  from Lemma 3.1. Suppose that  $\nu_0 \in \text{Irr}(W_0(\lambda))$  and  $t_0 \in \tilde{T}_0$  satisfy  ${}^{t_0}\Lambda_0(\lambda_0) = \Lambda(\lambda_0)\nu_0$ . Then we have  $\text{Res}_P^{N_\lambda}({}^t\Lambda(\lambda)) = \text{Res}_P^{N_\lambda}(\Lambda(\lambda)) \text{Res}_{W_2}^{W_0(\lambda)}(\nu_0)$ , where  $t = \iota(t_0)$ .*

*Proof.* Let  $\nu \in \text{Irr}(W(\lambda))$  such that  ${}^t\Lambda(\lambda) = \Lambda(\lambda)\nu$ . For  $n \in V_\lambda$  we have

$${}^t\Lambda(\lambda)(n) = \lambda([t, n])\Lambda(\lambda)(n).$$

If  $\tilde{\lambda} \in \text{Irr}(\tilde{T})$  is an extension of  $\lambda$ , then we can write  $\lambda([t, n]) = \tilde{\lambda}(t)^n \tilde{\lambda}(t^{-1})$ . We have  ${}^n\tilde{\lambda} = \tilde{\lambda}\hat{z}$  for some linear character  $\hat{z} \in \text{Irr}(\tilde{T}/T)$ . We conclude that  $\nu(w) = \hat{z}(t)$ , where  $w$  is the image of  $n$  in  $W(\lambda)$ . Since  $\nu$  is a character of  $N_\lambda/T \cong V_\lambda/H$ , this uniquely determines  $\nu$ . Now for  $w \in W_2$  the equality  ${}^w\tilde{\lambda} = \tilde{\lambda}\nu$  implies by the construction in Proposition 3.4 that  ${}^w\tilde{\lambda}_0 = \tilde{\lambda}_0\hat{z}$ . The same reasoning as above now equally applies to the extension map  $\Lambda_0$  with respect to  $T_0 \triangleleft N_0$ . Therefore, for  $w \in W_2$  we find that  $\nu_0(w) = \hat{z}(t_0) = \hat{z}(t) = \nu(w)$ . We thus obtain

$$\text{Res}_P^{N_\lambda}({}^t\Lambda(\lambda)) = \text{Res}_P^{N_\lambda}(\Lambda(\lambda)) \text{Res}_{W_2}^{W(\lambda)}(\nu) = \text{Res}_P^{N_\lambda}(\Lambda(\lambda)) \text{Res}_{W_2}^{W_0(\lambda)}(\nu_0),$$

which finishes the proof. □

We now turn to the action of automorphisms on the global characters.

**Theorem 4.6.** *Let  $x \in \tilde{T}_0 D$  and  $\delta_{\lambda_0, x} \in \text{Irr}(W_0({}^x\lambda_0))$  such that  $\delta_{\lambda_0, x}\Lambda_0({}^x\lambda_0) = {}^x\Lambda_0(\lambda_0)$ . Then*

$${}^x(R_{T_0}^{G_0}(\lambda_0)_{\eta_0}) = R_{T_0}^{G_0}({}^x\lambda_0)_{x\eta_0\delta_{\lambda_0, x}^{-1}}.$$

*Proof.* It follows from the results of [20, Theorem 5.7] as explained in the proof of [20, Proposition 6.3]. □

*Remark 4.7.* In the following theorem, to compensate for the inversion of  $\delta_{\lambda_0, x}$  occurring in Theorem 4.6, a slightly altered version of  $f_{\lambda_0}$  from Lemma 4.3 is required. Fix  $\mathcal{T}$  a  $\text{Irr}(W_0(\lambda_0)/W_0(\tilde{\lambda}_0)) \rtimes E_{\lambda_0}$ -transversal on  $\text{Irr}_{2'}(W_0(\lambda_0))$ . Then for  $\eta_0 \in \mathcal{T}$ ,  $\sigma \in E_{\lambda_0}$  and  $\mu_0 \in \text{Irr}(W_0(\lambda_0)/W_0(\tilde{\lambda}_0))$  define

$$f'_{\lambda_0} : \text{Irr}_{2'}(W_0(\lambda_0)) \rightarrow \text{Irr}_{2'}(W_2)$$

by setting  $f'_{\lambda_0}({}^\sigma\eta_0\mu_0) := f_{\lambda_0}({}^\sigma\eta_0\mu_0^{-1})$ .

It follows from construction for every character  $\eta_0 \in \text{Irr}_{2'}(W_0(\lambda_0))$  and  $\mu_0 \in \text{Irr}(W_0(\lambda_0)/W_0(\tilde{\lambda}_0))$ , then  $f'_{\lambda_0}(\eta_0\mu_0) = f'_{\lambda_0}(\eta_0) \text{Res}_{W_2}^{W_0(\lambda_0)}(\mu_0^{-1})$ . Moreover, the definition implies that  $f'_{\lambda_0}$  is also an  $E_{\lambda_0}$ -equivariant bijection.

**Theorem 4.8.** *Assume the setting of Section 2.3. For  $b$  and  $B$  the principal 2-block of  $G_0$ , respectively,  $N_{G_0}(Q)$ , there exists an  $N_{\tilde{G}_0D}(Q)$ -equivariant bijection  $\kappa : \text{Irr}_0(b) \rightarrow \text{Irr}_0(B)$ .*

*Proof.* Restriction defines an  $N_{\tilde{G}_0D}(Q)$ -equivariant bijection  $\text{Irr}_0(B) \rightarrow \text{Irr}_{2'}(Q)$ . Additionally  $\iota$  induces an equivariant bijection  $\iota'$  between  $\text{Irr}_{2'}(Q)$  and  $\text{Irr}_{2'}(P)$ , that is,  $\iota(N_{\tilde{G}_0E}(Q)) = N_{\tilde{G}_0E}(P)$  and for  $x \in N_{\tilde{G}_0E}(Q)$ , then  $\iota'(x\chi) = \iota^{(x)}\iota'(\chi)$ . Thus it suffices to produce a bijection

$$\kappa' : \text{Irr}_0(b) \rightarrow \text{Irr}_{2'}(P),$$

which is equivariant in the sense that  $\kappa'(x\chi) = \iota^{(x)}\kappa'(\chi)$ , for  $x \in N_{\tilde{G}_0D}(Q)$  and  $\chi \in \text{Irr}_0(b)$ . Note that as  $P$  is  $E$ -stable, Corollary 2.4 implies  $N_{\tilde{G}_0E}(P) = C_{\tilde{G}_0}(\tilde{P})\tilde{P}E$  for  $\tilde{P} = \tilde{T}_2P$ . Thus the action on  $\text{Irr}_{2'}(P)$  arises from  $\tilde{T}_2E$ .

By the proof of Theorem 3.10 we have a bijection  $\Pi_{\text{glo}} : (\mathcal{P}_0)_2 \rightarrow \text{Irr}_0(b)$ . On the other hand  $\Pi_{\text{loc}} : \mathcal{P}_2 \rightarrow \text{Irr}_{2'}(P)$  is a bijection. Finally by combining Proposition 3.1 and Remark 4.7 there is a bijection  $(\mathcal{P}_0)_2 \rightarrow \mathcal{P}_2$  which sends a pair  $(\lambda_0, \eta_0)$  to  $(\alpha(\lambda_0), f'_{\lambda_0}(\eta_0))$  between parameter sets. More explicitly, combining these yields a bijection

$$\begin{aligned} \kappa' : \text{Irr}_0(b) &\rightarrow \text{Irr}_{2'}(P) \\ R_{T_0}^{G_0}(\lambda_0)_{\eta_0} &\mapsto \text{Res}_P^{N_{\alpha(\lambda_0)}}(\Lambda(\alpha(\lambda_0)))f'_{\lambda_0}(\eta_0). \end{aligned}$$

The equivariance of this bijection can be derived by combining the properties of Harish-Chandra induction established in Theorem 4.6, the properties of the parametrisation from Propositions 4.4 and 4.5:

Take  $x \in \tilde{T}_0E$  and  $\delta_{\lambda_0,x}$  such that  $\tilde{\iota}_0\Lambda(\sigma\lambda_0) = \Lambda(\tilde{\iota}_0\sigma\lambda_0)\delta_{\lambda_0,x}$ . By Remark 4.7

$$f'_{x\lambda_0}(x\eta_0\delta_{\lambda_0,x}^{-1}) = f'_{x\lambda_0}(x\eta_0) \text{Res}_{W_2}^{W_0(x\lambda_0)}(\delta_{\lambda_0,x}) = \iota^{(x)}f'_{\lambda_0}(\eta_0) \text{Res}_{W_2}^{W_0(x\lambda_0)}(\delta_{\lambda_0,x}).$$

While by Proposition 4.4 and Proposition 4.5

$$\iota^{(x)}\left(\text{Res}_P^{N_{\alpha(\lambda_0)}}(\Lambda(\alpha(\lambda_0)))\right) = \text{Res}_P^{N_{\alpha(x\lambda_0)}}(\Lambda(\alpha(x\lambda_0))) \text{Res}_{W_2}^{W_0(x\lambda_0)}(\delta_{\lambda_0,x}).$$

Thus for  $x \in \tilde{T}_0E$ , the equivariance follows as

$$\begin{aligned} \kappa'(xR_{T_0}^{G_0}(\lambda_0)_{\eta_0}) &= \text{Res}_P^{N_{\alpha(x\lambda_0)}}(\Lambda(\alpha(x\lambda_0)))f_{x\lambda_0}(x\eta_0\delta_{\lambda_0,x}^{-1}) \\ &= \iota^{(x)}\left(\text{Res}_P^{N_{\alpha(\lambda_0)}}(\Lambda(\alpha(\lambda_0)))f_{\lambda_0}(\eta_0)\right) \\ &= \iota^{(x)}\kappa'(R_{T_0}^{G_0}(\lambda_0)_{\eta_0}). \end{aligned}$$

□

**Corollary 4.9.** *Let  $\chi \in \text{Irr}_0(G_0) \cap \mathcal{E}(G_0, s)$ . Then the  $\tilde{G}_0$ -orbit of  $\chi$  has size  $|A(s)^{F^*}|$ .*

*Proof.* The size of the  $\tilde{G}_0$ -orbit of  $\chi$  is given by  $|\tilde{T}_0/(\tilde{T}_0)_\chi|$ . Let  $\chi = R_{T_0}^{G_0}(\lambda_0)_{\eta_0}$ , with  $\lambda_0 \in \text{Irr}(T_0)$  and  $\eta_0 \in \text{Irr}_{2'}(W_0(\lambda_0))$ . Then  $\lambda_0$  corresponds to the element  $s_0 \in T_0^*$ , under the  $(W_0, W_0^*)$ -equivariant bijection between  $\text{Irr}(\tilde{T}_0)$  and  $\tilde{T}_0^*$ , with  $s_0$  being  $C_0^*$ -conjugate to  $s$ . For any  $\tilde{\lambda}_0 \in \text{Irr}(\tilde{T}_0 \mid \lambda_0)$  we have that  $A(s_0)^{F^*} \cong (W_0)_{\lambda_0}/(W_0)_{\tilde{\lambda}_0}$ . Moreover there is an isomorphism  $\tilde{T}_0/(\tilde{T}_0)_{\Lambda_0(\lambda_0)} \cong (N_0)_{\lambda_0}/(N_0)_{\tilde{\lambda}_0}$  which maps an element  $\tilde{t}$  to the character  $\nu_{\tilde{t}}$  such that  ${}^{\tilde{t}}\Lambda_0(\lambda_0) = \Lambda_0(\lambda_0)\nu_{\tilde{t}}$ . Thus it suffices to prove that  $(\tilde{T}_0)_\chi = (\tilde{T}_0)_{\Lambda_0(\lambda_0)}$ .

Let  $\tilde{t} \in \tilde{T}_0$ . Then by Theorem 4.6 and Proposition 4.4

$${}^{\tilde{t}}R_{T_0}^{G_0}(\lambda_0)_{\eta_0} = R_{T_0}^{G_0}(\lambda_0)_{\eta_0\nu_{\tilde{t}}^{-1}}.$$

Since  $W_0(\lambda_0)/W_0(\tilde{\lambda}_0)$  is a 2-group and  $\eta_0$  has  $2'$ -degree, the character  $\text{Res}_{W(\tilde{\lambda}_0)}^{W(\lambda_0)}(\eta_0)$  is irreducible. Hence,  $\eta_0\nu_{\tilde{t}}^{-1} = \eta_0$  if and only if  $\nu_{\tilde{t}} = 1$ . Therefore  $(\tilde{T}_0)_\chi = (\tilde{T}_0)_{\Lambda_0(\lambda_0)}$ . □

### 5 | CHARACTERS OF $\tilde{G}_0$

In order to check whether a block of  $G_0 := \mathbf{G}^F$  is AM-good for the prime 2 we also need information on characters of  $\tilde{G}_0 = \tilde{\mathbf{G}}^F$  covering characters of  $2'$ -degree of  $G_0$ . Recall that  $T := \mathbf{T}^{\nu F}$ ,  $N := N_{\mathbf{G}}(\mathbf{S})^{\nu F}$  and  $\Lambda$  is an extension map with respect to  $T \triangleleft N$ . Additionally  $\tilde{T} := \tilde{\mathbf{T}}^{\nu F}$  and  $\tilde{N} := N_{\tilde{\mathbf{G}}}(\tilde{\mathbf{S}})^{\nu F}$ .

**Proposition 5.1.** *There exists an NE-equivariant extension map  $\tilde{\Lambda}$  with respect to  $\tilde{T} \triangleleft \tilde{N}$  given by sending  $\tilde{\lambda} \in \text{Irr}(\tilde{T})$  to the unique common extension of  $\tilde{\lambda}$  and  $\text{Res}_{N_{\tilde{\lambda}}}^{N_{\tilde{\lambda}}}(\Lambda(\lambda))$ , where  $\lambda = \text{Res}_T^{\tilde{T}}(\tilde{\lambda})$ .*

*Proof.* This was shown in the proof of [20, Proposition 3.20]. □

**Definition 5.2.** We say that  $(\lambda_0, \eta_0) \in (\mathcal{P}_0)_2$  (as defined in Section 3.3) is covered by the pair  $(\tilde{\lambda}_0, \tilde{\eta}_0)$  if  $\tilde{\lambda}_0 \in \text{Irr}(\tilde{T}_0 \mid \lambda_0)$  and  $\tilde{\eta}_0 \in \text{Irr}(W_0(\tilde{\lambda}_0) \mid \eta_0)$ . Note that by [12, Chapter 6]  $\tilde{\eta}_0 = \text{Res}_{W_0(\tilde{\lambda}_0)}^{W_0(\lambda_0)}(\eta_0)$  since  $W_0(\lambda_0)/W_0(\tilde{\lambda}_0)$  is a 2-group and  $\eta_0$  has  $2'$ -degree.

In the proof of Theorem 4.8, the set  $(\mathcal{P}_0)_2$  was used to provide a bijection between the height zero characters of the principal blocks of  $G_0$  and  $P$  by mapping  $R_{T_0}^{G_0}(\lambda_0)_{\eta_0}$  to  $\text{Res}_P^{N_{\tilde{\lambda}}}(\Lambda(\lambda))f_{\lambda_0}(\eta_0)$ , where  $f_{\lambda_0}$  is from Lemma 4.3 and  $\lambda := \alpha(\lambda_0)$  for  $\alpha$  as defined in Section 3.1. The notion of covering defined for  $(\mathcal{P}_0)_2$  can help understand those characters which cover the height zero characters in the principal blocks of  $G_0$  and  $P$  under the action of  $\tilde{G}_0$ , respectively,  $\tilde{P} := \tilde{T}_2 P$ . Recall that  $\tilde{\alpha}$  from Proposition 3.4 is a bijection between  $\text{Irr}(\tilde{T}_0 \mid \text{Irr}(T_0)_2^{W_2})$  and  $\text{Irr}(\tilde{T} \mid \text{Irr}(T)_2^{W_2})$ .

**Lemma 5.3.** *Let us assume that  $\lambda_0 \in \mathcal{E}(T_0, s_0)$  and  $s_0$  is not one of the exceptional cases in Proposition 3.3. Suppose that  $(\tilde{\lambda}_0, \tilde{\eta}_0)$  covers  $(\lambda_0, \eta_0) \in (\mathcal{P}_0)_2$  as in Definition 5.2 and we assume that  $\tilde{\lambda}_0$  has 2-power order. Then the following holds.*

- (a) *The character  $\tilde{\chi} := R_{\tilde{T}_0}^{\tilde{G}_0}(\tilde{\lambda}_0)_{\tilde{\eta}_0}$  covers  $\chi := R_{T_0}^{G_0}(\lambda_0)_{\eta_0}$  and lies in the principal block of  $\tilde{G}$ .*
- (b) *The character  $\tilde{\psi} := \text{Ind}_{\tilde{P}_{\tilde{\lambda}}}^{\tilde{P}}(\text{Res}_{\tilde{P}_{\tilde{\lambda}}}^{\tilde{N}_{\tilde{\lambda}}}(\tilde{\Lambda}(\tilde{\lambda})) \text{Res}_{W_2(\tilde{\lambda})}^{W_2} (f_{\lambda_0}(\eta_0)))$  covers  $\psi := \text{Res}_P^{N_{\tilde{\lambda}}}(\Lambda(\lambda))f_{\lambda_0}(\eta_0)$ , for  $\lambda := \alpha(\lambda_0)$  and  $\tilde{\lambda} := \tilde{\alpha}(\tilde{\lambda}_0)$ .*

*In particular, the characters  $\tilde{\psi}$  and  $\tilde{\chi}$  lie above the same central character of  $Z(\tilde{G})$ .*

*Proof.* The first statement of part (a) follows from [2, Theorem 13.9(b)], while part (b) is a consequence of Proposition 5.1 and can be obtained as in [20, Corollary 3.21]. Observe that  $\tilde{\psi}$  lies above the character  $\text{Res}_{\tilde{T}_2}^{\tilde{T}}(\tilde{\lambda}) \in \text{Irr}(\tilde{T})$  and  $\tilde{\chi}$  lies above  $\tilde{\lambda}_0 \in \text{Irr}(\tilde{T}_0)$  which is by assumption of 2-power order. By the properties of the bijection in Proposition 3.4 they both lie above the same character of  $Z(\tilde{G}_0) = Z(\tilde{G})$ . In addition, since  $\tilde{\lambda}$  is of 2-power order, the character  $\tilde{\chi}$  lies in the principal block of  $\tilde{G}$  by [9, Theorem A].  $\square$

The following lemma is crucial in verifying whether a block is AM-good.

**Lemma 5.4.** *Let  $\tilde{\chi} \in \text{Irr}(\tilde{G}_0)$  and  $\tilde{\psi} \in \text{Irr}(\tilde{P})$  as in Lemma 5.3. Let  $\sigma \in E$  and suppose that  $\tilde{\chi}^\sigma = \tilde{\chi}\hat{z}$  for some  $\hat{z} \in \text{Irr}(\tilde{G}_0/G_0)$ . Then we have  $\tilde{\psi}^\sigma = \tilde{\psi}\hat{z}$ .*

*Proof.* By [20, Corollary 6.4] there exists a character  $\chi \in \text{Irr}(G_0 \mid \tilde{\chi})$  which satisfies  $(\tilde{G}_0 E)_\chi = (\tilde{G}_0)_\chi E_\chi$ . Therefore, we have  $\chi^\sigma = \chi$  and consequently if  $(\lambda_0, \eta_0)$  is the label in  $(\mathcal{P}_0)_2$  of  $\chi$ , we have  $(\lambda_0^\sigma, \eta_0^\sigma) = (\lambda_0, \eta_0)$ . We have  $\tilde{\lambda}_0^\sigma = \tilde{\lambda}_0\hat{z}$  for some  $\hat{z} \in \text{Irr}(\tilde{T}/T)$  and so we obtain that  $W_0(\tilde{\lambda}_0)$  is  $\sigma$ -stable. Moreover,  $\tilde{\eta}_0^\sigma = \tilde{\eta}_0$ . We obtain  $\tilde{\Lambda}_0(\tilde{\lambda}_0)^\sigma = \tilde{\Lambda}_0(\tilde{\lambda}_0)\hat{z}$ , see Proposition 5.1. Thus,

$$\tilde{\chi}^\sigma = R_{\tilde{T}_0}^{\tilde{G}_0}(\tilde{\lambda}_0)_{\tilde{\eta}_0}^\sigma = \hat{z}R_{\tilde{T}_0}^{\tilde{G}_0}(\tilde{\lambda}_0)_{\tilde{\eta}_0} = \hat{z}\tilde{\chi},$$

where the middle equality is derived from [2, Proposition 13.15]. Moreover,  $\tilde{\lambda}^\sigma = \tilde{\lambda}\hat{z}$  by Proposition 3.4. On the other hand,  $\tilde{\Lambda}(\tilde{\lambda})^\sigma = \tilde{\Lambda}(\tilde{\lambda})\hat{z}$  by [20, Proposition 3.20] and so  $\tilde{\psi}^\sigma = \tilde{\psi}\hat{z}$ , which finishes the proof.  $\square$

## 6 | THE CONDITION FOR A BLOCK TO BE AM-GOOD

In this section, we show that the principal 2-block of  $G_0 := \mathbf{G}^F$  for  $(\mathbf{G}, F)$  as in Section 2.3 is AM-good for the prime 2. We make use of the following reformulation in the language of character triples given in [28, Definition 4.12]. For the language of character triples and the definition of the relation  $\geq_b$ , we refer the reader to [24, Section 1.1].

**Definition 6.1.** Let  $S$  be a finite non-abelian simple group with universal covering group  $G$  and  $b$  an  $\ell$ -block of  $G$  with non-central defect group  $D$ . Assume for  $\Gamma := N_{\text{Aut}(G)}(D, b)$  there exists

- (i) a  $\Gamma$ -stable subgroup  $M$  with  $N_G(D) \leq M \leq G$ ;
- (ii) a  $\Gamma$ -equivariant bijection  $\Phi : \text{Irr}_0(G, b) \rightarrow \text{Irr}_0(M, B)$  where  $B \in \text{Bl}(M \mid D)$  is the unique block with  $B^G = b$  and
- (iii)  $\Psi(\text{Irr}_0(b, \nu)) \subseteq \text{Irr}_0(B \mid \nu)$  for every  $\nu \in \text{Irr}(Z(G))$  and

$$(G/Z \rtimes \Gamma_\chi, G/Z, \bar{\chi}) \geq_b (M/Z \rtimes \Gamma_\chi, M/Z, \overline{\Psi(\chi)}),$$

for every  $\chi \in \text{Irr}_0(G, b)$  and  $Z = \ker(\chi) \cap Z(G)$ , where  $\bar{\chi}$  and  $\overline{\Psi(\chi)}$  list to  $\chi$  and  $\Phi(\chi)$ , respectively. Then we say that  $b$  is AM-good for  $\ell$ .

Recall that  $Q := \iota^{-1}(P)$  from Section 2.3 is a Sylow 2-subgroup of  $G_0$ . In the following  $b$  and  $B$  denote the principal 2-block of  $G_0$ , respectively,  $N_{G_0}(Q)$ . We need the following lemma.

**Lemma 6.2.**

- (a) Let  $\chi \in \text{Irr}_{2'}(G_0)$ . Then  $\chi$  extends to  $G_0 D_\chi$ .
- (b) Let  $\chi' \in \text{Irr}_0(N_{G_0}(Q), B)$ . Then  $\chi'$  extends to  $N_{G_0 D}(Q)_{\chi'}$  and  $N_{\tilde{G}_0}(Q)_{\chi'}$ .

*Proof.* The first part was proved in [20, Proposition 8.10].

For the first statement of (b) we pass to the Sylow 2-subgroup  $P = \iota(Q)$  of  $G^{uF}$ . We have  $N_G(P) = C_G(P)P$  by Theorem 2.3. In particular, any height zero character of the principal block of  $N_G(P)$  is a trivial extension of a linear character of  $P$ . In other words, it is enough to show that every linear character  $\lambda \in \text{Irr}(P)$  extends to a character  $\hat{\lambda} \in \text{Irr}(PE_\lambda)$  with  $\nu\hat{F}$  in its kernel. For this choose a linear character  $\nu \in \text{Irr}(E_\lambda)$  with  $\nu(\hat{F}) = \lambda(\nu)^{-1}$  and define  $\hat{\lambda}(pe) := \lambda(p)\nu(e)$  for  $p \in P$  and  $e \in E_\lambda$ . The second part follows from Corollary 2.4 and Lemma 5.3(b). □

The following lemma also helps to check whether a block is AM-good.

**Lemma 6.3.** Any character in  $\text{Irr}_0(b)$  or  $\text{Irr}_0(B)$  has  $Z(G_0)$  in its kernel.

*Proof.* Let  $\chi \in \text{Irr}_{2'}(G_0)$ . As  $G_0$  is perfect, the linear character  $\det \circ \chi$  must be trivial. For  $g \in Z(G_0)_2$  there is an  $\epsilon \in C^\times$  with  $o(\epsilon)$  a 2-power such that  $\chi(g) = \epsilon I_{\chi(1)}$ . Therefore as  $\epsilon^{\chi(1)} = 1$  it follows that  $\epsilon = 1$  and thus  $Z(G_0)_2 \leq \ker(\chi)$ . Moreover, if  $\chi$  lies in the principal block, then  $Z(G_0)_2 \leq \ker(\chi)$  [22, Theorem 6.10]. The local height zero characters were parametrised after Proposition 4.4. Thus, for them the result follows from Lemma 5.3. □

We will use the following theorem to check whether the blocks in question are AM-good. Moreover, for  $\chi \in \text{Irr}(H)$ , an irreducible character of a finite group  $H$ , we denote by  $\text{bl}(\chi)$  the 2-block of  $H$  to which  $\chi$  belongs.

**Theorem 6.4.** Let  $\chi \in \text{Irr}(G_0, b)$  and  $\chi' \in \text{Irr}(N_{G_0}(Q), B)$  such that the following holds.

- (i) We have  $(\tilde{G}_0 D)_\chi = (\tilde{G}_0)_\chi D_\chi$  and  $\chi$  extends to  $(G_0 D)_\chi$ .
- (ii) We have  $(N_{\tilde{G}_0}(Q)N_{G_0 D}(Q))_{\chi'} = N_{\tilde{G}_0}(Q)_{\chi'} N_{G_0 D}(Q)_{\chi'}$  and  $\chi'$  extends to  $N_{G_0 D}(Q)_{\chi'}$  and to  $N_{\tilde{G}_0}(Q)_{\chi'}$ .
- (iii)  $(\tilde{G}_0 D)_\chi = G_0(N_{\tilde{G}_0}(Q)N_{G_0 D}(Q))_{\chi'}$ .
- (iv) There exists  $\tilde{\chi} \in \text{Irr}(\tilde{G}_0 \mid \chi)$  and  $\tilde{\chi}' \in \text{Irr}(N_{\tilde{G}_0}(Q) \mid \chi')$  such that the following holds.
  - For all  $m \in N_{G_0 D}(Q)_{\chi'}$ , there exists  $\nu \in \text{Irr}(\tilde{G}_0/G_0)$  with  $\tilde{\chi}^m = \nu \tilde{\chi}$  and  $\tilde{\chi}'^m = \text{Res}_{N_{\tilde{G}_0}(Q)}^{\tilde{G}_0}(\nu) \tilde{\chi}'$ .
  - The characters  $\tilde{\chi}$  and  $\tilde{\chi}'$  cover the same underlying central character of  $Z(\tilde{G}_0)$ .
- (v) The Clifford correspondents  $\tilde{\chi}_0 \in \text{Irr}((\tilde{G}_0)_\chi \mid \chi)$  and  $\tilde{\chi}'_0 \in \text{Irr}(N_{\tilde{G}_0}(Q)_{\chi'} \mid \chi')$  of  $\tilde{\chi}$  and  $\tilde{\chi}'$ , respectively, satisfy  $\text{bl}(\tilde{\chi}_0) = \text{bl}(\tilde{\chi}'_0)^{(\tilde{G}_0)_\chi}$ .

Let  $Z_0 := \text{Ker}(\chi) \cap Z(G_0)$ . Then

$$((\tilde{G}_0 D)_{\chi}/Z_0, G_0/Z_0, \bar{\chi}) \geq_b ((N_{\tilde{G}_0}(Q)N_{G_0 D}(Q))_{\chi'}/Z_0, N_{G_0}(Q)/Z_0, \bar{\chi}'),$$

where  $\bar{\chi} \in \text{Irr}(G_0/Z_0)$  and  $\bar{\chi}' \in \text{Irr}(N_{G_0}(Q)/Z_0)$  are the characters which inflate to  $\chi$ , respectively,  $\chi'$ .

*Proof.* This is a consequence of [24, Theorem 2.1] and [24, Lemma 2.2]. □

Note that all conditions in Theorem 6.4 except condition (v) only depend on the character theory of  $G_0$  and  $\tilde{G}_0$  (together with its associated groups).

**Theorem 6.5.** *Let  $(G, F)$  be as in Section 2.3. Then the principal 2-block  $b$  of  $G_0$  is AM-good for the prime 2.*

*Proof.* We show that the bijection  $\kappa : \text{Irr}_0(b) \rightarrow \text{Irr}_0(B)$  from Theorem 4.8 is a strong AM-bijection in the sense of [24, Definition 1.9]. Let  $\chi \in \text{Irr}_0(b)$  and  $\chi' := \kappa(\chi)$ . By possibly conjugating  $\chi$  by an element of  $\tilde{G}$  we can assume by [24, Theorem 2.11] that the character  $\chi$  satisfies condition (i) of Theorem 6.4. Using the Butterfly Theorem [28, Theorem 4.6] we see that it is enough to show that  $\chi$  and  $\chi'$  satisfy the remaining conditions in Theorem 6.4. Since  $\kappa$  is equivariant, we deduce that conditions (ii) and (iii) hold (the extendibility of the local character follows from Lemma 6.2(b)). Assume now first that  $\chi \in \mathcal{E}(G_0, s_0)$  and  $s_0$  is not one of the exceptional cases in Proposition 3.3. Let  $\tilde{\chi} \in \text{Irr}(\tilde{G}_0)$  and  $\tilde{\psi} \in \text{Irr}(\tilde{P})$  be the characters constructed in Lemma 5.3. Let  $\tilde{\chi}'$  be the character obtain by extending  $\tilde{\psi} \circ \iota$  trivially to a character of  $N_{\tilde{G}_0}(Q)$ . Using Corollary 2.4 we see that  $\tilde{\chi}'$  is indeed a well-defined character and lies in the principal block of  $N_{\tilde{G}_0}(Q)$ . By Lemma 5.4 we see that the characters  $\tilde{\chi}$  and  $\tilde{\chi}'$  satisfy condition (iv) of Theorem 6.4. Finally for condition (v) let  $\tilde{\chi}_0 \in \text{Irr}((\tilde{G}_0)_\chi | \chi)$  and  $\tilde{\chi}'_0 \in \text{Irr}(N_{\tilde{G}_0}(Q)_{\chi'} | \chi')$  be the Clifford correspondents of  $\tilde{\chi}$  and  $\tilde{\chi}'$ . By construction  $\text{bl}(\tilde{\chi}_0)$  is a block below the principal block of  $\tilde{G}_0$ . However, the principal block of  $(\tilde{G}_0)_\chi$  is the unique block below the principal block of  $\tilde{G}_0$ . Hence,  $\text{bl}(\tilde{\chi}_0)$  lies in the principal block of  $(\tilde{G}_0)_\chi$ , and similarly,  $\tilde{\chi}'_0$  lies in the principal block of  $N_{\tilde{G}_0}(Q)_{\chi'}$ . By Brauer’s second main theorem it follows that  $\text{bl}(\tilde{\chi}_0) = \text{bl}(\tilde{\chi}'_0)^{(\tilde{G}_0)_\chi}$  and so condition (v) holds.

Assume finally that  $s_0$  is one of the exceptional cases in Proposition 3.3. By Corollary 4.9 it follows that  $(\tilde{G}_0)_\chi = GZ(\tilde{G}_0)$ . By Lemma 6.3 we can therefore define  $\tilde{\chi}_0$ , respectively,  $\tilde{\chi}'_0$  as the unique extensions of  $\chi$ , respectively,  $\chi'$  with  $Z(\tilde{G})$  in their kernel. From this it is clear that these characters satisfy conditions (iv) and (v). □

## 7 | THE REMAINING FINITE SIMPLE GROUPS

For the remaining blocks of finite simple groups the following criterion will be helpful.

**Lemma 7.1.** *Let  $S$  be a finite simple non-abelian group and  $\ell$  a prime. Let  $b$  be an  $\ell$ -block of the universal covering group  $\hat{G}$  of  $S$  with defect group  $Q$  such that  $\text{Out}(\hat{G})_b$  is cyclic. Assume that there exists an  $\text{Aut}(\hat{G})_b$ -equivariant Alperin–McKay bijection  $f : \text{Irr}_0(\hat{G}, b) \rightarrow \text{Irr}_0(N_{\hat{G}}(Q), B)$  preserving central characters of  $Z(\hat{G})$  and that one of the following holds.*

- (i) *All characters of  $\text{Irr}_0(\hat{G}, b)$  have  $Z(\hat{G})$  in their kernel.*
- (ii)  *$\text{Out}(\hat{G})_b$  is an  $\ell$ -group.*

*Then the block  $b$  is AM-good for  $\ell$ .*

*Proof.* We check that the conditions in [28, Definition 4.4] are satisfied. Let  $X := \hat{G}/(\text{Ker}(\chi) \cap Z(\hat{G}))$ . There exists an overgroup  $Y$  of  $X$  such that  $Y/C_Y(X)X \cong \text{Out}(X)_\chi$  and  $Y/X$  is cyclic. Let  $\chi \in \text{Irr}_0(\hat{G}, b)$  and  $\chi' := f(\chi) \in \text{Irr}_0(N_{\hat{G}}(Q), B)$  considered as characters of  $X$ , respectively,

$N_X(Q)$ . Assume that we are in case (i) so that  $X = \hat{G}/Z(\hat{G}) = S$  is a simple non-abelian group. We can therefore choose an overgroup  $Y$  with  $C_Y(X) = 1$ . There exist extensions  $\tilde{\chi} \in \text{Irr}(Y \mid \chi)$  and  $\tilde{\chi}' \in \text{Irr}(N_Y(Q) \mid f(\chi))$  such that  $\text{bl}(\tilde{\chi}')^Y = \text{bl}(\tilde{\chi})$ . As  $C_Y(X) = 1$ ,  $\tilde{\chi}$  and  $\tilde{\chi}'$  lie over the same central character of  $C_Y(X)$ . In particular, we have

$$(Y, X, \chi) \geq_b (N_Y(Q), N_X(Q), f(\chi))$$

by [28, Proposition 4.4].

In case (ii) we observe that  $Y/C_Y(X)X$  is an  $\ell$ -group. In particular, every block of  $C_Y(X)X$  is covered by a unique block of  $Y$ . By the proof of [28, Lemma 3.16] we find  $\tilde{\chi} \in \text{Irr}(Y \mid \chi)$  and  $\tilde{\chi}' \in \text{Irr}(N_Y(Q) \mid f(\chi))$  which lie above the same character of  $C_Y(X)$ . In particular, we have  $\text{bl}(\text{Res}_{N_X(Q)C_Y(X)}^{N_Y(Q)}(\tilde{\chi}'))^Y = \text{bl}(\tilde{\chi})$ . By [28, Proposition 4.4] this implies that

$$(Y, X, \chi) \geq_b (N_Y(Q), N_X(Q), f(\chi)).$$

In both cases, the Butterfly Theorem [28, Theorem 4.6] implies that the block  $b$  is AM-good for the prime  $\ell$ .  $\square$

We consider now the case excluded in Section 2.3. Together with Theorem 6.5 this completes the proof of Theorem 1.3 from the introduction.

**Lemma 7.2.** *The principal 2-block of  $G \in \{\text{Sp}_{2n}(q), {}^3D_4(q)\}$  is AM-good for the prime 2 whenever  $q$  is an odd power of an odd prime.*

*Proof.* In our case  $\text{Out}(G)$  is cyclic and every character of  $2'$ -degree lies over the trivial character of  $Z(G)$ . Moreover,  $\text{Irr}_{2'}(G) = \text{Irr}_0(B_0(G))$  by Remark 3.12. Let  $Q$  be a Sylow 2-subgroup of  $G$ . By Lemma 4.1 there exists an  $\text{Aut}(G)_Q$ -equivariant bijection  $\text{Irr}_{2'}(G) \rightarrow \text{Irr}_{2'}(N_G(Q))$  preserving the underlying central characters of  $Z(G)$ . In particular, the principal block of  $G$  is AM-good for the prime 2 by Lemma 7.1.  $\square$

We say that a simple group  $S$  is AM-good for the prime 2 if all 2-blocks of its universal covering group are AM-good for the prime 2.

**Lemma 7.3.** *Let  $S$  be a simple group of Lie type defined over a field of characteristic  $p \neq 2$  with exceptional Schur multiplier. Then  $S$  is AM-good for the prime 2.*

*Proof.* As argued in [25, Proposition 14.8] it suffices to consider as  $S$  the simple groups  ${}^2A_3(3)$  and  $B_3(3)$ . Let  $\hat{G}$  be the universal covering group of  $S$ . By [18, Theorem 4.1] there exists a McKay-good bijection  $f : \text{Irr}_{2'}(\hat{G}) \rightarrow \text{Irr}_{2'}(\hat{M})$ , where  $\hat{M}$  is the normaliser of a Sylow 2-subgroup of  $\hat{G}$ . The distribution of 2-blocks of  $\hat{G}$  is known by [3]. We observe that for every character  $\nu \in \text{Irr}(Z(\hat{G}))$  of  $2'$ -order there exists a unique 2-block  $b_\nu$  of  $\hat{G}$  of maximal defect associated to it. Moreover, as argued in the proof of [18, Theorem 4.1] we have that  $\text{Out}(\hat{G})_\nu$  is a cyclic 2-group for every  $1 \neq \nu$  of  $2'$ -order. The principal block is AM-good for the prime 2 by Theorem 6.5. As a McKay-good bijection preserves central characters, we see that  $f$  preserves the block decomposition. We deduce that  $b_\nu, \nu \neq 1$ , is AM-good for the prime 2 by Lemma 7.1. In particular, by [3] the group

${}^2A_3(3)$  is AM-good for the prime 2, as all blocks with non-maximal defect are of central defect. We are left to consider the three blocks  $b$  of the universal covering group  $\hat{G}$  of  $B_3(3)$  of defect  $2^2$ . Let  $b$  be one of these blocks. We can use a proof similar to [25, Proposition 14.6]. An inspection of [3] shows that  $|\text{Irr}_0(b)| = 2^2$ . Moreover, these characters have  $Z(\hat{G})_2$  in their kernel. By [16, Theorem 4.1] we deduce that the Brauer correspondent  $B$  of  $b$  has also exactly four height zero characters which all have  $Z(\hat{G})_2$  in their kernel. Let  $\bar{b}$  and  $\bar{B}$  be the images of the blocks  $b$  and  $B$  in the quotient  $\hat{G}/Z(\hat{G})_3$ . As  $\bar{b}$  has defect  $2^3$  and precisely 5 ordinary characters (see [3]) its defect group is isomorphic to the dihedral group  $\text{Dih}_8$ , see [26, Theorem 8.1]. Using [25, Proposition 14.4, Proposition 14.5] we deduce that there exists an  $\text{Aut}(\hat{G})$ -equivariant bijection  $\text{Irr}(\bar{b}) \rightarrow \text{Irr}(\bar{B})$ . Thus,  $b$  is AM-good for the prime 2 by Lemma 7.1.  $\square$

**Lemma 7.4.** *Let  $S$  be a simple group of Lie type defined over a field of characteristic  $p$ . Then  $S$  is AM-good for the prime  $p$ .*

*Proof.* Let  $\hat{G}$  be the universal covering group of  $S$  and  $G = \hat{G}/Z(\hat{G})_p$ . By [22, Theorem 9.10] there exists a bijection between the set of  $p$ -blocks of  $\hat{G}$  and the set of  $p$ -blocks of  $G$ . With this observation the statement follows as in the proof of [27, Theorem 8.4].  $\square$

## 8 | CONSEQUENCES

In this section we derive some consequences of Theorem 6.5. We keep the notation and setup of Section 2.3 but we make no restriction on the type of  $G$ .

**Corollary 8.1.** *Assume that the root system of  $\mathbf{G}$  is of classical type. Then every 2-block of  $G$  is AM-good for the prime 2.*

*Proof.* By Lemma 7.3 we can assume that  $S := G/Z(G)$  has non-exceptional Schur multiplier. Observe that every subgraph of a Dynkin diagram of classical type is again of classical type. According to [24, Theorem 3.12] it suffices to prove that all strictly quasi-isolated 2-blocks  $b$  of  $G$  are AM-good. Suppose first that  $\mathbf{G}$  is not of type  $A$ . Using the classification of quasi-isolated elements in [1] together with [5, Theorem 21.14] we deduce that  $b$  is the principal block of  $G$ . The claim follows therefore from Theorem 6.5. Suppose therefore now that  $\mathbf{G}$  is of type  $A$  and  $b$  is a quasi-isolated block of  $G$ . We go through the proof of [25, Theorem 12.2] and replace ‘Cabanes subgroup of the defect group’ everywhere by ‘defect group’. All arguments apply directly to our setup except for the reference to the proof of [24, Lemma 3.12] which in our situation must be changed to the proof of [24, Lemma 3.10]. Hence, as in [25, Theorem 12.2] we can conclude that it suffices to prove that all isolated 2-blocks (that is the principal 2-block) are AM-good for the prime 2. This again follows from Theorem 6.5.  $\square$

**Corollary 8.2.** *Suppose that the root system of  $\mathbf{G}$  is of exceptional type and let  $b$  be a quasi-isolated 2-block of  $G$  of maximal defect. Then  $b$  is the principal block of  $G$ .*

*Proof.* Suppose first that  $b$  is a unipotent block of  $G$ . Then the claim of the corollary follows from the description of defect groups given in [9]. Suppose now that  $G$  is not of type  $E_6$ . Any block of

maximal defect contains a character of  $2'$ -degree. According to Remark 3.12 such characters lie in a unipotent block.

Finally for  $G = E_6(\pm q)$  the non-unipotent quasi-isolated 2-blocks are given in [13, Table 3]. The order of the defect group is bounded by  $|C_{G^*}(s)|_2$ , see [13, Lemma 2.6(a)], where  $1 \neq s \in G^*$  is the semisimple quasi-isolated element of  $2'$ -order associated to the block  $b$ . Going through the list given in [13] one checks that  $|C_{G^*}(s)|_2$  is always smaller than  $|G|_2$ .  $\square$

We can now complete the proof of Theorem 1.2 from the introduction.

**Theorem 8.3.** *The Alperin–McKay conjecture holds for 2-blocks of maximal defect.*

*Proof.* By [6, Proposition 2.5] it suffices to establish that every block  $b$  of maximal defect of the universal central extension of a finite simple non-abelian group  $S$  is AM-good for the prime 2. As explained in the proof of [25, Proposition 14.8], alternating groups, Suzuki and Ree groups and sporadic groups are AM-good for the prime 2. By Lemma 7.3 and Lemma 7.4 we can therefore assume that  $S = G/Z(G)$ , such that  $G$  is a group of Lie type defined over a field of odd characteristic and  $G$  is the universal covering group of  $S$ . By Corollary 8.1 we can assume that  $G$  is an exceptional group of Lie type. By the main result of [24] we can assume that  $b$  is a quasi-isolated block. In this case the result follows from Corollary 8.2 and Theorem 6.5.  $\square$

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