

Geometric quotients of unipotent group actions II

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Introduction

Let G be a unipotent algebraic group over K (a field of characteristic 0) which acts rationally on an affine scheme $X = \operatorname{Spec} A$ over K , where A is a commutative K -algebra. The problem of finding sufficient and manageable conditions to guarantee that the geometric quotient X/G exists is of fundamental interest in the theory of moduli spaces for local objects such as isolated singularities or (Cohen-Macaulay) modules over the local ring of a singularity (cf. [L-P], [G-P2], [G-H-P], [H]).

In [G-P1] we derived such conditions which are complemented in this paper. These conditions are even useful when the geometric quotient does not exist globally. Namely, they allow the construction of a stratification of X into locally closed G -stable subschemes on which the geometric quotient exists. If the action of G is sufficiently explicitly given, say in terms of coordinates of X and generators of G , then the stratification can be described explicitly in terms of these data. Note that for unipotent groups, in contrast to reductive groups, the existence of a geometric quotient depends in general not only on X and G but also on the action, that is, knowledge about the action is necessary. The purpose of [G-P1] was to prove existence criteria which were as general and as explicit as possible. In all applications so far, the explicit description of the strata was the key point to being able to describe the strata in terms of invariants of the singularities or modules.

On the other hand, the explicit formulation in terms of coordinates and generators made the statements of the theorems in [G-P1] somewhat technical, even in the case of a free action, which is an important cornerstone for the general theory (cf. Theorem 3.10 in [G-P1]). One of the equivalent conditions of that theorem (loc. cit.) was the vanishing of $H^1(G, A)$ (the usual algebraic

group cohomology), in particular we showed that $H^1(G, A) = 0$ is equivalent to $\text{Spec } A \longrightarrow \text{Spec } A^G$ being a trivial geometric quotient (which implies in particular that A^G is of finite type over K if A is of finite type over K). Moreover, we proved that $H^1(G, A) = 0$ implies that $\text{Spec } A \longrightarrow \text{Spec } A^G$ is a principal fibre bundle with group G , that is, $\text{Spec } A \longrightarrow \text{Spec } A^G$ is faithfully flat and the canonical map $A \otimes_{A^G} A \longrightarrow A \otimes_K K[G]$ is an isomorphism (cf. [M-F], Def. 0.10).

In this note, which is intended to be a supplement of [G-P1], we prove the converse of the last statement, providing the following conceptual, necessary and sufficient condition for $H^1(G, A) = 0$.

Theorem: *Let A be a commutative K -algebra and G a unipotent algebraic group over K acting rationally on $\text{Spec } A$. Then the following are equivalent:*

- (i) $H^1(G, A) = 0$;
- (ii) $\text{Spec } A \longrightarrow \text{Spec } A^G$ is faithfully flat and the canonical map

$$(*) \quad A \otimes_{A^G} A \longrightarrow A \otimes_K K[G] \text{ is an isomorphism.}$$

Moreover, if A is reduced, then (i) and (ii) are equivalent to

- (ii') $\text{Spec } A \longrightarrow \text{Spec } A^G$ is faithfully flat and the canonical map

$$(**) \quad A \otimes_K A \longrightarrow A \otimes_K K[G] \text{ is surjective.}$$

Condition (**) means that $X \times_K G \longrightarrow X \times_K X$ is a closed immersion, that is, the action is free in the sense of Mumford (cf. [M-F], Def. 0.8). We ignore whether we can drop the assumption of A being reduced in (ii'). Note that (*) implies (**) but that (*) does not imply the flatness of A over A^G , cf. the example in [D-F], examined at the end of this paper.

That (i) implies (ii) follows from [G-P1], Theorem 3.10 and Remark 3.11; (ii') is a trivial consequence of (ii). The remaining implications are proved in this paper.

The equivalence of (i) and (ii) was already mentioned in [K-M-T], but some arguments in the proof seemed to be insufficient. More recently, in [D-F-G], a result was proved which states (in our terms) the implication (ii) \Rightarrow (i) for G the additive group of $K = \mathbb{C}$ and A the polynomial ring over \mathbb{C} . In any case, here we give an elementary proof of the following slightly more general fact.

If (*) holds, and if the canonical map $\text{Spec } A \longrightarrow \text{Spec } A^G$ is flat, then $\text{Spec } A$ is mapped onto an open set $U \subset \text{Spec } A^G$ such that $\text{Spec } A \rightarrow U$ is a geometric quotient and a principal fibre bundle with group G .

This article was inspired by discussions with C. Hertling, when we tried to extend the results of [G-H-P], in order to construct moduli spaces for semiquasi-homogeneous hypersurface singularities without fixing the principal part. We could not prove the existence of a geometric quotient as an algebraic \mathbb{C} -scheme. From the examples of Deveney and Finston we learned that, additionally, at least the flatness of A as an A^G -module is necessary. Condition (ii') shows that it is also sufficient if A is reduced. Although we could not prove the existence of a geometric quotient as an algebraic \mathbb{C} -variety under the assumption “ $\text{Spec } A \rightarrow \text{Spec } A^G$ surjective and $(**)$ holds”, in our application Hertling was able to prove the existence of a geometric quotient as a complex space.

The following conjecture points in the same direction (G and A as above):

Conjecture. Assume that G acts freely on $\text{Spec } A$ (in the sense of Mumford). Then there exists an étale covering $\{\text{Spec } B_i\}$ of $\text{Spec } A$ and a lifting of the action of G to B_i such that $H^1(G, B_i) = 0$.

Notice that under our assumption the quotient exists in the category of algebraic spaces (cf. [P, Theorem 3.7]). Our conjecture says that this quotient is locally trivial. We prove this under a slightly different assumption. We should like to emphasize that passing to an étale covering is necessary, as we show at the end of this paper.

As in [G-P1] we prefer to work with the Lie algebra L of G . Since G is unipotent and $\text{char } K = 0$ this is equivalent. Also the Lie algebra cohomology ([C-E]) coincides in this case with the group cohomology.

1 Special representations

Let L be an n -dimensional nilpotent K -Lie algebra. We deduce the vanishing of $H^1(L, K[X_1, \dots, X_n])$ for certain special representations of L in $\text{der}_K A[X_1, \dots, X_n]$, in particular for the representation of L on the coordinate algebra $K[G(L)] \cong K[L] = K[X_1, \dots, X_n]$ of its associated unipotent group $G(L)$ derived from the left regular representation of $G(L)$ on $K[G(L)]$. This result is perhaps known to the specialists but we could not find a reference. In any case, it is an immediate consequence of Theorem 3.10 in [G-P1]. In order to apply that theorem we need a description of the left regular action in terms of coordinates.

Let $X, Y \in L$ be two elements and $H(X, Y) = \sum_{i \geq 0} H^i(X, Y)$ the series of Campbell-Hausdorff (cf. [G]), where

$$\begin{aligned} H^1(X, Y) &= X + Y, \\ H^2(X, Y) &= \frac{1}{2}[X, Y], \\ H^3(X, Y) &= \frac{1}{12}([X, [X, Y]] + [Y, [Y, X]]), \dots \end{aligned}$$

and $H^i(X, Y) = 0$ for large i since L is nilpotent.

Consider L as an affine K -variety. Then the multiplication $H : L \times L \longrightarrow L$ gives L the structure of a unipotent algebraic group which we call $G(L)$, with Lie-algebra isomorphic to L (cf. [D-G]).

Let $\{\delta_1, \dots, \delta_n\}$ be a basis of L and $[\delta_i, \delta_j] = \sum_k C_k^{ij} \delta_k$. The choice of a basis defines an isomorphism $G(L) \cong \text{Spec } K[X_1, \dots, X_n]$ and then the co-multiplication

$$m : K[X_1, \dots, X_n] \longrightarrow K[X_1, \dots, X_n] \otimes_K K[X_1, \dots, X_n],$$

is given in terms of the chosen coordinates by

$$m(X_k) = X_k \otimes 1 + 1 \otimes X_k + \frac{1}{2} \sum_{i,j} C_k^{ij} X_i \otimes X_j + \dots$$

Via $G(L) \cong \text{Spec } K[X_1, \dots, X_n]$ the Lie-algebra L is represented as a subalgebra of $\text{Der}_K K[X_1, \dots, X_n]$ with basis $\{\delta_j\}$ and $\delta_j(X_k) = \delta_{jk} + \sum_i C_k^{ij} X_i + h_{jk}$ (δ_{jk} the Kronecker symbol, $h_{jk} \in (X_1, \dots, X_n)^2$), the derived left regular representation of L on $K[G(L)] \cong K[L] = K[X_1, \dots, X_n]$.

Since L is nilpotent, we can choose the basis $\{\delta_1, \dots, \delta_n\}$ such that $C_k^{ij} = 0$ if $k \leq \max\{i, j\}$. This implies that L acts on $K[L]$ via

1. $\delta_j(X_j) = 1$,
2. $\delta_j(X_k) = 0$ if $j > k$,
3. $\delta_j(X_k) \in K[X_1, \dots, X_{k-1}]$ if $j < k$

and, in particular,

- 3.' $\delta_i \delta_j(X_k) = 0$ if $i \geq k$.

Using Theorem 3.10 in [G-P1], we obtain the following

Proposition 1.1 *The derived left regular representation of L on $K[L]$ satisfies $H^1(L, K[L]) = 0$.*

Corollary 1.2 *Let L be an n -dimensional nilpotent K -Lie-algebra. There exists a faithful representation $\rho : L \longrightarrow \text{Der}_K K[X_1, \dots, X_n]$ such that*

$$H^1(L, K[X_1, \dots, X_n]) = 0.$$

Corollary 1.3 *Let A be a commutative K -algebra (with unit 1), L an n -dimensional nilpotent K -Lie-algebra and $\varphi : L \longrightarrow \text{Der}_K A$ a representation such that the elements of $\varphi(L)$ are locally nilpotent. Let $\rho : L \longrightarrow \text{Der}_K K[X_1, \dots, X_n]$ be any representation satisfying*

$$H^1(L, K[X_1, \dots, X_n]) = 0.$$

Then for the tensor-product representation $\varphi \otimes \rho : L \longrightarrow \text{Der}_K A[X_1, \dots, X_n]$ we have

(i) $H^1(L, A[X_1, \dots, X_n]) = 0$.

(ii) Let $\{\delta_1, \dots, \delta_n\}$ be a basis of L such that $[\delta_i, \delta_j] \in \sum_{\ell > \max\{i, j\}} K\delta_\ell$ (such a basis does always exist) then $(\exp(-X_1\delta_1) \circ \dots \circ \exp(-X_n\delta_n))(A) = A[X_1, \dots, X_n]^L$.

(iii) Consider a basis $\{\delta_1, \dots, \delta_n\}$ of L as in (ii) and extend it trivially to $A[X_1, \dots, X_n]$ (that is $\delta_j(X_i) = 0$), then

$$\begin{aligned} \delta_i \circ \exp(-X_1\delta_1) \circ \dots \circ \exp(-X_n\delta_n) \\ = \exp(-X_1\delta_1) \circ \dots \circ \exp(-X_n\delta_n) \circ (\delta_i + \sum_{k>i} \xi_{i_k} \delta_k) \end{aligned}$$

for suitable $\xi_{i_k} \in K[X_1, \dots, X_n]$.

Proof. The first claim follows because $H^1(L, K[X_1, \dots, X_n]) = 0$.

To prove (ii) let $\hat{\delta}_i = \rho \otimes \varphi(\delta_i)$. Denote by

$$s : A[X_1, \dots, X_n] \longrightarrow A[X_1, \dots, X_n]^L$$

the section (cf. [G-P1]) defined by

$$s(h) = (\exp T_1 \hat{\delta}_1 \circ \dots \circ \exp T_n \hat{\delta}_n)(h)(T_1 = -X_1, \dots, T_n = -X_n),$$

where $(T_1 = -X_1, \dots, T_n = -X_n)$ means evaluation at $T_i = -X_i$.

If $a \in A$ then $\hat{\delta}_i(a) = \delta_i(a)$. This implies $s(a) = (\exp(-X_1\delta_1) \circ \dots \circ \exp(-X_n\delta_n))(a)$, that is

$$\exp(-X_1\delta_1) \circ \dots \circ \exp(-X_n\delta_n)(A) \subseteq A[X_1, \dots, X_n]^L.$$

If $h = a + \sum X_i h_i$, $a \in A$, then $s(h) = s(a)$ because $s(X_i) = 0$. This proves (ii). Since $[\delta_i, \delta_j] \in \sum_{\ell > \max\{i, j\}} K\delta_\ell$ (iii) holds. \square

The following corollary points towards the conjecture in the introduction. It is an improvement of Remark 3.12 of [G-P1], where we assumed that L is abelian. Note that $\det(\delta_i(a_j)) \in A^*$ implies that the action is set theoretically free.

Corollary 1.4 *Let A be a commutative K -algebra with 1 and $L \subset \text{der}_K(A)$ an n -dimensional nilpotent K -Lie algebra. Assume that there exist $\delta_1, \dots, \delta_n \in L$ and $a_1, \dots, a_n \in A$ such that $\det(\delta_i(a_j))$ is a unit in A . Let X_1, \dots, X_n be indeterminates and define $F_i := \exp(-X_1\delta_1) \circ \dots \circ \exp(-X_n\delta_n)(a_i)$. Then*

$$B := A[X_1, \dots, X_n]/(F_1, \dots, F_n)$$

is étale over A , the action of L on A lifts to B and $H^1(L, B) = 0$.

Proof. By Corollary 1.3 there exists a faithful representation of L such that $H^1(L, K[X_1, \dots, X_n]) = 0$. Using any such representation, we define the tensor product representation of L on $A[X_1, \dots, X_n]$ as in 1.3. Then the F_i are invariant under L by 1.3 (ii). The vanishing of $H^1(L, B)$ is now a consequence of 1.3(i) and Theorem 3.10 in [G-P1].

To prove that $A \rightarrow B$ is étale, we may assume that $\delta_1, \dots, \delta_n$ are chosen as in (ii) of 1.3 and $\hat{\delta}_1, \dots, \hat{\delta}_n \in \text{Der}_K A[X_1, \dots, X_n]$ are extensions of $\delta_1, \dots, \delta_n$ such that $\hat{\delta}_i(F_j) = 0$ for all i, j . Let $\bar{\delta}_i := \delta_i - \hat{\delta}_i$, $i = 1 \dots n$ (the δ_i are trivially extended to $A[X_1, \dots, X_n]$); then $\bar{\delta}_i \in \text{Der}_A A[X_1, \dots, X_n]$.

Furthermore, by 1.3 (iii),

$$\begin{aligned} \bar{\delta}_i(F_j) &= \delta_i(F_j) \\ &= \delta_i \circ \exp(-X_1 \delta_1) \circ \dots \circ \exp(-X_n \delta_n)(a_j) \\ &= \exp(-X_1 \delta_1) \circ \dots \circ \exp(-X_n \delta_n)(\delta_i(a_j) + \sum_{k>i} \xi_{ik} \delta_k(a_j)). \end{aligned}$$

Then

$$\begin{aligned} \det(\bar{\delta}_i(F_j)) &= \exp(-X_1 \delta_1) \circ \dots \circ \exp(-X_n \delta_n) (\det(\delta_i(a_j) + \sum_{k>i} \xi_{ik} \delta_k(a_j))) \\ &= \exp(-X_1 \delta_1) \circ \dots \circ \exp(-X_n \delta_n) (\det(\delta_i(a_j))) \\ &= \det(\delta_i(a_j)). \end{aligned}$$

This implies that $\det(\bar{\delta}_i(F_j))$ is a unit and, therefore,

$$A \rightarrow A[X_1, \dots, X_n]/(F_1, \dots, F_n)$$

is étale. □

2 Free actions

We are now going to prove the main theorem which was explained in the introduction.

Theorem 2.1 *Let A be a commutative K -algebra. Let $L = \sum_{i=1}^n K \delta_i \subseteq \text{Der}_K(A)$ be an n -dimensional nilpotent Lie-algebra and assume that the δ_i are locally nilpotent. Assume, moreover, that*

1. *$\text{Spec } A \longrightarrow \text{Spec } A^L$ is faithfully flat,*
2. *the canonical map $A \otimes_{A^L} A \longrightarrow A[Z_1, \dots, Z_n]$ defined by L ,*

$$a \otimes b \rightsquigarrow a \cdot (\exp Z_1 \delta_1 \circ \dots \circ \exp Z_n \delta_n)(b)$$

is an isomorphism.

Then $H^1(L, A) = 0$.

Proof. We prove the theorem by induction on $n = \dim L$. In the case $n = 1$ let $L = K\delta$ and $\int A^L = \{a \in A \mid \delta(a) \in A^L\}$.

The sequence

$$0 \longrightarrow \int A^L \longrightarrow A \xrightarrow{\delta^2} A$$

is exact. Let L act on $A[Z]$ by $\delta(Z) := -1$, then $B := \exp(-Z\delta)(A) \subseteq A[Z]^L$, and B is via $A^L \subseteq A \xrightarrow{\sim} B$ an A^L -algebra. Since B is flat over A^L ,

$$0 \longrightarrow \int A^L \otimes_{A^L} B \longrightarrow A \otimes_{A^L} B \xrightarrow{\delta^2 \otimes 1_B} A \otimes_{A^L} B$$

is exact.

By assumption, $A \otimes_{A^L} A \longrightarrow A[Z]$ is an isomorphism, that is, we may identify $A \otimes_{A^L} B$ with $A[Z]$ and obtain the commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \int A^L \otimes_{A^L} B & \longrightarrow & A \otimes_{A^L} B & \xrightarrow{\delta^2 \otimes 1_B} & A \otimes_{A^L} B \\ & & \downarrow & & \parallel & & \parallel \\ 0 & \longrightarrow & \int B & \longrightarrow & A[Z] & \xrightarrow{\delta^2} & A[Z]. \end{array}$$

Also by assumption $Z = \sum \xi_i h_i$, $\xi_i \in A$, $h_i \in B$. On the other hand, $\delta(Z) = -1$ whence $\delta^2(Z) = 0$. This implies that we can choose the ξ_i to be in $\int A^L$, by the above diagram.

Then $-1 = \delta(Z) = \sum \delta(\xi_i) h_i$ and, in particular,

$$-1 = \sum \delta(\xi_i) h_i (Z = 0).$$

Now $\delta(\xi_i) \in A^L$ and $h_i(Z = 0) \in A$. If $\mathfrak{a} = \langle \{\delta(\xi_i)\}_i \rangle$ denotes the A^L -ideal generated by the $\delta(\xi_i)$ in A^L , then $\mathfrak{a}A = A$. By faithful flatness we have $\mathfrak{a} = A^L$, that is there are $\eta_i \in A^L$ such that $1 = \sum \delta(\xi_i) \eta_i = \delta(\sum \xi_i \eta_i)$.

If we define $x := \sum \xi_i \eta_i \in A$ then $\delta(x) = 1$ and this implies $H^1(L, A) = 0$ ([G-P1], 3.10).

Now assume the theorem for $(n-1)$ -dimensional Lie-algebras. Let $L = \sum_{i=1}^n K\delta_i$ and assume $\delta_n \in Z(L)$, where $Z(L)$ denotes the centre of L and let $L_0 := K\delta_n$. We shall prove that $H^1(L_0, A) = 0$. By Corollary 1.2 we can extend the action of L to $A[Z_1, \dots, Z_n]$ with the properties (i), (ii) of Corollary 1.3.

As before, we consider the exact sequence

$$0 \longrightarrow \int A^L \longrightarrow A \longrightarrow A^{n^2}, \\ a \rightsquigarrow (\delta_i \delta_j(a))$$

and put $B := \exp(-Z_1 \delta_1) \circ \dots \circ \exp(-Z_n \delta_n)(A)$, $\int A^L = \{a \in A \mid \delta(a) \in A^L \text{ for all } \delta \in L\}$. Then the following commutative diagram has exact rows:

$$\begin{array}{ccccc}
\int A^L \otimes_{A^L} B & \longrightarrow & A \otimes_{A^L} B & \longrightarrow & A^{n^2} \otimes_{A^L} B \\
\parallel & & \parallel & & \parallel \\
\int B & \longrightarrow & A[Z_1, \dots, Z_n] & \longrightarrow & A[Z_1, \dots, Z_n]^{n^2} \\
& & h & \rightsquigarrow & \delta_i \delta_j(h)
\end{array}$$

By assumption we have $Z_n = \sum \xi_i h_i$, $\xi_i \in A$, $h_i \in B$. As in the case $n = 1$ (since $\delta_i \delta_n(Z_n) = \delta_n \delta_i(Z_n) = 0$) we obtain a presentation of Z_n with $\xi_i \in \int A^L$ and deduce $H^1(L_0, A) = 0$ and $A^{L_0}[x] = A$ for a suitable $x \in A$.

Now $\bar{L} = L/L_0$ acts on A^{L_0} . In order to proceed by induction, we have to verify that

1. $\text{Spec } A^{L_0} \longrightarrow \text{Spec } A^L$ is faithfully flat,
2. $A^{L_0} \otimes_{A^L} A^{L_0} \longrightarrow A^{L_0}[Z_1, \dots, Z_{n-1}]$ is an isomorphism.

The first property is clear because $A^{L_0} \subset A = A^{L_0}[x]$ is faithfully flat, and $\text{Spec } A \longrightarrow \text{Spec } A^L$ is surjective.

Consider the following commutative diagram:

$$\begin{array}{ccc}
A^{L_0} \otimes_{A^L} A^{L_0} & \xrightarrow{m_0} & A^{L_0}[Z_1, \dots, Z_{n-1}] \\
\begin{array}{c} \downarrow i \\ \uparrow \pi \end{array} & & \begin{array}{c} \downarrow j \\ \uparrow \psi \end{array} \\
A \otimes_{A^L} A & \xrightarrow{m_1} & A[Z_1, \dots, Z_n] \\
\parallel & & \parallel \\
A^{L_0}[x] \otimes_{A^L} A^{L_0}[x] & & A^{L_0}[x][Z_1, \dots, Z_n]
\end{array}$$

defined by

- $i(a \otimes b) = a \otimes b$,
- $j(h(Z_1, \dots, Z_{n-1})) = h(Z_1, \dots, Z_{n-1})$,
- $\pi(a(x) \otimes b(x)) = a(0) \otimes b(0)$
- $\psi(h(x, Z_1, \dots, Z_n)) = h(0, Z_1, \dots, Z_{n-1}, -\exp Z_1 \delta_1 \circ \dots \circ \exp Z_{n-1} \delta_{n-1}(x))$,
- $m_0(a \otimes b) = a \cdot \exp Z_1 \delta_1 \circ \dots \circ \exp Z_{n-1} \delta_{n-1}(b)$,
- $m_1(a(x) \otimes b(x)) = a(x) \cdot \exp Z_1 \delta_1 \circ \dots \circ \exp Z_{n-1} \delta_{n-1} \circ \exp Z_n \delta_n(b(x))$.

m_1 is an isomorphism by assumption, ψ is obviously surjective and i is injective. This implies that m_0 is an isomorphism and 2. is proved.

By induction hypothesis we obtain $H^1(L/L_0, A^{L_0}) = 0$. Together with $A^{L_0}[x] = A$ this implies $H^1(L, A) = 0$. \square

Corollary 2.2 *Let A be a commutative K -algebra, which we assume to be reduced. Let $L = \sum_{i=1}^n K\delta_i \subseteq \text{Der}_K(A)$ be a nilpotent Lie-algebra and assume that the δ_i are locally nilpotent. Assume, moreover, that*

1. *A is a faithfully flat A^L -algebra.*
2. *The map $A \otimes_K A \longrightarrow A[Z_1, \dots, Z_n]$ defined by L is surjective.*

Then $H^1(L, A) = 0$.

Proof. We have to prove that the canonical map $A \otimes_{A^L} A \longrightarrow A[Z_1, \dots, Z_n]$ is injective.

In [G-P1], proof of Proposition 1.6, we proved that there is a dense open subset $\cup D(f_i) \subseteq \text{Spec } A$, $f_i \in A^L$ such that $\text{Spec } A_{f_i} \longrightarrow \text{Spec } A_{f_i}^L$ is a trivial quotient. This implies that

$$(A \otimes_{A^L} A)_{f_i} = A_{f_i} \otimes_{A_{f_i}^L} A_{f_i} \longrightarrow A_{f_i}[Z_1, \dots, Z_n]$$

is an isomorphism.

Since $\cup D(f_i)$ is dense, we obtain that $A \otimes_{A^L} A \longrightarrow A[Z_1, \dots, Z_n]$ is injective, which proves the corollary. \square

Corollary 2.3 *Let A and L be as in Theorem 2.1 (respectively, moreover, that A is reduced). Assume that the map $A \otimes_{A^L} A \longrightarrow A[Z_1, \dots, Z_n]$ defined by L is an isomorphism (respectively the map $A \otimes_K A \longrightarrow A[Z_1, \dots, Z_n]$ defined by L is surjective) and A is a flat A^L -algebra. Then there is an open subset $U \subseteq \text{Spec } A^L$ such that $\text{Spec } A \longrightarrow U$ is a locally trivial geometric quotient.*

Example. (cf. [D-F])

$$A = K[x_1, x_2, y_1, y_2, z], \quad \delta = x_1 \frac{\partial}{\partial x_2} + y_1 \frac{\partial}{\partial y_2} + (1 + x_1 y_2^2) \frac{\partial}{\partial z}.$$

We obtain $A^\delta = K[u_1, u_2, u_3, u_4, u_5]$ with $u_1 = x_1$, $y = y_1$, $y_3 = x_1 y_2 - x_2 y_1$, $u_4 = 3y_1 z - x_1 y_2^3 - 3y_2$, $u_5 = 3x_1^3 z - 3x_1^2 x_2 y_2^2 + 3x_1 x_2^2 y_1 y_2 - x_2^3 y_1 - 3x_1 x_2$, with relation $u_2 u_5 - u_1^2 u_4 - u_3^3 - 3u_1 u_3 = 0$.

We define $F(t) := (\exp t\delta)(z) = \frac{1}{3}x_1 y_1^2 t^3 + x_1 y_1 y_2 t^2 + (1 + x_1 y_2^2)t + z$. Then $d := 9 \cdot \text{disc}(F) = x_1(x_1^2 y_2^6 - 6x_1 y_1 y_2^3 z + 6x_1 y_2^4 + 9y_1^2 z^2 - 18y_1 y_2 z + 9y_2^2) + 4 = u_1 u_4^2 + 4 \in A^\delta$ and we obtain that $B_1 := A_d[t]/F$ is étale over A_d . δ extends to B_1 by $\delta(t) = -1$ and then we have $B_1^\delta[t] = B_1$.

Altogether we see: $\text{Spec } A = D(x_1) \cup D(d)$, on the open set $D(x_1)$ a trivial quotient exists since $A_{x_1}^\delta[x_2] = A_{x_1} =: B_2$, but on $D(d)$ the quotient does

not exist since over $D(d)$ we have fibres of different dimensions (here flatness fails, although the action is free in the sense of Mumford, cf. [D-F]). On the other hand, we have constructed an explicit étale covering $\{\mathrm{Spec} B_1, \mathrm{Spec} B_2\}$ of $\mathrm{Spec} A$ with $H^1(K\delta, B_i) = 0$, as it should be, according to the conjecture of the introduction.

References

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